

Monthly Stock Market Ownership and Trading Activity Report

تداول السعودية
Saudi Exchange



Market Reports

Month Ending 29 April 2021



Definitions

Monthly Stock Market Ownership and Trading Activity Report

Definitions

Nationality	Investor Type	Description
Saudi	Individuals*	Saudi Individuals have been classified under 4 categories as defined below:
	Retail	Retail investors are Saudi individuals , excluding IPIs (see below) and HNWIs.
	High Net Worth Investors (HNWIs)	HNWIs are Saudi individuals who have had an average portfolio size of SAR 1m (and above) for the preceding 12 months, excluding IPIs (see below).
	Individual Professional Investors (IPIs)	IPIs are Saudi individuals who have had an average portfolio size of SAR 50m (and above) for the preceding 12 months and a portfolio turnover ratio of not more than 4 times annually.
	Individual DPMs	Saudi individual investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	Institutions	Saudi Institutions have been classified under 4 categories as defined below:
	Corporates	Saudi company with a commercial registration permitting its investment in the stock market .
	Mutual Funds	A mutual fund managed by an Authorized Person and licensed by the CMA.
	Government Related Entities (GREs)	A Government entity or a supranational authority recognized by the CMA.
	Institutional DPMs	Saudi institutional investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
GCC	Individuals*	GCC natural person
	Institutions	GCC legal person with a commercial registration certificate (corporate or mutual fund), in addition to GCC government entities.
	GCC DPMs	GCC investment account (institutional or individual) in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
Foreign	SWAP Holders	Authorized Person with the permission to enter into SWAP agreements to transfer the economic benefits of its ownership of shares in Saudi companies listed on the Saudi Stock Exchange to its non-resident foreign clients.
	Foreign Residents & Others	Investors with valid residency permit (Iqama) and others (excluding SWAP, QFI, DPM & Strategic Investors)
	QFIs	Qualified Foreign Institutional investors registered with the CMA in accordance with the QFI Rules, to invest in shares listed on the Saudi Stock Exchange.
	Foreign DPMs	Foreign resident investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	Strategic Investors	Foreign investors considered founders and partners of Saudi listed companies.

*Nomu-Parallel Market Individual Investors

Individuals who fulfill any of the following:

- Conducted transactions not less than SAR 40m, and not less than 10 transaction in each quarter during last 12 months.
- Have an average portfolio size of SAR 5m for the preceding 12 months
- Worked for at least 3 years in financial sectors.
- Hold General Securities Qualification Certificate which is recognized by the Authority.
- Holds professional certificate that is related to securities business and accredited by an internationally recognized entity



