

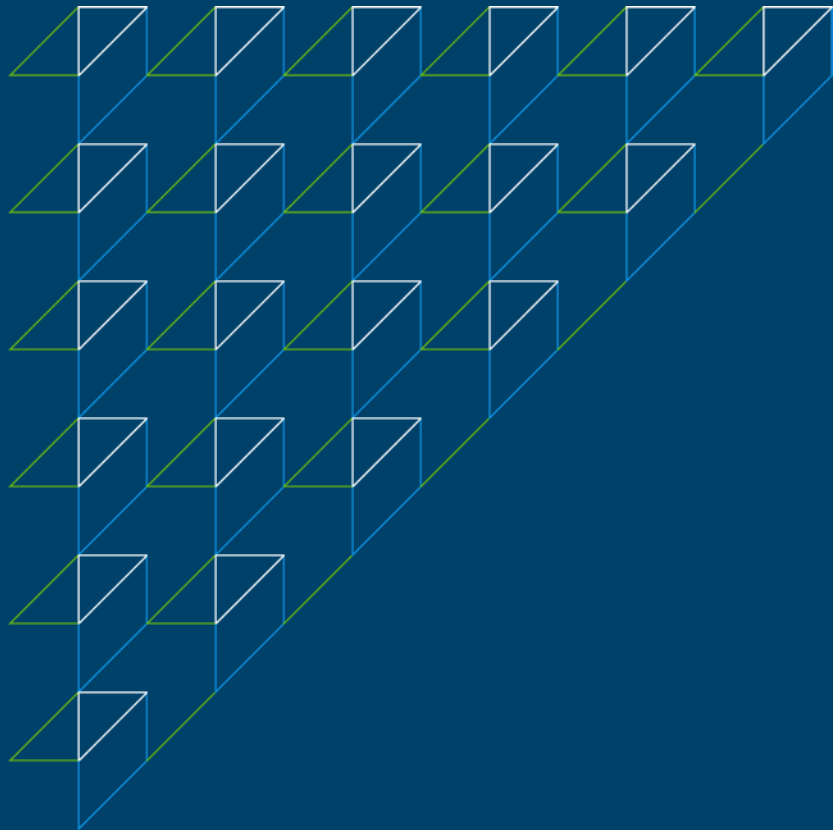
# Monthly Stock Market Ownership and Trading Activity Report

