

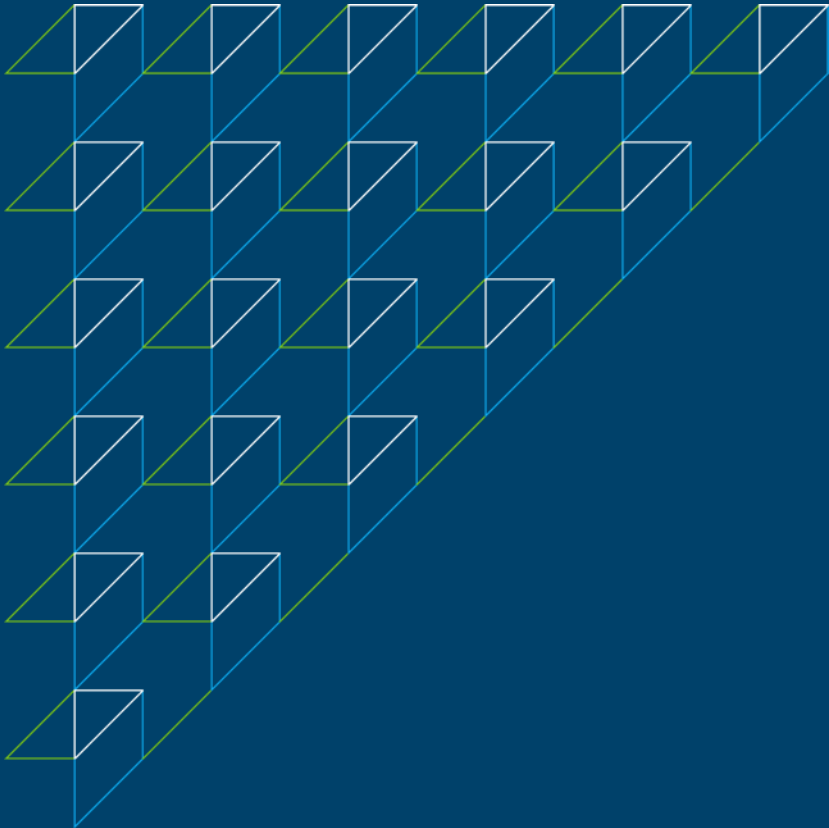
# Weekly Stock Market Ownership and Trading Activity Report

Market Reports

Week Ending 2 November 2017



# Definitions



# Weekly Stock Market Ownership and Trading Activity Report

## Definitions

Nationality	Investor Type	Description
Saudi	Individuals*	Saudi Individuals have been classified under 4 categories as defined below:
	- Retail	Retail investors are Saudi individuals , excluding <b>IPIs</b> (see below) and <b>HNWIs</b> .
	- High Net Worth Investors ( <b>HNWIs</b> )	<b>HNWIs</b> are Saudi individuals who have had an average portfolio size of SAR 1m (and above) for the preceding 12 months, excluding <b>IPIs</b> (see below).
	- Individual Professional Investors ( <b>IPIs</b> )	<b>IPIs</b> are Saudi individuals who have had an average portfolio size of SAR 50m (and above) for the preceding 12 months and a portfolio turnover ratio of not more than 4 times annually.
	- Individual DPMs	Saudi individual investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	Institutions	Saudi Institutions have been classified under 4 categories as defined below:
	- Corporates	Saudi company with a commercial registration permitting its investment in the stock market .
	- Mutual Funds	A mutual fund managed by an Authorized Person and licensed by the CMA.
GCC	- Government Related Entities ( <b>GREs</b> )	A Government entity or a supranational authority recognized by the CMA.
	- Institutional DPMs	Saudi institutional investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	Individuals*	GCC natural person
	Institutions	GCC legal person with a commercial registration certificate (corporate or mutual fund), in addition to GCC government entities.
Foreign	GCC DPMs	GCC investment account (institutional or individual) in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	SWAP Holders	Authorized Person with the permission to enter into SWAP agreements to transfer the economic benefits of its ownership of shares in Saudi companies listed on the Saudi Stock Exchange to its non-resident foreign clients.
	Foreign Residents*	Foreign investors domiciled in the Kingdom with a valid residency permit (Iqama) (excluding GCC nationals).
	QFIs	Qualified Foreign Institutional investors registered with the CMA in accordance with the QFI Rules, to invest in shares listed on the Saudi Stock Exchange.
	Foreign DPMs	Foreign resident investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	Strategic Partners	Foreign investors considered founders and partners of Saudi listed companies.

\*Nomu-Parallel  
Market Individual  
Investors

Individuals who fulfill any of the following:

- Conducted transactions not less than SAR 40m, and not less than 10 transaction in each quarter during last 12 months.
- Have an average portfolio size of SAR 10m for the preceding 12 months
- Hold General Securities Qualification Certificate which is recognized by the Authority.

