

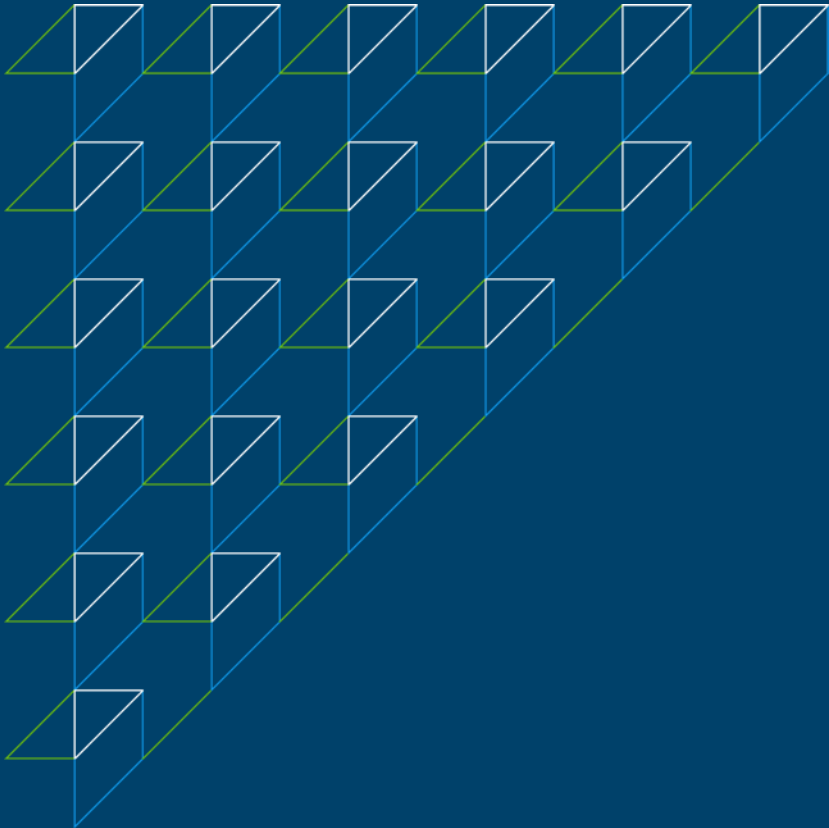
Weekly Stock Market Ownership and Trading Activity Report

Market Reports

Week Ending 14 December 2017



Definitions



Weekly Stock Market Ownership and Trading Activity Report

Definitions

Nationality	Investor Type	Description
Saudi	Individuals*	Saudi Individuals have been classified under 4 categories as defined below:
	- Retail	Retail investors are Saudi individuals , excluding IPIs (see below) and HNWIs .
	- High Net Worth Investors (HNWIs)	HNWIs are Saudi individuals who have had an average portfolio size of SAR 1m (and above) for the preceding 12 months, excluding IPIs (see below).
	- Individual Professional Investors (IPIs)	IPIs are Saudi individuals who have had an average portfolio size of SAR 50m (and above) for the preceding 12 months and a portfolio turnover ratio of not more than 4 times annually.
	- Individual DPMs	Saudi individual investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	Institutions	Saudi Institutions have been classified under 4 categories as defined below:
	- Corporates	Saudi company with a commercial registration permitting its investment in the stock market .
	- Mutual Funds	A mutual fund managed by an Authorized Person and licensed by the CMA.
	- Government Related Entities (GREs)	A Government entity or a supranational authority recognized by the CMA.
	- Institutional DPMs	Saudi institutional investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
GCC	Individuals*	GCC natural person
	Institutions	GCC legal person with a commercial registration certificate (corporate or mutual fund), in addition to GCC government entities.
	GCC DPMs	GCC investment account (institutional or individual) in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
Foreign	SWAP Holders	Authorized Person with the permission to enter into SWAP agreements to transfer the economic benefits of its ownership of shares in Saudi companies listed on the Saudi Stock Exchange to its non-resident foreign clients.
	Foreign Residents*	Foreign investors domiciled in the Kingdom with a valid residency permit (Iqama) (excluding GCC nationals).
	QFIs	Qualified Foreign Institutional investors registered with the CMA in accordance with the QFI Rules, to invest in shares listed on the Saudi Stock Exchange.
	Foreign DPMs	Foreign resident investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	Strategic Partners	Foreign investors considered founders and partners of Saudi listed companies.

