

Weekly Stock Market Ownership and Trading Activity Report

تداول السعودية
Saudi Exchange



Market Reports

Week Ending 07 October 2021



Definitions

Weekly Stock Market Ownership and Trading Activity Report

Definitions

Nationality	Investor Type	Description
Saudi	Individuals*	Saudi Individuals have been classified under 4 categories as defined below:
	Retail	Retail investors are Saudi individuals , excluding IPIs (see below) and HNWIs.
	High Net Worth Investors (HNWIs)	HNWIs are Saudi individuals who have had an average portfolio size of SAR 1m (and above) for the preceding 12 months, excluding IPIs (see below).
	Individual Professional Investors (IPIs)	IPIs are Saudi individuals who have had an average portfolio size of SAR 50m (and above) for the preceding 12 months and a portfolio turnover ratio of not more than 4 times annually.
	Individual DPMs	Saudi individual investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	Institutions	Saudi Institutions have been classified under 4 categories as defined below:
	Corporates	Saudi company with a commercial registration permitting its investment in the stock market .
	Mutual Funds	A mutual fund managed by an Authorized Person and licensed by the CMA.
	Government Related Entities (GREs)	A Government entity or a supranational authority recognized by the CMA.
	Institutional DPMs	Saudi institutional investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
GCC	Individuals*	GCC natural person
	Institutions	GCC legal person with a commercial registration certificate (corporate or mutual fund), in addition to GCC government entities.
	GCC DPMs	GCC investment account (institutional or individual) in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
Foreign	SWAP Holders	Authorized Person with the permission to enter into SWAP agreements to transfer the economic benefits of its ownership of shares in Saudi companies listed on the Saudi Stock Exchange to its non-resident foreign clients.
	Foreign Residents & Others	Investors with valid residency permit (Iqama) and others (excluding SWAP, QFI, DPM & Strategic Investors)
	QFIs	Qualified Foreign Institutional investors registered with the CMA in accordance with the QFI Rules, to invest in shares listed on the Saudi Stock Exchange.
	Foreign DPMs	Foreign resident investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	Strategic Investors	Foreign investors considered founders and partners of Saudi listed companies.

*Nomu-Parallel Market Individual Investors

Individuals who fulfill any of the following:

- Conducted transactions not less than SAR 40m, and not less than 10 transaction in each quarter during last 12 months.
- Have an average portfolio size of SAR 5m for the preceding 12 months
- Worked for at least 3 years in financial sectors.
- Hold General Securities Qualification Certificate which is recognized by the Authority.
- Holds professional certificate that is related to securities business and accredited by an internationally recognized entity



Main Market - Value Traded & Ownership Breakdown

Weekly Report - Main Market

Value Traded (by Nationality and Investor Type)

Main Market Value Traded Breakdown - By Nationality and Investor Type							
Nationality	Investor Type	Buy		Sell		Net Value Traded	
		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Saudi	Individuals:						
	Retail	17,244,250,184	43.80%	17,271,067,812	43.87%	-26,817,629	-0.07%
	HNWIs	11,075,720,770	28.13%	11,713,026,382	29.75%	-637,305,612	-1.62%
	IPIs	1,356,929,431	3.45%	1,345,429,327	3.42%	11,500,104	0.03%
	Individual DPMs	560,220,879	1.42%	635,931,084	1.62%	-75,710,205	-0.19%
	Sub Total (Individuals)	30,237,121,264	76.80%	30,965,454,605	78.65%	-728,333,341	-1.85%
	Institutions:						
	Corporates	1,554,357,322	3.95%	2,089,933,039	5.31%	-535,575,718	-1.36%
	Mutual Funds	1,092,156,940	2.77%	1,705,935,566	4.33%	-613,778,626	-1.56%
	GRE's	28,673,378	0.07%	24,712,331	0.06%	3,961,047	0.01%
	Institution DPMs	993,142,506	2.52%	977,944,164	2.48%	15,198,342	0.04%
	Sub Total (Institutions)	3,668,330,146	9.32%	4,798,525,101	12.19%	-1,130,194,955	-2.87%
	Total Saudi Investors	33,905,451,410	86.12%	35,763,979,706	90.84%	-1,858,528,296	-4.72%
	GCC	Individuals	145,611,317	0.37%	77,986,571	0.20%	67,624,746
Institutions		428,461,311	1.09%	541,474,671	1.38%	-113,013,360	-0.29%
GCC DPMs		7,833,155	0.02%	8,567,052	0.02%	-733,897	0.00%
Total GCC Investors		581,905,783	1.48%	628,028,295	1.60%	-46,122,512	-0.12%
Foreign	SWAP Holders	14,794,871	0.04%	4,458,942	0.01%	10,335,929	0.03%
	Foreign Residents & Others	509,389,051	1.29%	503,455,470	1.28%	5,933,581	0.02%
	QFIs	4,358,240,998	11.07%	2,469,859,701	6.27%	1,888,381,298	4.80%
	Foreign DPMs	0	0.00%	0	0.00%	0	0.00%
	Strategic Investor	0	0.00%	0	0.00%	0	0.00%
Total Foreign Investors	4,882,424,920	12.40%	2,977,774,113	7.56%	1,904,650,808	4.84%	
Grand Total		39,369,782,113	100.00%	39,369,782,113	100.00%		

