

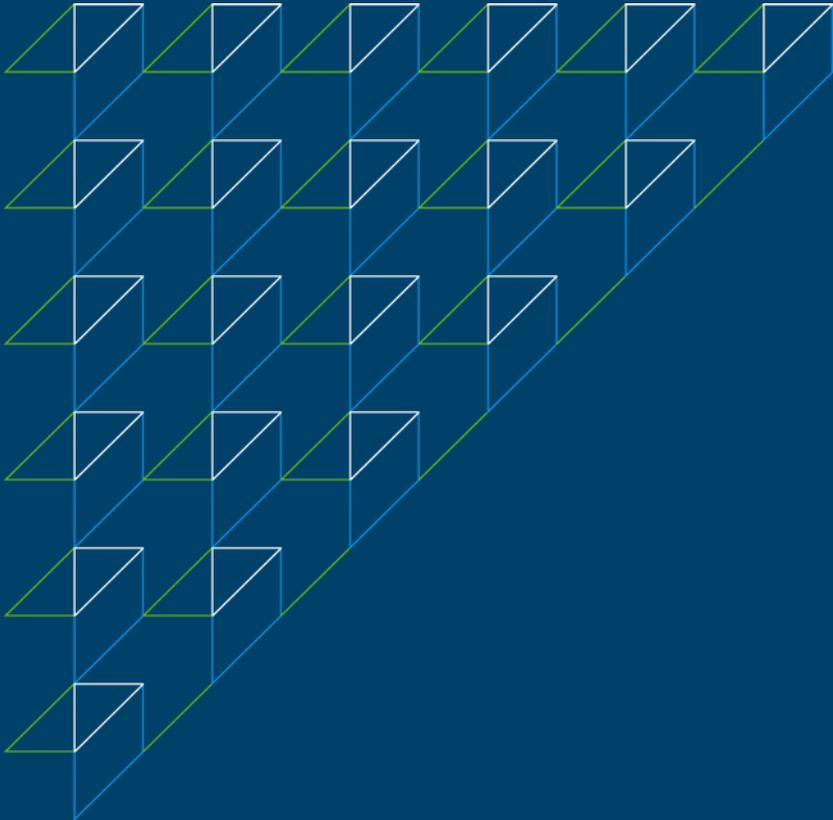
Weekly Stock Market Ownership and Trading Activity Report