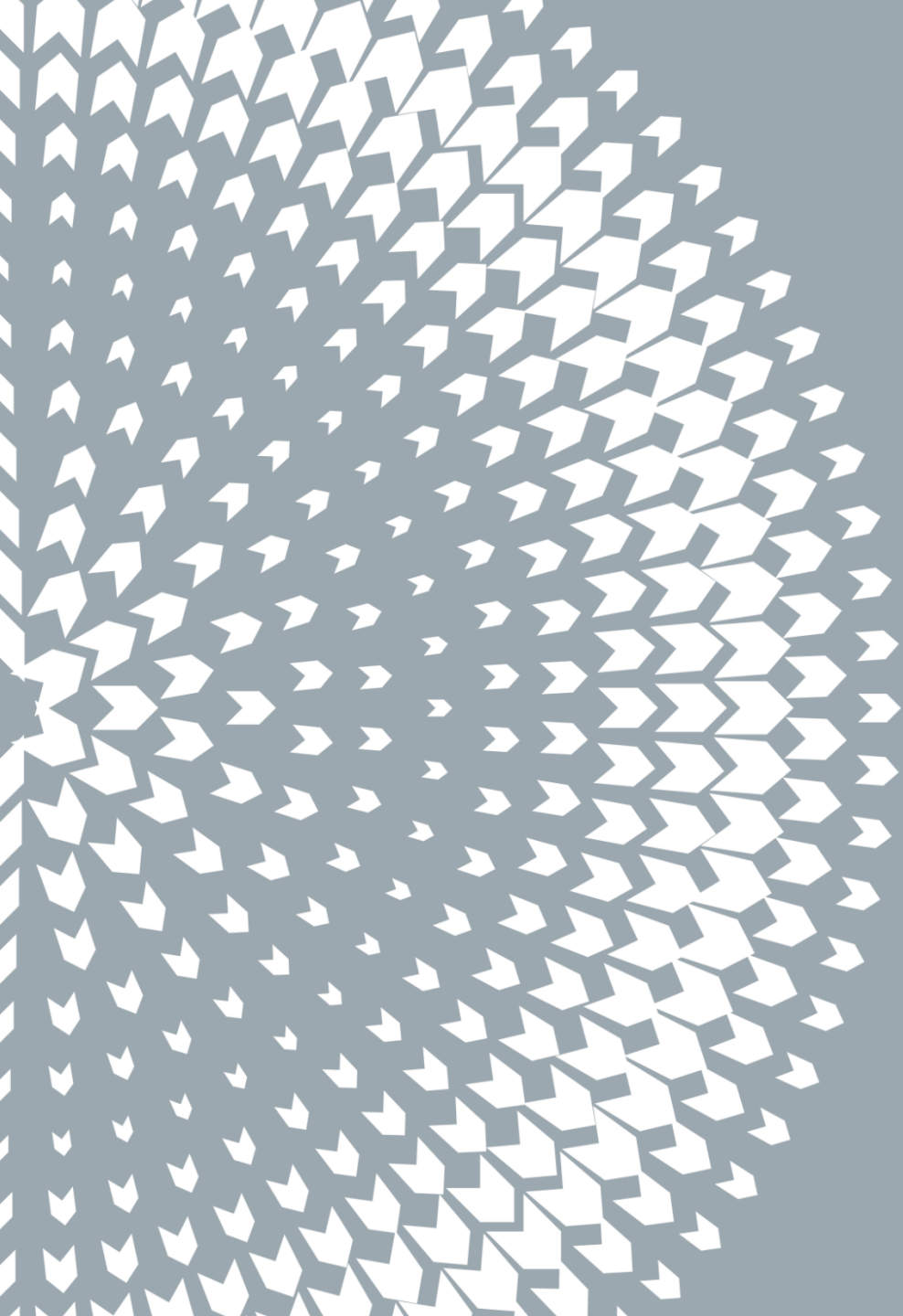
Main Market - Highlights

Monthly Report - Main Market

Highlights

The total value of shares traded for the month ending 29 April 2021 amounted to SAR 188.56 billion, decreasing by 35.13% over the previous month; while total stock market capitalization reached SAR 9,655.61 billion at the end of this period, increasing by 0.26% over the previous month.

- ▶ The total value of shares purchased by “Saudi Investors” during this period amounted to SAR 172.14 billion representing 91.29 % of total buying activity, and sales of SAR 175.01 billion representing 92.81 % of total selling activity. Total ownership of “Saudi Investors” stood at 96.76 % of total market capitalization as of 29 April 2021, representing a decrease of 0.21 % from the previous month.
- ▶ The total value of shares purchased by “GCC Investors” during this period amounted to SAR 1.54 billion representing 0.82 % of total buying activity, and sales of SAR 2.35 billion representing 1.24% of total selling activity. Total ownership of “GCC Investors” stood at 0.53% of total market capitalization as of 29 April 2021, representing an increase of 0.01% from the previous month.
- ▶ The total value of shares purchased by “Foreign Investors” during this period amounted to SAR 14.88 billion representing 7.89% of total buying activity, and sales of SAR 11.21 billion representing 5.94% of total selling activity. Total ownership of “Foreign Investors” stood at 2.71% of total market capitalization as of 29 April 2021, representing an increase of 0.21% from the previous month.