Market Reports

Month Ending 31 December 2020



# Definitions



# Monthly Stock Market Ownership and Trading Activity Report

## Definitions

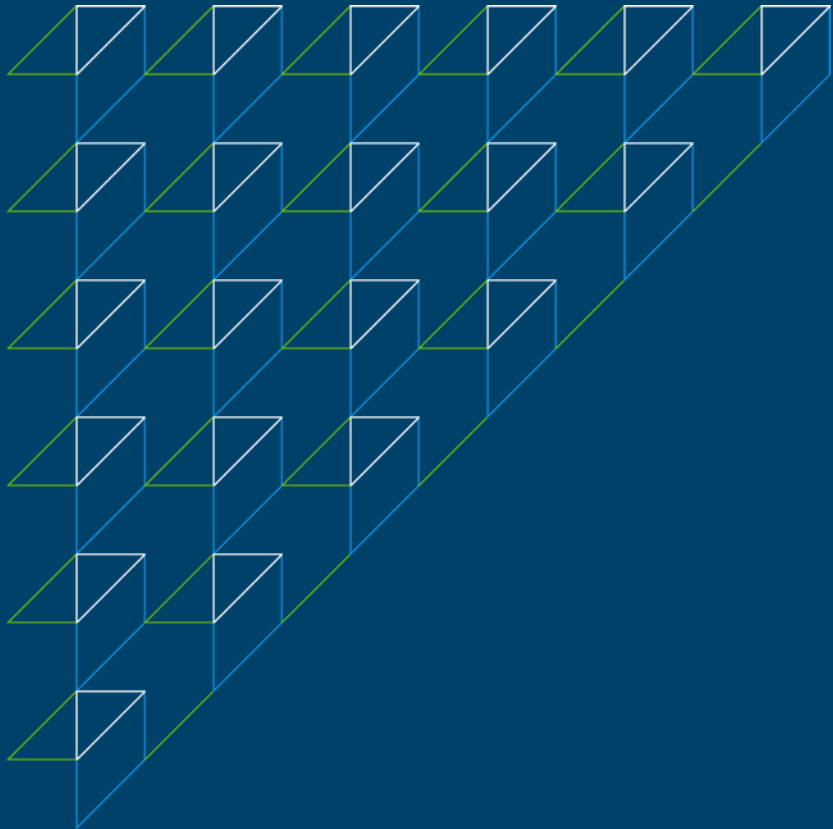
Nationality	Investor Type	Description
Saudi	<b>Individuals*</b>	Saudi Individuals have been classified under 4 categories as defined below:
	- Retail	Retail investors are Saudi individuals , excluding IPIs (see below) and HNWIs.
	- High Net Worth Investors (HNWIs)	HNWIs are Saudi individuals who have had an average portfolio size of SAR 1m (and above) for the preceding 12 months, excluding IPIs (see below).
	- Individual Professional Investors (IPIs)	IPIs are Saudi individuals who have had an average portfolio size of SAR 50m (and above) for the preceding 12 months and a portfolio turnover ratio of not more than 4 times annually.
	- Individual DPMs	Saudi individual investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	<b>Institutions</b>	Saudi Institutions have been classified under 4 categories as defined below:
	- Corporates	Saudi company with a commercial registration permitting its investment in the stock market .
	- Mutual Funds	A mutual fund managed by an Authorized Person and licensed by the CMA.
	- Government Related Entities (GREs)	A Government entity or a supranational authority recognized by the CMA.
- Institutional DPMs	Saudi institutional investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).	
GCC	<b>Individuals*</b>	GCC natural person
	<b>Institutions</b>	GCC legal person with a commercial registration certificate (corporate or mutual fund), in addition to GCC government entities.
	<b>GCC DPMs</b>	GCC investment account (institutional or individual) in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
Foreign	<b>SWAP Holders</b>	Authorized Person with the permission to enter into SWAP agreements to transfer the economic benefits of its ownership of shares in Saudi companies listed on the Saudi Stock Exchange to its non-resident foreign clients.
	<b>Foreign Residents &amp; Others</b>	Investors with a valid residency permit (Iqama) and others (excluding SWAP, QFIs, DPM & Strategic Investors).
	<b>QFIs</b>	Qualified Foreign Institutional investors registered with the CMA in accordance with the QFI Rules, to invest in shares listed on the Saudi Stock Exchange.
	<b>Foreign DPMs</b>	Foreign resident investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	<b>Strategic Investors</b>	A foreign legal entity that aims to own a strategic shareholding in listed companies.

\*Nomu-Parallel Market Individual Investors

Individuals who fulfill any of the following:

- Conducted transactions not less than SAR 40m, and not less than 10 transaction in each quarter during last 12 months.
- Have an average portfolio size of SAR 5m for the preceding 12 months
- Worked for at least 3 years in financial sectors.
- Hold General Securities Qualification Certificate which is recognized by the Authority.
- Holds professional certificate that is related to securities business and accredited by an internationally recognized entity

# Main Market - Highlights



The total value of shares traded for the month ending 31 December 2020 amounted to SAR 253.35 billion, decreasing by 2.05% over the previous month; while total stock market capitalization reached SAR 9,101.17 billion at the end of this period, decreasing by 2.15% over the previous month.

