

Weekly Stock Market Ownership and Trading Activity Report

Market Reports

Week Ending 10 August 2017



Definitions



Weekly Stock Market Ownership and Trading Activity Report

Definitions

Nationality	Investor Type	Description
Saudi	Individuals*	Saudi Individuals have been classified under 4 categories as defined below:
	- Retail	Retail investors are Saudi individuals , excluding IPIs (see below) and HNWIs .
	- High Net Worth Investors (HNWIs)	HNWIs are Saudi individuals who have had an average portfolio size of SAR 1m (and above) for the preceding 12 months, excluding IPIs (see below).
	- Individual Professional Investors (IPIs)	IPIs are Saudi individuals who have had an average portfolio size of SAR 50m (and above) for the preceding 12 months and a portfolio turnover ratio of not more than 4 times annually.
	- Individual DPMs	Saudi individual investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	Institutions	Saudi Institutions have been classified under 4 categories as defined below:
	- Corporates	Saudi company with a commercial registration permitting its investment in the stock market .
	- Mutual Funds	A mutual fund managed by an Authorized Person and licensed by the CMA.
	- Government Related Entities (GREs)	A Government entity or a supranational authority recognized by the CMA.
	- Institutional DPMs	Saudi institutional investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
GCC	Individuals*	GCC natural person
	Institutions	GCC legal person with a commercial registration certificate (corporate or mutual fund), in addition to GCC government entities.
	GCC DPMs	GCC investment account (institutional or individual) in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
Foreign	SWAP Holders	Authorized Person with the permission to enter into SWAP agreements to transfer the economic benefits of its ownership of shares in Saudi companies listed on the Saudi Stock Exchange to its non-resident foreign clients.
	Foreign Residents*	Foreign investors domiciled in the Kingdom with a valid residency permit (Iqama) (excluding GCC nationals).
	QFIs	Qualified Foreign Institutional investors registered with the CMA in accordance with the QFI Rules, to invest in shares listed on the Saudi Stock Exchange.
	Foreign DPMs	Foreign resident investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	Strategic Partners	Foreign investors considered founders and partners of Saudi listed companies.

*Nomu-Parallel
Market Individual
Investors

Individuals who fulfill any of the following:

- Conducted transactions not less than SAR 40m, and not less than 10 transaction in each quarter during last 12 months.
- Have an average portfolio size of SAR 10m for the preceding 12 months
- Hold General Securities Qualification Certificate which is recognized by the Authority.

Main Market - Value Traded & Ownership Breakdown



Weekly Report - Main Market

Value Traded (by Nationality and Investor Type)

Main Market Value Traded Breakdown - By Nationality and Investor Type

Nationality	Investor Type	Buy		Sell		Net Value Traded	
		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Saudi	Individuals:						
	Retail	7,486,311,484	48.03%	9,212,495,239	59.11%	-1,726,183,755	-11.07%
	HNWIs	4,179,036,812	26.81%	4,179,581,189	26.82%	-544,377	0.00%
	IPIs	273,454,594	1.75%	340,874,165	2.19%	-67,419,572	-0.43%
	Individual DPMs	189,550,584	1.22%	257,646,420	1.65%	-68,095,836	-0.44%
	Sub Total (Individuals)	12,128,353,474	77.81%	13,990,597,014	89.76%	-1,862,243,540	-11.95%
	Institutions:						
	Corporates	1,695,494,301	10.88%	458,488,376	2.94%	1,237,005,925	7.94%
	Mutual Funds	613,801,273	3.94%	353,741,308	2.27%	260,059,966	1.67%
	GRE's	975,718	0.01%	800,110	0.01%	175,608	0.00%
	Institution DPMs	286,220,280	1.84%	161,709,731	1.04%	124,510,549	0.80%
	Sub Total (Institutions)	2,596,491,572	16.66%	974,739,524	6.25%	1,621,752,048	10.40%
	Total Saudi Investors	14,724,845,046	94.47%	14,965,336,538	96.01%	-240,491,492	-1.54%
GCC	Individuals	59,439,066	0.38%	56,266,572	0.36%	3,172,494	0.02%
	Institutions	185,209,175	1.19%	92,151,170	0.59%	93,058,005	0.60%
	GCC DPMs	1,154,263	0.01%	1,228,045	0.01%	-73,782	0.00%
	Total GCC Investors	245,802,503	1.58%	149,645,787	0.96%	96,156,717	0.62%
Foreign	SWAP Holders	131,779,014	0.85%	120,970,235	0.78%	10,808,779	0.07%
	Foreign Residents	257,369,427	1.65%	286,527,190	1.84%	-29,157,764	-0.19%
	QFIs	217,371,330	1.39%	62,130,455	0.40%	155,240,875	1.00%
	Foreign DPMs	9,395,541	0.06%	393,946	0.00%	9,001,596	0.06%
	Strategic Partners	0	0.00%	1,558,711	0.01%	-1,558,711	-0.01%
	Total Foreign Investors	615,915,313	3.95%	471,580,537	3.03%	144,334,775	0.93%
Grand Total		15,586,562,861	100.00%	15,586,562,861	100.00%		