Main Market - Value Traded & Ownership Breakdown

Monthly Report - Main Market

Value Traded (by Nationality and Investor Type)

Main Market Value Traded Breakdown - By Nationality and Investor Type

Nationality	Investor Type	Buy		Sell		Net Value Traded		
		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)	
Saudi	Individuals:							
	Retail	98,424,256,048	52.20%	99,929,803,539	52.99%	-1,505,547,491	-0.80%	
	HNWIs	50,007,935,212	26.52%	53,182,073,222	28.20%	-3,174,138,011	-1.68%	
	IPIs	4,280,960,691	2.27%	7,065,961,214	3.75%	-2,785,000,523	-1.48%	
	Individual DPMs	1,718,516,756	0.91%	1,546,056,494	0.82%	172,460,261	0.09%	
	Sub Total (Individuals)	154,431,668,707	81.90%	161,723,894,470	85.76%	-7,292,225,763	-3.87%	
	Institutions:							
	Corporates	5,380,925,837	2.85%	4,227,593,929	2.24%	1,153,331,907	0.61%	
	Mutual Funds	9,681,391,341	5.13%	6,377,070,449	3.38%	3,304,320,892	1.75%	
	GRE's	370,346,771	0.20%	120,190,285	0.06%	250,156,486	0.13%	
	Institution DPMs	2,278,505,282	1.21%	2,566,185,820	1.36%	-287,680,538	-0.15%	
	Sub Total (Institutions)	17,711,169,230	9.39%	13,291,040,483	7.05%	4,420,128,747	2.34%	
	Total Saudi Investors	172,142,837,936	91.29%	175,014,934,952	92.81%	-2,872,097,016	-1.52%	
GCC	Individuals	298,047,545	0.16%	323,472,590	0.17%	-25,425,045	-0.01%	
	Institutions	1,214,784,292	0.64%	2,012,768,856	1.07%	-797,984,564	-0.42%	
	GCC DPMs	30,373,632	0.02%	10,128,177	0.01%	20,245,454	0.01%	
	Total GCC Investors	1,543,205,470	0.82%	2,346,369,624	1.24%	-803,164,154	-0.43%	
Foreign	SWAP Holders	50,871,213	0.03%	51,294,526	0.03%	-423,313	0.00%	
	Foreign Residents & Others	2,994,164,146	1.59%	3,097,862,553	1.64%	-103,698,407	-0.05%	
	QFIs	11,836,694,571	6.28%	8,056,159,790	4.27%	3,780,534,781	2.00%	
	Foreign DPMs	1,405,840	0.00%	2,557,730	0.00%	-1,151,890	0.00%	
	Strategic Investor	0	0.00%	0	0.00%	0	0.00%	
Total Foreign Investors	14,883,135,769	7.89%	11,207,874,599	5.94%	3,675,261,170	1.95%		
Grand Total		188,569,179,175	100.00%	188,569,179,175	100.00%			

Monthly Report - Main Market

Value Traded (by Investor Classification)

Main Market Value Traded Breakdown - By Investor Classification						
Investor Classification	Buy		Sell		Net Value Traded	
	SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Institutional	36,844,776,224	19.54%	32,035,967,270	16.99%	4,808,808,954	2.55%
Non-Institutional	151,724,402,951	80.46%	156,533,211,905	83.01%	-4,808,808,954	-2.55%
Grand Total	188,569,179,175	100.00%	188,569,179,175	100.00%		

Definitions

Institutional:

Refers to investors with institutional behavior including GREs, Saudi Corporates, Saudi Mutual Funds, Saudi and Non-Saudi DPMs, Saudi Individual Professional Investors (IPI), SWAP Holders, Foreign Strategic Partners and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.