- ▶ The total value of shares purchased by “Saudi Investors” during this period amounted to SAR 235.54 billion representing 92.97 % of total buying activity, and sales of SAR 235.30 billion representing 92.88 % of total selling activity. Total ownership of “Saudi Investors” stood at 97.21 % of total market capitalization as of 31 December 2020, representing a decrease of 0.02 % from the previous month.
- ▶ The total value of shares purchased by “GCC Investors” during this period amounted to SAR 1.85 billion representing 0.73 % of total buying activity, and sales of SAR 1.53 billion representing 0.60% of total selling activity. Total ownership of “GCC Investors” stood at 0.50% of total market capitalization as of 31 December 2020, representing an increase of 0.01% from the previous month.
- ▶ The total value of shares purchased by “Foreign Investors” during this period amounted to SAR 15.95 billion representing 6.30% of total buying activity, and sales of SAR 16.52 billion representing 6.52% of total selling activity. Total ownership of “Foreign Investors” stood at 2.29% of total market capitalization as of 31 December 2020, representing an increase of 0.01% from the previous month.



# Main Market - Value Traded & Ownership Breakdown



# Monthly Report - Main Market

## Value Traded (by Nationality and Investor Type)

### Main Market Value Traded Breakdown - By Nationality and Investor Type

Nationality	Investor Type	Buy		Sell		Net Value Traded		
		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)	
Saudi	<b>Individuals:</b>							
	Retail	164,393,282,883	64.89%	167,194,373,183	65.99%	-2,801,090,300	-1.11%	
	HNWIs	56,056,870,998	22.13%	57,083,943,661	22.53%	-1,027,072,663	-0.41%	
	IPIs	3,023,211,282	1.19%	3,207,990,704	1.27%	-184,779,422	-0.07%	
	Individual DPMs	1,364,404,242	0.54%	1,172,461,287	0.46%	191,942,955	0.08%	
	<b>Sub Total (Individuals)</b>	<b>224,837,769,405</b>	<b>88.75%</b>	<b>228,658,768,835</b>	<b>90.26%</b>	<b>-3,820,999,430</b>	<b>-1.51%</b>	
	<b>Institutions:</b>							
	Corporates	5,679,105,262	2.24%	2,078,237,723	0.82%	3,600,867,538	1.42%	
	Mutual Funds	3,223,338,682	1.27%	2,968,901,769	1.17%	254,436,914	0.10%	
	GRE's	374,916,424	0.15%	603,678,868	0.24%	-228,762,445	-0.09%	
	Institution DPMs	1,426,898,510	0.56%	994,739,671	0.39%	432,158,838	0.17%	
	<b>Sub Total (Institutions)</b>	<b>10,704,258,878</b>	<b>4.23%</b>	<b>6,645,558,032</b>	<b>2.62%</b>	<b>4,058,700,846</b>	<b>1.60%</b>	
	<b>Total Saudi Investors</b>	<b>235,542,028,283</b>	<b>92.97%</b>	<b>235,304,326,867</b>	<b>92.88%</b>	<b>237,701,417</b>	<b>0.09%</b>	
GCC	Individuals	507,545,152	0.20%	535,765,216	0.21%	-28,220,064	-0.01%	
	Institutions	1,338,540,301	0.53%	928,185,470	0.37%	410,354,830	0.16%	
	GCC DPMs	6,203,739	0.00%	61,581,948	0.02%	-55,378,209	-0.02%	
	<b>Total GCC Investors</b>	<b>1,852,289,192</b>	<b>0.73%</b>	<b>1,525,532,634</b>	<b>0.60%</b>	<b>326,756,558</b>	<b>0.13%</b>	
Foreign	SWAP Holders	26,888,628	0.01%	160,646,103	0.06%	-133,757,475	-0.05%	
	Foreign Residents & Others	4,218,398,077	1.67%	5,712,957,204	2.26%	-1,494,559,127	-0.59%	
	QFIs	10,883,375,681	4.30%	10,635,164,083	4.20%	248,211,598	0.10%	
	Foreign DPMs	5,816,297	0.00%	7,566,497	0.00%	-1,750,200	0.00%	
	Strategic Investor	817,397,230	0.32%	0	0.00%	817,397,230	0.32%	
<b>Total Foreign Investors</b>	<b>15,951,875,912</b>	<b>6.30%</b>	<b>16,516,333,886</b>	<b>6.52%</b>	<b>-564,457,974</b>	<b>-0.22%</b>		
<b>Grand Total</b>		<b>253,346,193,387</b>	<b>100.00%</b>	<b>253,346,193,387</b>	<b>100.00%</b>			

