

Weekly Stock Market Ownership and Trading Activity Report

Market Reports

Week Ending 30 April 2020



Definitions



Monthly Stock Market Ownership and Trading Activity Report

Definitions

Nationality	Investor Type	Description
Saudi	Individuals*	Saudi Individuals have been classified under 4 categories as defined below:
	- Retail	Retail investors are Saudi individuals , excluding IPIs (see below) and HNWIs.
	- High Net Worth Investors (HNWIs)	HNWIs are Saudi individuals who have had an average portfolio size of SAR 1m (and above) for the preceding 12 months, excluding IPIs (see below).
	- Individual Professional Investors (IPIs)	IPIs are Saudi individuals who have had an average portfolio size of SAR 50m (and above) for the preceding 12 months and a portfolio turnover ratio of not more than 4 times annually.
	- Individual DPMs	Saudi individual investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	Institutions	Saudi Institutions have been classified under 4 categories as defined below:
	- Corporates	Saudi company with a commercial registration permitting its investment in the stock market .
	- Mutual Funds	A mutual fund managed by an Authorized Person and licensed by the CMA.
	- Government Related Entities (GREs)	A Government entity or a supranational authority recognized by the CMA.
- Institutional DPMs	Saudi institutional investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).	
GCC	Individuals*	GCC natural person
	Institutions	GCC legal person with a commercial registration certificate (corporate or mutual fund), in addition to GCC government entities.
	GCC DPMs	GCC investment account (institutional or individual) in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
Foreign	SWAP Holders	Authorized Person with the permission to enter into SWAP agreements to transfer the economic benefits of its ownership of shares in Saudi companies listed on the Saudi Stock Exchange to its non-resident foreign clients.
	Foreign Residents & Others	Investors with a valid residency permit (Iqama) and others (excluding SWAP, QFIs, DPM & Strategic Investors).
	QFIs	Qualified Foreign Institutional investors registered with the CMA in accordance with the QFI Rules, to invest in shares listed on the Saudi Stock Exchange.
	Foreign DPMs	Foreign resident investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	Strategic Investors	A foreign legal entity that aims to own a strategic shareholding in listed companies.

*Nomu-Parallel Market Individual Investors

Individuals who fulfill any of the following:

- Conducted transactions not less than SAR 40m, and not less than 10 transaction in each quarter during last 12 months.
- Have an average portfolio size of SAR 5m for the preceding 12 months
- Worked for at least 3 years in financial sectors.
- Hold General Securities Qualification Certificate which is recognized by the Authority.
- Holds professional certificate that is related to securities business and accredited by an internationally recognized entity

Main Market - Value Traded & Ownership Breakdown



Weekly Report - Main Market

Value Traded (by Nationality and Investor Type)

Main Market Value Traded Breakdown - By Nationality and Investor Type							
Nationality	Investor Type	Buy		Sell		Net Value Traded	
		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Saudi	Individuals:						
	Retail	13,864,826,964	53.63%	14,041,575,180	54.31%	-176,748,217	-0.68%
	HNWIs	5,701,271,385	22.05%	6,016,245,558	23.27%	-314,974,173	-1.22%
	IPIs	656,632,302	2.54%	816,218,761	3.16%	-159,586,459	-0.62%
	Individual DPMs	524,266,355	2.03%	268,001,788	1.04%	256,264,567	0.99%
	Sub Total (Individuals)	20,746,997,006	80.25%	21,142,041,287	81.78%	-395,044,282	-1.53%
	Institutions:						
	Corporates	981,393,921	3.80%	970,242,436	3.75%	11,151,485	0.04%
	Mutual Funds	694,816,781	2.69%	375,272,755	1.45%	319,544,026	1.24%
	GRE's	373,121,359	1.44%	514,049,074	1.99%	-140,927,716	-0.55%
	Institution DPMs	395,954,526	1.53%	200,707,787	0.78%	195,246,739	0.76%
	Sub Total (Institutions)	2,445,286,586	9.46%	2,060,272,052	7.97%	385,014,534	1.49%
	Total Saudi Investors	23,192,283,592	89.71%	23,202,313,340	89.75%	-10,029,748	-0.04%
GCC	Individuals	47,353,847	0.18%	43,515,219	0.17%	3,838,628	0.01%
	Institutions	379,296,610	1.47%	500,014,188	1.93%	-120,717,578	-0.47%
	GCC DPMs	7,989,774	0.03%	26,557,937	0.10%	-18,568,163	-0.07%
	Total GCC Investors	434,640,231	1.68%	570,087,344	2.21%	-135,447,113	-0.52%
Foreign	SWAP Holders	69,906,418	0.27%	77,421,134	0.30%	-7,514,716	-0.03%
	Foreign Residents & Others	524,365,016	2.03%	542,426,990	2.10%	-18,061,974	-0.07%
	QFIs	1,631,971,961	6.31%	1,460,988,169	5.65%	170,983,792	0.66%
	Foreign DPMs	330,821	0.00%	261,061	0.00%	69,760	0.00%
	Strategic Investor	0	0.00%	0	0.00%	0	0.00%
	Total Foreign Investors	2,226,574,215	8.61%	2,081,097,354	8.05%	145,476,861	0.56%
Grand Total		25,853,498,038	100.00%	25,853,498,038	100.00%		

