

# Monthly Stock Market Ownership and Trading Activity Report

Market Reports

Month Ending 30 November 2016



# Definitions

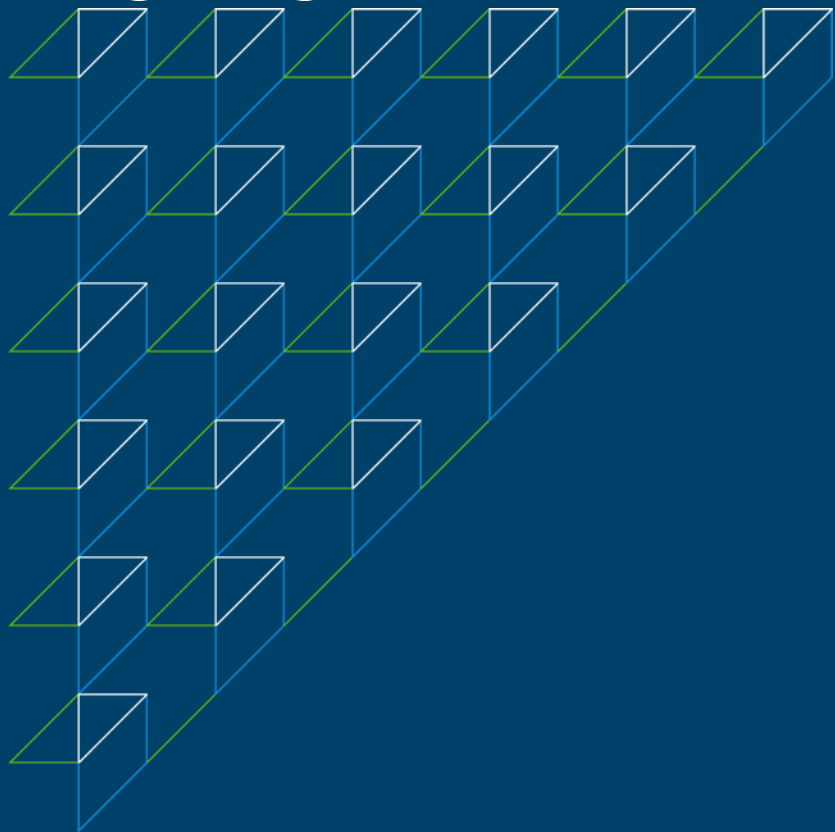


# Monthly Stock Market Ownership and Trading Activity Report

## Definitions

Nationality	Investor Type	Description
Saudi	Individuals	Saudi Individuals have been classified under 4 categories as defined below:
	- Retail	Retail investors are Saudi individuals , excluding IPIs (see below) and HNWIs.
	- High Net Worth Investors (HNWIs)	HNWIs are Saudi individuals who have had an average portfolio size of SAR 1m (and above) for the preceding 12 months, excluding IPIs (see below).
	- Individual Professional Investors (IPIs)	IPIs are Saudi individuals who have had an average portfolio size of SAR 50m (and above) for the preceding 12 months and a portfolio turnover ratio of not more than 4 times annually.
	- Individual DPMs	Saudi individual investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	Institutions	Saudi Institutions have been classified under 4 categories as defined below:
	- Corporates	Saudi company with a commercial registration permitting its investment in the stock market .
	- Mutual Funds	A mutual fund managed by an Authorized Person and licensed by the CMA.
	- Government Related Entities (GREs)	A Government entity or a supranational authority recognized by the CMA.
	- Institutional DPMs	Saudi institutional investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
GCC	Individuals	GCC natural person
	Institutions	GCC legal person with a commercial registration certificate (corporate or mutual fund), in addition to GCC government entities.
	GCC DPMs	GCC investment account (institutional or individual) in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
Foreign	SWAP Holders	Authorized Person with the permission to enter into SWAP agreements to transfer the economic benefits of its ownership of shares in Saudi companies listed on the Saudi Stock Exchange to its non-resident foreign clients.
	Foreign Residents	Foreign investors domiciled in the Kingdom with a valid residency permit (Iqama) (excluding GCC nationals).
	QFIs	Qualified Foreign Institutional investors registered with the CMA in accordance with the QFI Rules, to invest in shares listed on the Saudi Stock Exchange.
	Foreign DPMs	Foreign resident investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	Strategic Partners	Foreign investors considered founders and partners of Saudi listed companies.

