

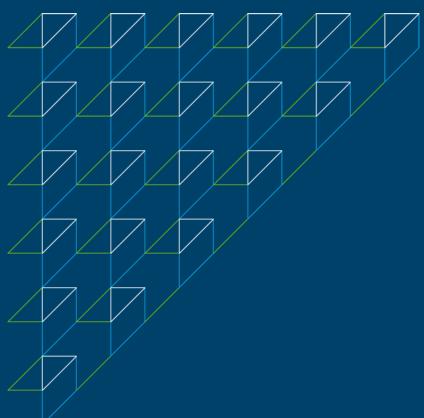
# Weekly Stock Market Ownership and Trading Activity Report

**Market Reports** 

Week Ending 11 October 2018



# Definitions



# Weekly Stock Market Ownership and Trading Activity Report



#### **Definitions**

Nationality	Investor Type	Description				
	Individuals*	Saudi Individuals have been classified under 4 categories as defined below:				
	- Retail	Retail investors are Saudi individuals, excluding IPIs (see below) and HNWIs.				
	- High Net Worth Investors (HNWIs)	<b>HNWIs</b> are Saudi individuals who have had an average portfolio size of SAR 1m (and above) for the preceding 12 months, excluding <b>IPIs</b> (see below).				
	- Individual Professional Investors (IPIs)	<b>IPIs</b> are Saudi individuals who have had an average portfolio size of SAR 50m (and above) for the preceding 12 months and a portfolio turnover ratio of not more than 4 times annually.				
Saudi	- Individual DPMs	Saudi individual investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).				
	Institutions	Saudi Institutions have been classified under 4 categories as defined below:				
	- Corporates	Saudi company with a commercial registration permitting its investment in the stock market .				
	- Mutual Funds	A mutual fund managed by an Authorized Person and licensed by the CMA.				
	- Government Related Entities (GREs)	A Government entity or a supranational authority recognized by the CMA.				
	- Institutional DPMs	Saudi institutional investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).				
	Individuals*	GCC natural person				
GCC	Institutions	GCC legal person with a commercial registration certificate (corporate or mutual fund), in addition to GCC government entities.				
	GCC DPMs	GCC investment account (institutional or individual) in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).				
	SWAP Holders	Authorized Person with the permission to enter into SWAP agreements to transfer the economic benefits of its ownership of shares in Saudi companies listed on the Saudi Stock Exchange to its non-resident foreign clients.				
	Foreign Residents*	Foreign investors domiciled in the Kingdom with a valid residency permit (Iqama) (excluding GCC nationals).				
Foreign	QFls	Qualified Foreign Institutional investors registered with the CMA in accordance with the QFI Rules, to invest in shares listed on the Saudi Stock Exchange.				
	Foreign DPMs	Foreign resident investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).				
	Strategic Partners	Foreign investors considered founders and partners of Saudi listed companies.				

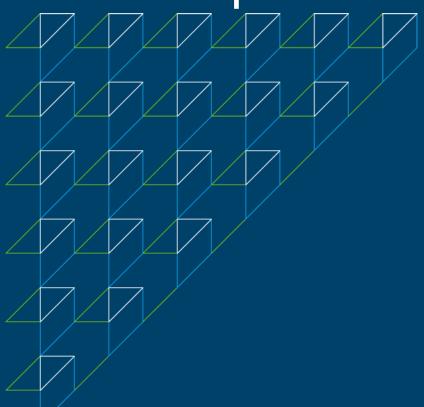
\*Nomu-Parallel Market Individual Investors

Individuals who fulfill any of the following:

- Conducted transactions not less than SAR 40m, and not less than 10 transaction in each quarter during last 12 months.

- Have an average portfolio size of SAR 10m for the preceding 12 months
- Hold General Securities Qualification Certificate which is recognized by the Authority.

