

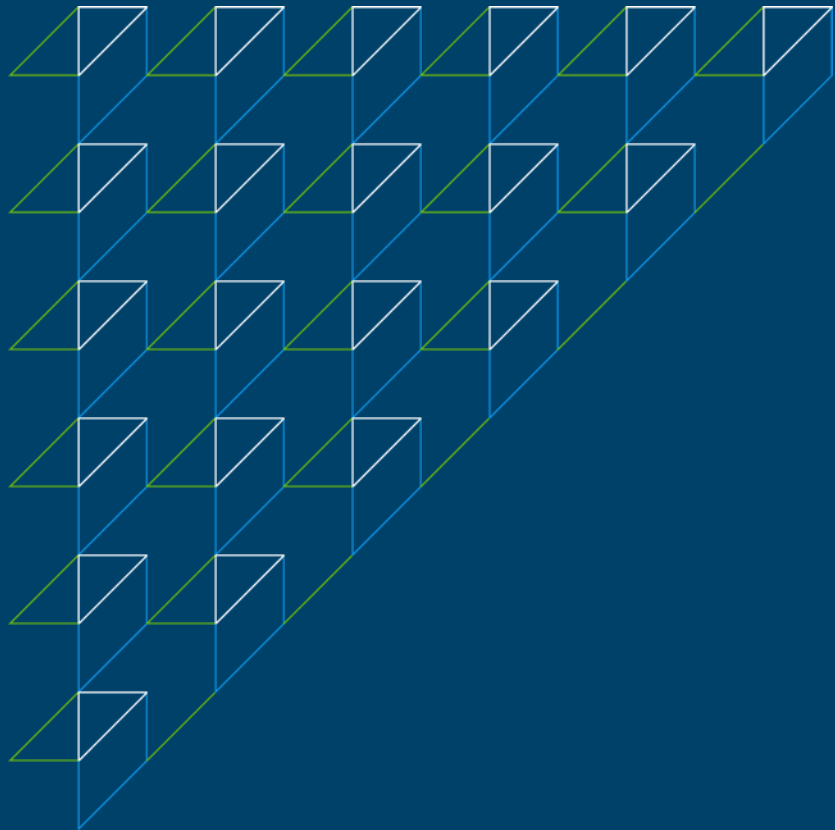
Weekly Stock Market Ownership and Trading Activity Report

Market Reports

Week Ending 19 March 2020



Definitions



Monthly Stock Market Ownership and Trading Activity Report

Definitions

Nationality	Investor Type	Description
Saudi	Individuals*	Saudi Individuals have been classified under 4 categories as defined below:
	- Retail	Retail investors are Saudi individuals , excluding IPIs (see below) and HNWIs.
	- High Net Worth Investors (HNWIs)	HNWIs are Saudi individuals who have had an average portfolio size of SAR 1m (and above) for the preceding 12 months, excluding IPIs (see below).
	- Individual Professional Investors (IPIs)	IPIs are Saudi individuals who have had an average portfolio size of SAR 50m (and above) for the preceding 12 months and a portfolio turnover ratio of not more than 4 times annually.
	- Individual DPMs	Saudi individual investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	Institutions	Saudi Institutions have been classified under 4 categories as defined below:
	- Corporates	Saudi company with a commercial registration permitting its investment in the stock market .
	- Mutual Funds	A mutual fund managed by an Authorized Person and licensed by the CMA.
	- Government Related Entities (GREs)	A Government entity or a supranational authority recognized by the CMA.
- Institutional DPMs	Saudi institutional investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).	
GCC	Individuals*	GCC natural person
	Institutions	GCC legal person with a commercial registration certificate (corporate or mutual fund), in addition to GCC government entities.
	GCC DPMs	GCC investment account (institutional or individual) in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
Foreign	SWAP Holders	Authorized Person with the permission to enter into SWAP agreements to transfer the economic benefits of its ownership of shares in Saudi companies listed on the Saudi Stock Exchange to its non-resident foreign clients.
	Foreign Residents & Others	Investors with a valid residency permit (Iqama) and others (excluding SWAP, QFIs, DPM & Strategic Investors).
	QFIs	Qualified Foreign Institutional investors registered with the CMA in accordance with the QFI Rules, to invest in shares listed on the Saudi Stock Exchange.
	Foreign DPMs	Foreign resident investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	Strategic Investors	A foreign legal entity that aims to own a strategic shareholding in listed companies.