Monthly Report - Main Market

Ownership Value (by Nationality and Investor Type)

Main Market Ownership Breakdown - By Nationality and Investor Type

Nationality	Investor Type	As of 31 March 2021			As of 29 April 2021			Monthly Change		
		Holding Value (SAR)	Ownership		Holding Value (SAR)	Ownership		Δ Holding Value (SAR)	Ownership	
			Issued (%)	Free Float (%)		Issued (%)	Free Float (%)		Δ Issued (%)	Δ Free Float (%)
Saudi	Individuals:									
	Retail	136,038,117,954	1.41%	9.07%	136,738,530,291	1.42%	9.05%	700,412,337	0.00%	-0.02%
	HNWIs	215,491,249,870	2.24%	14.20%	224,387,174,837	2.32%	13.91%	8,895,924,967	0.09%	-0.29%
	IPIs	336,548,566,441	3.49%	16.56%	357,010,337,253	3.70%	16.31%	20,461,770,813	0.20%	-0.25%
	Individual DPMs	7,084,483,597	0.07%	0.47%	7,185,770,268	0.07%	0.46%	101,286,671	0.00%	0.00%
	Sub Total (Individuals)	695,162,417,862	7.22%	40.30%	725,321,812,649	7.51%	39.73%	30,159,394,788	0.29%	-0.57%
	Institutions:									
	Corporates	729,292,143,836	7.57%	22.20%	775,324,583,208	8.03%	22.35%	46,032,439,371	0.46%	0.15%
	Mutual Funds	233,085,512,981	2.42%	10.52%	251,264,105,964	2.60%	10.74%	18,178,592,983	0.18%	0.22%
	GRE's	7,609,841,305,788	79.01%	6.42%	7,514,514,437,019	77.83%	6.38%	-95,326,868,769	-1.19%	-0.04%
	Institution DPMs	71,813,678,532	0.75%	4.78%	75,870,901,895	0.79%	4.78%	4,057,223,363	0.04%	0.00%
	Sub Total (Institutions)	8,644,032,641,138	89.75%	43.92%	8,616,974,028,085	89.24%	44.26%	-27,058,613,052	-0.51%	0.33%
Total Saudi Investors	9,339,195,058,999	96.97%	84.22%	9,342,295,840,735	96.76%	83.98%	3,100,781,735	-0.21%	-0.24%	
GCC	Individuals	3,100,049,901	0.03%	0.21%	3,274,707,437	0.03%	0.21%	174,657,536	0.00%	0.00%
	Institutions	46,937,761,362	0.49%	2.27%	47,729,585,796	0.49%	2.16%	791,824,434	0.01%	-0.10%
	GCC DPMs	264,346,236	0.00%	0.02%	301,483,382	0.00%	0.02%	37,137,146	0.00%	0.00%
	Total GCC Investors	50,302,157,499	0.52%	2.49%	51,305,776,615	0.53%	2.39%	1,003,619,116	0.01%	-0.10%
Foreign	SWAP Holders	2,027,791,226	0.02%	0.14%	2,190,929,389	0.02%	0.14%	163,138,163	0.00%	0.00%
	Foreign Residents & Others	7,287,636,142	0.08%	0.36%	7,525,503,856	0.08%	0.48%	237,867,714	0.00%	0.12%
	QFIs	189,741,922,076	1.97%	12.79%	204,986,045,568	2.12%	13.00%	15,244,123,492	0.15%	0.21%
	Foreign DPMs	17,231,772	0.00%	0.00%	17,757,700	0.00%	0.00%	525,928	0.00%	0.00%
	Strategic Investors	42,447,342,076	0.44%	0.00%	47,289,397,812	0.49%	0.00%	4,842,055,736	0.05%	0.00%
	Total Foreign Investors	241,521,923,291	2.51%	13.29%	262,009,634,324	2.71%	13.62%	20,487,711,033	0.21%	0.34%
Grand Total		9,631,019,139,789	100.00%	100.00%	9,655,611,251,673	100.00%	100.00%	24,592,111,884		

* Non deposited shares was not reflected as on 29 April holding value (Tabuk Agricultural Development Co. 6040 - Corporate Action -Right Issue)