Weekly Report - Main Market

Value Traded (by Investor Classification)

Main Market Value Traded Breakdown - By Investor Classification						
Investor Classification	Buy		Sell		Net Value Traded	
	SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Institutional	5,715,680,826	22.11%	5,209,735,090	20.15%	505,945,736	1.96%
Non-Institutional	20,137,817,212	77.89%	20,643,762,948	79.85%	-505,945,736	-1.96%
Grand Total	25,853,498,038	100.00%	25,853,498,038	100.00%		

Definitions

Institutional:

Refers to investors with institutional behavior including GREs, Saudi Corporates, Saudi Mutual Funds, Saudi and Non-Saudi DPMs, Saudi Individual Professional Investors (IPI), SWAP Holders, Foreign Strategic Partners and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.

Weekly Report - Main Market

Ownership Value (by Nationality and Investor Type)

Main Market Ownership Breakdown - By Nationality and Investor Type

Nationality	Investor Type	As of 23 April 2020			As of 30 April 2020			Weekly Change				
		Holding Value (SAR)	Ownership		Holding Value (SAR)	Ownership		Δ Holding Value (SAR)	Ownership			
			Issued (%)	Free Float (%)		Issued (%)	Free Float (%)		Δ Issued (%)	Δ Free Float (%)		
Saudi	Individuals:											
	Retail	93,770,329,864	1.24%	9.69%	99,198,056,495	1.24%	9.52%	5,427,726,631	0.00%	-0.17%		
	HNWIs	136,706,225,582	1.81%	13.91%	144,662,760,105	1.81%	13.66%	7,956,534,523	0.00%	-0.24%		
	IPIs	227,538,348,041	3.01%	17.24%	248,498,167,777	3.10%	17.70%	20,959,819,735	0.10%	0.45%		
	Individual DPMs	4,956,599,794	0.07%	0.51%	5,253,323,402	0.07%	0.50%	296,723,608	0.00%	-0.01%		
	Sub Total (Individuals)	462,971,503,281	6.12%	41.35%	497,612,307,779	6.22%	41.38%	34,640,804,498	0.10%	0.03%		
	Institutions:											
	Corporates	311,132,666,823	4.11%	23.11%	335,032,207,952	4.19%	23.24%	23,899,541,129	0.07%	0.13%		
	Mutual Funds	160,559,676,997	2.12%	11.12%	170,697,452,200	2.13%	11.12%	10,137,775,203	0.01%	0.00%		
	GRE's	6,415,256,195,585	84.81%	7.40%	6,769,856,331,839	84.57%	7.24%	354,600,136,254	-0.25%	-0.16%		
	Institution DPMs	29,901,422,400	0.40%	3.09%	32,297,806,951	0.40%	3.10%	2,396,384,550	0.01%	0.01%		
	Sub Total (Institutions)	6,916,849,961,805	91.45%	44.72%	7,307,883,798,941	91.29%	44.69%	391,033,837,136	-0.16%	-0.02%		
	Total Saudi Investors	7,379,821,465,086	97.57%	86.07%	7,805,496,106,720	97.50%	86.07%	425,674,641,633	-0.06%	0.00%		
GCC	Individuals	1,974,440,646	0.03%	0.20%	2,120,910,816	0.03%	0.20%	146,470,170	0.00%	0.00%		
	Institutions	31,747,322,064	0.42%	1.96%	33,960,164,277	0.42%	1.95%	2,212,842,213	0.00%	-0.01%		
	GCC DPMs	695,804,228	0.01%	0.07%	729,771,888	0.01%	0.07%	33,967,659	0.00%	0.00%		
	Total GCC Investors	34,417,566,938	0.46%	2.23%	36,810,846,981	0.46%	2.22%	2,393,280,042	0.00%	-0.01%		
