

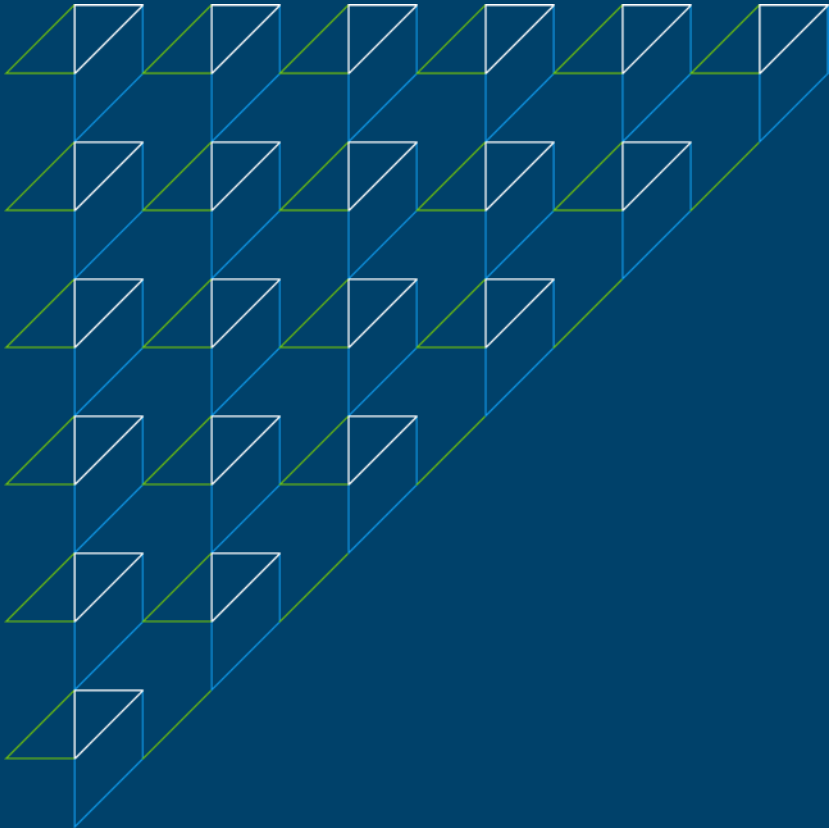
Weekly Stock Market Ownership and Trading Activity Report