* Non deposited shares was not reflected as on 29 April holding value (Halwani Bros. Co. 6001 - Corporate Action -Bonus Shares)

Monthly Report - Main Market

Ownership Value (by Investor Classification)

Main Market Ownership Breakdown - By Investor Classification									
Investor Classification	As of 31 March 2021			As of 29 April 2021			Monthly Change		
	Holding Value (SAR)	Ownership		Holding Value (SAR)	Ownership		Δ Holding Value (SAR)	Ownership	
		Issued (%)	FreeFloat (%)		Issued (%)	FreeFloat (%)		Δ Issued (%)	Δ FreeFloat (%)
Institutional	9,270,986,517,411	96.24%	76.16%	9,285,616,197,014	96.15%	76.35%	14,629,679,603	-0.09%	0.19%
Non-Institutional	360,032,622,379	3.76%	23.84%	369,995,054,659	3.85%	23.65%	9,962,432,280	0.09%	-0.19%
Grand Total	9,631,019,139,789	100.00%	100.00%	9,655,611,251,673	100.00%	100.00%	24,592,111,884		

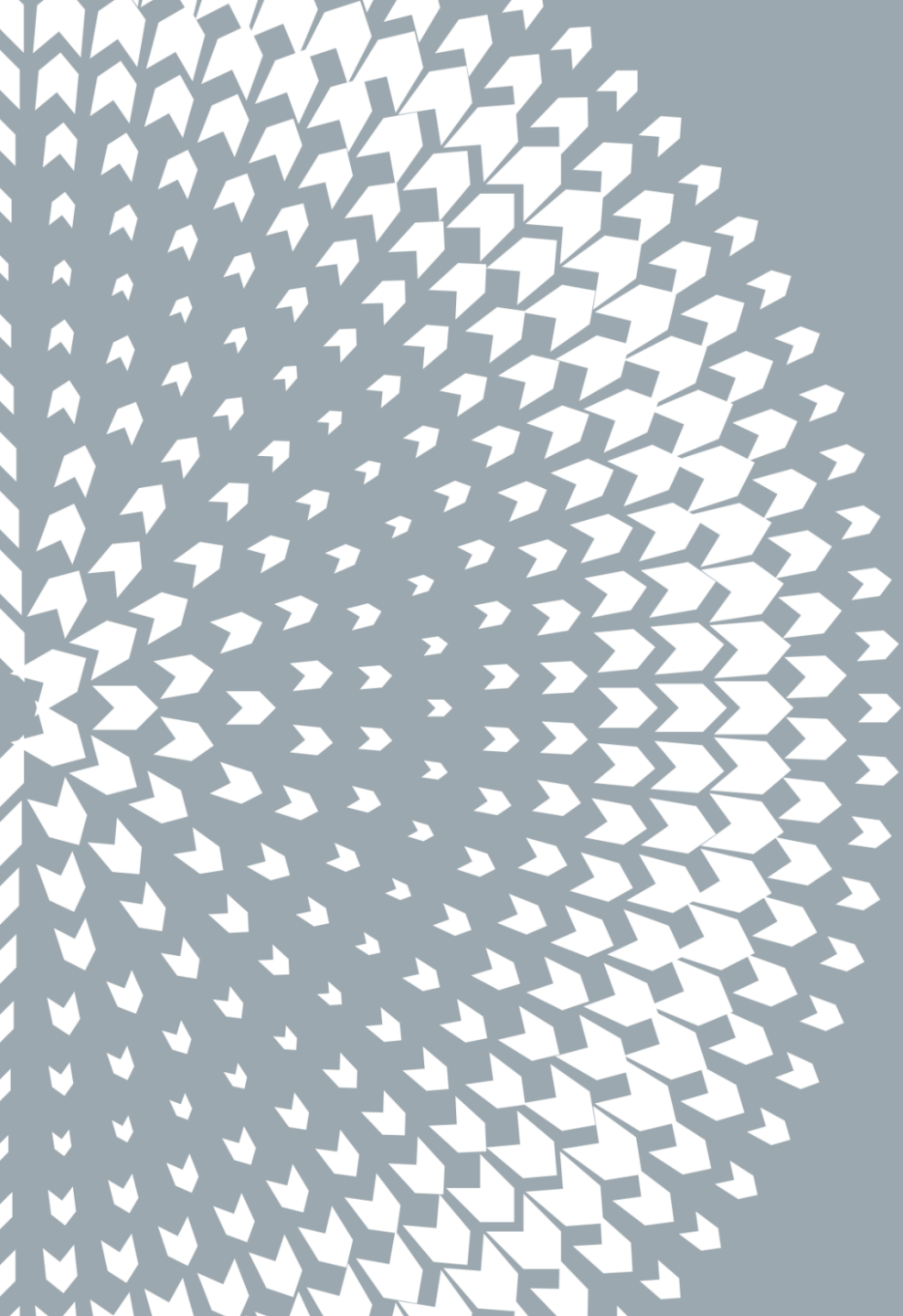
Definitions

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Refers to investors with institutional behavior including GREs, Saudi Corporates, Saudi Mutual Funds, Saudi and Non-Saudi DPMs, Saudi Individual Professional Investors (IPI), SWAP Holders, Foreign Strategic Partners and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.

