

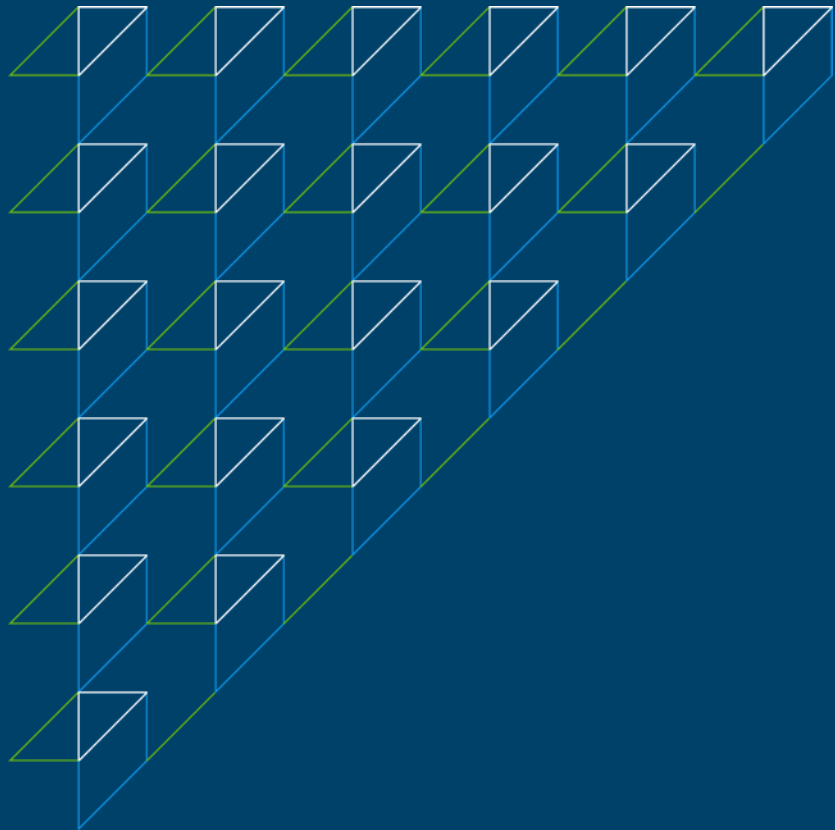
Weekly Stock Market Ownership and Trading Activity Report

Market Reports

Week Ending 6 October 2016



Definitions



Weekly Stock Market Ownership and Trading Activity Report

Definitions

Nationality	Investor Type	Description
Saudi	Individuals	Saudi Individuals have been classified under 4 categories as defined below:
	- Retail	Retail investors are Saudi individuals , excluding IPIs (see below) and HNWIs.
	- High Net Worth Investors (HNWIs)	HNWIs are Saudi individuals who have had an average portfolio size of SAR 1m (and above) for the preceding 12 months, excluding IPIs (see below).
	- Individual Professional Investors (IPIs)	IPIs are Saudi individuals who have had an average portfolio size of SAR 50m (and above) for the preceding 12 months and a portfolio turnover ratio of not more than 4 times annually.
	- Individual DPMs	Saudi individual investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	Institutions	Saudi Institutions have been classified under 4 categories as defined below:
	- Corporates	Saudi company with a commercial registration permitting its investment in the stock market .
	- Mutual Funds	A mutual fund managed by an Authorized Person and licensed by the CMA.
	- Government Related Entities (GREs)	A Government entity or a supranational authority recognized by the CMA.
- Institutional DPMs	Saudi institutional investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).	
GCC	Individuals	GCC natural person
	Institutions	GCC legal person with a commercial registration certificate (corporate or mutual fund), in addition to GCC government entities.
	GCC DPMs	GCC investment account (institutional or individual) in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
Foreign	SWAP Holders	Authorized Person with the permission to enter into SWAP agreements to transfer the economic benefits of its ownership of shares in Saudi companies listed on the Saudi Stock Exchange to its non-resident foreign clients.
	Foreign Residents	Foreign investors domiciled in the Kingdom with a valid residency permit (Iqama) (excluding GCC nationals).
	QFIs	Qualified Foreign Institutional investors registered with the CMA in accordance with the QFI Rules, to invest in shares listed on the Saudi Stock Exchange.
	Foreign DPMs	Foreign resident investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	Strategic Partners	Foreign investors considered founders and partners of Saudi listed companies.