Market Reports

Week Ending 21 September 2017



Definitions



Weekly Stock Market Ownership and Trading Activity Report

Definitions

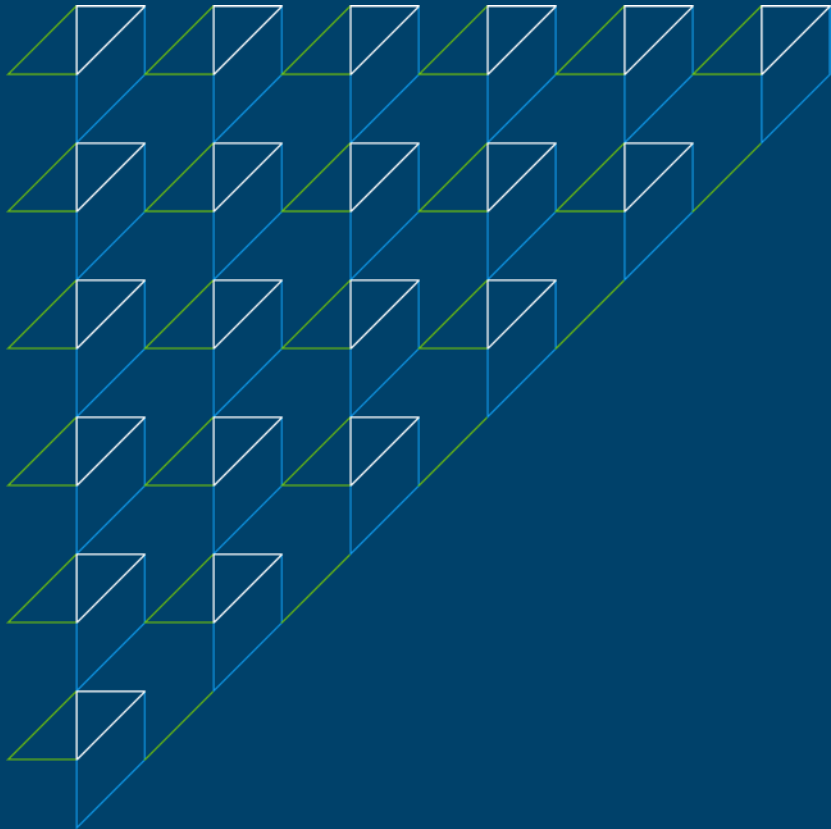
Nationality	Investor Type	Description
Saudi	Individuals*	Saudi Individuals have been classified under 4 categories as defined below:
	- Retail	Retail investors are Saudi individuals , excluding IPIs (see below) and HNWIs .
	- High Net Worth Investors (HNWIs)	HNWIs are Saudi individuals who have had an average portfolio size of SAR 1m (and above) for the preceding 12 months, excluding IPIs (see below).
	- Individual Professional Investors (IPIs)	IPIs are Saudi individuals who have had an average portfolio size of SAR 50m (and above) for the preceding 12 months and a portfolio turnover ratio of not more than 4 times annually.
	- Individual DPMs	Saudi individual investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	Institutions	Saudi Institutions have been classified under 4 categories as defined below:
	- Corporates	Saudi company with a commercial registration permitting its investment in the stock market .
	- Mutual Funds	A mutual fund managed by an Authorized Person and licensed by the CMA.
	- Government Related Entities (GREs)	A Government entity or a supranational authority recognized by the CMA.
	- Institutional DPMs	Saudi institutional investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
GCC	Individuals*	GCC natural person
	Institutions	GCC legal person with a commercial registration certificate (corporate or mutual fund), in addition to GCC government entities.
	GCC DPMs	GCC investment account (institutional or individual) in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
Foreign	SWAP Holders	Authorized Person with the permission to enter into SWAP agreements to transfer the economic benefits of its ownership of shares in Saudi companies listed on the Saudi Stock Exchange to its non-resident foreign clients.
	Foreign Residents*	Foreign investors domiciled in the Kingdom with a valid residency permit (Iqama) (excluding GCC nationals).
	QFIs	Qualified Foreign Institutional investors registered with the CMA in accordance with the QFI Rules, to invest in shares listed on the Saudi Stock Exchange.
	Foreign DPMs	Foreign resident investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	Strategic Partners	Foreign investors considered founders and partners of Saudi listed companies.

*Nomu-Parallel
Market Individual
Investors

Individuals who fulfill any of the following:

- Conducted transactions not less than SAR 40m, and not less than 10 transaction in each quarter during last 12 months.
- Have an average portfolio size of SAR 10m for the preceding 12 months
- Hold General Securities Qualification Certificate which is recognized by the Authority.

Main Market - Value Traded & Ownership Breakdown



Weekly Report - Main Market

Value Traded (by Nationality and Investor Type)

