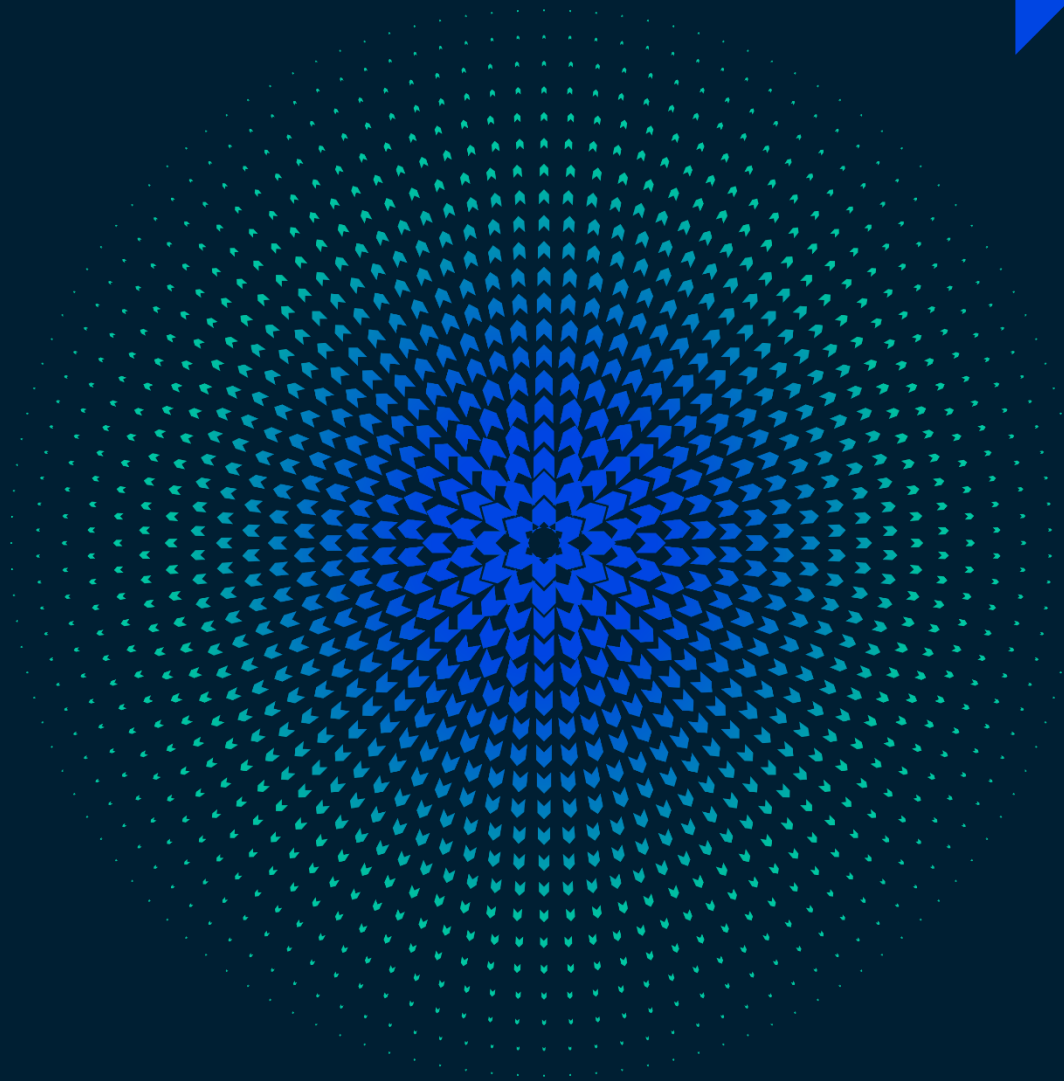


Monthly Ownership and Trading Activity Report

تداول السعودية
Saudi Exchange



Market Reports

Month Ending 30 September 2023



Definitions

Monthly Ownership and Trading Activity Report

Definitions

Nationality	Investor Type	Description
Saudi	Individuals*	Saudi Individuals have been classified under 4 categories as defined below:
	Retail	Retail investors are Saudi individuals , excluding IPIs (see below) and HNWIs.
	High Net Worth Investors (HNWIs)	HNWIs are Saudi individuals who have had an average portfolio size of SAR 1m (and above) for the preceding 12 months, excluding IPIs (see below).
	Individual Professional Investors (IPIs)	IPIs are Saudi individuals who have had an average portfolio size of SAR 50m (and above) for the preceding 12 months and a portfolio turnover ratio of not more than 4 times annually.
	Individual DPMs	Saudi individual investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	Institutions	Saudi Institutions have been classified under 4 categories as defined below:
	Corporates	Saudi company with a commercial registration permitting its investment in the stock market .
	Mutual Funds	A mutual fund managed by an Authorized Person and licensed by the CMA.
	Government Related Entities (GREs)	A Government entity or a supranational authority recognized by the CMA.
	Institutional DPMs	Saudi institutional investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
GCC	Individuals*	GCC natural person
	Institutions	GCC legal person with a commercial registration certificate (corporate or mutual fund), in addition to GCC government entities.
	GCC DPMs	GCC investment account (institutional or individual) in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
Foreign	SWAP Holders	Authorized Person with the permission to enter into SWAP agreements to transfer the economic benefits of its ownership of shares in Saudi companies listed on the Saudi Stock Exchange to its non-resident foreign clients.
	Foreign Residents & Others	Investors with valid residency permit (Iqama) and others (excluding SWAP, QFI, DPM & Strategic Investors)
	QFIs	Qualified Foreign Institutional investors registered with the CMA in accordance with the QFI Rules, to invest in shares listed on the Saudi Stock Exchange.
	Foreign DPMs	Foreign resident investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	Strategic Investors	Foreign investors considered founders and partners of Saudi listed companies.

*Nomu-Parallel
Market Individual
Investors