Highlights



Weekly Stock Market Ownership and Trading Activity Report

Highlights

The total value of shares traded for the week ending 6 October 2016 amounted to SAR 15.20 billion, decreasing by 9.58% over the previous week; while total stock market capitalization reached SAR 1,338.28* billion at the end of this period, increasing by 1.03% over the previous week.

- The total value of shares purchased by “Saudi Investors” during this period amounted to SAR 13.96 billion representing 91.83% of total buying activity, and sales of SAR 14.29 billion representing 93.98% of total selling activity. Total ownership of “Saudi Investors” stood at 93.50% of total market capitalization as of 6 October 2016, representing an increase of 0.05% from the previous week.
- The total value of shares purchased by “GCC Investors” during this period amounted to SAR 0.347 billion representing 2.28% of total buying activity, and sales of SAR 0.260 billion representing 1.71% of total selling activity. Total ownership of “GCC Investors” stood at 2.41% of total market capitalization as of 6 October 2016, representing an increase of 0.01% from the previous week.
- The total value of shares purchased by “Foreign Investors” during this period amounted to SAR 0.895 billion representing 5.89% of total buying activity, and sales of SAR 0.655 billion representing 4.31% of total selling activity. Total ownership of “Foreign Investors” stood at 4.10% of total market capitalization as of 6 October 2016, representing a decrease of 0.05% from the previous week.



* Non Deposited Tradable Rights amount of 801,000,000 SAR was not reflected as on 6 October holding value

Stock Market Value Traded Breakdown



Weekly Stock Market Ownership and Trading Activity Report

Value Traded (by Nationality and Investor Type)

Stock Market Traded Value Breakdown - By Nationality and Investor Type

Nationality	Investor Type	Buy		Sell		Net Value Traded		
		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)	
Saudi	Individuals:							
	Retail	5,849,450,859	38.48%	6,771,297,746	44.54%	-921,846,887	-6.06%	
	HNWIs	5,377,471,769	35.37%	5,719,381,052	37.62%	-341,909,283	-2.25%	
	IPIs	535,849,302	3.52%	584,385,812	3.84%	-48,536,510	-0.32%	
	Individual DPMs	160,238,825	1.05%	166,723,256	1.10%	-6,484,431	-0.04%	
	Sub Total (Individuals)	11,923,010,755	78.43%	13,241,787,866	87.11%	-1,318,777,111	-8.68%	
	Institutions:							
	Corporates	893,306,539	5.88%	301,637,924	1.98%	591,668,615	3.89%	
	Mutual Funds	532,036,203	3.50%	399,523,675	2.63%	132,512,528	0.87%	
	GRE's	297,849,123	1.96%	13,638,268	0.09%	284,210,855	1.87%	
	Institution DPMs	313,244,190	2.06%	330,456,571	2.17%	-17,212,380	-0.11%	
	Sub Total (Institutions)	2,036,436,056	13.40%	1,045,256,438	6.88%	991,179,618	6.52%	
Total Saudi Investors	13,959,446,811	91.83%	14,287,044,304	93.98%	-327,597,493	-2.15%		
GCC	Individuals	50,452,188	0.33%	57,422,574	0.38%	-6,970,386	-0.05%	
	Institutions	295,648,651	1.94%	201,725,137	1.33%	93,923,514	0.62%	
	GCC DPMs	778,904	0.01%	740,340	0.00%	38,564	0.00%	
	Total GCC Investors	346,879,743	2.28%	259,888,051	1.71%	86,991,692	0.57%	
Foreign	SWAP Holders	618,673,851	4.07%	307,597,062	2.02%	311,076,789	2.05%	
	Foreign Residents	235,009,004	1.55%	281,729,725	1.85%	-46,720,722	-0.31%	
	QFIs	41,803,570	0.27%	65,553,836	0.43%	-23,750,266	-0.16%	
	Foreign DPMs	0	0.00%	0	0.00%	0	0.00%	
	Strategic Partners	0	0.00%	0	0.00%	0	0.00%	
	Total Foreign Investors	895,486,425	5.89%	654,880,623	4.31%	240,605,802	1.58%	
Grand Total		15,201,812,979	100.00%	15,201,812,979	100.00%			

Weekly Stock Market Ownership and Trading Activity Report

Value Traded (by Investor Classification)

Stock Market Traded Value Breakdown - By Investor Classification						
Investor Classification	Buy		Sell		Net Value Traded	
	SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Institutional	3,689,429,159	24.27%	2,371,981,882	15.60%	1,317,447,277	8.67%
Non-Institutional	11,512,383,820	75.73%	12,829,831,097	84.40%	-1,317,447,277	-8.67%
Grand Total	15,201,812,979	100.00%	15,201,812,979	100.00%		

Definitions

Institutional:

Refers to investors with institutional behavior including GREs, Saudi Corporates, Saudi Mutual Funds, Saudi and Non-Saudi DPMs, Saudi Individual Professional Investors (IPI), SWAP Holders, Foreign Strategic Partners and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.