# Main Market - Value Traded & Ownership Breakdown



# Weekly Report - Main Market

## Value Traded (by Nationality and Investor Type)

Main Market Value Traded Breakdown - By Nationality and Investor Type							
Nationality	Investor Type	Buy		Sell		Net Value Traded	
		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Saudi	<b>Individuals:</b>						
	Retail	5,831,822,795	36.49%	7,873,750,209	49.27%	-2,041,927,414	-12.78%
	HNWIs	5,352,989,366	33.50%	5,388,538,469	33.72%	-35,549,103	-0.22%
	IPIs	477,703,195	2.99%	555,228,692	3.47%	-77,525,497	-0.49%
	Individual DPMs	139,366,126	0.87%	159,516,090	1.00%	-20,149,964	-0.13%
	<b>Sub Total (Individuals)</b>	<b>11,801,881,482</b>	<b>73.85%</b>	<b>13,977,033,460</b>	<b>87.47%</b>	<b>-2,175,151,978</b>	<b>-13.61%</b>
	<b>Institutions:</b>						
	Corporates	2,475,000,425	15.49%	655,894,454	4.10%	1,819,105,971	11.38%
	Mutual Funds	727,720,234	4.55%	423,169,899	2.65%	304,550,335	1.91%
	GRE's	0	0.00%	1,309,800	0.01%	-1,309,800	-0.01%
	Institution DPMs	152,600,665	0.95%	125,643,338	0.79%	26,957,327	0.17%
	<b>Sub Total (Institutions)</b>	<b>3,355,321,323</b>	<b>21.00%</b>	<b>1,206,017,491</b>	<b>7.55%</b>	<b>2,149,303,832</b>	<b>13.45%</b>
	<b>Total Saudi Investors</b>	<b>15,157,202,805</b>	<b>94.85%</b>	<b>15,183,050,951</b>	<b>95.01%</b>	<b>-25,848,146</b>	<b>-0.16%</b>
GCC	Individuals	51,553,350	0.32%	39,002,199	0.24%	12,551,151	0.08%
	Institutions	178,486,750	1.12%	166,919,007	1.04%	11,567,743	0.07%
	GCC DPMs	2,969,512	0.02%	2,556,700	0.02%	412,812	0.00%
	<b>Total GCC Investors</b>	<b>233,009,612</b>	<b>1.46%</b>	<b>208,477,906</b>	<b>1.30%</b>	<b>24,531,706</b>	<b>0.15%</b>
Foreign	SWAP Holders	134,617,957	0.84%	143,330,436	0.90%	-8,712,479	-0.05%
	Foreign Residents	166,080,361	1.04%	197,784,991	1.24%	-31,704,631	-0.20%
	QFIs	129,390,340	0.81%	247,004,880	1.55%	-117,614,540	-0.74%
	Foreign DPMs	159,542,121	1.00%	194,032	0.00%	159,348,089	1.00%
	Strategic Partners	0	0.00%	0	0.00%	0	0.00%
	<b>Total Foreign Investors</b>	<b>589,630,779</b>	<b>3.69%</b>	<b>588,314,339</b>	<b>3.68%</b>	<b>1,316,440</b>	<b>0.01%</b>
<b>Grand Total</b>		<b>15,979,843,197</b>	<b>100.00%</b>	<b>15,979,843,197</b>	<b>100.00%</b>		

# Weekly Report - Main Market

## Value Traded (by Investor Classification)

Main Market Value Traded Breakdown - By Investor Classification						
Investor Classification	Buy		Sell		Net Value Traded	
	SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Institutional	4,577,397,325	28.64%	2,480,767,328	15.52%	2,096,629,997	13.12%
Non-Institutional	11,402,445,872	71.36%	13,499,075,868	84.48%	-2,096,629,997	-13.12%
<b>Grand Total</b>	<b>15,979,843,197</b>	<b>100.00%</b>	<b>15,979,843,197</b>	<b>100.00%</b>		

## Definitions

### **Institutional:**

Refers to investors with institutional behavior including GREs, Saudi Corporates, Saudi Mutual Funds, Saudi and Non-Saudi DPMs, Saudi Individual Professional Investors (IPI), SWAP Holders, Foreign Strategic Partners and Qualified Foreign Investors (QFI).

### **Non Institutional:**

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.

