

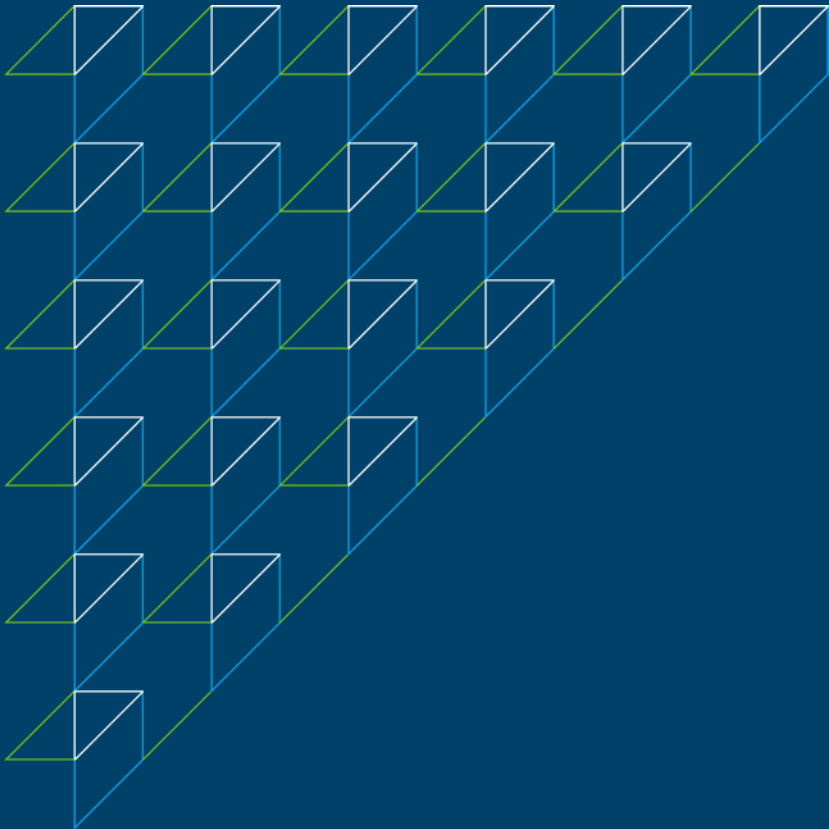
Weekly Stock Market Ownership and Trading Activity Report

