

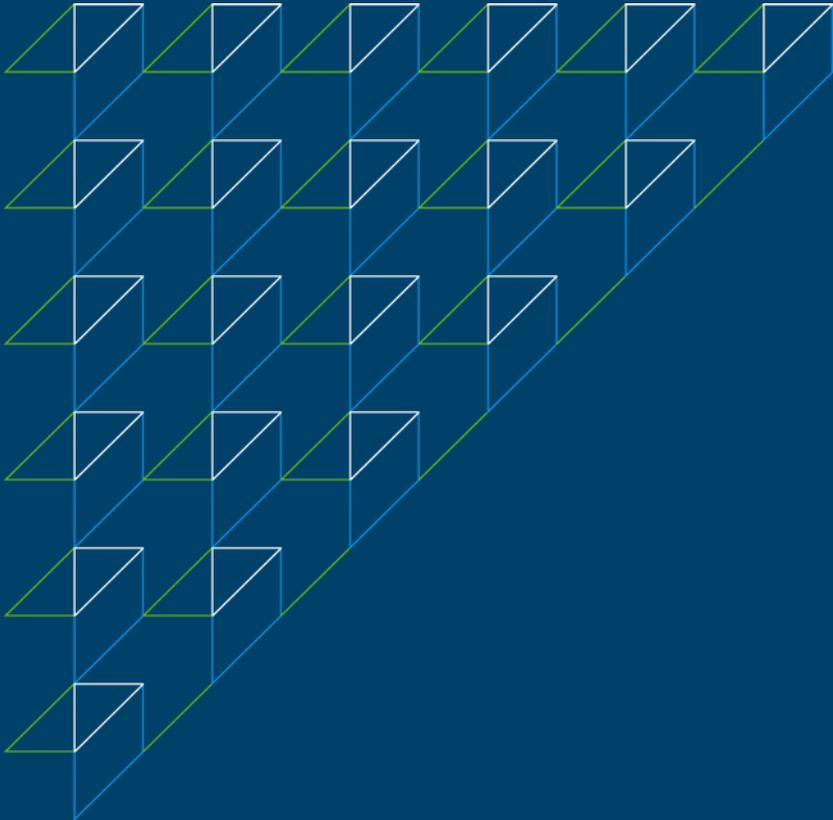
# Weekly Stock Market Ownership and Trading Activity Report