Foreign	SWAP Holders	2,120,380,279	0.03%	0.22%	2,231,167,172	0.03%	0.21%	110,786,892	0.00%	0.00%		
	Foreign Residents & Others	7,609,420,177	0.10%	0.53%	8,490,206,814	0.11%	0.56%	880,786,637	0.01%	0.02%		
	QFIs	105,386,682,925	1.39%	10.77%	113,400,739,896	1.42%	10.76%	8,014,056,972	0.02%	-0.01%		
	Foreign DPMs	1,749,446,937	0.02%	0.18%	1,872,940,163	0.02%	0.18%	123,493,225	0.00%	0.00%		
	Strategic Investors	32,831,269,565	0.43%	0.00%	37,120,220,295	0.46%	0.00%	4,288,950,730	0.03%	0.00%		
Total Foreign Investors	149,697,199,882	1.98%	11.70%	163,115,274,339	2.04%	11.71%	13,418,074,457	0.06%	0.01%			
Grand Total		7,563,936,231,907	100.00%	100.00%	8,005,422,228,040	100.00%	100.00%	441,485,996,133				

Weekly Report - Main Market

Ownership Value (by Investor Classification)

Main Market Ownership Breakdown - By Investor Classification									
Investor Classification	As of 23 April 2020			As of 30 April 2020			Weekly Change		
	Holding Value (SAR)	Ownership		Holding Value (SAR)	Ownership		Δ Holding Value (SAR)	Ownership	
		Issued (%)	FreeFloat (%)		Issued (%)	FreeFloat (%)		Δ Issued (%)	Δ FreeFloat (%)
Institutional	7,326,248,689,213	96.83%	75.67%	7,753,538,499,170	96.82%	76.06%	427,289,809,957	0.00%	0.39%
Non-Institutional	237,687,542,694	3.17%	24.33%	251,883,728,869	3.18%	23.94%	14,196,186,176	0.00%	-0.39%
Grand Total	7,563,936,231,907	100.00%	100.00%	8,005,422,228,040	100.00%	100.00%	441,485,996,133		

Definitions

Institutional:

Refers to investors with institutional behavior including GREs, Saudi Corporates, Saudi Mutual Funds, Saudi and Non-Saudi DPMs, Saudi Individual Professional Investors (IPI), SWAP Holders, Foreign Strategic Partners and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.

Nomu-Parallel Market - Value Traded & Ownership Breakdown



Weekly Report – Nomu-Parallel Market

Value Traded (by Nationality, Investor Type, & Classification)

Nomu-Parallel Market Value Traded Breakdown - By Nationality and Investor Type

Nationality	Investor Type	Buy		Sell		Net Value Traded	
		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Saudi	Individuals	180,221,269	95.42%	180,914,348	95.79%	-693,080	-0.37%
	Corporates	1,030,010	0.55%	400,511	0.21%	629,499	0.33%
	Institution	0	0.00%	0	0.00%	0	0.00%
	Total Saudi Investors	181,251,279	95.97%	181,314,859	96.00%	-63,580	-0.03%
GCC	Individuals	0	0.00%	0	0.00%	0	0.00%
	Institutions	0	0.00%	0	0.00%	0	0.00%
	Total GCC Investors	0	0.00%	0	0.00%	0	0.00%
Foreign	SWAP Holders	0	0.00%	0	0.00%	0	0.00%
	Foreign Residents	7,612,450	4.03%	7,548,869	4.00%	63,580	0.03%
	QFIs	0	0.00%	0	0.00%	0	0.00%
	Total Foreign Investors	7,612,450	4.03%	7,548,869	4.00%	63,580	0.03%
Grand Total		188,863,729	100.00%	188,863,729	100.00%		