# Highlights



# Monthly Stock Market Ownership and Trading Activity Report

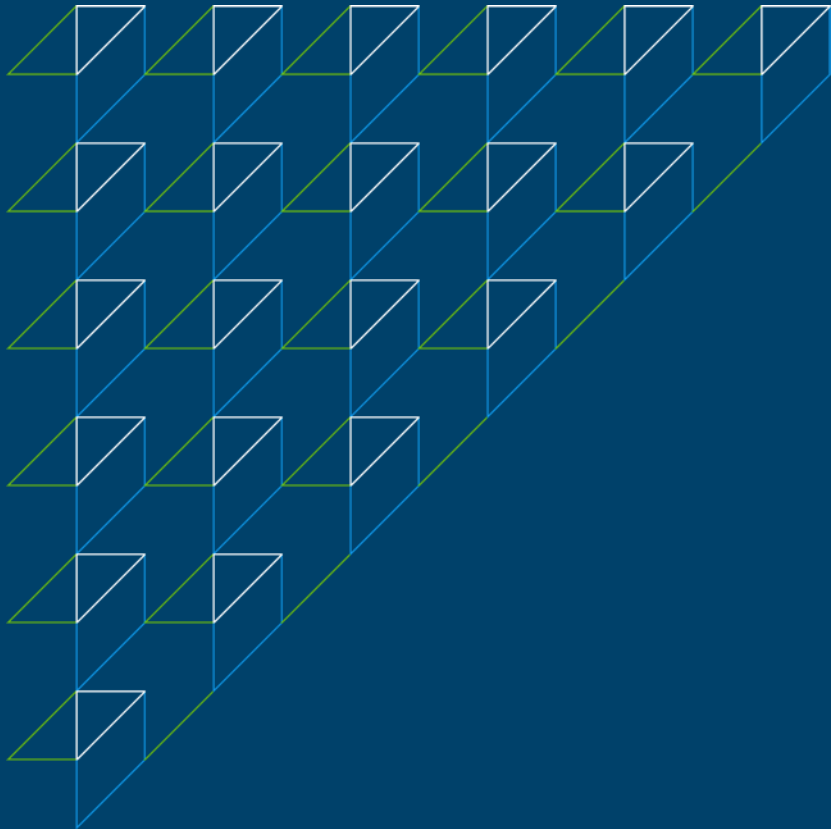
## Highlights

The total value of shares traded for the month ending 30 November 2016 amounted to SAR 119.00 billion, increasing by 81.22% over the previous month; while total stock market capitalization reached SAR 1,636.86 billion at the end of this period, increasing by 15.26% over the previous month.

- ▶ The total value of shares purchased by “Saudi Investors” during this period amounted to SAR 114.13 billion representing 95.91% of total buying activity, and sales of SAR 111.14 billion representing 93.40% of total selling activity. Total ownership of “Saudi Investors” stood at 93.37% of total market capitalization as of 30 November 2016, representing a decrease of 0.15% from the previous month.
- ▶ The total value of shares purchased by “GCC Investors” during this period amounted to SAR 1.72 billion representing 1.44% of total buying activity, and sales of SAR 3.71 billion representing 3.12% of total selling activity. Total ownership of “GCC Investors” stood at 2.29% of total market capitalization as of 30 November 2016, representing a decrease of 0.04% from the previous month.
- ▶ The total value of shares purchased by “Foreign Investors” during this period amounted to SAR 3.15 billion representing 2.65% of total buying activity, and sales of SAR 4.14 billion representing 3.48% of total selling activity. Total ownership of “Foreign Investors” stood at 4.34% of total market capitalization as of 30 November 2016, representing an increase of 0.19% from the previous month.