Market Reports

Week Ending 1 September 2016



# Definitions

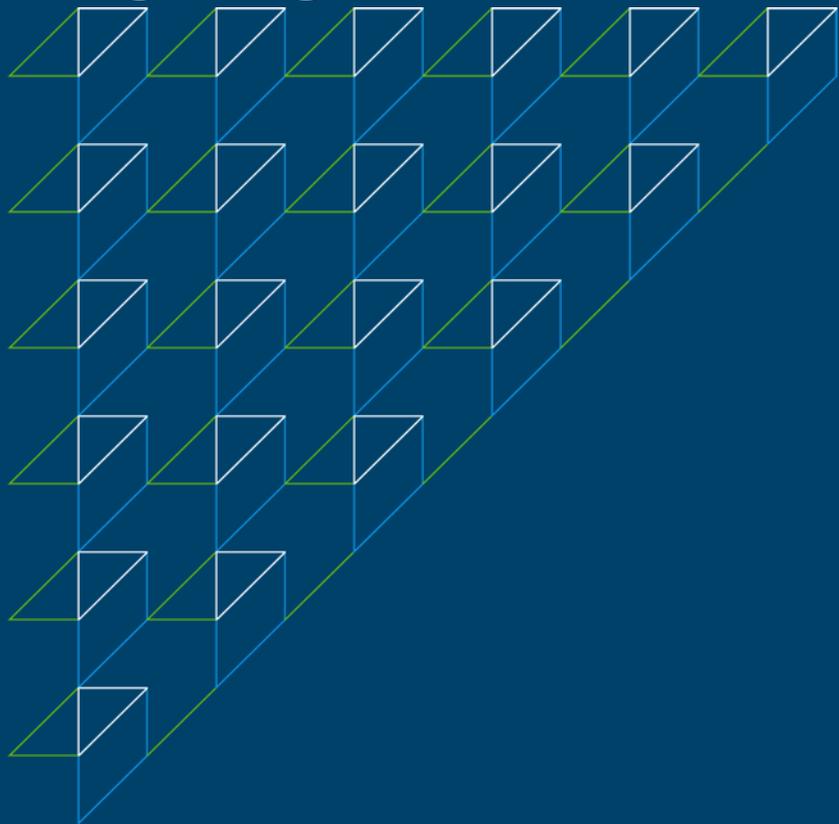


# Weekly Stock Market Ownership and Trading Activity Report

## Definitions

Nationality	Investor Type	Description
Saudi	<b>Individuals</b>	<b>Saudi Individuals</b> have been classified under 4 categories as defined below:
	- Retail	<b>Retail</b> investors are Saudi individuals , excluding <b>IPIs</b> (see below) and <b>HNWIs</b> .
	- High Net Worth Investors ( <b>HNWIs</b> )	<b>HNWIs</b> are Saudi individuals who have had an average portfolio size of SAR 1m (and above) for the preceding 12 months, excluding <b>IPIs</b> (see below).
	- Individual Professional Investors ( <b>IPIs</b> )	<b>IPIs</b> are Saudi individuals who have had an average portfolio size of SAR 50m (and above) for the preceding 12 months and a portfolio turnover ratio of not more than 4 times annually.
	- Individual DPMs	Saudi individual investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	<b>Institutions</b>	<b>Saudi Institutions</b> have been classified under 4 categories as defined below:
	- Corporates	Saudi company with a commercial registration permitting its investment in the stock market .
	- Mutual Funds	A mutual fund managed by an Authorized Person and licensed by the CMA.
	- Government Related Entities ( <b>GREs</b> )	A Government entity or a supranational authority recognized by the CMA.
- Institutional DPMs	Saudi institutional investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).	
GCC	<b>Individuals</b>	<b>GCC natural person</b>
	Institutions	GCC legal person with a commercial registration certificate (corporate or mutual fund), in addition to GCC government entities.
	<b>GCC DPMs</b>	<b>GCC investment account (institutional or individual) in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).</b>
Foreign	SWAP Holders	Authorized Person with the permission to enter into SWAP agreements to transfer the economic benefits of its ownership of shares in Saudi companies listed on the Saudi Stock Exchange to its non-resident foreign clients.
	<b>Foreign Residents</b>	<b>Foreign investors domiciled in the Kingdom with a valid residency permit (Iqama) (excluding GCC nationals).</b>
	QFIs	Qualified Foreign Institutional investors registered with the CMA in accordance with the QFI Rules, to invest in shares listed on the Saudi Stock Exchange.
	<b>Foreign DPMs</b>	<b>Foreign resident investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).</b>
	Strategic Partners	Foreign investors considered founders and partners of Saudi listed companies.

