

Weekly Stock Market Ownership and Trading Activity Report

Market Reports

Week Ending 29 November 2018



Definitions



Weekly Stock Market Ownership and Trading Activity Report

Definitions

Nationality	Investor Type	Description
Saudi	Individuals*	Saudi Individuals have been classified under 4 categories as defined below:
	- Retail	Retail investors are Saudi individuals , excluding IPIs (see below) and HNWIs .
	- High Net Worth Investors (HNWIs)	HNWIs are Saudi individuals who have had an average portfolio size of SAR 1m (and above) for the preceding 12 months, excluding IPIs (see below).
	- Individual Professional Investors (IPIs)	IPIs are Saudi individuals who have had an average portfolio size of SAR 50m (and above) for the preceding 12 months and a portfolio turnover ratio of not more than 4 times annually.
	- Individual DPMs	Saudi individual investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	Institutions	Saudi Institutions have been classified under 4 categories as defined below:
	- Corporates	Saudi company with a commercial registration permitting its investment in the stock market .
	- Mutual Funds	A mutual fund managed by an Authorized Person and licensed by the CMA.
- Government Related Entities (GREs)	A Government entity or a supranational authority recognized by the CMA.	
- Institutional DPMs	Saudi institutional investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).	
GCC	Individuals*	GCC natural person
	Institutions	GCC legal person with a commercial registration certificate (corporate or mutual fund), in addition to GCC government entities.
	GCC DPMs	GCC investment account (institutional or individual) in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
Foreign	SWAP Holders	Authorized Person with the permission to enter into SWAP agreements to transfer the economic benefits of its ownership of shares in Saudi companies listed on the Saudi Stock Exchange to its non-resident foreign clients.
	Foreign Residents*	Foreign investors domiciled in the Kingdom with a valid residency permit (Iqama) (excluding GCC nationals).
	QFIs	Qualified Foreign Institutional investors registered with the CMA in accordance with the QFI Rules, to invest in shares listed on the Saudi Stock Exchange.
	Foreign DPMs	Foreign investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	Strategic Partners	Foreign investors considered founders and partners of Saudi listed companies.

*Nomu-Parallel Market Individual Investors

Individuals who fulfill any of the following:

- Conducted transactions not less than SAR 40m, and not less than 10 transaction in each quarter during last 12 months.
- Have an average portfolio size of SAR 10m for the preceding 12 months
- Hold General Securities Qualification Certificate which is recognized by the Authority.

Main Market - Value Traded & Ownership Breakdown



Weekly Report - Main Market

Value Traded (by Nationality and Investor Type)

Main Market Value Traded Breakdown - By Nationality and Investor Type							
Nationality	Investor Type	Buy		Sell		Net Value Traded	
		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Saudi	Individuals:						
	Retail	6,740,200,335	43.63%	8,550,585,109	55.35%	-1,810,384,774	-11.72%
	HNWIs	4,001,820,922	25.90%	3,752,454,225	24.29%	249,366,697	1.61%
	IPIs	317,571,523	2.06%	446,413,197	2.89%	-128,841,674	-0.83%
	Individual DPMs	175,397,566	1.14%	215,811,421	1.40%	-40,413,855	-0.26%
	Sub Total (Individuals)	11,234,990,347	72.72%	12,965,263,952	83.92%	-1,730,273,606	-11.20%
	Institutions:						
	Corporates	2,109,007,831	13.65%	1,041,091,707	6.74%	1,067,916,123	6.91%
	Mutual Funds	768,513,404	4.97%	267,109,490	1.73%	501,403,913	3.25%
	GRE's	0	0.00%	0	0.00%	0	0.00%
	Institution DPMs	254,407,164	1.65%	119,142,704	0.77%	135,264,460	0.88%
	Sub Total (Institutions)	3,131,928,399	20.27%	1,427,343,902	9.24%	1,704,584,497	11.03%
Total Saudi Investors	14,366,918,745	92.99%	14,392,607,854	93.16%	-25,689,109	-0.17%	
GCC	Individuals	27,911,065	0.18%	61,640,195	0.40%	-33,729,130	-0.22%
	Institutions	117,055,820	0.76%	261,066,715	1.69%	-144,010,896	-0.93%
	GCC DPMs	78,458	0.00%	0	0.00%	78,458	0.00%
	Total GCC Investors	145,045,342	0.94%	322,706,910	2.09%	-177,661,568	-1.15%
Foreign	SWAP Holders	242,774,433	1.57%	227,196,253	1.47%	15,578,179	0.10%
	Foreign Residents	176,242,179	1.14%	202,556,218	1.31%	-26,314,039	-0.17%
	QFIs	518,239,688	3.35%	304,077,392	1.97%	214,162,296	1.39%
	Foreign DPMs	162,657	0.00%	238,416	0.00%	-75,759	0.00%
	Strategic Partners	0	0.00%	0	0.00%	0	0.00%
	Total Foreign Investors	937,418,956	6.07%	734,068,279	4.75%	203,350,677	1.32%
Grand Total		15,449,383,043	100.00%	15,449,383,043	100.00%		