Weekly Report - Main Market

Value Traded (by Investor Classification)

Main Market Value Traded Breakdown - By Investor Classification						
Investor Classification	Buy		Sell		Net Value Traded	
	SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Institutional	10,394,810,791	26.40%	9,804,245,877	24.90%	590,564,914	1.50%
Non-Institutional	28,974,971,322	73.60%	29,565,536,236	75.10%	-590,564,914	-1.50%
Grand Total	39,369,782,113	100.00%	39,369,782,113	100.00%		

Definitions

Institutional:

Refers to investors with institutional behavior including GREs, Saudi Corporates, Saudi Mutual Funds, Saudi and Non-Saudi DPMs, Saudi Individual Professional Investors (IPI), SWAP Holders, Foreign Strategic Partners and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.

Weekly Report - Main Market

Ownership Value (by Nationality and Investor Type)

Main Market Ownership Breakdown - By Nationality and Investor Type										
Nationality	Investor Type	As of 30 September 2021			As of 07 October 2021			Weekly Change		
		Holding Value (SAR)	Ownership		Holding Value (SAR)	Ownership		Δ Holding Value (SAR)	Ownership	
			Issued (%)	Free Float (%)		Issued (%)	Free Float (%)		Δ Issued (%)	Δ Free Float (%)
Saudi	Individuals:									
	Retail	158,663,482,435	1.58%	9.41%	160,798,445,384	1.56%	9.37%	2,134,962,949	-0.02%	-0.03%
	HNWIs	245,789,779,192	2.44%	14.39%	244,971,168,146	2.38%	14.19%	-818,611,047	-0.06%	-0.20%
	IPIs	400,546,498,802	3.98%	17.14%	400,531,160,373	3.89%	17.13%	-15,338,429	-0.09%	-0.02%
	Individual DPMS	9,993,765,072	0.10%	0.59%	9,893,353,542	0.10%	0.58%	-100,411,530	0.00%	-0.01%
	Sub Total (Individuals)	814,993,525,502	8.09%	41.53%	816,194,127,445	7.92%	41.27%	1,200,601,943	-0.17%	-0.26%
	Institutions:									
	Corporates	857,587,421,971	8.52%	22.77%	859,085,294,505	8.34%	22.83%	1,497,872,534	-0.18%	0.07%
	Mutual Funds	278,300,981,095	2.76%	11.50%	281,846,884,142	2.73%	11.50%	3,545,903,047	-0.03%	0.00%
	GREs	7,685,219,003,911	76.31%	2.54%	7,908,804,215,135	76.74%	2.51%	223,585,211,224	0.43%	-0.03%
	Institution DPMS	84,882,676,670	0.84%	5.02%	84,661,732,557	0.82%	4.95%	-220,944,114	-0.02%	-0.07%
	Sub Total (Institutions)	8,905,990,083,647	88.43%	41.83%	9,134,398,126,338	88.63%	41.80%	228,408,042,691	0.20%	-0.03%
	Total Saudi Investors	9,720,983,609,149	96.52%	83.36%	9,950,592,253,783	96.55%	83.07%	229,608,644,634	0.02%	-0.29%
	GCC	Individuals	3,413,097,218	0.03%	0.20%	3,418,369,589	0.03%	0.20%	5,272,372	0.00%
Institutions		47,638,434,206	0.47%	1.94%	47,552,697,560	0.46%	1.94%	-85,736,646	-0.01%	0.00%
GCC DPMS		180,225,521	0.00%	0.01%	179,896,901	0.00%	0.01%	-328,620	0.00%	0.00%
Total GCC Investors		51,231,756,945	0.51%	2.16%	51,150,964,051	0.50%	2.16%	-80,792,893	-0.01%	0.00%
Foreign	SWAP Holders	2,284,170,219	0.02%	0.14%	2,252,671,640	0.02%	0.13%	-31,498,580	0.00%	0.00%
	Foreign Residents & Others	7,647,982,128	0.08%	0.36%	7,650,060,260	0.07%	0.36%	2,078,131	0.00%	0.00%
	QFIs	235,740,194,316	2.34%	13.98%	241,158,164,412	2.34%	14.27%	5,417,970,096	0.00%	0.29%
	Foreign DPMS	24,318,934	0.00%	0.00%	24,366,497	0.00%	0.00%	47,563	0.00%	0.00%
	Strategic Investors	53,107,559,244	0.53%	0.00%	53,518,596,904	0.52%	0.00%	411,037,660	-0.01%	0.00%
Total Foreign Investors	298,804,224,843	2.97%	14.48%	304,603,859,713	2.96%	14.77%	5,799,634,871	-0.01%	0.29%	
Grand Total		10,071,019,590,936	100.00%	100.00%	10,306,347,077,548	100.00%	100.00%	235,327,486,611		