Individuals who fulfill any of the following:

- Conducted transactions not less than SAR 40m, and not less than 10 transaction in each quarter during last 12 months.
- Have an average portfolio size of SAR 5m for the preceding 12 months
- Worked for at least 3 years in financial sectors.
- Hold General Securities Qualification Certificate which is recognized by the Authority.
- Holds professional certificate that is related to securities business and accredited by an internationally recognized entity



Main Market - Value Traded & Ownership Breakdown

Monthly Report - Main Market

Value Traded (by Nationality and Investor Type)

Main Market Value Traded Breakdown - By Nationality and Investor Type

Nationality	Investor Type	Buy		Sell		Net Value Traded		
		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)	
Saudi	Individuals:							
	Retail	41,429,285,083	38.10%	38,599,635,904	35.50%	2,829,649,179	2.60%	
	HNWIs	20,450,156,210	18.81%	19,704,930,167	18.12%	745,226,043	0.69%	
	IPIs	3,020,603,731	2.78%	2,738,272,779	2.52%	282,330,952	0.26%	
	Individual DPMs	1,022,340,349	0.94%	1,184,873,020	1.09%	-162,532,671	-0.15%	
	Sub Total (Individuals)	65,922,385,373	60.62%	62,227,711,869	57.22%	3,694,673,503	3.40%	
	Institutions:							
	Corporates	5,090,279,968	4.68%	7,268,061,251	6.68%	-2,177,781,283	-2.00%	
	Mutual Funds	4,485,026,792	4.12%	6,090,038,718	5.60%	-1,605,011,926	-1.48%	
	GRE's	6,632,042,170	6.10%	5,827,352,628	5.36%	804,689,542	0.74%	
	Institution DPMs	896,485,717	0.82%	1,538,837,392	1.42%	-642,351,675	-0.59%	
	Sub Total (Institutions)	17,103,834,648	15.73%	20,724,289,988	19.06%	-3,620,455,341	-3.33%	
Total Saudi Investors	83,026,220,020	76.35%	82,952,001,858	76.28%	74,218,163	0.07%		
GCC	Individuals	318,771,592	0.29%	325,041,303	0.30%	-6,269,711	-0.01%	
	Institutions	1,534,863,220	1.41%	2,001,691,119	1.84%	-466,827,899	-0.43%	
	GCC DPMs	73,143,862	0.07%	40,957,481	0.04%	32,186,381	0.03%	
	Total GCC Investors	1,926,778,674	1.77%	2,367,689,902	2.18%	-440,911,229	-0.41%	
Foreign	SWAP Holders	69,689,022	0.06%	122,089,655	0.11%	-52,400,633	-0.05%	
	Foreign Residents & Others	2,010,269,520	1.85%	1,894,296,068	1.74%	115,973,452	0.11%	
	QFIs	21,707,228,419	19.96%	21,390,295,833	19.67%	316,932,586	0.29%	
	Foreign DPMs	3,843,831	0.00%	4,065,411	0.00%	-221,580	0.00%	
	Strategic Investor	0	0.00%	13,590,758	0.01%	-13,590,758	-0.01%	
Total Foreign Investors	23,791,030,791	21.88%	23,424,337,725	21.54%	366,693,066	0.34%		
Grand Total		108,744,029,485	100.00%	108,744,029,485	100.00%			