Stock Market Ownership Breakdown



Weekly Stock Market Ownership and Trading Activity Report

Ownership Value (by Nationality and Investor Type)

Stock Market Ownership Breakdown - By Nationality and Investor Type

Nationality	Investor Type	As of 29 September 2016		As of 6 October 2016*		Weekly Change		
		Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)	
Saudi	Individuals:							
	Retail	62,229,837,051	4.70%	62,570,557,824	4.68%	340,720,772	-0.02%	
	HNWIs	112,222,962,605	8.47%	112,179,381,316	8.38%	-43,581,289	-0.09%	
	IPIs	219,247,030,966	16.55%	219,976,982,693	16.44%	729,951,727	-0.11%	
	Individual DPMs	4,026,787,147	0.30%	4,034,707,262	0.30%	7,920,114	0.00%	
	Sub Total (Individuals)	397,726,617,770	30.02%	398,761,629,094	29.80%	1,035,011,324	-0.23%	
	Institutions:							
	Corporates	231,926,169,273	17.51%	232,967,714,605	17.41%	1,041,545,332	-0.10%	
	Mutual Funds	40,030,764,016	3.02%	40,177,562,280	3.00%	146,798,264	-0.02%	
	GRE's	531,138,391,846	40.10%	542,111,753,560	40.51%	10,973,361,714	0.41%	
	Institution DPMs	37,087,313,191	2.80%	37,256,753,673	2.78%	169,440,482	-0.02%	
	Sub Total (Institutions)	840,182,638,327	63.43%	852,513,784,119	63.70%	12,331,145,793	0.28%	
	Total Saudi Investors	1,237,909,256,097	93.45%	1,251,275,413,214	93.50%	13,366,157,117	0.05%	
GCC	Individuals	2,556,147,138	0.19%	2,563,558,972	0.19%	7,411,833	0.00%	
	Institutions	28,656,517,848	2.16%	29,071,913,154	2.17%	415,395,307	0.01%	
	GCC DPMs	548,745,759	0.04%	556,749,637	0.04%	8,003,878	0.00%	
	Total GCC Investors	31,761,410,745	2.40%	32,192,221,763	2.41%	430,811,018	0.01%	
Foreign	SWAP Holders	12,339,076,719	0.93%	12,603,046,507	0.94%	263,969,788	0.01%	
	Foreign Residents	3,704,779,780	0.28%	3,716,058,329	0.28%	11,278,549	0.00%	
	QFIs	1,112,535,494	0.08%	1,099,111,815	0.08%	-13,423,679	0.00%	
	Foreign DPMs	10,348,591	0.00%	10,358,559	0.00%	9,968	0.00%	
	Strategic Partners	37,815,162,500	2.85%	37,386,504,019	2.79%	-428,658,481	-0.06%	
	Total Foreign Investors	54,981,903,084	4.15%	54,815,079,229	4.10%	-166,823,856	-0.05%	
Grand Total		1,324,652,569,926	100.00%	1,338,282,714,205	100.00%	13,630,144,279		

* Non Deposited Tradable Rights amount of 801,000,000 SAR was not reflected as on 6 October holding value

Weekly Stock Market Ownership and Trading Activity Report

Ownership Value (by Investor Classification)

Stock Market Ownership Breakdown - By Investor Classification						
Investor Classification	As of 29 September 2016		As of 6 October 2016*		Weekly Change	
	Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
Institutional	1,143,938,843,351	86.36%	1,157,253,157,765	86.47%	13,314,314,414	0.12%
Non-Institutional	180,713,726,575	13.64%	181,029,556,440	13.53%	315,829,865	-0.12%
Grand Total	1,324,652,569,926	100.00%	1,338,282,714,205	100.00%	13,630,144,279	

Definitions

Institutional:

Refers to investors with institutional behavior including GREs, Saudi Corporates, Saudi Mutual Funds, Saudi and Non-Saudi DPMs, Saudi Individual Professional Investors (IPI), SWAP Holders, Foreign Strategic Partners and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.

السوق المالية السعودية
Saudi Stock Exchange

تداول
Tadawul

Thank you