Market Reports

Week Ending 13 September 2018



Definitions



Weekly Stock Market Ownership and Trading Activity Report

Definitions

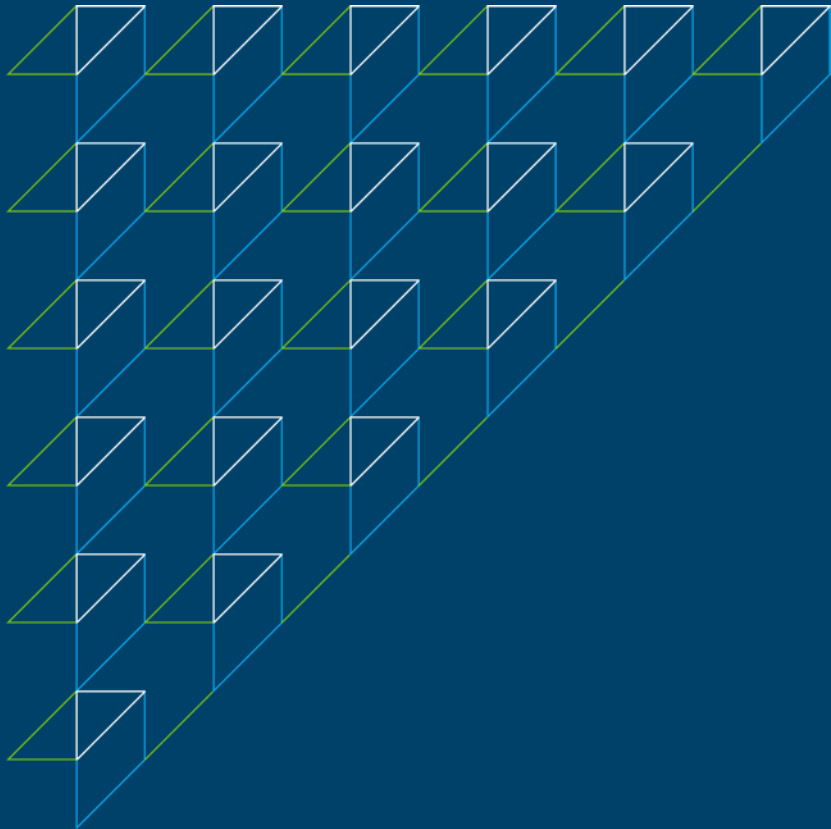
Nationality	Investor Type	Description
Saudi	Individuals*	Saudi Individuals have been classified under 4 categories as defined below:
	- Retail	Retail investors are Saudi individuals , excluding IPIs (see below) and HNWIs .
	- High Net Worth Investors (HNWIs)	HNWIs are Saudi individuals who have had an average portfolio size of SAR 1m (and above) for the preceding 12 months, excluding IPIs (see below).
	- Individual Professional Investors (IPIs)	IPIs are Saudi individuals who have had an average portfolio size of SAR 50m (and above) for the preceding 12 months and a portfolio turnover ratio of not more than 4 times annually.
	- Individual DPMs	Saudi individual investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	Institutions	Saudi Institutions have been classified under 4 categories as defined below:
	- Corporates	Saudi company with a commercial registration permitting its investment in the stock market .
	- Mutual Funds	A mutual fund managed by an Authorized Person and licensed by the CMA.
	- Government Related Entities (GREs)	A Government entity or a supranational authority recognized by the CMA.
	- Institutional DPMs	Saudi institutional investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
GCC	Individuals*	GCC natural person
	Institutions	GCC legal person with a commercial registration certificate (corporate or mutual fund), in addition to GCC government entities.
	GCC DPMs	GCC investment account (institutional or individual) in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
Foreign	SWAP Holders	Authorized Person with the permission to enter into SWAP agreements to transfer the economic benefits of its ownership of shares in Saudi companies listed on the Saudi Stock Exchange to its non-resident foreign clients.
	Foreign Residents*	Foreign investors domiciled in the Kingdom with a valid residency permit (Iqama) (excluding GCC nationals).
	QFIs	Qualified Foreign Institutional investors registered with the CMA in accordance with the QFI Rules, to invest in shares listed on the Saudi Stock Exchange.
	Foreign DPMs	Foreign resident investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	Strategic Partners	Foreign investors considered founders and partners of Saudi listed companies.

*Nomu-Parallel
Market Individual
Investors

Individuals who fulfill any of the following:

- Conducted transactions not less than SAR 40m, and not less than 10 transaction in each quarter during last 12 months.
- Have an average portfolio size of SAR 10m for the preceding 12 months
- Hold General Securities Qualification Certificate which is recognized by the Authority.

Main Market - Value Traded & Ownership Breakdown



Weekly Report - Main Market

Value Traded (by Nationality and Investor Type)

