

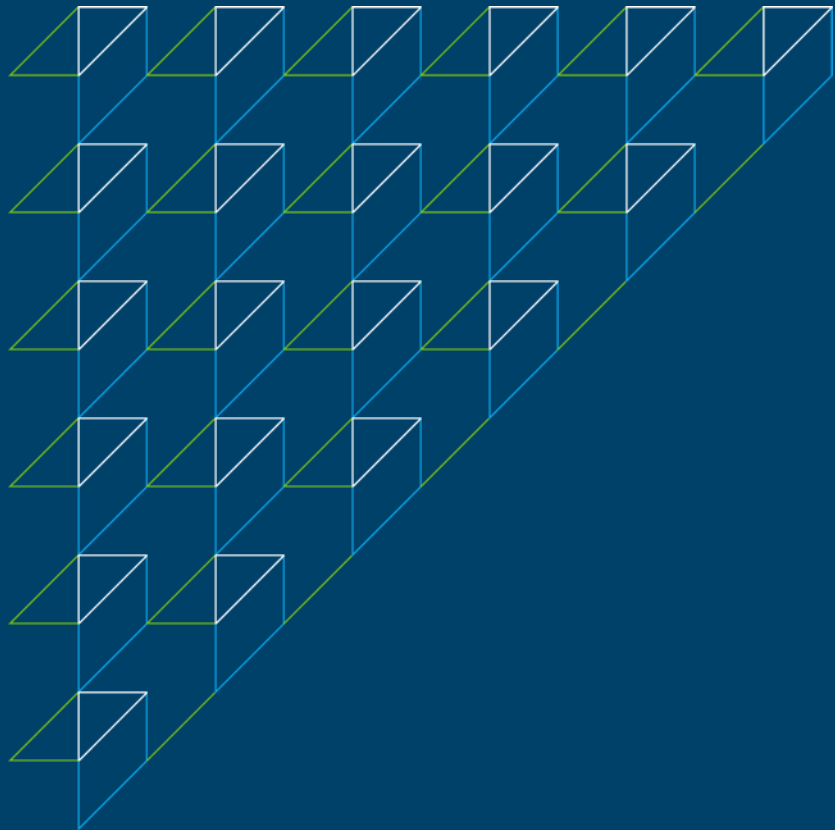
Monthly Stock Market Ownership and Trading Activity Report

Market Reports

Month Ending 31 October 2019



Definitions



Monthly Stock Market Ownership and Trading Activity Report

Definitions

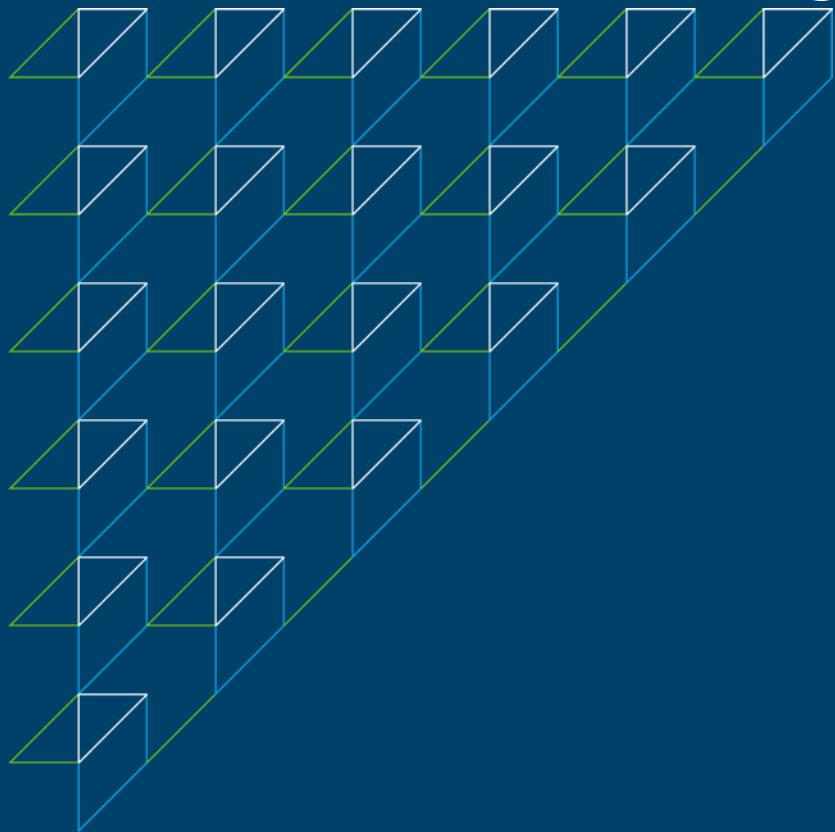
Nationality	Investor Type	Description
Saudi	Individuals*	Saudi Individuals have been classified under 4 categories as defined below:
	- Retail	Retail investors are Saudi individuals , excluding IPIs (see below) and HNWIs.
	- High Net Worth Investors (HNWIs)	HNWIs are Saudi individuals who have had an average portfolio size of SAR 1m (and above) for the preceding 12 months, excluding IPIs (see below).
	- Individual Professional Investors (IPIs)	IPIs are Saudi individuals who have had an average portfolio size of SAR 50m (and above) for the preceding 12 months and a portfolio turnover ratio of not more than 4 times annually.
	- Individual DPMs	Saudi individual investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	Institutions	Saudi Institutions have been classified under 4 categories as defined below:
	- Corporates	Saudi company with a commercial registration permitting its investment in the stock market .
	- Mutual Funds	A mutual fund managed by an Authorized Person and licensed by the CMA.
	- Government Related Entities (GREs)	A Government entity or a supranational authority recognized by the CMA.
- Institutional DPMs	Saudi institutional investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).	
GCC	Individuals*	GCC natural person
	Institutions	GCC legal person with a commercial registration certificate (corporate or mutual fund), in addition to GCC government entities.
	GCC DPMs	GCC investment account (institutional or individual) in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
Foreign	SWAP Holders	Authorized Person with the permission to enter into SWAP agreements to transfer the economic benefits of its ownership of shares in Saudi companies listed on the Saudi Stock Exchange to its non-resident foreign clients.
	Foreign Residents & Others	Investors with a valid residency permit (Iqama) and others (excluding SWAP, QFIs, DPM & Strategic Investors).
	QFIs	Qualified Foreign Institutional investors registered with the CMA in accordance with the QFI Rules, to invest in shares listed on the Saudi Stock Exchange.
	Foreign DPMs	Foreign resident investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	Strategic Investors	A foreign legal entity that aims to own a strategic shareholding in listed companies.