# Monthly Report - Main Market

## Value Traded (by Investor Classification)

### Main Market Value Traded Breakdown - By Investor Classification

Investor Classification	Buy		Sell		Net Value Traded	
	SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Institutional	28,170,096,277	11.12%	22,819,154,122	9.01%	5,350,942,155	2.11%
Non-Institutional	225,176,097,110	88.88%	230,527,039,265	90.99%	-5,350,942,155	-2.11%
<b>Grand Total</b>	<b>253,346,193,387</b>	<b>100.00%</b>	<b>253,346,193,387</b>	<b>100.00%</b>		

## Definitions

### **Institutional:**

Refers to investors with institutional behavior including GREs, Saudi Corporates, Saudi Mutual Funds, Saudi and Non-Saudi DPMs, Saudi Individual Professional Investors (IPI), SWAP Holders, Foreign Strategic Partners and Qualified Foreign Investors (QFI).

### **Non Institutional:**

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.



# Monthly Report - Main Market

## Ownership Value (by Nationality and Investor Type)

### Main Market Ownership Breakdown - By Nationality and Investor Type

Nationality	Investor Type	As of 30 November 2020			As of 31 December 2020			Monthly Change		
		Holding Value (SAR)	Ownership		Holding Value (SAR)	Ownership		Δ Holding Value (SAR)	Ownership	
			Issued (%)	Free Float (%)		Issued (%)	Free Float (%)		Δ Issued (%)	Δ Free Float (%)
Saudi	<b>Individuals:</b>									
	Retail	125,428,111,569	1.35%	9.61%	122,970,637,443	1.35%	9.47%	-2,457,474,126	0.00%	-0.14%
	HNWIs	184,952,775,963	1.99%	13.96%	186,952,656,805	2.05%	14.22%	1,999,880,841	0.07%	0.27%
	IPIs	301,040,306,171	3.24%	16.76%	301,026,942,357	3.31%	16.80%	-13,363,814	0.07%	0.04%
	Individual DPMs	6,191,653,264	0.07%	0.47%	6,196,415,682	0.07%	0.47%	4,762,418	0.00%	0.00%
	<b>Sub Total (Individuals)</b>	<b>617,612,846,967</b>	<b>6.64%</b>	<b>40.81%</b>	<b>617,146,652,286</b>	<b>6.78%</b>	<b>40.97%</b>	<b>-466,194,681</b>	<b>0.14%</b>	<b>0.17%</b>
	<b>Institutions:</b>									
	Corporates	625,466,227,776	6.72%	22.35%	630,011,387,192	6.92%	22.08%	4,545,159,416	0.20%	-0.26%
	Mutual Funds	212,945,924,107	2.29%	11.03%	211,791,012,570	2.33%	11.06%	-1,154,911,537	0.04%	0.03%
	GRE's	7,536,013,700,064	81.02%	6.52%	7,335,982,153,441	80.60%	6.55%	-200,031,546,623	-0.42%	0.03%
	Institution DPMs	51,394,608,566	0.55%	3.93%	52,354,615,682	0.58%	4.02%	960,007,116	0.02%	0.10%
<b>Sub Total (Institutions)</b>	<b>8,425,820,460,514</b>	<b>90.59%</b>	<b>43.82%</b>	<b>8,230,139,168,885</b>	<b>90.43%</b>	<b>43.72%</b>	<b>-195,681,291,628</b>	<b>-0.16%</b>	<b>-0.10%</b>	
<b>Total Saudi Investors</b>	<b>9,043,433,307,481</b>	<b>97.23%</b>	<b>84.62%</b>	<b>8,847,285,821,172</b>	<b>97.21%</b>	<b>84.69%</b>	<b>-196,147,486,309</b>	<b>-0.02%</b>	<b>0.06%</b>	
GCC	Individuals	2,619,054,687	0.03%	0.20%	2,610,602,552	0.03%	0.20%	-8,452,136	0.00%	0.00%
	Institutions	42,867,635,276	0.46%	2.27%	42,704,223,319	0.47%	2.30%	-163,411,957	0.01%	0.03%
	GCC DPMs	263,861,000	0.00%	0.02%	229,178,487	0.00%	0.02%	-34,682,514	0.00%	0.00%
	<b>Total GCC Investors</b>	<b>45,750,550,964</b>	<b>0.49%</b>	<b>2.49%</b>	<b>45,544,004,358</b>	<b>0.50%</b>	<b>2.52%</b>	<b>-206,546,607</b>	<b>0.01%</b>	<b>0.03%</b>
Foreign	SWAP Holders	2,078,666,655	0.02%	0.16%	1,946,912,308	0.02%	0.15%	-131,754,347	0.00%	-0.01%
	Foreign Residents & Others	8,201,028,776	0.09%	0.49%	6,350,957,950	0.07%	0.35%	-1,850,070,826	-0.02%	-0.14%
	QFIs	159,575,432,083	1.72%	12.16%	159,481,339,078	1.75%	12.23%	-94,093,004	0.04%	0.07%
	Foreign DPMs	997,189,251	0.01%	0.08%	746,567,417	0.01%	0.06%	-250,621,834	0.00%	-0.02%
	Strategic Investors	40,844,291,051	0.44%	0.00%	39,811,212,345	0.44%	0.00%	-1,033,078,706	0.00%	0.00%
	<b>Total Foreign Investors</b>	<b>211,696,607,817</b>	<b>2.28%</b>	<b>12.88%</b>	<b>208,336,989,099</b>	<b>2.29%</b>	<b>12.79%</b>	<b>-3,359,618,718</b>	<b>0.01%</b>	<b>-0.09%</b>
<b>Grand Total</b>		<b>9,300,880,466,262</b>	<b>100.00%</b>	<b>100.00%</b>	<b>9,101,166,814,628</b>	<b>100.00%</b>	<b>100.00%</b>	<b>-199,713,651,634</b>		