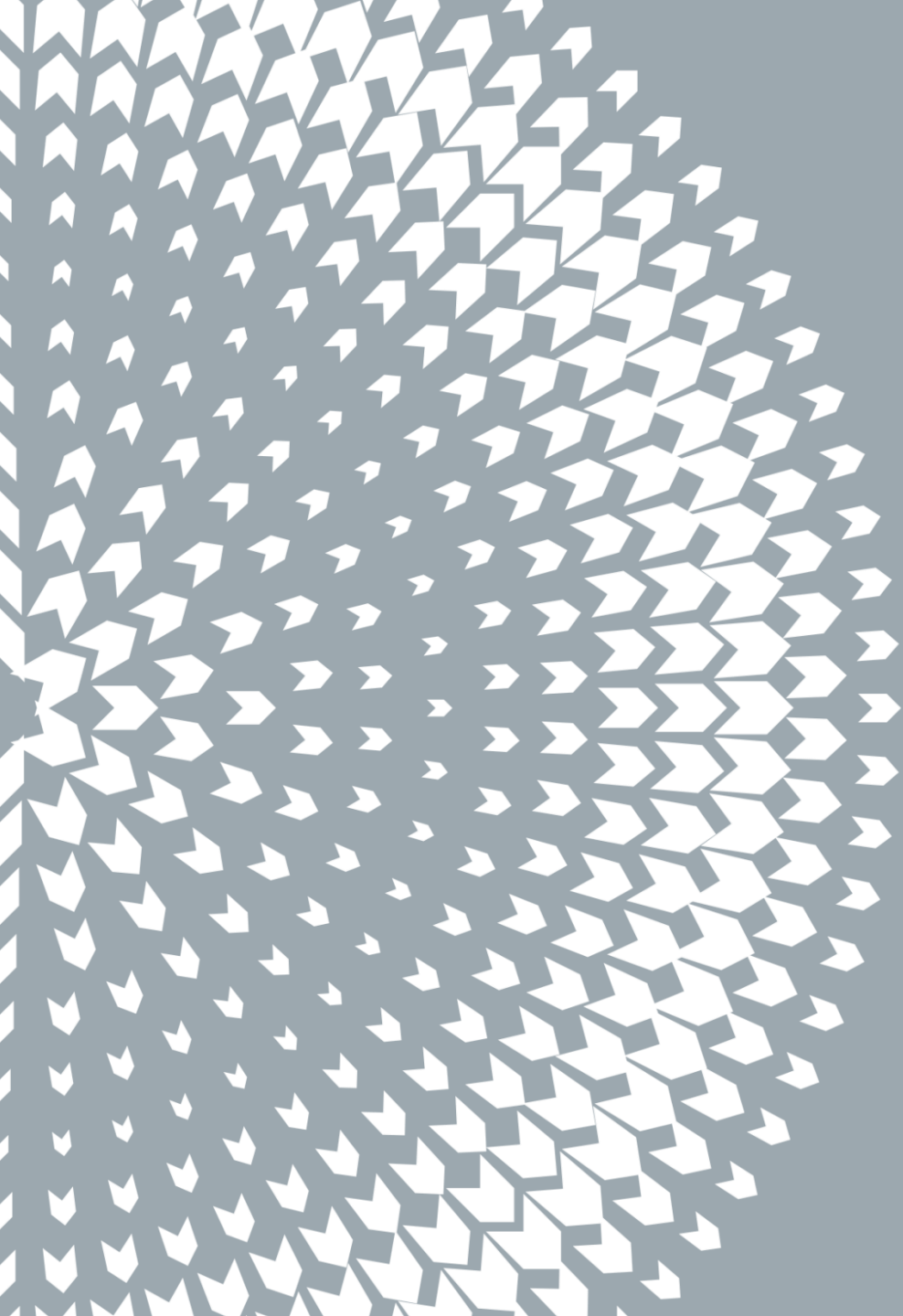


Nomu Market - Highlights

Monthly Report - Nomu-Parallel Market

Highlights

- The total value of shares traded for the month ending 29 April 2021 amounted to SAR 309.37 million, decreasing by 53.33% over the previous month; while total stock market capitalization reached SAR 12,505.37 million at the end of this period, increasing by 0.22% over the previous month.
- The total value of shares purchased by “Saudi Investors” during this period amounted to SAR 282.44 million representing 91.30% of total buying activity, and sales of SAR 288.76 million representing 93.34% of total selling activity. Total ownership of “Saudi Investors” stood at 98.91% of total market capitalization as of 29 April 2021, representing a decrease of 0.10% from the previous month
- The total value of shares purchased by “GCC Investors” during this period amounted to SAR 1.61 million representing 0.52% of total buying activity, and sales of SAR 17.32 million representing 5.60% of total selling activity. Total ownership of “GCC Investors” stood at 0.87% of total market capitalization as of 29 April 2021, representing a decrease of 0.05% from the previous month.