# Main Market - Value Traded & Ownership Breakdown



#### تــداوك Tadawul

### Value Traded (by Nationality and Investor Type)

#### Main Market Value Traded Breakdown - By Nationality and Investor Type Buy Sell Net Value Traded **Nationality Investor Type** SAR **Difference** SAR % of Total Buys SAR % of Total Sells (% Buy - % Sell) (Buy - Sell) Individuals: 35.50% 39.23% -555,813,623 -3.72% Retail 5,300,572,382 5,856,386,006 31.57% 1.84% **HNWIs** 4,713,956,501 4,438,771,451 29.73% 275,185,050 **IPIs** 931.661.092 6.24% 1,051,314,261 7.04% -119.653.169 -0.80% Individual DPMs 224.426.972 1.50% 302.409.962 2.03% -77.982.990 -0.52% 74.82% -478,264,732 Sub Total (Individuals) 11,170,616,947 11,648,881,679 78.02% -3.20% Institutions: Saudi Corporates 1.708.025.969 11.44% 457.455.301 3.06% 1.250.570.668 8.38% 2.42% **Mutual Funds** 361.525.491 340.799.205 2.28% 20.726.287 0.14% GRE's 0.00% 0.00% 0.00% Institution DPMs 254.756.425 1.71% 323.783.379 2.17% -69.026.954 -0.46% Sub Total (Institutions) 15.57% 7.52% 8.05% 2,324,307,885 1.122.037.885 1,202,270,000 Total Saudi Investors 13,494,924,832 90.39% 12,770,919,564 85.54% 724,005,268 4.85% Individuals 70,578,359 0.47% 110,929,546 0.74% -40,351,187 -0.27% 2.46% -0.40% Institutions 366.699.267 425.916.385 2.85% -59.217.118 **GCC** GCC DPMs 1,718,119 0.01% 6,220,389 0.04% -4,502,271 -0.03% Total GCC Investors 438,995,744 2.94% 543.066.320 3.64% -104,070,576 -0.70% -523,390,256 **SWAP Holders** 177,534,893 1.19% 700.925.149 4.69% -3.51% Foreign Residents 168,401,576 1.13% 209,862,741 1.41% -41,461,165 -0.28% **QFIs** 649.907.942 4.35% 704.991.214 4.72% -55,083,272 -0.37% Foreign Foreign DPMs 0 0.00% 0.00% 0 0.00% Strategic Partners 0 0.00% 0.00% 0.00% -619,934,692 Total Foreign Investors 995,844,411 6.67% 1,615,779,104 10.82% -4.15% **Grand Total** 14,929,764,987 14,929,764,987 100.00% 100.00%



Value Traded (by Investor Classification)

Main Market Value Traded Breakdown - By Investor Classification									
	Buy		Sell		Net Value Traded				
Investor Classification	SAR	% of Total Buys	SAR	% of Total Sells		SAR (Buy - Sell)	Difference (% Buy - % Sell)		
Institutional	4,676,256,169	31.32%	4,313,815,244	28.89%		362,440,925	2.43%		
Non-Institutional	10,253,508,818	68.68%	10,615,949,743	71.11%		-362,440,925	-2.43%		
Grand Total	14,929,764,987	100.00%	14,929,764,987	100.00%					

#### **Definitions**

#### Institutional:

Refers to investors with institutional behavior including GREs, Saudi Corporates, Saudi Mutual Funds, Saudi and Non-Saudi DPMs, Saudi Individual Professional Investors (IPI), SWAP Holders, Foreign Strategic Partners and Qualified Foreign Investors (QFI).

#### Non Institutional:

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.