Market Reports

Week Ending 3 November 2016



Definitions

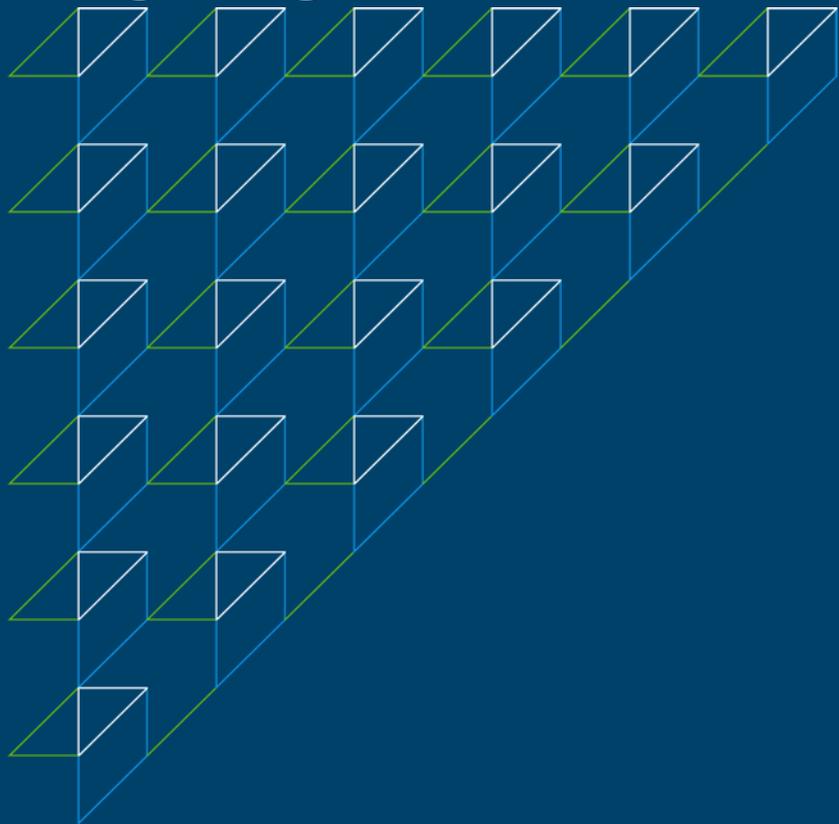


Weekly Stock Market Ownership and Trading Activity Report

Definitions

Nationality	Investor Type	Description
Saudi	Individuals	Saudi Individuals have been classified under 4 categories as defined below:
	- Retail	Retail investors are Saudi individuals , excluding IPIs (see below) and HNWIs.
	- High Net Worth Investors (HNWIs)	HNWIs are Saudi individuals who have had an average portfolio size of SAR 1m (and above) for the preceding 12 months, excluding IPIs (see below).
	- Individual Professional Investors (IPIs)	IPIs are Saudi individuals who have had an average portfolio size of SAR 50m (and above) for the preceding 12 months and a portfolio turnover ratio of not more than 4 times annually.
	- Individual DPMs	Saudi individual investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	Institutions	Saudi Institutions have been classified under 4 categories as defined below:
	- Corporates	Saudi company with a commercial registration permitting its investment in the stock market .
	- Mutual Funds	A mutual fund managed by an Authorized Person and licensed by the CMA.
	- Government Related Entities (GREs)	A Government entity or a supranational authority recognized by the CMA.
- Institutional DPMs	Saudi institutional investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).	
GCC	Individuals	GCC natural person
	Institutions	GCC legal person with a commercial registration certificate (corporate or mutual fund), in addition to GCC government entities.
	GCC DPMs	GCC investment account (institutional or individual) in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
Foreign	SWAP Holders	Authorized Person with the permission to enter into SWAP agreements to transfer the economic benefits of its ownership of shares in Saudi companies listed on the Saudi Stock Exchange to its non-resident foreign clients.
	Foreign Residents	Foreign investors domiciled in the Kingdom with a valid residency permit (Iqama) (excluding GCC nationals).
	QFIs	Qualified Foreign Institutional investors registered with the CMA in accordance with the QFI Rules, to invest in shares listed on the Saudi Stock Exchange.
	Foreign DPMs	Foreign resident investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	Strategic Partners	Foreign investors considered founders and partners of Saudi listed companies.