\* Non deposited shares was not reflected as on 31 December holding value ( Paper Manufacturing Co. 2300 - Corporate Action -Right Issue)

# Monthly Report - Main Market

## Ownership Value (by Investor Classification)

Main Market Ownership Breakdown - By Investor Classification									
Investor Classification	As of 30 November 2020			As of 31 December 2020			Monthly Change		
	Holding Value (SAR)	Ownership		Holding Value (SAR)	Ownership		Δ Holding Value (SAR)	Ownership	
		Issued (%)	FreeFloat (%)		Issued (%)	FreeFloat (%)		Δ Issued (%)	Δ FreeFloat (%)
<b>Institutional</b>	8,981,407,260,991	96.55%	75.74%	8,783,974,618,916	96.50%	75.75%	-197,432,642,075	-0.05%	0.01%
<b>Non-Institutional</b>	319,473,205,271	3.45%	24.26%	317,192,195,713	3.50%	24.25%	-2,281,009,559	0.05%	-0.01%
<b>Grand Total</b>	<b>9,300,880,466,262</b>	<b>100.00%</b>	<b>100.00%</b>	<b>9,101,166,814,628</b>	<b>100.00%</b>	<b>100.00%</b>	<b>-199,713,651,634</b>		

## Definitions

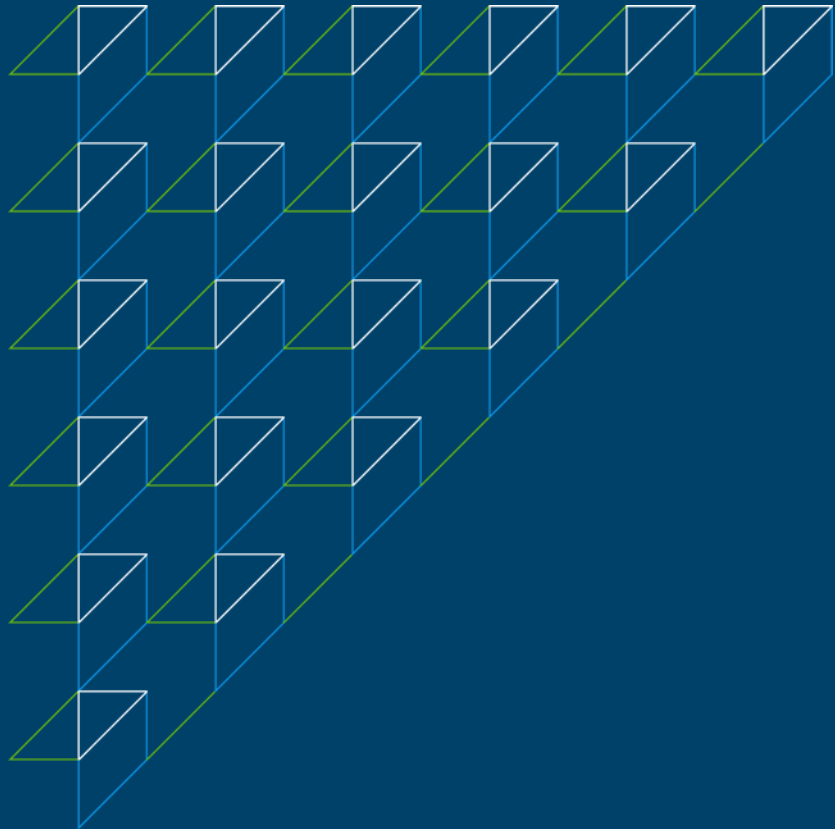
### Institutional:

Refers to investors with institutional behavior including GREs, Saudi Corporates, Saudi Mutual Funds, Saudi and Non-Saudi DPMs, Saudi Individual Professional Investors (IPI), SWAP Holders, Foreign Strategic Partners and Qualified Foreign Investors (QFI).

### Non Institutional:

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.

# Nomu-Parallel Market - Highlights

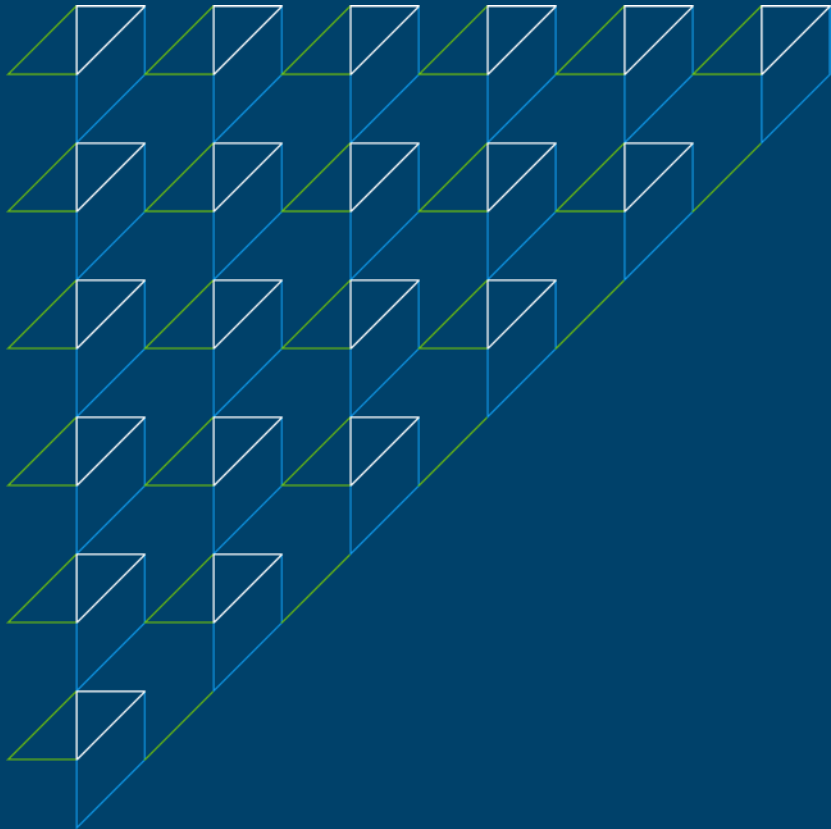


The total value of shares traded for the month ending 31 December 2020 amounted to SAR 994.90 million, increasing by 6.22% over the previous month; while total stock market capitalization reached SAR 12,179.30 million at the end of this period, increasing by 24.49% over the previous month.