Main Market Value Traded Breakdown - By Nationality and Investor Type

Nationality	Investor Type	Buy		Sell		Net Value Traded	
		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Saudi	Individuals:						
	Retail	6,147,266,460	31.59%	7,126,463,447	36.62%	-979,196,987	-5.03%
	HNWIs	4,438,576,049	22.81%	4,310,187,171	22.15%	128,388,878	0.66%
	IPIs	512,585,004	2.63%	255,623,066	1.31%	256,961,938	1.32%
	Individual DPMs	68,239,309	0.35%	96,916,746	0.50%	-28,677,438	-0.15%
	Sub Total (Individuals)	11,166,666,821	57.39%	11,789,190,430	60.59%	-622,523,609	-3.20%
	Institutions:						
	Corporates	7,031,817,456	36.14%	413,272,658	2.12%	6,618,544,798	34.01%
	Mutual Funds	575,805,001	2.96%	394,952,728	2.03%	180,852,273	0.93%
	GRE's	4,135,981	0.02%	2,175,495	0.01%	1,960,487	0.01%
	Institution DPMs	148,347,024	0.76%	168,578,443	0.87%	-20,231,419	-0.10%
	Sub Total (Institutions)	7,760,105,463	39.88%	978,979,324	5.03%	6,781,126,139	34.85%
Total Saudi Investors	18,926,772,284	97.27%	12,768,169,754	65.62%	6,158,602,530	31.65%	
GCC	Individuals	37,006,767	0.19%	67,407,354	0.35%	-30,400,587	-0.16%
	Institutions	166,351,233	0.85%	221,774,344	1.14%	-55,423,110	-0.28%
	GCC DPMs	104,640	0.00%	0	0.00%	104,640	0.00%
	Total GCC Investors	203,462,640	1.05%	289,181,697	1.49%	-85,719,057	-0.44%
Foreign	SWAP Holders	65,904,856	0.34%	215,624,771	1.11%	-149,719,915	-0.77%
	Foreign Residents	191,844,810	0.99%	213,664,089	1.10%	-21,819,280	-0.11%
	QFIs	66,379,125	0.34%	201,732,178	1.04%	-135,353,053	-0.70%
	Foreign DPMs	4,268,834	0.02%	4,656,786	0.02%	-387,952	0.00%
	Strategic Partners	0	0.00%	5,765,603,274	29.63%	-5,765,603,274	-29.63%
	Total Foreign Investors	328,397,625	1.69%	6,401,281,098	32.90%	-6,072,883,473	-31.21%
Grand Total		19,458,632,548	100.00%	19,458,632,548	100.00%		

Weekly Report - Main Market

Value Traded (by Investor Classification)

Main Market Value Traded Breakdown - By Investor Classification

Investor Classification	Buy		Sell		Net Value Traded	
	SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Institutional	8,643,938,464	44.42%	7,740,910,488	39.78%	903,027,976	4.64%
Non-Institutional	10,814,694,085	55.58%	11,717,722,060	60.22%	-903,027,976	-4.64%
Grand Total	19,458,632,548	100.00%	19,458,632,548	100.00%		

Definitions

Institutional:

Refers to investors with institutional behavior including GREs, Saudi Corporates, Saudi Mutual Funds, Saudi and Non-Saudi DPMs, Saudi Individual Professional Investors (IPI), SWAP Holders, Foreign Strategic Partners and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.

Weekly Report - Main Market

Ownership Value (by Nationality and Investor Type)

Main Market Ownership Breakdown - By Nationality and Investor Type							
Nationality	Investor Type	As of 14 September 2017		As of 21 September 2017		Weekly Change	
		Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
Saudi	Individuals:						
	Retail	73,208,493,654	4.21%	73,652,250,058	4.27%	443,756,404	0.06%
	HNWIs	135,068,620,089	7.77%	134,671,407,844	7.80%	-397,212,245	0.04%
	IPIs	262,312,769,835	15.08%	259,277,650,946	15.02%	-3,035,118,889	-0.06%
	Individual DPMs	5,020,400,346	0.29%	4,985,651,437	0.29%	-34,748,909	0.00%
	Sub Total (Individuals)	475,610,283,923	27.35%	472,586,960,285	27.38%	-3,023,323,639	0.04%
	Institutions:						
	Corporates	283,062,798,749	16.27%	281,527,525,962	16.31%	-1,535,272,787	0.04%
	Mutual Funds	105,567,054,721	6.07%	177,818,633,799	10.30%	72,251,579,077	4.23%
	GRE's	706,015,480,463	40.59%	625,100,397,890	36.22%	-80,915,082,573	-4.38%
	Institution DPMs	50,940,305,821	2.93%	50,643,074,887	2.93%	-297,230,933	0.01%
	Sub Total (Institutions)	1,145,585,639,754	65.87%	1,135,089,632,538	65.77%	-10,496,007,216	-0.10%
Total Saudi Investors	1,621,195,923,678	93.21%	1,607,676,592,823	93.15%	-13,519,330,855	-0.07%	
GCC	Individuals	3,099,459,893	0.18%	3,075,898,366	0.18%	-23,561,527	0.00%
	Institutions	33,852,574,288	1.95%	33,601,430,384	1.95%	-251,143,903	0.00%
	GCC DPMs	708,058,872	0.04%	710,357,569	0.04%	2,298,698	0.00%
	Total GCC Investors	37,660,093,052	2.17%	37,387,686,320	2.17%	-272,406,733	0.00%
Foreign	SWAP Holders	10,465,166,747	0.60%	11,061,504,046	0.64%	596,337,299	0.04%
	Foreign Residents	4,404,309,236	0.25%	4,360,002,408	0.25%	-44,306,829	0.00%
	QFIs	7,411,135,566	0.43%	7,423,466,408	0.43%	12,330,842	0.00%
	Foreign DPMs	2,588,341,384	0.15%	2,568,608,050	0.15%	-19,733,333	0.00%
	Strategic Partners	55,541,203,271	3.19%	55,492,834,748	3.22%	-48,368,522	0.02%
	Total Foreign Investors	80,410,156,203	4.62%	80,906,415,660	4.69%	496,259,457	0.06%
Grand Total		1,739,266,172,934	100.00%	1,725,970,694,803	100.00%	-13,295,478,131	