*Nomu-Parallel
Market Individual
Investors

Individuals who fulfill any of the following:

- Conducted transactions not less than SAR 40m, and not less than 10 transaction in each quarter during last 12 months.
- Have an average portfolio size of SAR 10m for the preceding 12 months
- Hold General Securities Qualification Certificate which is recognized by the Authority.

Main Market - Value Traded & Ownership Breakdown



Weekly Report - Main Market

Value Traded (by Nationality and Investor Type)

Main Market Value Traded Breakdown - By Nationality and Investor Type

Nationality	Investor Type	Buy		Sell		Net Value Traded	
		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Saudi	Individuals:						
	Retail	11,131,359,734	48.58%	12,020,156,677	52.46%	-888,796,944	-3.88%
	HNWIs	7,549,208,460	32.95%	7,630,890,529	33.30%	-81,682,070	-0.36%
	IPIs	319,473,025	1.39%	618,272,697	2.70%	-298,799,673	-1.30%
	Individual DPMs	156,200,619	0.68%	143,574,698	0.63%	12,625,921	0.06%
	Sub Total (Individuals)	19,156,241,837	83.60%	20,412,894,602	89.09%	-1,256,652,765	-5.48%
	Institutions:						
	Corporates	1,909,085,171	8.33%	769,669,418	3.36%	1,139,415,754	4.97%
	Mutual Funds	709,354,198	3.10%	455,093,169	1.99%	254,261,029	1.11%
	GRE's	581,590	0.00%	0	0.00%	581,590	0.00%
	Institution DPMs	121,509,736	0.53%	205,610,123	0.90%	-84,100,387	-0.37%
	Sub Total (Institutions)	2,740,530,696	11.96%	1,430,372,710	6.24%	1,310,157,986	5.72%
Total Saudi Investors	21,896,772,533	95.56%	21,843,267,312	95.33%	53,505,221	0.23%	
GCC	Individuals	46,237,812	0.20%	38,292,595	0.17%	7,945,217	0.03%
	Institutions	236,667,038	1.03%	205,364,841	0.90%	31,302,198	0.14%
	GCC DPMs	2,261,894	0.01%	1,351,101	0.01%	910,792	0.00%
	Total GCC Investors	285,166,744	1.24%	245,008,536	1.07%	40,158,207	0.18%
Foreign	SWAP Holders	113,596,839	0.50%	113,013,026	0.49%	583,814	0.00%
	Foreign Residents	426,700,018	1.86%	447,063,234	1.95%	-20,363,216	-0.09%
	QFIs	155,773,349	0.68%	136,176,608	0.59%	19,596,742	0.09%
	Foreign DPMs	35,878,972	0.16%	129,359,739	0.56%	-93,480,768	-0.41%
	Strategic Partners	0	0.00%	0	0.00%	0	0.00%
Total Foreign Investors	731,949,178	3.19%	825,612,606	3.60%	-93,663,428	-0.41%	
Grand Total		22,913,888,454	100.00%	22,913,888,454	100.00%		

Weekly Report - Main Market

Value Traded (by Investor Classification)

Main Market Value Traded Breakdown - By Investor Classification						
Investor Classification	Buy		Sell		Net Value Traded	
	SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Institutional	3,760,382,431	16.41%	2,777,485,419	12.12%	982,897,012	4.29%
Non-Institutional	19,153,506,023	83.59%	20,136,403,035	87.88%	-982,897,012	-4.29%
Grand Total	22,913,888,454	100.00%	22,913,888,454	100.00%		

Definitions

Institutional:

Refers to investors with institutional behavior including GREs, Saudi Corporates, Saudi Mutual Funds, Saudi and Non-Saudi DPMs, Saudi Individual Professional Investors (IPI), SWAP Holders, Foreign Strategic Partners and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.

Weekly Report - Main Market

Ownership Value (by Nationality and Investor Type)