Nomu-Parallel Market Value Traded Breakdown - By Investor Classification

Investor Classification	Buy		Sell		Net Value Traded	
	SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Institutional	1,030,010	0.55%	400,511	0.21%	629,499	0.33%
Non-Institutional	187,833,718	99.45%	188,463,218	99.79%	-629,499	-0.33%
Grand Total	188,863,729	100.00%	188,863,729	100.00%		

Institutional:

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.

Weekly Report – Nomu-Parallel Market

Ownership Value (by Nationality, Investor Type, & Classification)

Nomu-Parallel Market Ownership Breakdown - By Nationality and Investor Type										
Nationality	Investor Type	As of 23 April 2020			As of 30 April 2020			Weekly Change		
		Holding Value (SAR)	Ownership (%)		Holding Value (SAR)	Ownership (%)		Δ Holding Value (SAR)	Δ Ownership (%)	
			Issued (%)	FreeFloat (%)		Issued (%)	FreeFloat (%)		Δ Issued (%)	Δ FreeFloat (%)
Saudi	Individuals	1,933,738,792	98.80%	96.71%	1,861,777,110	98.61%	96.16%	-71,961,682	-0.19%	-0.55%
	Corporates	19,366,748	0.99%	2.72%	21,189,199	1.12%	3.08%	1,822,451	0.13%	0.36%
	Institution	1,505,971	0.08%	0.21%	1,675,530	0.09%	0.24%	169,559	0.01%	0.03%
	Total Saudi Investors	1,954,611,510	99.87%	99.64%	1,884,641,838	99.82%	99.48%	-69,969,672	-0.05%	-0.15%
GCC	Individuals	0	0.00%	0.00%	0	0.00%	0.00%	0	0.00%	0.00%
	Institutions	0	0.00%	0.00%	0	0.00%	0.00%	0	0.00%	0.00%
	Total GCC Investors	0	0.00%	0.00%	0	0.00%	0.00%	0	0.00%	0.00%
Foreign	SWAP Holders	18,520	0.00%	0.00%	14,030	0.00%	0.00%	-4,490	0.00%	0.00%
	Foreign Residents	2,519,970	0.13%	0.36%	3,344,132	0.18%	0.51%	824,162	0.05%	0.15%
	QFIs	0	0.00%	0.00%	0	0.00%	0.00%	0	0.00%	0.00%
	Total Foreign Investors	2,538,490	0.13%	0.36%	3,358,162	0.18%	0.52%	819,672	0.05%	0.15%
Grand Total		1,957,150,000	100.00%	100.00%	1,888,000,000	100.00%	100.00%	-69,150,000		

Nomu-Parallel Market Ownership Breakdown - By Investor Classification									
Investor Classification	As of 23 April 2020			As of 30 April 2020			Weekly Change		
	Holding Value (SAR)	Ownership (%)		Holding Value (SAR)	Ownership (%)		Δ Holding Value (SAR)	Ownership (%)	
		Issued (%)	FreeFloat (%)		Issued (%)	FreeFloat (%)		Δ Issued (%)	Δ FreeFloat (%)
Institutional	20,891,238	1.07%	2.93%	22,878,758	1.21%	3.33%	1,987,520	0.14%	0.39%
Non-Institutional	1,936,258,762	98.93%	97.07%	1,865,121,242	98.79%	96.67%	-71,137,520	-0.14%	-0.39%
Grand Total	1,957,150,000	100%	100%	1,888,000,000	100%	100%	-69,150,000		

Institutional:

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.

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Saudi Stock Exchange

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Thank you