# Weekly Report - Main Market

## Ownership Value (by Nationality and Investor Type)

Main Market Ownership Breakdown - By Nationality and Investor Type							
Nationality	Investor Type	As of 26 October 2017		As of 2 November 2017		Weekly Change	
		Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
Saudi	<b>Individuals:</b>						
	Retail	71,309,960,193	4.37%	69,379,991,544	4.21%	-1,929,968,649	-0.16%
	HNWIs	128,759,394,944	7.89%	130,438,961,473	7.92%	1,679,566,529	0.03%
	IPIs	244,064,027,372	14.95%	247,720,067,698	15.03%	3,656,040,326	0.08%
	Individual DPMs	4,681,238,149	0.29%	4,643,898,922	0.28%	-37,339,227	-0.01%
	<b>Sub Total (Individuals)</b>	<b>448,814,620,657</b>	<b>27.50%</b>	<b>452,182,919,636</b>	<b>27.44%</b>	<b>3,368,298,978</b>	<b>-0.06%</b>
	<b>Institutions:</b>						
	Corporates	269,511,071,116	16.51%	269,440,014,837	16.35%	-71,056,279	-0.16%
	Mutual Funds	162,186,483,866	9.94%	164,579,512,696	9.99%	2,393,028,830	0.05%
	GRE's	600,539,265,621	36.80%	609,335,708,258	36.98%	8,796,442,637	0.18%
	Institution DPMs	48,116,548,783	2.95%	48,559,778,198	2.95%	443,229,416	0.00%
	<b>Sub Total (Institutions)</b>	<b>1,080,353,369,386</b>	<b>66.20%</b>	<b>1,091,915,013,990</b>	<b>66.26%</b>	<b>11,561,644,604</b>	<b>0.07%</b>
<b>Total Saudi Investors</b>	<b>1,529,167,990,043</b>	<b>93.70%</b>	<b>1,544,097,933,626</b>	<b>93.70%</b>	<b>14,929,943,582</b>	<b>0.01%</b>	
GCC	Individuals	2,810,873,105	0.17%	2,844,055,701	0.17%	33,182,595	0.00%
	Institutions	30,389,323,764	1.86%	31,020,218,175	1.88%	630,894,411	0.02%
	GCC DPMs	530,939,433	0.03%	537,480,447	0.03%	6,541,014	0.00%
	<b>Total GCC Investors</b>	<b>33,731,136,303</b>	<b>2.07%</b>	<b>34,401,754,323</b>	<b>2.09%</b>	<b>670,618,021</b>	<b>0.02%</b>
Foreign	SWAP Holders	9,797,207,427	0.60%	9,766,987,447	0.59%	-30,219,980	-0.01%
	Foreign Residents	4,076,054,612	0.25%	4,121,619,004	0.25%	45,564,392	0.00%
	QFIs	7,051,496,515	0.43%	7,127,800,542	0.43%	76,304,027	0.00%
	Foreign DPMs	2,763,376,846	0.17%	2,904,428,710	0.18%	141,051,865	0.01%
	Strategic Partners	45,436,502,231	2.78%	45,431,376,721	2.76%	-5,125,511	-0.03%
	<b>Total Foreign Investors</b>	<b>69,124,637,630</b>	<b>4.24%</b>	<b>69,352,212,423</b>	<b>4.21%</b>	<b>227,574,793</b>	<b>-0.03%</b>
<b>Grand Total</b>		<b>1,632,023,763,976</b>	<b>100.00%</b>	<b>1,647,851,900,372</b>	<b>100.00%</b>	<b>15,828,136,396</b>	

\* Non Deposited Tradable Rights amount of 576,840,000 SAR was not reflected as on 2 November holding value

# Weekly Report - Main Market

## Ownership Value (by Investor Classification)

### Main Market Ownership Breakdown - By Investor Classification

Investor Classification	As of 26 October 2017		As of 2 November 2017		Weekly Change	
	Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
Institutional	1,425,067,481,122	87.32%	1,441,067,272,651	87.45%	15,999,791,529	0.13%
Non-Institutional	206,956,282,854	12.68%	206,784,627,721	12.55%	-171,655,133	-0.13%
<b>Grand Total</b>	<b>1,632,023,763,976</b>	<b>100.00%</b>	<b>1,647,851,900,372</b>	<b>100.00%</b>	<b>15,828,136,396</b>	

## Definitions

### Institutional:

Refers to investors with institutional behavior including GREs, Saudi Corporates, Saudi Mutual Funds, Saudi and Non-Saudi DPMs, Saudi Individual Professional Investors (IPI), SWAP Holders, Foreign Strategic Partners and Qualified Foreign Investors (QFI).

### Non Institutional:

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.