# Highlights



# Weekly Stock Market Ownership and Trading Activity Report

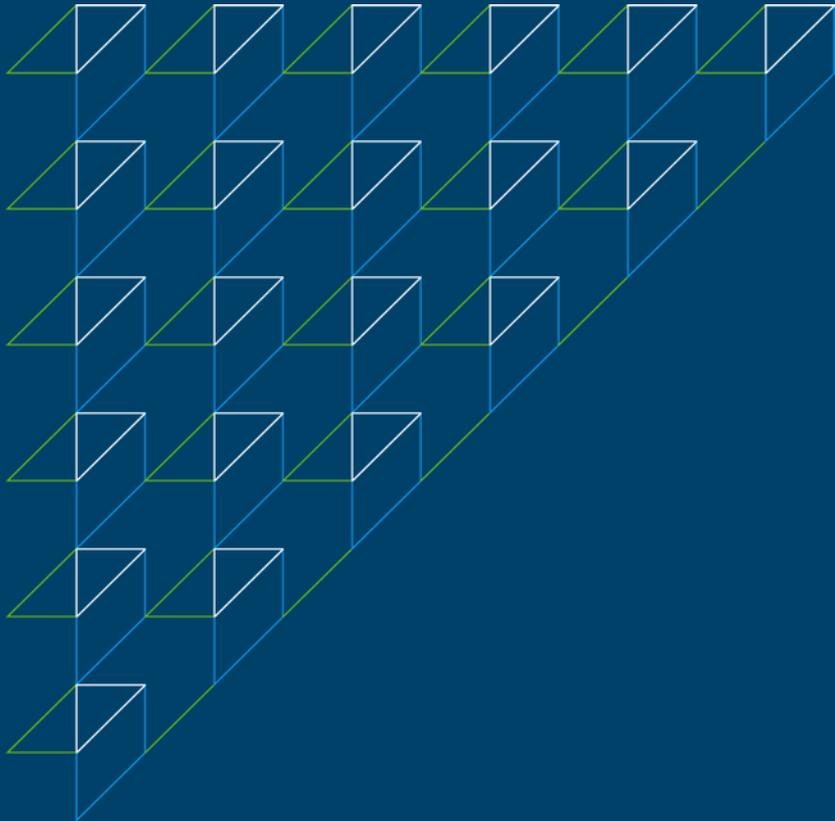
## Highlights

The total value of shares traded for the week ending 1 September 2016 amounted to SAR 12.58 billion, decreasing by 5.42% over the previous week; while total stock market capitalization reached SAR 1,405.49 billion at the end of this period, increasing by 0.57% over the previous week.

- ▶ The total value of shares purchased by “Saudi Investors” during this period amounted to SAR 11.86 billion representing 94.25% of total buying activity, and sales of SAR 11.75 billion representing 93.43% of total selling activity. Total ownership of “Saudi Investors” stood at 93.53% of total market capitalization as of 1 September 2016, representing a decrease of 0.001% from the previous week.
- ▶ The total value of shares purchased by “GCC Investors” during this period amounted to SAR 0.328 billion representing 2.61% of total buying activity, and sales of SAR 0.364 billion representing 2.90% of total selling activity. Total ownership of “GCC Investors” stood at 2.42% of total market capitalization as of 1 September 2016, representing a decrease of 0.02% from the previous week.
- ▶ The total value of shares purchased by “Foreign Investors” during this period amounted to SAR 0.395 billion representing 3.14% of total buying activity, and sales of SAR 0.462 billion representing 3.68% of total selling activity. Total ownership of “Foreign Investors” stood at 4.05% of total market capitalization as of 1 September 2016, representing an increase of 0.02% from the previous week.



# Stock Market Value Traded Breakdown



# Weekly Stock Market Ownership and Trading Activity Report

## Value Traded (by Nationality and Investor Type)

### Stock Market Traded Value Breakdown - By Nationality and Investor Type

Nationality	Investor Type	Buy		Sell		Net Value Traded	
		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Saudi	<b>Individuals:</b>						
	Retail	4,571,086,252	36.33%	6,237,843,216	49.58%	-1,666,756,964	-13.25%
	HNWIs	3,816,226,780	30.33%	4,090,890,091	32.52%	-274,663,311	-2.18%
	IPIs	298,656,506	2.37%	242,819,735	1.93%	55,836,771	0.44%
	Individual DPMs	112,289,022	0.89%	161,269,048	1.28%	-48,980,026	-0.39%
	<b>Sub Total (Individuals)</b>	<b>8,798,258,559</b>	<b>69.93%</b>	<b>10,732,822,089</b>	<b>85.31%</b>	<b>-1,934,563,530</b>	<b>-15.38%</b>
	<b>Institutions:</b>						
	Corporates	1,831,098,345	14.55%	267,569,139	2.13%	1,563,529,206	12.43%
	Mutual Funds	699,306,711	5.56%	438,924,442	3.49%	260,382,270	2.07%
	GRE's	306,101,684	2.43%	83,110,231	0.66%	222,991,453	1.77%
	Institution DPMs	223,182,918	1.77%	231,768,791	1.84%	-8,585,873	-0.07%
	<b>Sub Total (Institutions)</b>	<b>3,059,689,658</b>	<b>24.32%</b>	<b>1,021,372,603</b>	<b>8.12%</b>	<b>2,038,317,056</b>	<b>16.20%</b>
<b>Total Saudi Investors</b>	<b>11,857,948,218</b>	<b>94.25%</b>	<b>11,754,194,692</b>	<b>93.43%</b>	<b>103,753,526</b>	<b>0.82%</b>	
GCC	Individuals	40,568,962	0.32%	55,255,542	0.44%	-14,686,581	-0.12%
	Institutions	286,902,032	2.28%	309,178,379	2.46%	-22,276,348	-0.18%
	GCC DPMs	427,976	0.00%	0	0.00%	427,976	0.00%
	<b>Total GCC Investors</b>	<b>327,898,969</b>	<b>2.61%</b>	<b>364,433,922</b>	<b>2.90%</b>	<b>-36,534,952</b>	<b>-0.29%</b>
Foreign	SWAP Holders	209,881,969	1.67%	232,446,277	1.85%	-22,564,308	-0.18%
	Foreign Residents	171,561,721	1.36%	220,066,434	1.75%	-48,504,713	-0.39%
	QFIs	13,755,033	0.11%	9,904,586	0.08%	3,850,447	0.03%
	Foreign DPMs	0	0.00%	0	0.00%	0	0.00%
	Strategic Partners	0	0.00%	0	0.00%	0	0.00%
	<b>Total Foreign Investors</b>	<b>395,198,723</b>	<b>3.14%</b>	<b>462,417,297</b>	<b>3.68%</b>	<b>-67,218,574</b>	<b>-0.53%</b>
<b>Grand Total</b>		<b>12,581,045,910</b>	<b>100.00%</b>	<b>12,581,045,910</b>	<b>100.00%</b>		