- The total value of shares purchased by “Foreign Investors” during this period amounted to SAR 25.31 million representing 8.18% of total buying activity, and sales of SAR 3.29 million representing 1.06% of total selling activity. Total ownership of “Foreign Investors” stood at 0.22% of total market capitalization as of 29 April 2021, representing an increase of 0.14% from the previous month.



Nomu-Parallel Market - Value Traded & Ownership Breakdown

Monthly Report – Nomu-Parallel Market

Value Traded (by Nationality, Investor Type, & Classification)

Nomu-Parallel Market Value Traded Breakdown - By Nationality and Investor Type

Nationality	Investor Type	Buy		Sell		Net Value Traded	
		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Saudi	Individuals	269,848,047	87.23%	282,088,284	91.18%	-12,240,237	-3.96%
	Corporates	408,750	0.13%	5,644,435	1.82%	-5,235,685	-1.69%
	Institution	12,185,233	3.94%	1,023,474	0.33%	11,161,759	3.61%
	Total Saudi Investors	282,442,030	91.30%	288,756,192	93.34%	-6,314,163	-2.04%
GCC	Individuals	6,829	0.00%	249,892	0.08%	-243,063	-0.08%
	Institutions	1,609,739	0.52%	17,074,332	5.52%	-15,464,593	-5.00%
	Total GCC Investors	1,616,569	0.52%	17,324,224	5.60%	-15,707,656	-5.08%
Foreign	SWAP Holders	0	0.00%	0	0.00%	0	0.00%
	Foreign Residents	4,983,231	1.61%	1,817,045	0.59%	3,166,186	1.02%
	QFIs	20,325,190	6.57%	1,469,557	0.48%	18,855,633	6.09%
	Total Foreign Investors	25,308,420	8.18%	3,286,602	1.06%	22,021,818	7.12%
Grand Total		309,367,019	100.00%	309,367,019	100.00%		