Monthly Report - Main Market

Value Traded (by Investor Classification)

Main Market Value Traded Breakdown - By Investor Classification						
Investor Classification	Buy		Sell		Net Value Traded	
	SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Institutional	44,535,547,080	40.95%	48,220,126,044	44.34%	-3,684,578,963	-3.39%
Non-Institutional	64,208,482,404	59.05%	60,523,903,441	55.66%	3,684,578,963	3.39%
Grand Total	108,744,029,485	100.00%	108,744,029,485	100.00%		

Definitions

Institutional:

Refers to investors with institutional behavior including GREs, Saudi Corporates, Saudi Mutual Funds, Saudi and Non-Saudi DPMs, Saudi Individual Professional Investors (IPI), SWAP Holders, Foreign Strategic Partners and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.

Monthly Report - Main Market

Ownership Value (by Nationality and Investor Type)

Main Market Ownership Breakdown - By Nationality and Investor Type

Nationality	Investor Type	As of 31 August 2023			As of 30 September 2023			Monthly Change		
		Holding Value (SAR)	Ownership		Holding Value (SAR)	Ownership		Δ Holding Value (SAR)	Ownership	
			Issued (%)	Free Float (%)		Issued (%)	Free Float (%)		Δ Issued (%)	Δ Free Float (%)
Saudi	Individuals:									
	Retail	147,004,893,038	1.28%	5.35%	144,373,699,692	1.27%	5.34%	-2,631,193,346	-0.01%	-0.01%
	HNWIs	241,797,782,721	2.10%	8.72%	235,441,378,158	2.06%	8.62%	-6,356,404,563	-0.04%	-0.10%
	IPIs	429,834,169,855	3.73%	12.02%	409,117,538,482	3.59%	11.65%	-20,716,631,373	-0.15%	-0.37%
	Individual DPMs	8,734,949,119	0.08%	0.32%	8,196,801,128	0.07%	0.30%	-538,147,991	0.00%	-0.01%
	Sub Total (Individuals)	827,371,794,733	7.18%	26.41%	797,129,417,460	6.99%	25.91%	-30,242,377,273	-0.20%	-0.50%
	Institutions:									
	Corporates	1,294,683,799,961	11.24%	31.45%	1,257,899,513,264	11.02%	31.17%	-36,784,286,697	-0.22%	-0.28%
	Mutual Funds	300,720,156,914	2.61%	8.54%	283,348,762,879	2.48%	8.20%	-17,371,394,034	-0.13%	-0.34%
	GRE's	8,611,923,599,324	74.76%	18.01%	8,607,747,969,124	75.44%	19.36%	-4,175,630,200	0.67%	1.35%
	Institution DPMs	43,909,350,037	0.38%	1.38%	41,711,539,855	0.37%	1.33%	-2,197,810,182	-0.02%	-0.05%
Sub Total (Institutions)	10,251,236,906,235	89.00%	59.39%	10,190,707,785,122	89.31%	60.06%	-60,529,121,113	0.31%	0.67%	
Total Saudi Investors	11,078,608,700,969	96.18%	85.79%	10,987,837,202,582	96.30%	85.97%	-90,771,498,386	0.12%	0.18%	
GCC	Individuals	3,266,685,009	0.03%	0.12%	3,162,508,037	0.03%	0.12%	-104,176,972	0.00%	0.00%
	Institutions	54,969,742,815	0.48%	1.40%	52,659,208,506	0.46%	1.36%	-2,310,534,309	-0.02%	-0.04%
	GCC DPMs	961,812,528	0.01%	0.04%	957,378,768	0.01%	0.04%	-4,433,760	0.00%	0.00%
	Total GCC Investors	59,198,240,352	0.51%	1.56%	56,779,095,312	0.50%	1.52%	-2,419,145,040	-0.02%	-0.04%
Foreign	SWAP Holders	2,442,875,751	0.02%	0.09%	2,226,743,878	0.02%	0.09%	-216,131,873	0.00%	-0.01%
	Foreign Residents & Others	16,447,237,850	0.14%	0.61%	16,268,261,776	0.14%	0.62%	-178,976,075	0.00%	0.01%
	QFIs	303,444,179,113	2.63%	11.44%	290,069,022,960	2.54%	11.28%	-13,375,156,153	-0.09%	-0.17%
	Foreign DPMs	13,183,386,327	0.11%	0.50%	13,591,548,813	0.12%	0.53%	408,162,486	0.00%	0.03%
	Strategic Investors	45,384,169,570	0.39%	0.00%	43,755,975,962	0.38%	0.00%	-1,628,193,608	-0.01%	0.00%
Total Foreign Investors	380,901,848,611	3.31%	12.65%	365,911,553,388	3.21%	12.51%	-14,990,295,223	-0.10%	-0.13%	
Grand Total		11,518,708,789,932	100.00%	100.00%	11,410,527,851,282	100.00%	100.00%	-108,180,938,650		