# Weekly Stock Market Ownership and Trading Activity Report

## Value Traded (by Investor Classification)

Stock Market Traded Value Breakdown - By Investor Classification						
Investor Classification	Buy		Sell		Net Value Traded	
	SAR	% of Total Buys	SAR	% of Total Sells	SAR Millions (Buy - Sell)	Difference (% Buy - % Sell)
Institutional	3,981,602,195	31.65%	1,976,990,627	15.71%	2,004,611,568	15.93%
Non-Institutional	8,599,443,715	68.35%	10,604,055,283	84.29%	-2,004,611,568	-15.93%
<b>Grand Total</b>	<b>12,581,045,910</b>	<b>100.00%</b>	<b>12,581,045,910</b>	<b>100.00%</b>		

## Definitions

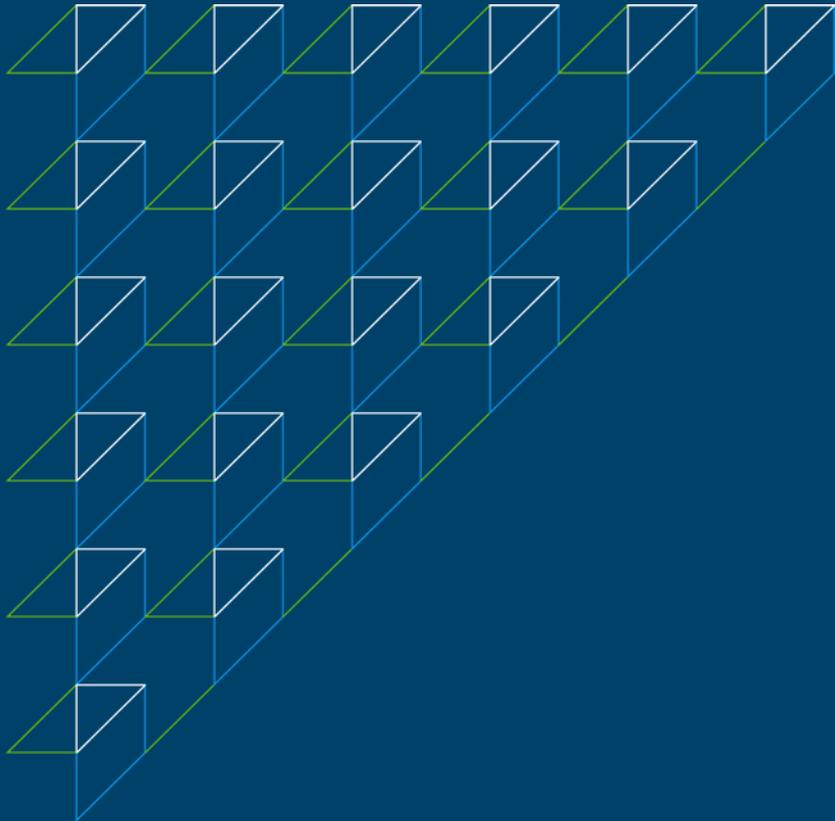
### **Institutional:**

Refers to investors with institutional behavior including GREs, Saudi Corporates, Saudi Mutual Funds, Saudi and Non-Saudi DPMs, Saudi Individual Professional Investors (IPI), SWAP Holders, Foreign Strategic Partners and Qualified Foreign Investors (QFI).

### **Non Institutional:**

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.