*Nomu-Parallel Market Individual Investors

Individuals who fulfill any of the following:

- Conducted transactions not less than SAR 40m, and not less than 10 transaction in each quarter during last 12 months.
- Have an average portfolio size of SAR 5m for the preceding 12 months
- Worked for at least 3 years in financial sectors.
- Hold General Securities Qualification Certificate which is recognized by the Authority.
- Holds professional certificate that is related to securities business and accredited by an internationally recognized entity

Main Market - Value Traded & Ownership Breakdown



Weekly Report - Main Market

Value Traded (by Nationality and Investor Type)

Main Market Value Traded Breakdown - By Nationality and Investor Type

Nationality	Investor Type	Buy		Sell		Net Value Traded	
		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Saudi	Individuals:						
	Retail	12,397,539,401	43.03%	11,490,779,171	39.88%	906,760,230	3.15%
	HNWIs	6,354,957,575	22.06%	6,284,920,413	21.81%	70,037,162	0.24%
	IPIs	891,612,375	3.09%	1,107,458,325	3.84%	-215,845,950	-0.75%
	Individual DPMs	438,511,015	1.52%	667,547,536	2.32%	-229,036,522	-0.79%
	Sub Total (Individuals)	20,082,620,365	69.71%	19,550,705,446	67.86%	531,914,920	1.85%
	Institutions:						
	Corporates	1,358,760,104	4.72%	658,667,877	2.29%	700,092,228	2.43%
	Mutual Funds	1,141,138,159	3.96%	1,559,070,953	5.41%	-417,932,794	-1.45%
	GRE's	24,957,259	0.09%	1,404,307	0.00%	23,552,953	0.08%
	Institution DPMs	472,946,174	1.64%	601,470,466	2.09%	-128,524,292	-0.45%
	Sub Total (Institutions)	2,997,801,697	10.41%	2,820,613,602	9.79%	177,188,095	0.62%
	Total Saudi Investors	23,080,422,063	80.11%	22,371,319,048	77.65%	709,103,015	2.46%
GCC	Individuals	25,058,083	0.09%	56,479,571	0.20%	-31,421,488	-0.11%
	Institutions	260,235,173	0.90%	635,416,491	2.21%	-375,181,318	-1.30%
	GCC DPMs	101,657,762	0.35%	2,371,798	0.01%	99,285,964	0.34%
	Total GCC Investors	386,951,018	1.34%	694,267,860	2.41%	-307,316,843	-1.07%
Foreign	SWAP Holders	27,952,194	0.10%	263,296,108	0.91%	-235,343,914	-0.82%
	Foreign Residents & Others	424,779,930	1.47%	472,245,084	1.64%	-47,465,154	-0.16%
	QFIs	4,890,249,532	16.97%	5,008,702,472	17.39%	-118,452,940	-0.41%
	Foreign DPMs	0	0.00%	524,166	0.00%	-524,166	0.00%
	Strategic Investor	0	0.00%	0	0.00%	0	0.00%
	Total Foreign Investors	5,342,981,656	18.55%	5,744,767,829	19.94%	-401,786,173	-1.39%
Grand Total		28,810,354,736	100.00%	28,810,354,736	100.00%		

Weekly Report - Main Market

Value Traded (by Investor Classification)

Main Market Value Traded Breakdown - By Investor Classification

Investor Classification	Buy		Sell		Net Value Traded	
	SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Institutional	9,608,019,747	33.35%	10,505,930,498	36.47%	-897,910,750	-3.12%
Non-Institutional	19,202,334,989	66.65%	18,304,424,239	63.53%	897,910,750	3.12%
Grand Total	28,810,354,736	100.00%	28,810,354,736	100.00%		

Definitions

Institutional:

Refers to investors with institutional behavior including GREs, Saudi Corporates, Saudi Mutual Funds, Saudi and Non-Saudi DPMs, Saudi Individual Professional Investors (IPI), SWAP Holders, Foreign Strategic Partners and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.

Weekly Report - Main Market

Ownership Value (by Nationality and Investor Type)