Monthly Report - Main Market

Ownership Value (by Investor Classification)

Main Market Ownership Breakdown - By Investor Classification									
Investor Classification	As of 31 August 2023			As of 30 September 2023			Monthly Change		
	Holding Value (SAR)	Ownership		Holding Value (SAR)	Ownership		Δ Holding Value (SAR)	Ownership	
		Issued (%)	FreeFloat (%)		Issued (%)	FreeFloat (%)		Δ Issued (%)	Δ FreeFloat (%)
Institutional	11,110,192,191,314	96.45%	85.19%	11,011,282,003,619	96.50%	85.29%	-98,910,187,694	0.05%	0.10%
Non-Institutional	408,516,598,618	3.55%	14.81%	399,245,847,663	3.50%	14.71%	-9,270,750,956	-0.05%	-0.10%
Grand Total	11,518,708,789,932	100.00%	100.00%	11,410,527,851,282	100.00%	100.00%	-108,180,938,650		

Definitions

Institutional:

Refers to investors with institutional behavior including GREs, Saudi Corporates, Saudi Mutual Funds, Saudi and Non-Saudi DPMs, Saudi Individual Professional Investors (IPI), SWAP Holders, Foreign Strategic Partners and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.



Nomu-Parallel Market - Value Traded & Ownership Breakdown

Monthly Report – Nomu-Parallel Market

Value Traded (by Nationality, Investor Type, & Classification)

Nomu-Parallel Market Value Traded Breakdown - By Nationality and Investor Type

Nationality	Investor Type	Buy		Sell		Net Value Traded	
		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Saudi	Individuals	552,362,107	66.84%	633,281,345	76.63%	-80,919,238	-9.79%
	Corporates	186,782,177	22.60%	130,089,216	15.74%	56,692,961	6.86%
	Institution	42,449,472	5.14%	11,848,380	1.43%	30,601,092	3.70%
	Total Saudi Investors	781,593,756	94.57%	775,218,942	93.80%	6,374,814	0.77%
GCC	Individuals	669,523	0.08%	129,281	0.02%	540,242	0.07%
	Institutions	1,894,220	0.23%	322,910	0.04%	1,571,310	0.19%
	Total GCC Investors	2,563,743	0.31%	452,191	0.05%	2,111,552	0.26%
Foreign	SWAP Holders	227,563	0.03%	0	0.00%	227,563	0.03%
	Foreign Residents	10,258,079	1.24%	10,722,151	1.30%	-464,072	-0.06%
	QFIs	31,791,404	3.85%	40,041,262	4.85%	-8,249,857	-1.00%
	Total Foreign Investors	42,277,046	5.12%	50,763,412	6.14%	-8,486,366	-1.03%
Grand Total		826,434,545	100.00%	826,434,545	100.00%		