Weekly Report - Main Market

Value Traded (by Investor Classification)

Main Market Value Traded Breakdown - By Investor Classification

Investor Classification	Buy		Sell		Net Value Traded	
	SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Institutional	3,604,406,073	23.13%	1,851,692,672	11.88%	1,752,713,402	11.25%
Non-Institutional	11,982,156,788	76.87%	13,734,870,190	88.12%	-1,752,713,402	-11.25%
Grand Total	15,586,562,861	100.00%	15,586,562,861	100.00%		

Definitions

Institutional:

Refers to investors with institutional behavior including GREs, Saudi Corporates, Saudi Mutual Funds, Saudi and Non-Saudi DPMs, Saudi Individual Professional Investors (IPI), SWAP Holders, Foreign Strategic Partners and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.

Weekly Report - Main Market

Ownership Value (by Nationality and Investor Type)

Main Market Ownership Breakdown - By Nationality and Investor Type

Nationality	Investor Type	As of 3 August 2017		As of 10 August 2017		Weekly Change	
		Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
Saudi	Individuals:						
	Retail	70,631,801,768	4.21%	71,656,054,349	4.23%	1,024,252,580	0.02%
	HNWIs	129,835,723,576	7.73%	131,351,576,371	7.75%	1,515,852,795	0.02%
	IPIs	253,131,414,318	15.08%	255,392,811,522	15.07%	2,261,397,204	0.00%
	Individual DPMs	5,019,456,152	0.30%	5,057,510,338	0.30%	38,054,186	0.00%
	Sub Total (Individuals)	458,618,395,814	27.32%	463,457,952,580	27.35%	4,839,556,766	0.04%
	Institutions:						
	Corporates	275,498,061,284	16.41%	278,418,557,844	16.43%	2,920,496,561	0.02%
	Mutual Funds	102,702,832,636	6.12%	103,316,082,389	6.10%	613,249,754	-0.02%
	GRE's	681,412,930,859	40.59%	686,201,067,724	40.50%	4,788,136,865	-0.09%
	Institution DPMs	48,554,951,500	2.89%	49,171,201,841	2.90%	616,250,341	0.01%
	Sub Total (Institutions)	1,108,168,776,278	66.01%	1,117,106,909,798	65.94%	8,938,133,520	-0.08%
Total Saudi Investors	1,566,787,172,092	93.33%	1,580,564,862,378	93.29%	13,777,690,286	-0.04%	
GCC	Individuals	2,928,115,337	0.17%	2,969,024,061	0.18%	40,908,723	0.00%
	Institutions	33,023,747,891	1.97%	32,982,653,167	1.95%	-41,094,724	-0.02%
	GCC DPMs	661,364,182	0.04%	669,627,350	0.04%	8,263,168	0.00%
	Total GCC Investors	36,613,227,410	2.18%	36,621,304,578	2.16%	8,077,168	-0.02%
Foreign	SWAP Holders	9,830,869,378	0.59%	10,105,941,883	0.60%	275,072,505	0.01%
	Foreign Residents	4,320,754,793	0.26%	4,337,779,115	0.26%	17,024,322	0.00%
	QFIs	6,558,443,548	0.39%	6,690,725,263	0.39%	132,281,715	0.00%
	Foreign DPMs	2,590,671,364	0.15%	2,648,767,504	0.16%	58,096,139	0.00%
	Strategic Partners	52,009,307,315	3.10%	53,267,301,766	3.14%	1,257,994,450	0.05%
	Total Foreign Investors	75,310,046,399	4.49%	77,050,515,531	4.55%	1,740,469,132	0.06%
Grand Total		1,678,710,445,901	100.00%	1,694,236,682,487	100.00%	15,526,236,586	

Weekly Report - Main Market

Ownership Value (by Investor Classification)

Main Market Ownership Breakdown - By Investor Classification						
Investor Classification	As of 3 August 2017		As of 10 August 2017		Weekly Change	
	Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
Institutional	1,470,994,050,426	87.63%	1,483,922,248,591	87.59%	12,928,198,164	-0.04%
Non-Institutional	207,716,395,475	12.37%	210,314,433,896	12.41%	2,598,038,421	0.04%
Grand Total	1,678,710,445,901	100.00%	1,694,236,682,487	100.00%	15,526,236,586	

Definitions

Institutional:

Refers to investors with institutional behavior including GREs, Saudi Corporates, Saudi Mutual Funds, Saudi and Non-Saudi DPMs, Saudi Individual Professional Investors (IPI), SWAP Holders, Foreign Strategic Partners and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.