Main Market Ownership Breakdown - By Nationality and Investor Type

Nationality	Investor Type	As of 12 March 2020				As of 19 March 2020				Weekly Change			
		Holding Value (SAR)	Ownership		Holding Value (SAR)	Ownership		Δ Holding Value (SAR)	Ownership				
			Issued (%)	Free Float (%)		Issued (%)	Free Float (%)		Δ Issued (%)	Δ Free Float (%)			
Saudi	Individuals:												
	Retail	86,366,065,802	1.19%	9.27%	96,430,321,274	1.31%	9.60%	10,064,255,472	0.12%	0.33%			
	HNWIs	128,974,303,476	1.77%	13.68%	126,655,453,390	1.72%	13.54%	-2,318,850,086	-0.05%	-0.13%			
	IPIs	213,647,860,369	2.94%	17.48%	210,071,270,316	2.86%	17.35%	-3,576,590,053	-0.08%	-0.13%			
	Individual DPMs	5,119,696,590	0.07%	0.55%	5,013,681,743	0.07%	0.54%	-106,014,846	0.00%	-0.01%			
	Sub Total (Individuals)	434,107,926,236	5.97%	40.97%	438,170,726,723	5.97%	41.03%	4,062,800,487	-0.01%	0.06%			
	Institutions:												
	Corporates	289,717,478,180	3.99%	22.84%	294,283,847,087	4.01%	22.98%	4,566,368,907	0.02%	0.14%			
	Mutual Funds	152,370,328,740	2.10%	11.05%	150,244,193,575	2.05%	11.01%	-2,126,135,165	-0.05%	-0.03%			
	GRE's	6,185,276,614,644	85.12%	7.27%	6,261,160,865,542	85.25%	7.35%	75,884,250,898	0.13%	0.08%			
	Institution DPMs	29,024,518,984	0.40%	3.12%	28,235,688,203	0.38%	3.06%	-788,830,781	-0.01%	-0.06%			
	Sub Total (Institutions)	6,656,388,940,547	91.61%	44.27%	6,733,924,594,406	91.69%	44.39%	77,535,653,859	0.08%	0.13%			
	Total Saudi Investors	7,090,496,866,783	97.58%	85.24%	7,172,095,321,129	97.65%	85.42%	81,598,454,347	0.07%	0.18%			
GCC	Individuals	1,943,842,865	0.03%	0.21%	1,887,273,379	0.03%	0.21%	-56,569,486	0.00%	0.00%			
	Institutions	29,948,465,695	0.41%	2.11%	29,289,139,521	0.40%	2.05%	-659,326,174	-0.01%	-0.06%			
	GCC DPMs	521,597,473	0.01%	0.06%	630,559,126	0.01%	0.07%	108,961,653	0.00%	0.01%			
	Total GCC Investors	32,413,906,033	0.45%	2.38%	31,806,972,026	0.43%	2.33%	-606,934,006	-0.01%	-0.05%			
Foreign	SWAP Holders	2,344,546,719	0.03%	0.25%	2,175,856,849	0.03%	0.24%	-168,689,870	0.00%	-0.02%			
	Foreign Residents & Others	11,150,350,450	0.15%	0.96%	11,277,545,235	0.15%	0.99%	127,194,785	0.00%	0.03%			
	QFIs	101,406,154,764	1.40%	11.00%	99,225,479,517	1.35%	10.85%	-2,180,675,247	-0.04%	-0.15%			
	Foreign DPMs	1,477,004,215	0.02%	0.16%	1,530,754,421	0.02%	0.17%	53,750,207	0.00%	0.01%			
	Strategic Investors	26,982,416,578	0.37%	0.00%	26,220,480,281	0.36%	0.00%	-761,936,297	-0.01%	0.00%			
Total Foreign Investors	143,360,472,726	1.97%	12.38%	140,430,116,304	1.91%	12.25%	-2,930,356,422	-0.06%	-0.13%				
Grand Total		7,266,271,245,542	100.00%	100.00%	7,344,332,409,460	100.00%	100.00%	78,061,163,918					

Weekly Report - Main Market

Ownership Value (by Investor Classification)

Main Market Ownership Breakdown - By Investor Classification									
Investor Classification	As of 12 March 2020			As of 19 March 2020			Weekly Change		
	Holding Value (SAR)	Ownership		Holding Value (SAR)	Ownership		Δ Holding Value (SAR)	Ownership	
		Issued (%)	FreeFloat (%)		Issued (%)	FreeFloat (%)		Δ Issued (%)	Δ FreeFloat (%)
Institutional	7,043,997,642,816	96.86%	75.88%	7,114,317,341,761	96.78%	75.66%	70,319,698,946	-0.07%	-0.22%
Non-Institutional	222,273,602,726	3.14%	24.12%	230,015,067,698	3.22%	24.34%	7,741,464,972	0.07%	0.22%
Grand Total	7,266,271,245,542	100.00%	100.00%	7,344,332,409,460	100.00%	100.00%	78,061,163,918		

Definitions

Institutional:

Refers to investors with institutional behavior including GREs, Saudi Corporates, Saudi Mutual Funds, Saudi and Non-Saudi DPMs, Saudi Individual Professional Investors (IPI), SWAP Holders, Foreign Strategic Partners and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.