# Stock Market Value Traded Breakdown



# Monthly Stock Market Ownership and Trading Activity Report

## Value Traded (by Nationality and Investor Type)

### Stock Market Traded Value Breakdown - By Nationality and Investor Type

Nationality	Investor Type	Buy		Sell		Net Value Traded	
		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Saudi	<b>Individuals:</b>						
	Retail	44,519,633,238	37.41%	50,669,105,899	42.58%	-6,149,472,661	-5.17%
	HNWIs	32,115,413,663	26.99%	36,699,128,363	30.84%	-4,583,714,700	-3.85%
	IPIs	2,777,009,101	2.33%	9,619,105,953	8.08%	-6,842,096,852	-5.75%
	Individual DPMS	983,004,470	0.83%	1,382,786,558	1.16%	-399,782,089	-0.34%
	<b>Sub Total (Individuals)</b>	<b>80,395,060,471</b>	<b>67.56%</b>	<b>98,370,126,773</b>	<b>82.67%</b>	<b>-17,975,066,302</b>	<b>-15.11%</b>
	<b>Institutions:</b>						
	Corporates	5,379,665,724	4.52%	8,262,959,778	6.94%	-2,883,294,054	-2.42%
	Mutual Funds	26,499,385,024	22.27%	2,533,467,720	2.13%	23,965,917,304	20.14%
	GRE's	75,533,578	0.06%	156,681,354	0.13%	-81,147,776	-0.07%
	Institution DPMS	1,778,830,874	1.49%	1,820,102,304	1.53%	-41,271,431	-0.03%
	<b>Sub Total (Institutions)</b>	<b>33,733,415,199</b>	<b>28.35%</b>	<b>12,773,211,156</b>	<b>10.73%</b>	<b>20,960,204,042</b>	<b>17.61%</b>
	<b>Total Saudi Investors</b>	<b>114,128,475,670</b>	<b>95.91%</b>	<b>111,143,337,929</b>	<b>93.40%</b>	<b>2,985,137,740</b>	<b>2.51%</b>
GCC	Individuals	258,331,192	0.22%	450,968,490	0.38%	-192,637,297	-0.16%
	Institutions	1,427,876,904	1.20%	3,226,072,745	2.71%	-1,798,195,841	-1.51%
	GCC DPMS	29,878,623	0.03%	34,744,310	0.03%	-4,865,688	0.00%
	<b>Total GCC Investors</b>	<b>1,716,086,719</b>	<b>1.44%</b>	<b>3,711,785,545</b>	<b>3.12%</b>	<b>-1,995,698,826</b>	<b>-1.68%</b>
Foreign	SWAP Holders	1,351,028,641	1.14%	2,266,679,850	1.90%	-915,651,209	-0.77%
	Foreign Residents	1,535,392,509	1.29%	1,724,965,295	1.45%	-189,572,786	-0.16%
	QFIs	263,852,358	0.22%	141,357,499	0.12%	122,494,858	0.10%
	Foreign DPMS	629,232	0.00%	7,339,010	0.01%	-6,709,778	-0.01%
	Strategic Partners	0	0.00%	0	0.00%	0	0.00%
	<b>Total Foreign Investors</b>	<b>3,150,902,739</b>	<b>2.65%</b>	<b>4,140,341,654</b>	<b>3.48%</b>	<b>-989,438,914</b>	<b>-0.83%</b>
<b>Grand Total</b>		<b>118,995,465,128</b>	<b>100.00%</b>	<b>118,995,465,128</b>	<b>100.00%</b>		

# Monthly Stock Market Ownership and Trading Activity Report

## Value Traded (by Investor Classification)

### Stock Market Traded Value Breakdown - By Investor Classification

Investor Classification	Buy		Sell		Net Value Traded	
	SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Institutional	40,566,694,526	34.09%	29,451,297,082	24.75%	11,115,397,444	9.34%
Non-Institutional	78,428,770,602	65.91%	89,544,168,046	75.25%	-11,115,397,444	-9.34%
<b>Grand Total</b>	<b>118,995,465,128</b>	<b>100.00%</b>	<b>118,995,465,128</b>	<b>100.00%</b>		

## Definitions

### Institutional:

Refers to investors with institutional behavior including GREs, Saudi Corporates, Saudi Mutual Funds, Saudi and Non-Saudi DPMs, Saudi Individual Professional Investors (IPI), SWAP Holders, Foreign Strategic Partners and Qualified Foreign Investors (QFI).

### Non Institutional:

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.