Highlights



Weekly Stock Market Ownership and Trading Activity Report

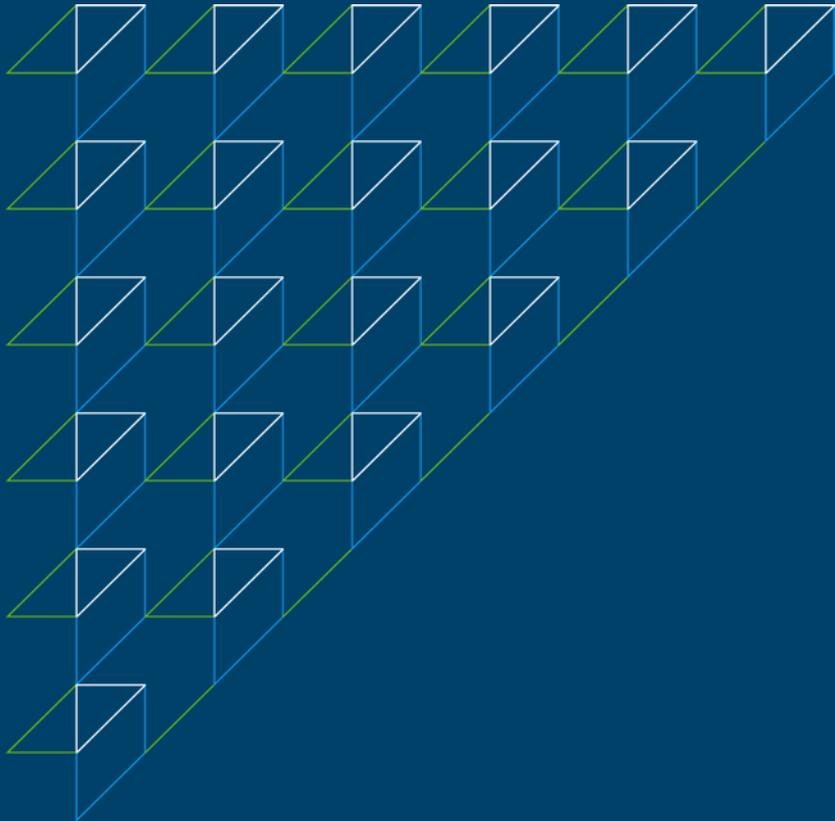
Highlights

The total value of shares traded for the week ending 3 November 2016 amounted to SAR 16.17 billion, increasing by 0.28% over the previous week; while total stock market capitalization reached SAR 1,428.83 billion at the end of this period, increasing by 1.65% over the previous week.

- ▶ The total value of shares purchased by “Saudi Investors” during this period amounted to SAR 15.13 billion representing 93.60% of total buying activity, and sales of SAR 14.87 billion representing 92.00% of total selling activity. Total ownership of “Saudi Investors” stood at 93.52% of total market capitalization as of 3 November 2016, representing a decrease of 0.01% from the previous week.
- ▶ The total value of shares purchased by “GCC Investors” during this period amounted to SAR 0.194 billion representing 1.20% of total buying activity, and sales of SAR 0.659 billion representing 4.07% of total selling activity. Total ownership of “GCC Investors” stood at 2.33% of total market capitalization as of 3 November 2016, representing a decrease of 0.01% from the previous week.
- ▶ The total value of shares purchased by “Foreign Investors” during this period amounted to SAR 0.841 billion representing 5.20% of total buying activity, and sales of SAR 0.636 billion representing 3.93% of total selling activity. Total ownership of “Foreign Investors” stood at 4.16% of total market capitalization as of 3 November 2016, representing an increase of 0.02% from the previous week.



Stock Market Value Traded Breakdown



Weekly Stock Market Ownership and Trading Activity Report

Value Traded (by Nationality and Investor Type)

Stock Market Traded Value Breakdown - By Nationality and Investor Type

Nationality	Investor Type	Buy		Sell		Net Value Traded	
		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Saudi	Individuals:						
	Retail	5,990,035,455	37.05%	7,009,742,435	43.35%	-1,019,706,980	-6.31%
	HNWIs	4,268,544,869	26.40%	4,869,124,064	30.11%	-600,579,195	-3.71%
	IPIs	682,039,891	4.22%	756,715,289	4.68%	-74,675,399	-0.46%
	Individual DPMs	121,514,153	0.75%	168,182,636	1.04%	-46,668,483	-0.29%
	Sub Total (Individuals)	11,062,134,367	68.42%	12,803,764,424	79.19%	-1,741,630,058	-10.77%
	Institutions:						
	Corporates	1,044,189,768	6.46%	750,904,524	4.64%	293,285,244	1.81%
	Mutual Funds	2,777,519,849	17.18%	814,427,488	5.04%	1,963,092,361	12.14%
	GRE's	12,453,558	0.08%	61,705,324	0.38%	-49,251,765	-0.30%
	Institution DPMs	237,532,794	1.47%	443,466,776	2.74%	-205,933,982	-1.27%
	Sub Total (Institutions)	4,071,695,968	25.18%	2,070,504,112	12.81%	2,001,191,857	12.38%
	Total Saudi Investors	15,133,830,335	93.60%	14,874,268,536	92.00%	259,561,799	1.61%
GCC	Individuals	29,261,379	0.18%	78,381,873	0.48%	-49,120,494	-0.30%
	Institutions	156,193,576	0.97%	578,800,732	3.58%	-422,607,156	-2.61%
	GCC DPMs	8,398,716	0.05%	1,561,654	0.01%	6,837,062	0.04%
	Total GCC Investors	193,853,671	1.20%	658,744,259	4.07%	-464,890,588	-2.88%
Foreign	SWAP Holders	598,585,631	3.70%	315,546,687	1.95%	283,038,944	1.75%
	Foreign Residents	207,378,093	1.28%	246,644,714	1.53%	-39,266,622	-0.24%
	QFIs	34,873,553	0.22%	73,317,086	0.45%	-38,443,533	-0.24%
	Foreign DPMs	0	0.00%	0	0.00%	0	0.00%
	Strategic Partners	0	0.00%	0	0.00%	0	0.00%
	Total Foreign Investors	840,837,277	5.20%	635,508,487	3.93%	205,328,789	1.27%
Grand Total		16,168,521,282	100.00%	16,168,521,282	100.00%		