- ▶ The total value of shares purchased by “Saudi Investors” during this period amounted to SAR 982.46 million representing 98.75% of total buying activity, and sales of SAR 980.59 million representing 98.56% of total selling activity. Total ownership of “Saudi Investors” stood at 99.08% of total market capitalization as of 31 December 2020, representing an increase of 0.21% from the previous month.
- ▶ The total value of shares purchased by “GCC Investors” during this period amounted to SAR 0.016 million representing 0.002% of total buying activity, and sales of SAR 0.019 million representing 0.002% of total selling activity. Total ownership of “GCC Investors” stood at 0.86% of total market capitalization as of 31 December 2020, representing a decrease of 0.17% from the previous month.
- ▶ The total value of shares purchased by “Foreign Investors” during this period amounted to SAR 12.42 million representing 1.25% of total buying activity, and sales of SAR 14.29 million representing 1.44% of total selling activity. Total ownership of “Foreign Investors” stood at 0.06% of total market capitalization as of 31 December 2020, representing a decrease of 0.04% from the previous month.



# Nomu-Parallel Market - Value Traded & Ownership Breakdown



# Monthly Report – Nomu-Parallel Market

## Value Traded (by Nationality, Investor Type, & Classification)

Nomu-Parallel Market Value Traded Breakdown - By Nationality and Investor Type							
Nationality	Investor Type	Buy		Sell		Net Value Traded	
		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Saudi	Individuals	978,141,393	98.32%	973,577,245	97.86%	4,564,148	0.46%
	Corporates	1,951,403	0.20%	6,818,104	0.69%	-4,866,701	-0.49%
	Institution	2,366,462	0.24%	196,269	0.02%	2,170,194	0.22%
	<b>Total Saudi Investors</b>	<b>982,459,258</b>	<b>98.75%</b>	<b>980,591,618</b>	<b>98.56%</b>	<b>1,867,640</b>	<b>0.19%</b>
GCC	Individuals	16,000	0.00%	19,183	0.00%	-3,183	0.00%
	Institutions	0	0.00%	0	0.00%	0	0.00%
	<b>Total GCC Investors</b>	<b>16,000</b>	<b>0.00%</b>	<b>19,183</b>	<b>0.00%</b>	<b>-3,183</b>	<b>0.00%</b>
Foreign	SWAP Holders	0	0.00%	0	0.00%	0	0.00%
	Foreign Residents	10,319,045	1.04%	12,639,768	1.27%	-2,320,723	-0.23%
	QFIs	2,105,406	0.21%	1,649,140	0.17%	456,266	0.05%
	<b>Total Foreign Investors</b>	<b>12,424,451</b>	<b>1.25%</b>	<b>14,288,909</b>	<b>1.44%</b>	<b>-1,864,457</b>	<b>-0.19%</b>
<b>Grand Total</b>		<b>994,899,709</b>	<b>100.00%</b>	<b>994,899,709</b>	<b>100.00%</b>		

Nomu-Parallel Market Value Traded Breakdown - By Investor Classification						
Investor Classification	Buy		Sell		Net Value Traded	
	SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
<b>Institutional</b>	6,423,271	0.65%	8,663,513	0.87%	-2,240,242	-0.23%
<b>Non-Institutional</b>	988,476,438	99.35%	986,236,196	99.13%	2,240,242	0.23%
<b>Grand Total</b>	<b>994,899,709</b>	<b>100.00%</b>	<b>994,899,709</b>	<b>100.00%</b>		

### Institutional:

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

### Non Institutional:

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.