Weekly Report - Main Market

Ownership Value (by Investor Classification)

Main Market Ownership Breakdown - By Investor Classification						
Investor Classification	As of 14 September 2017		As of 21 September 2017		Weekly Change	
	Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
Institutional	1,523,485,290,061	87.59%	1,510,211,136,128	87.50%	-13,274,153,934	-0.09%
Non-Institutional	215,780,882,872	12.41%	215,759,558,675	12.50%	-21,324,197	0.09%
Grand Total	1,739,266,172,934	100.00%	1,725,970,694,803	100.00%	-13,295,478,131	

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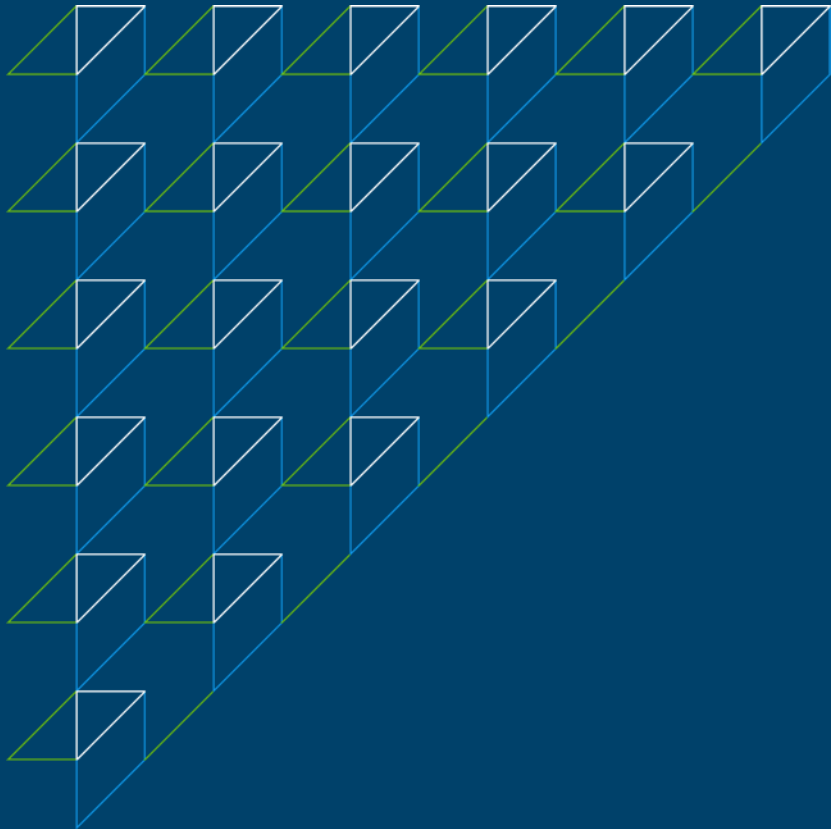
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Non Institutional:

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.