Main Market Ownership Breakdown - By Nationality and Investor Type							
Nationality	Investor Type	As of 7 December2017		As of 14 December2017		Weekly Change	
		Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
Saudi	Individuals:						
	Retail	70,503,706,438	4.21%	72,202,605,030	4.35%	1,698,898,592	0.14%
	HNWIs	136,194,815,873	8.14%	136,984,020,981	8.26%	789,205,108	0.12%
	IPIs	241,148,242,657	14.41%	239,241,638,810	14.42%	-1,906,603,847	0.01%
	Individual DPMs	4,325,745,606	0.26%	4,339,166,054	0.26%	13,420,447	0.00%
	Sub Total (Individuals)	452,172,510,575	27.02%	452,767,430,874	27.29%	594,920,300	0.27%
	Institutions:						
	Corporates	271,158,996,632	16.20%	269,793,539,244	16.26%	-1,365,457,388	0.06%
	Mutual Funds	171,124,028,127	10.23%	163,990,809,952	9.88%	-7,133,218,175	-0.34%
	GRE's	626,930,664,887	37.46%	621,175,843,771	37.43%	-5,754,821,117	-0.03%
	Institution DPMs	48,527,401,504	2.90%	48,247,386,488	2.91%	-280,015,016	0.01%
	Sub Total (Institutions)	1,117,741,091,151	66.79%	1,103,207,579,455	66.48%	-14,533,511,696	-0.31%
Total Saudi Investors	1,569,913,601,725	93.81%	1,555,975,010,329	93.77%	-13,938,591,396	-0.04%	
GCC	Individuals	2,749,802,699	0.16%	2,798,915,989	0.17%	49,113,290	0.00%
	Institutions	30,895,759,657	1.85%	31,053,826,946	1.87%	158,067,289	0.03%
	GCC DPMs	546,286,003	0.03%	539,784,617	0.03%	-6,501,387	0.00%
	Total GCC Investors	34,191,848,359	2.04%	34,392,527,552	2.07%	200,679,193	0.03%
Foreign	SWAP Holders	8,550,365,200	0.51%	8,566,883,220	0.52%	16,518,020	0.01%
	Foreign Residents	4,031,989,033	0.24%	4,062,978,536	0.24%	30,989,503	0.00%
	QFIs	6,866,470,838	0.41%	6,907,508,365	0.42%	41,037,528	0.01%
	Foreign DPMs	3,186,207,863	0.19%	3,091,793,023	0.19%	-94,414,840	0.00%
	Strategic Partners	46,722,118,066	2.79%	46,355,705,972	2.79%	-366,412,095	0.00%
	Total Foreign Investors	69,357,150,999	4.14%	68,984,869,115	4.16%	-372,281,884	0.01%
Grand Total		1,673,462,601,083	100.00%	1,659,352,406,996	100.00%	-14,110,194,087	

Weekly Report - Main Market

Ownership Value (by Investor Classification)

Main Market Ownership Breakdown - By Investor Classification						
Investor Classification	As of 7 December2017		As of 14 December2017		Weekly Change	
	Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
Institutional	1,459,982,287,040	87.24%	1,443,303,886,460	86.98%	-16,678,400,580	-0.26%
Non-Institutional	213,480,314,043	12.76%	216,048,520,536	13.02%	2,568,206,493	0.26%
Grand Total	1,673,462,601,083	100.00%	1,659,352,406,996	100.00%	-14,110,194,087	

Definitions

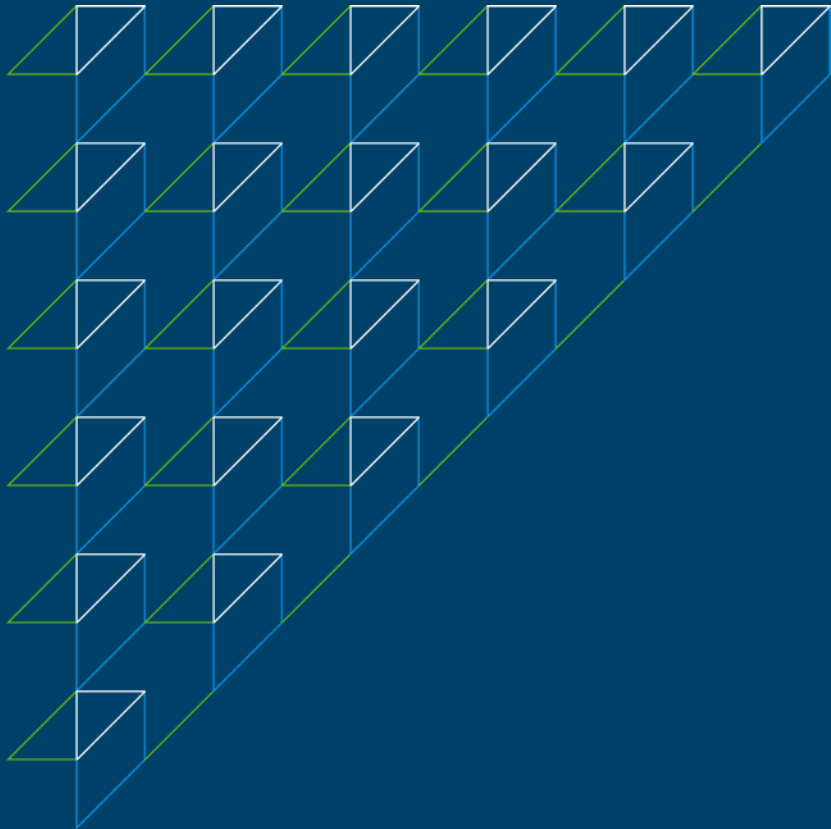
Institutional:

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Non Institutional:

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.