Nomu-Parallel Market - Value Traded & Ownership Breakdown



Weekly Report – Nomu-Parallel Market

Value Traded (by Nationality, Investor Type, & Classification)

Nomu-Parallel Market Value Traded Breakdown - By Nationality and Investor Type							
Nationality	Investor Type	Buy		Sell		Net Value Traded	
		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Saudi	Individuals:						
	Individuals	20,393,833	89.45%	16,066,789	70.47%	4,327,044	18.98%
	Corporates	735,806	3.23%	12,150	0.05%	723,656	3.17%
	Institution	950,217	4.17%	2,457,846	10.78%	-1,507,630	-6.61%
	Total Saudi Investors	22,079,855	96.85%	18,536,785	81.31%	3,543,070	15.54%
GCC	Individuals	0	0.00%	0	0.00%	0	0.00%
	Institutions	361,912	1.59%	3,766,685	16.52%	-3,404,772	-14.93%
	Total GCC Investors	361,912	1.59%	3,766,685	16.52%	-3,404,772	-14.93%
Foreign	SWAP Holders	0	0.00%	0	0.00%	0	0.00%
	Foreign Residents	242,495	1.06%	495,147	2.17%	-252,652	-1.11%
	QFIs	114,354	0.50%	0	0.00%	114,354	0.50%
	Total Foreign Investors	356,849	1.57%	495,147	2.17%	-138,298	-0.61%
Grand Total		22,798,616	100.00%	22,798,616	100.00%		

Nomu-Parallel Market Value Traded Breakdown - By Investor Classification						
Investor Classification	Buy		Sell		Net Value Traded	
	SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Institutional	2,162,289	9.48%	6,236,681	27.36%	-4,074,392	-17.87%
Non-Institutional	20,636,328	90.52%	16,561,935	72.64%	4,074,392	17.87%
Grand Total	22,798,616	100.00%	22,798,616	100.00%		

Institutional:

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.

Weekly Report – Nomu-Parallel Market

Ownership Value (by Nationality, Investor Type, & Classification)

Nomu-Parallel Market Ownership Breakdown - By Nationality and Investor Type							
Nationality	Investor Type	As of 3 August 2017		As of 10 August 2017		Weekly Change	
		Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
Saudi	Individuals:						
	Individuals	2,190,999,534	87.84%	2,159,676,161	88.19%	-31,323,373	0.35%
	Corporates	139,010,736	5.57%	135,097,729	5.52%	-3,913,008	-0.06%
	Institution	142,245,867	5.70%	133,039,288	5.43%	-9,206,578	-0.27%
	Total Saudi Investors	2,472,256,137	99.11%	2,427,813,178	99.13%	-44,442,959	0.02%
GCC	Individuals	30,268	0.00%	30,065	0.00%	-203	0.00%
	Institutions	15,788,578	0.63%	14,964,928	0.61%	-823,650	-0.02%
	Total GCC Investors	15,818,846	0.63%	14,994,993	0.61%	-823,853	-0.02%
Foreign	SWAP Holders	215,067	0.01%	209,325	0.01%	-5,742	0.00%
	Foreign Residents	4,928,108	0.20%	4,692,220	0.19%	-235,889	-0.01%
	QFIs	1,206,192	0.05%	1,318,159	0.05%	111,967	0.01%
	Total Foreign Investors	6,349,367	0.25%	6,219,704	0.25%	-129,663	0.00%
Grand Total		2,494,424,350	100.00%	2,449,027,875	100.00%	-45,396,475	

Nomu-Parallel Market Ownership Breakdown - By Investor Classification							
Investor Classification	As of 3 August 2017		As of 10 August 2017		Weekly Change		
	Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)	
Institutional	298,466,439	11.97%	284,629,429	11.62%	-13,837,010	-0.34%	
Non-Institutional	2,195,957,911	88.03%	2,164,398,446	88.38%	-31,559,465	0.34%	
Grand Total	2,494,424,350	100.00%	2,449,027,875	100.00%	-45,396,475		

Institutional:

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.

السوق المالية السعودية
Saudi Stock Exchange

تداول
Tadawul

Thank you