Nomu-Parallel Market Value Traded Breakdown - By Investor Classification

Investor Classification	Buy		Sell		Net Value Traded	
	SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Institutional	263,144,837	31.84%	182,301,768	22.06%	80,843,068	9.78%
Non-Institutional	563,289,709	68.16%	644,132,777	77.94%	-80,843,068	-9.78%
Grand Total	826,434,545	100.00%	826,434,545	100.00%		

Institutional:

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.

Monthly Report – Nomu-Parallel Market

Ownership Value (by Nationality, Investor Type, & Classification)

Nomu-Parallel Market Ownership Breakdown - By Nationality and Investor Type										
Nationality	Investor Type	As of 31 August 2023			As of 30 September 2023			Monthly Change		
		Holding Value (SAR)	Ownership (%)		Holding Value (SAR)	Ownership (%)		Δ Holding Value (SAR)	Δ Ownership (%)	
			Issued (%)	FreeFloat (%)		Issued (%)	FreeFloat (%)		Δ Issued (%)	Δ FreeFloat (%)
Saudi	Individuals	33,652,241,985	66.09%	63.98%	32,450,488,669	68.63%	66.62%	-1,201,753,316	2.53%	2.64%
	Corporates	15,499,720,130	30.44%	31.25%	13,358,976,675	28.25%	28.99%	-2,140,743,455	-2.19%	-2.26%
	Institution	913,531,340	1.79%	1.20%	735,925,278	1.56%	1.06%	-177,606,062	-0.24%	-0.14%
	Total Saudi Investors	50,065,493,455	98.33%	96.43%	46,545,390,622	98.43%	96.67%	-3,520,102,833	0.10%	0.24%
GCC	Individuals	49,510,756	0.10%	0.21%	49,470,917	0.10%	0.22%	-39,840	0.01%	0.01%
	Institutions	158,323,427	0.31%	0.67%	145,588,797	0.31%	0.65%	-12,734,630	0.00%	-0.01%
	Total GCC Investors	207,834,183	0.41%	0.87%	195,059,714	0.41%	0.88%	-12,774,470	0.00%	0.00%
Foreign	SWAP Holders	2,361,460	0.00%	0.01%	2,312,240	0.00%	0.01%	-49,219	0.00%	0.00%
	Foreign Residents	276,016,262	0.54%	1.16%	268,398,995	0.57%	1.21%	-7,617,267	0.03%	0.05%
	QFIs	363,660,403	0.71%	1.53%	274,864,022	0.58%	1.24%	-88,796,381	-0.13%	-0.29%
	Total Foreign Investors	642,038,125	1.26%	2.70%	545,575,257	1.15%	2.45%	-96,462,868	-0.11%	-0.25%
Grand Total		50,915,365,764	100.00%	100.00%	47,286,025,593	100.00%	100.00%	-3,629,340,171		

Nomu-Parallel Market Ownership Breakdown - By Investor Classification									
Investor Classification	As of 31 August 2023			As of 30 September 2023			Monthly Change		
	Holding Value (SAR)	Ownership (%)		Holding Value (SAR)	Ownership (%)		Δ Holding Value (SAR)	Ownership (%)	
		Issued (%)	FreeFloat (%)		Issued (%)	FreeFloat (%)		Δ Issued (%)	Δ FreeFloat (%)
Institutional	16,937,596,760	33.27%	34.65%	14,517,667,013	30.70%	31.95%	-2,419,929,747	-2.56%	-2.70%
Non-Institutional	33,977,769,004	66.73%	65.35%	32,768,358,580	69.30%	68.05%	-1,209,410,424	2.56%	2.70%
Grand Total	50,915,365,764	100.00%	100.00%	47,286,025,593	100.00%	100.00%	-3,629,340,171		

Institutional:

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.