# Monthly Report – Nomu-Parallel Market

## Ownership Value (by Nationality, Investor Type, & Classification)

Nomu-Parallel Market Ownership Breakdown - By Nationality and Investor Type										
Nationality	Investor Type	As of 30 November 2020			As of 31 December 2020			Monthly Change		
		Holding Value (SAR)	Ownership		Holding Value (SAR)	Ownership		Δ Holding Value (SAR)	Δ Ownership (%)	
			Issued (%)	FreeFloat (%)		Issued (%)	FreeFloat (%)		Δ Issued (%)	Δ FreeFloat (%)
Saudi	Individuals	6,270,698,188	64.09%	69.13%	8,224,417,052	67.53%	69.04%	1,953,718,864	3.43%	-0.09%
	Corporates	3,389,000,712	34.64%	27.52%	3,829,128,187	31.44%	27.71%	440,127,475	-3.20%	0.20%
	Institution	13,910,642	0.14%	0.26%	14,296,616	0.12%	0.26%	385,973	-0.02%	0.00%
	<b>Total Saudi Investors</b>	<b>9,673,609,543</b>	<b>98.88%</b>	<b>96.90%</b>	<b>12,067,841,855</b>	<b>99.08%</b>	<b>97.01%</b>	<b>2,394,232,312</b>	<b>0.21%</b>	<b>0.10%</b>
GCC	Individuals	3,354,872	0.03%	0.09%	3,490,758	0.03%	0.09%	135,886	-0.01%	0.00%
	Institutions	97,459,452	1.00%	2.74%	101,189,182	0.83%	2.72%	3,729,729	-0.17%	-0.03%
	<b>Total GCC Investors</b>	<b>100,814,324</b>	<b>1.03%</b>	<b>2.84%</b>	<b>104,679,940</b>	<b>0.86%</b>	<b>2.81%</b>	<b>3,865,615</b>	<b>-0.17%</b>	<b>-0.03%</b>
Foreign	SWAP Holders	0	0.00%	0.00%	0	0.00%	0.00%	0	0.00%	0.00%
	Foreign Residents	8,126,595	0.08%	0.23%	6,314,181	0.05%	0.17%	-1,812,415	-0.03%	-0.06%
	QFIs	949,538	0.01%	0.03%	464,025	0.00%	0.01%	-485,513	-0.01%	-0.01%
	<b>Total Foreign Investors</b>	<b>9,076,133</b>	<b>0.09%</b>	<b>0.26%</b>	<b>6,778,206</b>	<b>0.06%</b>	<b>0.18%</b>	<b>-2,297,927</b>	<b>-0.04%</b>	<b>-0.07%</b>
<b>Grand Total</b>		<b>9,783,500,000</b>	<b>100.00%</b>	<b>100.00%</b>	<b>12,179,300,000</b>	<b>100.00%</b>	<b>100.00%</b>	<b>2,395,800,000</b>		

Nomu-Parallel Market Ownership Breakdown - By Investor Classification									
Investor Classification	As of 30 November 2020			As of 31 December 2020			Monthly Change		
	Holding Value (SAR)	Ownership (%)		Holding Value (SAR)	Ownership (%)		Δ Holding Value (SAR)	Ownership	
		Issued (%)	FreeFloat (%)		Issued (%)	FreeFloat (%)		Δ Issued (%)	Δ FreeFloat (%)
<b>Institutional</b>	3,501,320,345	35.79%	30.55%	3,945,078,009	32.39%	30.70%	443,757,665	-3.40%	0.15%
<b>Non-Institutional</b>	6,282,179,655	64.21%	69.45%	8,234,221,991	67.61%	69.30%	1,952,042,335	3.40%	-0.15%
<b>Grand Total</b>	<b>9,783,500,000</b>	<b>100%</b>	<b>100%</b>	<b>12,179,300,000</b>	<b>100%</b>	<b>100%</b>	<b>2,395,800,000</b>		

### Institutional:

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

### Non Institutional:

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.

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Thank you