#### تــداوك Tadawul

# Ownership Value (by Nationality and Investor Type)

Nationality		As of 4 October 2018		As of 11 October 2018		Weekly Change	
	Investor Type	Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownershi
	Individuals:						
	Retail	74,623,686,371	3.93%	70,895,074,920	3.97%	-3,728,611,450	0.04
	HNWIs	147,906,955,614	7.80%	139,297,941,379	7.81%	-8,609,014,235	0.01
	IPIs	261,483,427,388	13.78%	247,399,407,278	13.87%	-14,084,020,110	0.08
	Individual DPMs	5,613,492,975	0.30%	5,220,972,138	0.29%	-392,520,838	0.00
	Sub Total (Individuals)	489,627,562,348	25.81%	462,813,395,715	25.94%	-26,814,166,633	0.13
Saudi	Institutions:						
	Corporates	296,396,696,795	15.62%	278,626,117,739	15.62%	-17,770,579,056	-0.01
	Mutual Funds	94,638,809,274	4.99%	90,023,631,700	5.05%	-4,615,177,574	0.06
	GRE's	760,034,826,408	40.06%	712,413,151,959	39.93%	-47,621,674,450	-0.14
	Institution DPMs	121,864,915,936	6.42%	114,116,485,392	6.40%	-7,748,430,544	-0.03
	Sub Total (Institutions)	1,272,935,248,413	67.10%	1,195,179,386,790	66.98%	-77,755,861,624	-0.11
	Total Saudi Investors	1,762,562,810,762	92.91%	1,657,992,782,505	92.92%	-104,570,028,257	0.02
	Individuals	3,114,525,136	0.16%	2,905,313,314	0.16%	-209,211,822	0.00
	Institutions	35,567,398,369	1.87%	33,079,752,587	1.85%	-2,487,645,782	-0.02
GCC	GCC DPMs	851,652,599	0.04%	802,618,296	0.04%	-49,034,302	0.00
	Total GCC Investors	39,533,576,104	2.08%	36,787,684,197	2.06%	-2,745,891,906	-0.02
	SWAP Holders	13,622,800,563	0.72%	12,564,414,567	0.70%	-1,058,385,996	-0.01
	Foreign Residents	6,908,426,667	0.36%	6,609,249,832	0.37%	-299,176,835	0.01
	QFIs	16,917,003,726	0.89%	16,464,816,071	0.92%	-452,187,655	0.03
Foreign	Foreign DPMs	3,267,043,482	0.17%	3,077,838,365	0.17%	-189,205,116	0.00
	Strategic Partners	54,312,180,457	2.86%	50,779,364,873	2.85%	-3,532,815,584	-0.02
	Total Foreign Investors	95,027,454,895	5.01%	89,495,683,709	5.02%	-5,531,771,185	0.01
rand T	otal	1,897,123,841,760	100.00%	1,784,276,150,411	100.00%	-112,847,691,349	



### Ownership Value (by Investor Classification)

Main Market Ownership Breakdown - By Investor Classification									
	As of 4 October 2018		As of 11 October 2018		Weekly Change				
Investor Classification	Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	∆ Holding Value (SAR)	Δ Ownership (%)			
Institutional	1,664,570,247,972	87.74%	1,564,568,570,966	87.69%	-100,001,677,006	-0.06%			
Non-Institutional	232,553,593,788	12.26%	219,707,579,446	12.31%	-12,846,014,343	0.06%			
<b>Grand Total</b>	1,897,123,841,760	100.00%	1,784,276,150,411	100.00%	-112,847,691,349				

#### **Definitions**

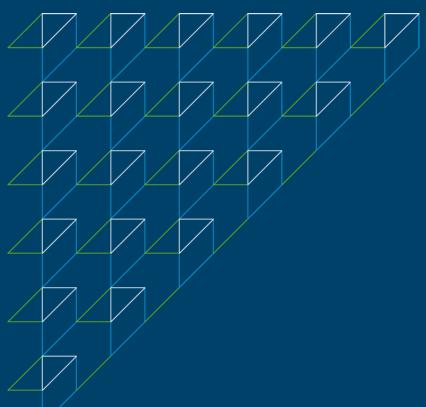
#### Institutional:

Refers to investors with institutional behavior including GREs, Saudi Corporates, Saudi Mutual Funds, Saudi and Non-Saudi DPMs, Saudi Individual Professional Investors (IPI), SWAP Holders, Foreign Strategic Partners and Qualified Foreign Investors (QFI).

#### Non Institutional:

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.