Weekly Stock Market Ownership and Trading Activity Report

Value Traded (by Investor Classification)

Stock Market Traded Value Breakdown - By Investor Classification

Investor Classification	Buy		Sell		Net Value Traded	
	SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Institutional	5,673,301,487	35.09%	3,964,628,196	24.52%	1,708,673,291	10.57%
Non-Institutional	10,495,219,795	64.91%	12,203,893,086	75.48%	-1,708,673,291	-10.57%
Grand Total	16,168,521,282	100.00%	16,168,521,282	100.00%		

Definitions

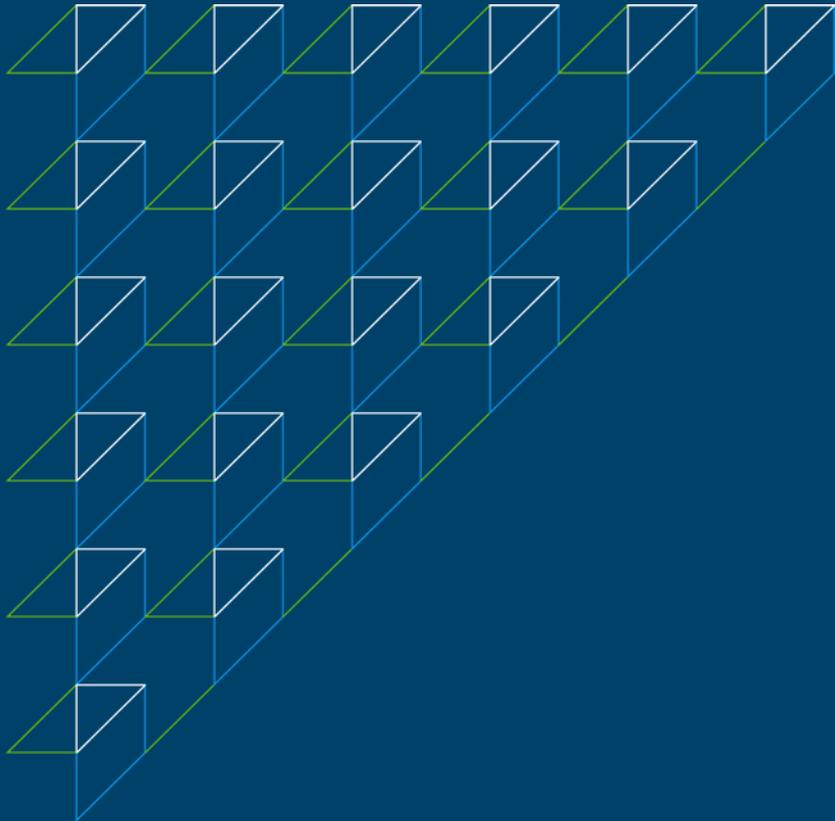
Institutional:

Refers to investors with institutional behavior including GREs, Saudi Corporates, Saudi Mutual Funds, Saudi and Non-Saudi DPMs, Saudi Individual Professional Investors (IPI), SWAP Holders, Foreign Strategic Partners and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.