Main Market Value Traded Breakdown - By Nationality and Investor Type

Nationality	Investor Type	Buy		Sell		Net Value Traded	
		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Saudi	Individuals:						
	Retail	4,081,287,241	37.63%	5,007,137,943	46.17%	-925,850,703	-8.54%
	HNWIs	3,170,799,954	29.24%	3,394,393,162	31.30%	-223,593,208	-2.06%
	IPIs	408,525,166	3.77%	315,302,158	2.91%	93,223,008	0.86%
	Individual DPMs	186,440,621	1.72%	139,949,294	1.29%	46,491,326	0.43%
	Sub Total (Individuals)	7,847,052,981	72.36%	8,856,782,558	81.67%	-1,009,729,577	-9.31%
	Institutions:						
	Corporates	1,728,684,648	15.94%	462,331,703	4.26%	1,266,352,945	11.68%
	Mutual Funds	122,807,994	1.13%	108,328,118	1.00%	14,479,875	0.13%
	GRE's	0	0.00%	162,823	0.00%	-162,823	0.00%
	Institution DPMs	116,150,569	1.07%	409,101,820	3.77%	-292,951,251	-2.70%
	Sub Total (Institutions)	1,967,643,211	18.14%	979,924,466	9.04%	987,718,746	9.11%
Total Saudi Investors	9,814,696,192	90.50%	9,836,707,023	90.71%	-22,010,831	-0.20%	
GCC	Individuals	37,471,523	0.35%	37,102,148	0.34%	369,375	0.00%
	Institutions	200,947,832	1.85%	218,710,017	2.02%	-17,762,185	-0.16%
	GCC DPMs	625,211	0.01%	602,570	0.01%	22,641	0.00%
	Total GCC Investors	239,044,566	2.20%	256,414,735	2.36%	-17,370,169	-0.16%
Foreign	SWAP Holders	192,224,440	1.77%	314,224,161	2.90%	-121,999,721	-1.12%
	Foreign Residents	115,443,134	1.06%	190,100,716	1.75%	-74,657,582	-0.69%
	QFIs	482,919,195	4.45%	246,987,590	2.28%	235,931,605	2.18%
	Foreign DPMs	106,697	0.00%	0	0.00%	106,697	0.00%
	Strategic Partners	0	0.00%	0	0.00%	0	0.00%
	Total Foreign Investors	790,693,466	7.29%	751,312,467	6.93%	39,381,000	0.36%
Grand Total		10,844,434,225	100.00%	10,844,434,225	100.00%		

Weekly Report - Main Market

Value Traded (by Investor Classification)

Main Market Value Traded Breakdown - By Investor Classification

Investor Classification	Buy		Sell		Net Value Traded	
	SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Institutional	3,439,432,373	31.72%	2,215,700,256	20.43%	1,223,732,117	11.28%
Non-Institutional	7,405,001,852	68.28%	8,628,733,969	79.57%	-1,223,732,117	-11.28%
Grand Total	10,844,434,225	100.00%	10,844,434,225	100.00%		

Definitions

Institutional:

Refers to investors with institutional behavior including GREs, Saudi Corporates, Saudi Mutual Funds, Saudi and Non-Saudi DPMs, Saudi Individual Professional Investors (IPI), SWAP Holders, Foreign Strategic Partners and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.

Weekly Report - Main Market

Ownership Value (by Nationality and Investor Type)

Main Market Ownership Breakdown - By Nationality and Investor Type

Nationality	Investor Type	As of 06 September 2018		As of 13 September 2018		Weekly Change		
		Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)	
Saudi	Individuals:							
	Retail	72,265,279,354	3.96%	73,600,052,051	4.07%	1,334,772,697	0.11%	
	HNWIs	144,275,587,596	7.91%	142,432,953,422	7.88%	-1,842,634,174	-0.02%	
	IPIs	252,128,284,224	13.82%	247,779,448,852	13.72%	-4,348,835,372	-0.10%	
	Individual DPMs	5,472,078,837	0.30%	5,418,623,699	0.30%	-53,455,138	0.00%	
	Sub Total (Individuals)	474,141,230,011	25.98%	469,231,078,024	25.98%	-4,910,151,988	-0.01%	
	Institutions:							
	Corporates	288,433,034,526	15.81%	285,392,885,637	15.80%	-3,040,148,889	-0.01%	
	Mutual Funds	92,934,023,945	5.09%	92,174,213,687	5.10%	-759,810,258	0.01%	
	GRE's	711,400,903,248	38.98%	706,059,001,865	39.09%	-5,341,901,384	0.10%	
	Institution DPMs	126,313,847,659	6.92%	124,389,716,802	6.89%	-1,924,130,857	-0.04%	
Sub Total (Institutions)	1,219,081,809,378	66.81%	1,208,015,817,991	66.87%	-11,065,991,387	0.07%		
Total Saudi Investors	1,693,223,039,389	92.79%	1,677,246,896,014	92.85%	-15,976,143,374	0.06%		
GCC	Individuals	3,005,382,158	0.16%	2,988,348,495	0.17%	-17,033,662	0.00%	
	Institutions	33,954,442,242	1.86%	33,758,764,308	1.87%	-195,677,934	0.01%	
	GCC DPMs	809,302,070	0.04%	800,012,405	0.04%	-9,289,665	0.00%	
	Total GCC Investors	37,769,126,470	2.07%	37,547,125,208	2.08%	-222,001,262	0.01%	
Foreign	SWAP Holders	13,557,538,212	0.74%	13,110,711,536	0.73%	-446,826,676	-0.02%	
	Foreign Residents	3,566,233,430	0.20%	3,494,063,563	0.19%	-72,169,867	0.00%	
	QFIs	15,425,866,605	0.85%	15,248,636,401	0.84%	-177,230,203	0.00%	
	Foreign DPMs	2,870,724,714	0.16%	2,975,086,596	0.16%	104,361,882	0.01%	
	Strategic Partners	58,414,480,395	3.20%	56,789,532,619	3.14%	-1,624,947,776	-0.06%	
	Total Foreign Investors	93,834,843,356	5.14%	91,618,030,716	5.07%	-2,216,812,641	-0.07%	
Grand Total		1,824,827,009,215	100.00%	1,806,412,051,938	100.00%	-18,414,957,277		