Nomu-Parallel Market - Value Traded & Ownership Breakdown



Weekly Report – Nomu-Parallel Market

Value Traded (by Nationality, Investor Type, & Classification)

Nomu-Parallel Market Value Traded Breakdown - By Nationality and Investor Type

Nationality	Investor Type	Buy		Sell		Net Value Traded	
		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Saudi	Individuals	90,855,608	98.25%	90,094,595	97.43%	761,013	0.82%
	Corporates	198,342	0.21%	1,297,935	1.40%	-1,099,593	-1.19%
	Institution	17,300	0.02%	0	0.00%	17,300	0.02%
	Total Saudi Investors	91,071,250	98.49%	91,392,530	98.83%	-321,280	-0.35%
GCC	Individuals	0	0.00%	0	0.00%	0	0.00%
	Institutions	0	0.00%	0	0.00%	0	0.00%
	Total GCC Investors	0	0.00%	0	0.00%	0	0.00%
Foreign	SWAP Holders	4,756	0.01%	0	0.00%	4,756	0.01%
	Foreign Residents	1,395,478	1.51%	1,053,680	1.14%	341,798	0.37%
	QFIs	0	0.00%	25,274	0.03%	-25,274	-0.03%
	Total Foreign Investors	1,400,234	1.51%	1,078,954	1.17%	321,280	0.35%
Grand Total		92,471,484	100.00%	92,471,484	100.00%		

Nomu-Parallel Market Value Traded Breakdown - By Investor Classification

Investor Classification	Buy		Sell		Net Value Traded	
	SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Institutional	220,398	0.24%	1,323,209	1.43%	-1,102,811	-1.19%
Non-Institutional	92,251,086	99.76%	91,148,274	98.57%	1,102,811	1.19%
Grand Total	92,471,484	100.00%	92,471,484	100.00%		

Institutional:

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.

Weekly Report – Nomu-Parallel Market

Ownership Value (by Nationality, Investor Type, & Classification)

Nomu-Parallel Market Ownership Breakdown - By Nationality and Investor Type										
Nationality	Investor Type	As of 12 March 2020			As of 19 March 2020			Weekly Change		
		Holding Value (SAR)	Ownership (%)		Holding Value (SAR)	Ownership (%)		Δ Holding Value (SAR)	Δ Ownership (%)	
			Issued (%)	FreeFloat (%)		Issued (%)	FreeFloat (%)		Δ Issued (%)	Δ FreeFloat (%)
Saudi	Individuals	1,748,480,255	97.04%	96.47%	1,828,693,858	96.79%	96.25%	80,213,604	-0.25%	-0.22%
	Corporates	48,216,955	2.68%	2.63%	55,196,314	2.92%	2.85%	6,979,360	0.25%	0.22%
	Institution	1,705,003	0.09%	0.28%	1,870,727	0.10%	0.29%	165,725	0.00%	0.01%
	Total Saudi Investors	1,798,402,212	99.81%	99.38%	1,885,760,900	99.82%	99.39%	87,358,688	0.00%	0.01%
GCC	Individuals	0	0.00%	0.00%	0	0.00%	0.00%	0	0.00%	0.00%
	Institutions	0	0.00%	0.00%	0	0.00%	0.00%	0	0.00%	0.00%
	Total GCC Investors	0	0.00%	0.00%	0	0.00%	0.00%	0	0.00%	0.00%
Foreign	SWAP Holders	5,760	0.00%	0.00%	5,760	0.00%	0.00%	0	0.00%	0.00%
	Foreign Residents	3,342,029	0.19%	0.62%	3,483,340	0.18%	0.61%	141,312	0.00%	-0.01%
	QFIs	0	0.00%	0.00%	0	0.00%	0.00%	0	0.00%	0.00%
	Total Foreign Investors	3,347,789	0.19%	0.62%	3,489,100	0.18%	0.61%	141,312	0.00%	-0.01%
Grand Total		1,801,750,000	100.00%	100.00%	1,889,250,000	100.00%	100.00%	87,500,000		

Nomu-Parallel Market Ownership Breakdown - By Investor Classification										
Investor Classification	As of 12 March 2020			As of 19 March 2020			Weekly Change			
	Holding Value (SAR)	Ownership (%)		Holding Value (SAR)	Ownership (%)		Δ Holding Value (SAR)	Ownership (%)		
		Issued (%)	FreeFloat (%)		Issued (%)	FreeFloat (%)		Δ Issued (%)	Δ FreeFloat (%)	
Institutional	49,927,717	2.77%	2.91%	57,072,801	3.02%	3.14%	7,145,084	0.25%	0.23%	
Non-Institutional	1,751,822,283	97.23%	97.09%	1,832,177,199	96.98%	96.86%	80,354,916	-0.25%	-0.23%	
Grand Total	1,801,750,000	100%	100%	1,889,250,000	100%	100%	87,500,000			

Institutional:

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.

السوق المالية السعودية
Saudi Stock Exchange

تداول
Tadawul

Thank you