Nomu-Parallel Market Value Traded Breakdown - By Investor Classification

Investor Classification	Buy		Sell		Net Value Traded	
	SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Institutional	34,528,912	11.16%	25,211,797	8.15%	9,317,114	3.01%
Non-Institutional	274,838,107	88.84%	284,155,221	91.85%	-9,317,114	-3.01%
Grand Total	309,367,019	100.00%	309,367,019	100.00%		

Institutional:

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.

Monthly Report – Nomu-Parallel Market

Ownership Value (by Nationality, Investor Type, & Classification)

Nomu-Parallel Market Ownership Breakdown - By Nationality and Investor Type

Nationality	Investor Type	As of 31 March 2021			As of 29 April 2021			Monthly Change		
		Holding Value (SAR)	Ownership		Holding Value (SAR)	Ownership		Δ Holding Value (SAR)	Δ Ownership (%)	
			Issued (%)	FreeFloat (%)		Issued (%)	FreeFloat (%)		Δ Issued (%)	Δ FreeFloat (%)
Saudi	Individuals	7,867,884,381	63.06%	48.34%	7,388,771,726	59.08%	45.20%	-479,112,655	-3.97%	-3.14%
	Corporates	4,421,864,663	35.44%	47.81%	4,906,750,608	39.24%	50.48%	484,885,946	3.80%	2.67%
	Institution	63,705,165	0.51%	0.69%	73,533,608	0.59%	0.76%	9,828,443	0.08%	0.07%
	Total Saudi Investors	12,353,454,208	99.01%	96.83%	12,369,055,942	98.91%	96.44%	15,601,734	-0.10%	-0.40%
GCC	Individuals	3,746,182	0.03%	0.10%	3,692,772	0.03%	0.10%	-53,410	0.00%	0.00%
	Institutions	110,782,917	0.89%	2.83%	105,214,640	0.84%	2.75%	-5,568,277	-0.05%	-0.08%
	Total GCC Investors	114,529,099	0.92%	2.92%	108,907,413	0.87%	2.85%	-5,621,686	-0.05%	-0.08%
Foreign	SWAP Holders	0	0.00%	0.00%	0	0.00%	0.00%	0	0.00%	0.00%
	Foreign Residents	7,005,113	0.06%	0.18%	9,291,090	0.07%	0.24%	2,285,977	0.02%	0.06%
	QFIs	2,532,580	0.02%	0.06%	18,115,556	0.14%	0.47%	15,582,976	0.12%	0.41%
	Total Foreign Investors	9,537,693	0.08%	0.24%	27,406,646	0.22%	0.72%	17,868,952	0.14%	0.47%
Grand Total		12,477,521,000	100.00%	100.00%	12,505,370,000	100.00%	100.00%	27,849,000		

Nomu-Parallel Market Ownership Breakdown - By Investor Classification

Investor Classification	As of 31 March 2021			As of 29 April 2021			Monthly Change		
	Holding Value (SAR)	Ownership (%)		Holding Value (SAR)	Ownership (%)		Δ Holding Value (SAR)	Ownership	
		Issued (%)	FreeFloat (%)		Issued (%)	FreeFloat (%)		Δ Issued (%)	Δ FreeFloat (%)
Institutional	4,598,885,325	36.86%	51.39%	5,103,614,412	40.81%	54.46%	504,729,088	3.95%	3.07%
Non-Institutional	7,878,635,676	63.14%	48.61%	7,401,755,588	59.19%	45.54%	-476,880,088	-3.95%	-3.07%
Grand Total	12,477,521,000	100.00%	100.00%	12,505,370,000	100.00%	100.00%	27,849,000		

Institutional:

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.

Thank you