Nomu-Parallel Market - Value Traded & Ownership Breakdown



Weekly Report – Nomu-Parallel Market

Value Traded (by Nationality, Investor Type, & Classification)

Nomu-Parallel Market Value Traded Breakdown - By Nationality and Investor Type							
Nationality	Investor Type	Buy		Sell		Net Value Traded	
		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Saudi	Individuals	12,159,205	96.94%	11,578,053	92.31%	581,152	4.63%
	Corporates	130,391	1.04%	0	0.00%	130,391	1.04%
	Institution	0	0.00%	794,742	6.34%	-794,742	-6.34%
	Total Saudi Investors	12,289,596	97.98%	12,372,795	98.65%	-83,199	-0.66%
GCC	Individuals	0	0.00%	0	0.00%	0	0.00%
	Institutions	0	0.00%	0	0.00%	0	0.00%
	Total GCC Investors	0	0.00%	0	0.00%	0	0.00%
Foreign	SWAP Holders	0	0.00%	0	0.00%	0	0.00%
	Foreign Residents	252,861	2.02%	169,662	1.35%	83,199	0.66%
	QFIs	0	0.00%	0	0.00%	0	0.00%
	Total Foreign Investors	252,861	2.02%	169,662	1.35%	83,199	0.66%
Grand Total		12,542,457	100.00%	12,542,457	100.00%		

Nomu-Parallel Market Value Traded Breakdown - By Investor Classification						
Investor Classification	Buy		Sell		Net Value Traded	
	SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Institutional	130,391	1.04%	794,742	6.34%	-664,351	-5.30%
Non-Institutional	12,412,066	98.96%	11,747,715	93.66%	664,351	5.30%
Grand Total	12,542,457	100.00%	12,542,457	100.00%		

Institutional:

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.

Weekly Report – Nomu-Parallel Market

Ownership Value (by Nationality, Investor Type, & Classification)

Nomu-Parallel Market Ownership Breakdown - By Nationality and Investor Type							
Nationality	Investor Type	As of 7 December 2017		As of 14 December 2017		Weekly Change	
		Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
Saudi	Individuals	1,846,717,029	88.85%	1,916,040,724	88.79%	69,323,695	-0.07%
	Corporates	106,667,302	5.13%	110,875,438	5.14%	4,208,136	0.01%
	Institution	105,945,629	5.10%	111,685,214	5.18%	5,739,585	0.08%
	Total Saudi Investors	2,059,329,960	99.08%	2,138,601,376	99.10%	79,271,416	0.02%
GCC	Individuals	26,846	0.00%	27,682	0.00%	837	0.00%
	Institutions	12,493,756	0.60%	12,397,573	0.57%	-96,184	-0.03%
	Total GCC Investors	12,520,602	0.60%	12,425,255	0.58%	-95,347	-0.03%
Foreign	SWAP Holders	186,052	0.01%	181,376	0.01%	-4,676	0.00%
	Foreign Residents	3,141,429	0.15%	3,459,887	0.16%	318,458	0.01%
	QFIs	3,215,796	0.15%	3,329,556	0.15%	113,759	0.00%
	Total Foreign Investors	6,543,278	0.31%	6,970,819	0.32%	427,541	0.01%
Grand Total		2,078,393,840	100.00%	2,157,997,450	100.00%	79,603,610	

Nomu-Parallel Market Ownership Breakdown - By Investor Classification						
Investor Classification	As of 7 December 2017		As of 14 December 2017		Weekly Change	
	Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
Institutional	228,508,535	10.99%	238,469,156	11.05%	9,960,621	0.06%
Non-Institutional	1,849,885,305	89.01%	1,919,528,294	88.95%	69,642,989	-0.06%
Grand Total	2,078,393,840	100.00%	2,157,997,450	100.00%	79,603,610	

Institutional:

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.

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Saudi Stock Exchange

تداول
Tadawul

Thank you