Nomu-Parallel Market - Value Traded & Ownership Breakdown



Weekly Report – Nomu-Parallel Market

Value Traded (by Nationality, Investor Type, & Classification)

Nomu-Parallel Market Value Traded Breakdown - By Nationality and Investor Type							
Nationality	Investor Type	Buy		Sell		Net Value Traded	
		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Saudi	Individuals	8,231,052	92.52%	6,994,742	78.62%	1,236,311	13.90%
	Corporates	0	0.00%	47,804	0.54%	-47,804	-0.54%
	Institution	257,040	2.89%	1,657,772	18.63%	-1,400,732	-15.74%
	Total Saudi Investors	8,488,092	95.41%	8,700,318	97.79%	-212,225	-2.39%
GCC	Individuals	0	0.00%	0	0.00%	0	0.00%
	Institutions	0	0.00%	0	0.00%	0	0.00%
	Total GCC Investors	0	0.00%	0	0.00%	0	0.00%
Foreign	SWAP Holders	0	0.00%	0	0.00%	0	0.00%
	Foreign Residents	151,603	1.70%	196,256	2.21%	-44,653	-0.50%
	QFIs	256,879	2.89%	0	0.00%	256,879	2.89%
	Total Foreign Investors	408,481	4.59%	196,256	2.21%	212,225	2.39%
Grand Total		8,896,573	100.00%	8,896,573	100.00%		

Nomu-Parallel Market Value Traded Breakdown - By Investor Classification							
Investor Classification	Buy		Sell		Net Value Traded		
	SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)	
Institutional	513,919	5.78%	1,705,576	19.17%	-1,191,657	-13.39%	
Non-Institutional	8,382,655	94.22%	7,190,997	80.83%	1,191,657	13.39%	
Grand Total	8,896,573	100.00%	8,896,573	100.00%			

Institutional:

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.

Weekly Report – Nomu-Parallel Market

Ownership Value (by Nationality, Investor Type, & Classification)

Nomu-Parallel Market Ownership Breakdown - By Nationality and Investor Type							
Nationality	Investor Type	As of 14 September 2017		As of 21 September 2017		Weekly Change	
		Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
Saudi	Individuals	2,083,986,716	88.22%	2,142,452,423	88.24%	58,465,707	0.02%
	Corporates	134,633,337	5.70%	139,109,149	5.73%	4,475,812	0.03%
	Institution	125,052,365	5.29%	126,514,624	5.21%	1,462,260	-0.08%
	Total Saudi Investors	2,343,672,418	99.21%	2,408,076,196	99.18%	64,403,779	-0.04%
GCC	Individuals	30,420	0.00%	31,383	0.00%	963	0.00%
	Institutions	12,285,147	0.52%	13,155,750	0.54%	870,603	0.02%
	Total GCC Investors	12,315,567	0.52%	13,187,133	0.54%	871,566	0.02%
Foreign	SWAP Holders	206,153	0.01%	209,817	0.01%	3,664	0.00%
	Foreign Residents	4,498,746	0.19%	4,521,970	0.19%	23,224	0.00%
	QFIs	1,596,116	0.07%	2,103,393	0.09%	507,277	0.02%
	Total Foreign Investors	6,301,015	0.27%	6,835,180	0.28%	534,165	0.01%
Grand Total		2,362,289,000	100.00%	2,428,098,510	100.00%	65,809,510	

Nomu-Parallel Market Ownership Breakdown - By Investor Classification							
Investor Classification	As of 14 September 2017		As of 21 September 2017		Weekly Change		
	Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)	
Institutional	273,773,118	11.59%	281,092,734	11.58%	7,319,616	-0.01%	
Non-Institutional	2,088,515,882	88.41%	2,147,005,776	88.42%	58,489,894	0.01%	
Grand Total	2,362,289,000	100.00%	2,428,098,510	100.00%	65,809,510		

Institutional:

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.

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Saudi Stock Exchange

تداول
Tadawul

Thank you