Weekly Report - Main Market

Ownership Value (by Investor Classification)

Main Market Ownership Breakdown - By Investor Classification

Investor Classification	As of 30 September 2021			As of 07 October 2021			Weekly Change		
	Holding Value (SAR)	Ownership		Holding Value (SAR)	Ownership		Δ Holding Value (SAR)	Ownership	
		Issued (%)	FreeFloat (%)		Issued (%)	FreeFloat (%)		Δ Issued (%)	Δ FreeFloat (%)
Institutional	9,657,049,242,700	95.87%	75.64%	9,891,036,671,783	95.96%	75.87%	233,987,429,083	0.08%	0.23%
Non-Institutional	413,970,348,236	4.13%	24.36%	415,310,405,765	4.04%	24.13%	1,340,057,529	-0.08%	-0.23%
Grand Total	10,071,019,590,936	100.00%	100.00%	10,306,347,077,548	100.00%	100.00%	235,327,486,611		

Definitions

Institutional:

Refers to investors with institutional behavior including GREs, Saudi Corporates, Saudi Mutual Funds, Saudi and Non-Saudi DPMs, Saudi Individual Professional Investors (IPI), SWAP Holders, Foreign Strategic Partners and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.



Nomu-Parallel Market - Value Traded & Ownership Breakdown

Weekly Report – Nomu-Parallel Market

Value Traded (by Nationality, Investor Type, & Classification)

Nomu-Parallel Market Value Traded Breakdown - By Nationality and Investor Type

Nationality	Investor Type	Buy		Sell		Net Value Traded	
		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Saudi	Individuals	157,213,431	93.13%	156,229,214	92.55%	984,217	0.58%
	Corporates	378,905	0.22%	492,135	0.29%	-113,230	-0.07%
	Institution	2,169,284	1.29%	5,179,755	3.07%	-3,010,471	-1.78%
	Total Saudi Investors	159,761,620	94.64%	161,901,104	95.91%	-2,139,484	-1.27%
GCC	Individuals	1,231,186	0.73%	568,855	0.34%	662,331	0.39%
	Institutions	2,056,060	1.22%	0	0.00%	2,056,060	1.22%
	Total GCC Investors	3,287,246	1.95%	568,855	0.34%	2,718,391	1.61%
Foreign	SWAP Holders	0	0.00%	0	0.00%	0	0.00%
	Foreign Residents	1,731,500	1.03%	3,830,131	2.27%	-2,098,630	-1.24%
	QFIs	4,025,296	2.38%	2,505,573	1.48%	1,519,723	0.90%
	Total Foreign Investors	5,756,796	3.41%	6,335,703	3.75%	-578,907	-0.34%
Grand Total		168,805,662	100.00%	168,805,662	100.00%		