* Non deposited amount of 592,800,000 SAR was not reflected as on 13 September holding value (The Mediterranean and Gulf Insurance and Reinsurance Co. 8030 - Corporate Action - Tradable Rights)

Weekly Report - Main Market

Ownership Value (by Investor Classification)

Main Market Ownership Breakdown - By Investor Classification						
Investor Classification	As of 06 September 2018		As of 13 September 2018		Weekly Change	
	Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
Institutional	1,601,714,526,677	87.77%	1,583,896,634,406	87.68%	-17,817,892,271	-0.09%
Non-Institutional	223,112,482,538	12.23%	222,515,417,532	12.32%	-597,065,006	0.09%
Grand Total	1,824,827,009,215	100.00%	1,806,412,051,938	100.00%	-18,414,957,277	

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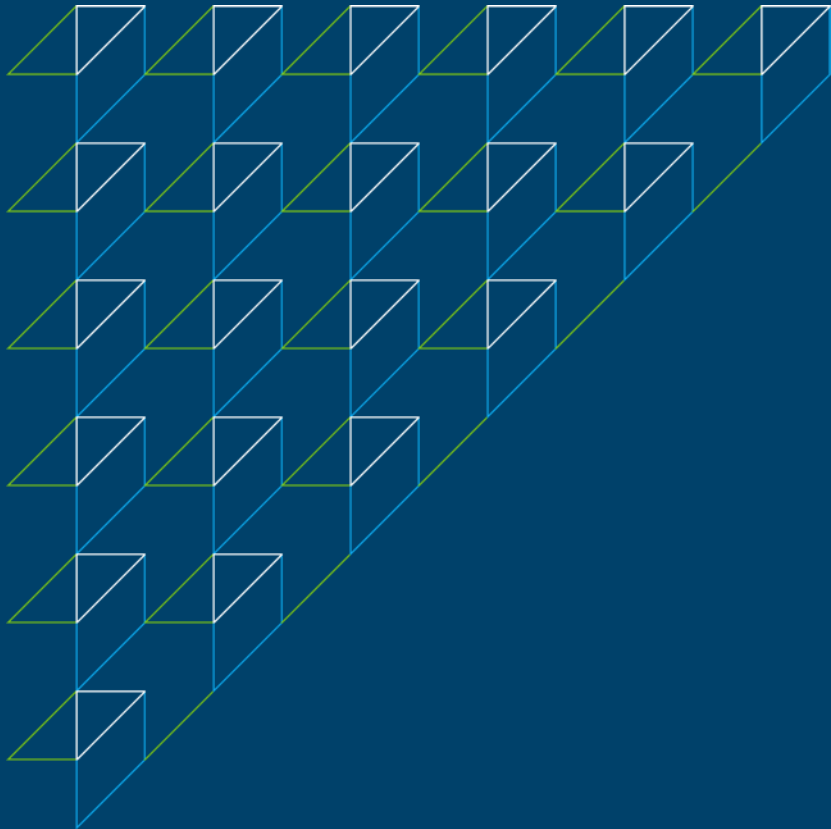
Institutional:

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Non Institutional:

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.