# Nomu-Parallel Market - Value Traded & Ownership Breakdown



# Weekly Report – Nomu-Parallel Market

## Value Traded (by Nationality, Investor Type, & Classification)

Nomu-Parallel Market Value Traded Breakdown - By Nationality and Investor Type							
Nationality	Investor Type	Buy		Sell		Net Value Traded	
		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Saudi	Individuals	5,199,999	89.30%	5,545,824	95.24%	-345,825	-5.94%
	Corporates	82,500	1.42%	0	0.00%	82,500	1.42%
	Institution	369,793	6.35%	217,493	3.74%	152,300	2.62%
	<b>Total Saudi Investors</b>	<b>5,652,292</b>	<b>97.07%</b>	<b>5,763,317</b>	<b>98.98%</b>	<b>-111,025</b>	<b>-1.91%</b>
GCC	Individuals	0	0.00%	0	0.00%	0	0.00%
	Institutions	0	0.00%	0	0.00%	0	0.00%
	<b>Total GCC Investors</b>	<b>0</b>	<b>0.00%</b>	<b>0</b>	<b>0.00%</b>	<b>0</b>	<b>0.00%</b>
Foreign	SWAP Holders	0	0.00%	0	0.00%	0	0.00%
	Foreign Residents	54,660	0.94%	59,447	1.02%	-4,787	-0.08%
	QFIs	115,811	1.99%	0	0.00%	115,811	1.99%
	<b>Total Foreign Investors</b>	<b>170,471</b>	<b>2.93%</b>	<b>59,447</b>	<b>1.02%</b>	<b>111,025</b>	<b>1.91%</b>
<b>Grand Total</b>		<b>5,822,764</b>	<b>100.00%</b>	<b>5,822,764</b>	<b>100.00%</b>		

Nomu-Parallel Market Value Traded Breakdown - By Investor Classification						
Investor Classification	Buy		Sell		Net Value Traded	
	SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
<b>Institutional</b>	568,104	9.76%	217,493	3.74%	350,611	6.02%
<b>Non-Institutional</b>	5,254,660	90.24%	5,605,271	96.26%	-350,611	-6.02%
<b>Grand Total</b>	<b>5,822,764</b>	<b>100.00%</b>	<b>5,822,764</b>	<b>100.00%</b>		

### Institutional:

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

### Non Institutional:

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.

# Weekly Report – Nomu-Parallel Market

## Ownership Value (by Nationality, Investor Type, & Classification)

Nomu-Parallel Market Ownership Breakdown - By Nationality and Investor Type							
Nationality	Investor Type	As of 26 October 2017		As of 2 November 2017		Weekly Change	
		Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
Saudi	Individuals	1,989,091,785	89.07%	1,989,049,307	88.55%	-42,478	-0.52%
	Corporates	115,325,774	5.16%	121,697,828	5.42%	6,372,053	0.25%
	Institution	110,516,806	4.95%	115,475,854	5.14%	4,959,048	0.19%
	<b>Total Saudi Investors</b>	<b>2,214,934,365</b>	<b>99.18%</b>	<b>2,226,222,988</b>	<b>99.11%</b>	<b>11,288,623</b>	<b>-0.07%</b>
GCC	Individuals	28,975	0.00%	29,102	0.00%	127	0.00%
	Institutions	12,088,772	0.54%	13,394,846	0.60%	1,306,073	0.06%
	<b>Total GCC Investors</b>	<b>12,117,747</b>	<b>0.54%</b>	<b>13,423,948</b>	<b>0.60%</b>	<b>1,306,200</b>	<b>0.06%</b>
Foreign	SWAP Holders	206,344	0.01%	196,735	0.01%	-9,610	0.00%
	Foreign Residents	3,381,420	0.15%	3,470,021	0.15%	88,601	0.00%
	QFIs	2,616,113	0.12%	2,921,379	0.13%	305,266	0.01%
	<b>Total Foreign Investors</b>	<b>6,203,877</b>	<b>0.28%</b>	<b>6,588,134</b>	<b>0.29%</b>	<b>384,257</b>	<b>0.02%</b>
<b>Grand Total</b>		<b>2,233,255,990</b>	<b>100.00%</b>	<b>2,246,235,070</b>	<b>100.00%</b>	<b>12,979,080</b>	

Nomu-Parallel Market Ownership Breakdown - By Investor Classification						
Investor Classification	As of 26 October 2017		As of 2 November 2017		Weekly Change	
	Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
Institutional	240,753,811	10.78%	253,686,641	11.29%	12,932,830	0.51%
Non-Institutional	1,992,502,179	89.22%	1,992,548,429	88.71%	46,250	-0.51%
<b>Grand Total</b>	<b>2,233,255,990</b>	<b>100.00%</b>	<b>2,246,235,070</b>	<b>100.00%</b>	<b>12,979,080</b>	

### Institutional:

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

### Non Institutional:

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.

السوق المالية السعودية  
Saudi Stock Exchange

تداول  
Tadawul

Thank you