Weekly Report - Main Market

Value Traded (by Investor Classification)

Main Market Value Traded Breakdown - By Investor Classification						
Investor Classification	Buy		Sell		Net Value Traded	
	SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Institutional	4,503,208,542	29.15%	2,882,147,296	18.66%	1,621,061,246	10.49%
Non-Institutional	10,946,174,501	70.85%	12,567,235,747	81.34%	-1,621,061,246	-10.49%
Grand Total	15,449,383,043	100.00%	15,449,383,043	100.00%		

Definitions

Institutional:

Refers to investors with institutional behavior including GREs, Saudi Corporates, Saudi Mutual Funds, Saudi and Non-Saudi DPMs, Saudi Individual Professional Investors (IPI), SWAP Holders, Foreign Strategic Partners and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.

Weekly Report - Main Market

Ownership Value (by Nationality and Investor Type)

Main Market Ownership Breakdown - By Nationality and Investor Type

Nationality	Investor Type	As of 22 November 2018		As of 29 November 2018		Weekly Change		
		Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)	
Saudi	Individuals:							
	Retail	72,070,831,408	4.00%	73,922,236,065	4.06%	1,851,404,656	0.05%	
	HNWIs	140,321,746,444	7.80%	142,030,427,556	7.79%	1,708,681,112	0.00%	
	IPIs	245,315,225,617	13.63%	247,837,505,897	13.60%	2,522,280,281	-0.03%	
	Individual DPMs	4,996,639,945	0.28%	4,997,020,414	0.27%	380,470	0.00%	
	Sub Total (Individuals)	462,704,443,413	25.70%	468,787,189,933	25.73%	6,082,746,519	0.02%	
	Institutions:							
	Corporates	280,482,024,002	15.58%	283,899,426,933	15.58%	3,417,402,931	0.00%	
	Mutual Funds	87,216,522,742	4.85%	88,344,811,234	4.85%	1,128,288,492	0.00%	
	GRE's	721,991,764,192	40.11%	730,934,622,691	40.11%	8,942,858,498	0.00%	
	Institution DPMs	127,548,875,611	7.09%	128,718,532,498	7.06%	1,169,656,888	-0.02%	
	Sub Total (Institutions)	1,217,239,186,547	67.62%	1,231,897,393,356	67.60%	14,658,206,810	-0.02%	
	Total Saudi Investors	1,679,943,629,960	93.33%	1,700,684,583,289	93.33%	20,740,953,329	0.01%	
GCC	Individuals	2,787,429,945	0.15%	2,816,625,718	0.15%	29,195,773	0.00%	
	Institutions	32,277,703,841	1.79%	32,850,166,491	1.80%	572,462,651	0.01%	
	GCC DPMs	816,172,479	0.05%	817,754,689	0.04%	1,582,209	0.00%	
	Total GCC Investors	35,881,306,265	1.99%	36,484,546,898	2.00%	603,240,633	0.01%	
Foreign	SWAP Holders	9,212,318,348	0.51%	9,105,637,846	0.50%	-106,680,502	-0.01%	
	Foreign Residents	3,179,438,221	0.18%	3,251,249,649	0.18%	71,811,428	0.00%	
	QFIs	12,426,965,057	0.69%	12,864,465,981	0.71%	437,500,924	0.02%	
	Foreign DPMs	3,647,256,529	0.20%	3,859,301,545	0.21%	212,045,016	0.01%	
	Strategic Partners	55,805,698,426	3.10%	55,961,283,548	3.07%	155,585,122	-0.03%	
	Total Foreign Investors	84,271,676,581	4.68%	85,041,938,569	4.67%	770,261,988	-0.01%	
Grand Total		1,800,096,612,806	100.00%	1,822,211,068,756	100.00%	22,114,455,950		

Weekly Report - Main Market

Ownership Value (by Investor Classification)

Main Market Ownership Breakdown - By Investor Classification						
Investor Classification	As of 22 November 2018		As of 29 November 2018		Weekly Change	
	Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
Institutional	1,581,737,166,788	87.87%	1,600,190,529,768	87.82%	18,453,362,981	-0.05%
Non-Institutional	218,359,446,018	12.13%	222,020,538,988	12.18%	3,661,092,969	0.05%
Grand Total	1,800,096,612,806	100.00%	1,822,211,068,756	100.00%	22,114,455,950	

Definitions

Institutional:

Refers to investors with institutional behavior including GREs, Saudi Corporates, Saudi Mutual Funds, Saudi and Non-Saudi DPMs, Saudi Individual Professional Investors (IPI), SWAP Holders, Foreign Strategic Partners and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.