Nomu-Parallel Market Value Traded Breakdown - By Investor Classification

Investor Classification	Buy		Sell		Net Value Traded	
	SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Institutional	8,629,545	5.11%	8,177,462	4.84%	452,083	0.27%
Non-Institutional	160,176,117	94.89%	160,628,200	95.16%	-452,083	-0.27%
Grand Total	168,805,662	100.00%	168,805,662	100.00%		

Institutional:

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.

Weekly Report – Nomu-Parallel Market

Ownership Value (by Nationality, Investor Type, & Classification)

Nomu-Parallel Market Ownership Breakdown - By Nationality and Investor Type

Nationality	Investor Type	As of 30 September 2021			As of 07 October 2021			Weekly Change		
		Holding Value (SAR)	Ownership (%)		Holding Value (SAR)	Ownership (%)		Δ Holding Value (SAR)	Δ Ownership (%)	
			Issued (%)	FreeFloat (%)		Issued (%)	FreeFloat (%)		Δ Issued (%)	Δ FreeFloat (%)
Saudi	Individuals	10,689,463,127	70.07%	64.37%	10,589,177,081	69.39%	63.74%	-100,286,045	-0.68%	-0.63%
	Corporates	4,336,373,425	28.43%	32.74%	4,451,578,000	29.17%	33.93%	115,204,575	0.75%	1.19%
	Institution	114,783,133	0.75%	0.87%	108,745,507	0.71%	0.83%	-6,037,626	-0.04%	-0.04%
	Total Saudi Investors	15,140,619,684	99.25%	97.97%	15,149,500,588	99.28%	98.50%	8,880,904	0.03%	0.53%
GCC	Individuals	4,884,279	0.03%	0.09%	5,522,352	0.04%	0.07%	638,073	0.00%	-0.01%
	Institutions	20,735,993	0.14%	0.37%	19,247,903	0.13%	0.26%	-1,488,091	-0.01%	-0.10%
	Total GCC Investors	25,620,272	0.17%	0.45%	24,770,254	0.16%	0.34%	-850,018	-0.01%	-0.12%
Foreign	SWAP Holders	19,851,978	0.13%	0.35%	17,732,771	0.12%	0.24%	-2,119,206	-0.01%	-0.11%
	Foreign Residents	15,761,728	0.10%	0.28%	13,045,059	0.09%	0.18%	-2,716,668	-0.02%	-0.10%
	QFIs	53,526,339	0.35%	0.94%	54,843,327	0.36%	0.74%	1,316,989	0.01%	-0.20%
	Total Foreign Investors	89,140,044	0.58%	1.57%	85,621,158	0.56%	1.16%	-3,518,886	-0.02%	-0.41%
Grand Total		15,255,380,000	100.00%	100.00%	15,259,892,000	100.00%	100.00%	4,512,000		

Nomu-Parallel Market Ownership Breakdown - By Investor Classification

Investor Classification	As of 30 September 2021			As of 07 October 2021			Weekly Change		
	Holding Value (SAR)	Ownership (%)		Holding Value (SAR)	Ownership (%)		Δ Holding Value (SAR)	Ownership (%)	
		Issued (%)	FreeFloat (%)		Issued (%)	FreeFloat (%)		Δ Issued (%)	Δ FreeFloat (%)
Institutional	4,545,270,867	29.79%	35.26%	4,652,147,508	30.49%	36.00%	106,876,641	0.69%	0.74%
Non-Institutional	10,710,109,133	70.21%	64.74%	10,607,744,492	69.51%	64.00%	-102,364,641	-0.69%	-0.74%
Grand Total	15,255,380,000	100.00%	100.00%	15,259,892,000	100.00%	100.00%	4,512,000		

Institutional:

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.

Thank you