# Stock Market Ownership Breakdown



# Monthly Stock Market Ownership and Trading Activity Report

## Ownership Value (by Nationality and Investor Type)

### Stock Market Ownership Breakdown - By Nationality and Investor Type

Nationality	Investor Type	As of 31 October 2016		As of 30 November 2016		Monthly Change	
		Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
Saudi	<b>Individuals:</b>						
	Retail	65,196,529,041	4.59%	73,290,221,568	4.48%	8,093,692,527	-0.11%
	HNWIs	116,451,261,266	8.20%	130,808,298,659	7.99%	14,357,037,393	-0.21%
	IPIs	232,598,692,496	16.38%	261,448,714,965	15.97%	28,850,022,470	-0.41%
	Individual DPMs	4,223,109,676	0.30%	4,465,941,343	0.27%	242,831,667	-0.02%
	<b>Sub Total (Individuals)</b>	<b>418,469,592,479</b>	<b>29.47%</b>	<b>470,013,176,535</b>	<b>28.71%</b>	<b>51,543,584,057</b>	<b>-0.75%</b>
	<b>Institutions:</b>						
	Corporates	245,961,592,800	17.32%	282,035,806,016	17.23%	36,074,213,216	-0.09%
	Mutual Funds	45,885,077,019	3.23%	78,377,135,236	4.79%	32,492,058,217	1.56%
	GRE's	578,037,920,161	40.70%	652,203,689,199	39.84%	74,165,769,038	-0.86%
	Institution DPMs	39,701,006,440	2.80%	45,691,484,302	2.79%	5,990,477,862	0.00%
	<b>Sub Total (Institutions)</b>	<b>909,585,596,420</b>	<b>64.05%</b>	<b>1,058,308,114,752</b>	<b>64.65%</b>	<b>148,722,518,332</b>	<b>0.61%</b>
<b>Total Saudi Investors</b>	<b>1,328,055,188,899</b>	<b>93.51%</b>	<b>1,528,321,291,288</b>	<b>93.37%</b>	<b>200,266,102,389</b>	<b>-0.15%</b>	
GCC	Individuals	2,674,795,892	0.19%	2,939,235,150	0.18%	264,439,259	-0.01%
	Institutions	29,790,229,306	2.10%	33,819,083,109	2.07%	4,028,853,803	-0.03%
	GCC DPMs	600,939,096	0.04%	675,026,999	0.04%	74,087,903	0.00%
	<b>Total GCC Investors</b>	<b>33,065,964,293</b>	<b>2.33%</b>	<b>37,433,345,258</b>	<b>2.29%</b>	<b>4,367,380,965</b>	<b>-0.04%</b>
Foreign	SWAP Holders	14,849,053,223	1.05%	16,141,358,985	0.99%	1,292,305,762	-0.06%
	Foreign Residents	3,919,081,990	0.28%	4,481,539,447	0.27%	562,457,458	0.00%
	QFIs	1,290,156,783	0.09%	1,604,194,560	0.10%	314,037,778	0.01%
	Foreign DPMs	11,674,713	0.00%	6,302,741	0.00%	-5,371,973	0.00%
	Strategic Partners	38,962,226,574	2.74%	48,875,700,697	2.99%	9,913,474,123	0.24%
	<b>Total Foreign Investors</b>	<b>59,032,193,282</b>	<b>4.16%</b>	<b>71,109,096,430</b>	<b>4.34%</b>	<b>12,076,903,148</b>	<b>0.19%</b>
<b>Grand Total</b>		<b>1,420,153,346,475</b>	<b>100.00%</b>	<b>1,636,863,732,976</b>	<b>100.00%</b>	<b>216,710,386,501</b>	

# Monthly Stock Market Ownership and Trading Activity Report

## Ownership Value (by Investor Classification)

Stock Market Ownership Breakdown - By Investor Classification						
Investor Classification	As of 31 October 2016		As of 30 November 2016		Monthly Change	
	Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
Institutional	1,231,911,678,286	86.74%	1,425,344,438,151	87.08%	193,432,759,865	0.33%
Non-Institutional	188,241,668,188	13.26%	211,519,294,824	12.92%	23,277,626,636	-0.33%
<b>Grand Total</b>	<b>1,420,153,346,475</b>	<b>100.00%</b>	<b>1,636,863,732,976</b>	<b>100.00%</b>	<b>216,710,386,501</b>	

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### **Non Institutional:**

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Thank you