Nomu-Parallel Market - Value Traded & Ownership Breakdown



Weekly Report – Nomu-Parallel Market

Value Traded (by Nationality, Investor Type, & Classification)

Nomu-Parallel Market Value Traded Breakdown - By Nationality and Investor Type

Nationality	Investor Type	Buy		Sell		Net Value Traded	
		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Saudi	Individuals	5,421,427	90.28%	4,737,698	78.89%	683,729	11.39%
	Corporates	146,078	2.43%	41,948	0.70%	104,130	1.73%
	Institution	0	0.00%	1,049,735	17.48%	-1,049,735	-17.48%
	Total Saudi Investors	5,567,505	92.71%	5,829,380	97.07%	-261,876	-4.36%
GCC	Individuals	0	0.00%	0	0.00%	0	0.00%
	Institutions	62,400	1.04%	127,150	2.12%	-64,750	-1.08%
	Total GCC Investors	62,400	1.04%	127,150	2.12%	-64,750	-1.08%
Foreign	SWAP Holders	0	0.00%	0	0.00%	0	0.00%
	Foreign Residents	213,920	3.56%	48,652	0.81%	165,268	2.75%
	QFIs	161,358	2.69%	0	0.00%	161,358	2.69%
	Total Foreign Investors	375,278	6.25%	48,652	0.81%	326,626	5.44%
Grand Total		6,005,182	100.00%	6,005,182	100.00%		

Nomu-Parallel Market Value Traded Breakdown - By Investor Classification

Investor Classification	Buy		Sell		Net Value Traded	
	SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Institutional	369,836	6.16%	1,218,833	20.30%	-848,997	-14.14%
Non-Institutional	5,635,347	93.84%	4,786,350	79.70%	848,997	14.14%
Grand Total	6,005,182	100.00%	6,005,182	100.00%		

Institutional:

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.

Weekly Report – Nomu-Parallel Market

Ownership Value (by Nationality, Investor Type, & Classification)

Nomu-Parallel Market Ownership Breakdown - By Nationality and Investor Type							
Nationality	Investor Type	As of 22 November 2018		As of 29 November 2018		Weekly Change	
		Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
Saudi	Individuals	1,940,508,952	91.69%	1,904,875,344	91.74%	-35,633,608	0.05%
	Corporates	113,259,618	5.35%	109,571,651	5.28%	-3,687,967	-0.07%
	Institution	35,275,003	1.67%	33,411,085	1.61%	-1,863,918	-0.06%
	Total Saudi Investors	2,089,043,573	98.71%	2,047,858,081	98.63%	-41,185,492	-0.08%
GCC	Individuals	0	0.00%	0	0.00%	0	0.00%
	Institutions	13,374,385	0.63%	14,063,949	0.68%	689,564	0.05%
	Total GCC Investors	13,374,385	0.63%	14,063,949	0.68%	689,564	0.05%
Foreign	SWAP Holders	182,168	0.01%	169,970	0.01%	-12,198	0.00%
	Foreign Residents	2,147,636	0.10%	2,329,162	0.11%	181,526	0.01%
	QFIs	11,568,439	0.55%	11,940,038	0.58%	371,599	0.03%
	Total Foreign Investors	13,898,243	0.66%	14,439,170	0.70%	540,928	0.04%
Grand Total		2,116,316,200	100.00%	2,076,361,200	100.00%	-39,955,000	

Nomu-Parallel Market Ownership Breakdown - By Investor Classification						
Investor Classification	As of 22 November 2018		As of 29 November 2018		Weekly Change	
	Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
Institutional	173,659,612	8.21%	169,156,694	8.15%	-4,502,918	-0.06%
Non-Institutional	1,942,656,588	91.79%	1,907,204,506	91.85%	-35,452,082	0.06%
Grand Total	2,116,316,200	100.00%	2,076,361,200	100.00%	-39,955,000	

Institutional:

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.

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Saudi Stock Exchange

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Tadawul

Thank you