# Stock Market Ownership Breakdown



# Weekly Stock Market Ownership and Trading Activity Report

## Ownership Value (by Nationality and Investor Type)

Stock Market Ownership Breakdown - By Nationality and Investor Type							
Nationality	Investor Type	As of 25 August 2016		As of 1 September 2016		Weekly Change	
		Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
Saudi	<b>Individuals:</b>						
	Retail	67,927,592,109	4.86%	67,848,437,793	4.83%	-79,154,316	-0.03%
	HNWIs	119,952,045,019	8.58%	120,964,084,964	8.61%	1,012,039,945	0.02%
	IPIs	232,103,991,011	16.61%	234,088,543,990	16.66%	1,984,552,978	0.05%
	Individual DPMs	4,054,567,515	0.29%	4,351,889,234	0.31%	297,321,719	0.02%
	<b>Sub Total (Individuals)</b>	<b>424,038,195,655</b>	<b>30.34%</b>	<b>427,252,955,981</b>	<b>30.40%</b>	<b>3,214,760,326</b>	<b>0.06%</b>
	<b>Institutions:</b>						
	Corporates	246,042,406,087	17.60%	248,081,923,607	17.65%	2,039,517,520	0.05%
	Mutual Funds	42,367,605,560	3.03%	42,736,236,219	3.04%	368,630,658	0.01%
	GRE's	555,592,096,221	39.75%	557,258,739,911	39.65%	1,666,643,690	-0.10%
	Institution DPMs	39,179,743,412	2.80%	39,268,386,170	2.79%	88,642,759	-0.01%
	<b>Sub Total (Institutions)</b>	<b>883,181,851,279</b>	<b>63.19%</b>	<b>887,345,285,907</b>	<b>63.13%</b>	<b>4,163,434,628</b>	<b>-0.06%</b>
	<b>Total Saudi Investors</b>	<b>1,307,220,046,934</b>	<b>93.53%</b>	<b>1,314,598,241,888</b>	<b>93.53%</b>	<b>7,378,194,954</b>	<b>0.00%</b>
GCC	Individuals	2,659,711,409	0.19%	2,656,927,605	0.19%	-2,783,804	0.00%
	Institutions	30,871,413,246	2.21%	30,750,074,388	2.19%	-121,338,857	-0.02%
	GCC DPMs	596,003,512	0.04%	607,708,863	0.04%	11,705,352	0.00%
	<b>Total GCC Investors</b>	<b>34,127,128,167</b>	<b>2.44%</b>	<b>34,014,710,857</b>	<b>2.42%</b>	<b>-112,417,310</b>	<b>-0.02%</b>
Foreign	SWAP Holders	12,884,353,977	0.92%	12,780,113,499	0.91%	-104,240,478	-0.01%
	Foreign Residents	3,971,365,502	0.28%	3,980,135,520	0.28%	8,770,018	0.00%
	QFIs	1,284,938,541	0.09%	1,284,025,485	0.09%	-913,056	0.00%
	Foreign DPMs	10,754,825	0.00%	10,864,783	0.00%	109,958	0.00%
	Strategic Partners	38,089,371,686	2.73%	38,819,917,652	2.76%	730,545,966	0.04%
	<b>Total Foreign Investors</b>	<b>56,240,784,531</b>	<b>4.02%</b>	<b>56,875,056,940</b>	<b>4.05%</b>	<b>634,272,409</b>	<b>0.02%</b>
<b>Grand Total</b>		<b>1,397,587,959,632</b>	<b>100.00%</b>	<b>1,405,488,009,685</b>	<b>100.00%</b>	<b>7,900,050,053</b>	

# Weekly Stock Market Ownership and Trading Activity Report

## Ownership Value (by Investor Classification)

### Stock Market Ownership Breakdown - By Investor Classification

Investor Classification	As of 25 August 2016		As of 1 September 2016		Weekly Change	
	Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
Institutional	1,203,077,245,592	86.08%	1,210,038,423,802	86.09%	6,961,178,210	0.01%
Non-Institutional	194,510,714,040	13.92%	195,449,585,882	13.91%	938,871,842	-0.01%
<b>Grand Total</b>	<b>1,397,587,959,632</b>	<b>100.00%</b>	<b>1,405,488,009,685</b>	<b>100.00%</b>	<b>7,900,050,053</b>	

## Definitions

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Thank you