Sukuk/Bonds Market - Value Traded & Ownership Breakdown

Monthly Report – Sukuk/Bonds Market

Value Traded (by Nationality, Investor Type, & Classification)

Sukuk/Bonds Market Value Traded Breakdown - By Nationality and Investor Type

Nationality	Investor Type	Buy		Sell		Net Value Traded	
		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Saudi	Corporates	586,135,285	86.72%	47,959,203	7.10%	538,176,082	79.62%
	GRE's	0	0.00%	0	0.00%	0	0.00%
	Individual	79,870,882	11.82%	78,160,949	11.56%	1,709,933	0.25%
	Institutions	7,600,180	1.12%	7,607,147	1.13%	-6,967	0.00%
	Total Saudi Investors	673,606,347	99.66%	133,727,298	19.79%	539,879,049	79.88%
GCC	Individual	149,303	0.02%	0	0.00%	149,303	0.02%
	Institutions	0	0.00%	0	0.00%	0	0.00%
	Total GCC Investors	149,303	0.02%	0	0.00%	149,303	0.02%
Foreign	SWAP Holders	0	0.00%	0	0.00%	0	0.00%
	Foreign Residents	2,139,001	0.32%	1,684,694	0.25%	454,307	0.07%
	QFIs	0	0.00%	540,482,659	79.97%	-540,482,659	-79.97%
	Total Foreign Investors	2,139,001	0.32%	542,167,353	80.21%	-540,028,351	-79.90%
Grand Total		675,894,651	100.00%	675,894,651	100.00%		

Sukuk/Bonds Market Value Traded Breakdown - By Investor Classification

Investor Classification	Buy		Sell		Net Value Traded	
	SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Institutional	593,735,465	87.84%	596,049,008	88.19%	-2,313,543	-0.34%
Non-Institutional	82,159,186	12.16%	79,845,643	11.81%	2,313,543	0.34%
Grand Total	675,894,651	100.00%	675,894,651	100.00%		

Institutional:

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.

Sukuk/Bonds Market Ownership Breakdown - By Nationality and Investor Type							
Nationality	Investor Type	As of 31 August 2023		As of 30 September 2023		Monthly Change	
		Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
Saudi	Corporates	413,575,298,000	77.70%	416,676,857,000	77.92%	3,101,559,000	0.22%
	GRE's	99,297,669,000	18.66%	99,297,669,000	18.57%	0	-0.09%
	Individual	9,082,440,000	1.71%	9,088,525,000	1.70%	6,085,000	-0.01%
	Institutions	4,578,016,000	0.86%	4,281,266,000	0.80%	-296,750,000	-0.06%
	Total Saudi Investors	526,533,423,000	98.92%	529,344,317,000	98.99%	2,810,894,000	0.07%
GCC	Individual	58,845,000	0.01%	58,993,000	0.01%	148,000	0.00%
	Institutions	1,150,000,000	0.22%	1,150,000,000	0.22%	0	0.00%
	Total GCC Investors	1,208,845,000	0.23%	1,208,993,000	0.23%	148,000	0.00%
Foreign	SWAP Holders	160,000,000	0.03%	160,000,000	0.03%	0	0.00%
	Foreign Residents & Others	1,540,875,000	0.29%	1,680,989,000	0.31%	140,114,000	0.02%
	QFIs	2,826,320,000	0.53%	2,325,920,000	0.43%	-500,400,000	-0.10%
	Total Foreign Investors	4,527,195,000	0.85%	4,166,909,000	0.78%	-360,286,000	-0.07%
Grand Total		532,269,463,000	100.00%	534,720,219,000	100.00%	2,450,756,000	

Sukuk/Bonds Market Ownership Breakdown - By Investor Classification						
Investor Classification	As of 31 August 2023		As of 30 September 2023		Monthly Change	
	Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
Institutional	521,587,303,000	97.99%	523,891,712,000	97.97%	2,304,409,000	-0.02%
Non-Institutional	10,682,160,000	2.01%	10,828,507,000	2.03%	146,347,000	0.02%
Grand Total	532,269,463,000	100.00%	534,720,219,000	100.00%	2,450,756,000	

Institutional:

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.

Thank you