Stock Market Ownership Breakdown



Weekly Stock Market Ownership and Trading Activity Report

Ownership Value (by Nationality and Investor Type)

Stock Market Ownership Breakdown - By Nationality and Investor Type

Nationality	Investor Type	As of 27 October 2016		As of 3 November 2016		Weekly Change		
		Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)	
Saudi	Individuals:							
	Retail	65,785,368,054	4.68%	65,536,325,646	4.59%	-249,042,409	-0.09%	
	HNWIs	114,264,730,649	8.13%	116,946,765,648	8.18%	2,682,034,999	0.06%	
	IPIs	229,714,150,138	16.34%	232,937,906,511	16.30%	3,223,756,373	-0.04%	
	Individual DPMS	4,273,149,269	0.30%	4,236,459,428	0.30%	-36,689,841	-0.01%	
	Sub Total (Individuals)	414,037,398,111	29.46%	419,657,457,233	29.37%	5,620,059,122	-0.08%	
	Institutions:							
	Corporates	244,353,453,633	17.38%	247,922,892,283	17.35%	3,569,438,651	-0.03%	
	Mutual Funds	44,679,482,464	3.18%	47,521,776,103	3.33%	2,842,293,640	0.15%	
	GRE's	572,222,993,931	40.71%	581,130,864,410	40.67%	8,907,870,479	-0.04%	
	Institution DPMS	39,363,707,079	2.80%	39,944,680,486	2.80%	580,973,407	0.00%	
	Sub Total (Institutions)	900,619,637,106	64.07%	916,520,213,282	64.14%	15,900,576,176	0.07%	
	Total Saudi Investors	1,314,657,035,216	93.53%	1,336,177,670,515	93.52%	21,520,635,299	-0.01%	
GCC	Individuals	2,665,890,546	0.19%	2,649,706,117	0.19%	-16,184,429	0.00%	
	Institutions	29,607,340,642	2.11%	29,989,993,264	2.10%	382,652,623	-0.01%	
	GCC DPMS	596,287,269	0.04%	620,827,134	0.04%	24,539,865	0.00%	
	Total GCC Investors	32,869,518,457	2.34%	33,260,526,515	2.33%	391,008,059	-0.01%	
Foreign	SWAP Holders	14,472,860,424	1.03%	15,077,826,248	1.06%	604,965,824	0.03%	
	Foreign Residents	3,849,972,851	0.27%	3,887,929,379	0.27%	37,956,527	0.00%	
	QFIs	1,304,299,485	0.09%	1,282,123,828	0.09%	-22,175,657	0.00%	
	Foreign DPMS	11,517,622	0.00%	11,891,892	0.00%	374,269	0.00%	
	Strategic Partners	38,461,658,453	2.74%	39,131,570,144	2.74%	669,911,692	0.00%	
	Total Foreign Investors	58,100,308,835	4.13%	59,391,341,490	4.16%	1,291,032,655	0.02%	
Grand Total		1,405,626,862,508	100.00%	1,428,829,538,521	100.00%	23,202,676,012		

Weekly Stock Market Ownership and Trading Activity Report

Ownership Value (by Investor Classification)

Stock Market Ownership Breakdown - By Investor Classification						
Investor Classification	As of 27 October 2016		As of 3 November 2016		Weekly Change	
	Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
Institutional	1,219,060,900,407	86.73%	1,239,808,811,731	86.77%	20,747,911,324	0.04%
Non-Institutional	186,565,962,101	13.27%	189,020,726,789	13.23%	2,454,764,688	-0.04%
Grand Total	1,405,626,862,508	100.00%	1,428,829,538,521	100.00%	23,202,676,012	

Definitions

Institutional:

Refers to investors with institutional behavior including GREs, Saudi Corporates, Saudi Mutual Funds, Saudi and Non-Saudi DPMs, Saudi Individual Professional Investors (IPI), SWAP Holders, Foreign Strategic Partners and Qualified Foreign Investors (QFI).

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Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.

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Thank you