Nomu-Parallel Market - Value Traded & Ownership Breakdown



Weekly Report – Nomu-Parallel Market

Value Traded (by Nationality, Investor Type, & Classification)

Nomu-Parallel Market Value Traded Breakdown - By Nationality and Investor Type							
Nationality	Investor Type	Buy		Sell		Net Value Traded	
		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Saudi	Individuals	6,032,338	98.45%	5,596,998	91.34%	435,340	7.10%
	Corporates	45,000	0.73%	339,626	5.54%	-294,626	-4.81%
	Institution	0	0.00%	155,834	2.54%	-155,834	-2.54%
	Total Saudi Investors	6,077,338	99.18%	6,092,457	99.43%	-15,119	-0.25%
GCC	Individuals	0	0.00%	0	0.00%	0	0.00%
	Institutions	0	0.00%	0	0.00%	0	0.00%
	Total GCC Investors	0	0.00%	0	0.00%	0	0.00%
Foreign	SWAP Holders	0	0.00%	0	0.00%	0	0.00%
	Foreign Residents	50,005	0.82%	34,886	0.57%	15,119	0.25%
	QFIs	0	0.00%	0	0.00%	0	0.00%
	Total Foreign Investors	50,005	0.82%	34,886	0.57%	15,119	0.25%
Grand Total		6,127,343	100.00%	6,127,343	100.00%		

Nomu-Parallel Market Value Traded Breakdown - By Investor Classification							
Investor Classification	Buy		Sell		Net Value Traded		
	SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)	
Institutional	45,000	0.73%	495,460	8.09%	-450,460	-7.35%	
Non-Institutional	6,082,343	99.27%	5,631,884	91.91%	450,460	7.35%	
Grand Total	6,127,343	100.00%	6,127,343	100.00%			

Institutional:

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.

Weekly Report – Nomu-Parallel Market

Ownership Value (by Nationality, Investor Type, & Classification)

Nomu-Parallel Market Ownership Breakdown - By Nationality and Investor Type							
Nationality	Investor Type	As of 06 September 2018		As of 13 September 2018		Weekly Change	
		Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
Saudi	Individuals	2,051,147,478	92.12%	1,985,860,376	91.48%	-65,287,102	-0.64%
	Corporates	107,696,917	4.84%	115,717,918	5.33%	8,021,001	0.49%
	Institution	43,225,392	1.94%	43,771,557	2.02%	546,165	0.07%
	Total Saudi Investors	2,202,069,786	98.90%	2,145,349,851	98.82%	-56,719,935	-0.07%
GCC	Individuals	25,223	0.00%	25,223	0.00%	0	0.00%
	Institutions	12,977,518	0.58%	13,605,462	0.63%	627,944	0.04%
	Total GCC Investors	13,002,741	0.58%	13,630,685	0.63%	627,944	0.04%
Foreign	SWAP Holders	913,582	0.04%	915,107	0.04%	1,525	0.00%
	Foreign Residents	1,982,657	0.09%	2,052,333	0.09%	69,677	0.01%
	QFIs	8,641,267	0.39%	8,955,524	0.41%	314,256	0.02%
	Total Foreign Investors	11,537,506	0.52%	11,922,964	0.55%	385,458	0.03%
Grand Total		2,226,610,033	100.00%	2,170,903,500	100.00%	-55,706,533	

Nomu-Parallel Market Ownership Breakdown - By Investor Classification							
Investor Classification	As of 06 September 2018		As of 13 September 2018		Weekly Change		
	Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)	
Institutional	173,454,675	7.79%	182,965,567	8.43%	9,510,892	0.64%	
Non-Institutional	2,053,155,358	92.21%	1,987,937,933	91.57%	-65,217,425	-0.64%	
Grand Total	2,226,610,033	100.00%	2,170,903,500	100.00%	-55,706,533		

Institutional:

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.

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Saudi Stock Exchange

تداول
Tadawul

Thank you