# Nomu-Parallel Market - Value Traded & Ownership Breakdown



## Weekly Report – Nomu-Parallel Market



16.65%

-16.65%

1,046,422

-1,046,422

### Value Traded (by Nationality, Investor Type, & Classification)

Nationality	Investor Type	Buy		Sell		Net Value Traded	
		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell
	Individuals	3,603,473	57.34%	4,682,915	74.51%	-1,079,442	-17.18%
O11	Corporates	1,352,744	21.52%	1,557,913	24.79%	-205,169	-3.26%
Saudi	Institution	75,885	1.21%	64	0.00%	75,822	1.21%
	Total Saudi Investors	5,032,103	80.07%	6,240,892	99.30%	-1,208,789	-19.23%
GCC	Individuals	0	0.00%	0	0.00%	0	0.00%
	Institutions	0	0.00%	43,800	0.70%	-43,800	-0.70%
	Total GCC Investors	0	0.00%	43,800	0.70%	-43,800	-0.70%
	SWAP Holders	0	0.00%	0	0.00%	0	0.00%
F	Foreign Residents	33,094	0.53%	74	0.00%	33,020	0.53%
Foreign	QFIs	1,219,569	19.41%	0	0.00%	1,219,569	19.41%
	Total Foreign Investors	1,252,662	19.93%	74	0.00%	1,252,589	19.93%
Grand Total		6,284,765	100.00%	6,284,765	100.00%		
Nomu-Pa	rallel Market Value	Traded Break	down - By Inv	estor Classific	cation		
Investor Classification		Bu	Buy		ı	Net Value Traded	
		SAR	% of Total Buys	SAR	% of Total Sells	SAR	Difference

#### Institutional:

Institutional

Non-Institutional

**Grand Total** 

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs, & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

42.14%

57.86%

100.00%

1,601,776

4,682,989

6,284,765

25.49%

74.51%

100.00%

#### Non Institutional:

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.

2,648,198

3,636,567

6,284,765

## Weekly Report – Nomu-Parallel Market



# Ownership Value (by Nationality, Investor Type, & Classification)

Nationality		As of 4 October 2018		As of 11 October 2018		Weekly Change	
	Investor Type	Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
	Individuals	1,979,402,069	91.60%	1,876,628,297	91.50%	-102,773,772	-0.10%
OII	Corporates	114,168,445	5.28%	110,298,421	5.38%	-3,870,024	0.09%
Saudi	Institution	42,224,463	1.95%	39,192,167	1.91%	-3,032,296	-0.04%
	Total Saudi Investors	2,135,794,977	98.84%	2,026,118,884	98.79%	-109,676,093	-0.05%
	Individuals	23,322	0.00%	22,815	0.00%	-507	0.00%
GCC	Institutions	13,343,819	0.62%	13,019,381	0.63%	-324,438	0.02%
	Total GCC Investors	13,367,141	0.62%	13,042,196	0.64%	-324,945	0.02%
	SWAP Holders	196,662	0.01%	193,635	0.01%	-3,027	0.00%
	Foreign Residents	2,042,786	0.09%	1,986,888	0.10%	-55,899	0.00%
Foreign	QFIs	9,545,934	0.44%	9,628,397	0.47%	82,463	0.03%
	Total Foreign Investors	11,785,382	0.55%	11,808,920	0.58%	23,538	0.03%
Frand T	otal	2,160,947,500	100.00%	2,050,970,000	100.00%	-109,977,500	

Nomu-Parallel Market Ownership Breakdown - By Investor Classification									
	As of 4 October 2018		As of 11 October 2018		Weekly Change				
Investor Classification	Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)			
Institutional	179,479,322	8.31%	172,332,000	8.40%	-7,147,322	0.10%			
Non-Institutional	1,981,468,178	91.69%	1,878,638,000	91.60%	-102,830,178	-0.10%			
Grand Total	2,160,947,500	100.00%	2,050,970,000	100.00%	-109,977,500				

#### Institutional:

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

#### Non Institutional:

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.