*Nomu-Parallel Market Individual Investors

Individuals who fulfill any of the following:

- Conducted transactions not less than SAR 40m, and not less than 10 transaction in each quarter during last 12 months.
- Have an average portfolio size of SAR 5m for the preceding 12 months
- Worked for at least 3 years in financial sectors.
- Hold General Securities Qualification Certificate which is recognized by the Authority.
- Holds professional certificate that is related to securities business and accredited by an internationally recognized entity

Main Market - Highlights

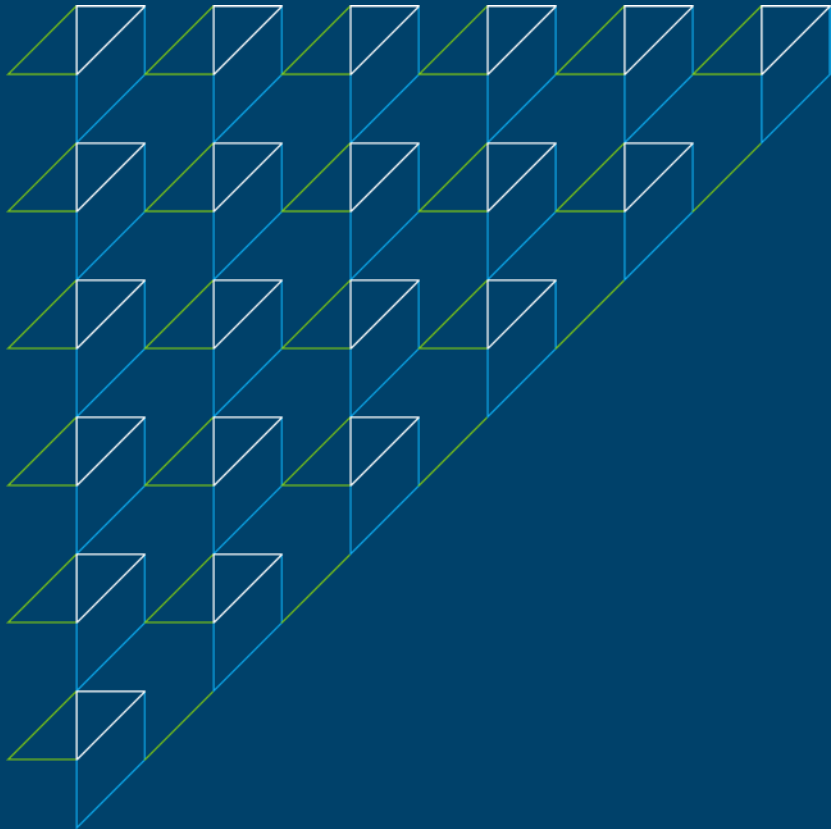


The total value of shares traded for the month ending 31 October 2019 amounted to SAR 61.66 billion, decreasing by 4.78% over the previous month; while total stock market capitalization reached SAR 1,818.77 billion at the end of this period, decreasing by 4.70% over the previous month.

- ▶ The total value of shares purchased by “Saudi Investors” during this period amounted to SAR 48.26 billion representing 78.27% of total buying activity, and sales of SAR 53.19 billion representing 86.27% of total selling activity. Total ownership of “Saudi Investors” stood at 89.09% of total market capitalization as of 31 October 2019, representing a decrease of 0.47% from the previous month.
- ▶ The total value of shares purchased by “GCC Investors” during this period amounted to SAR 1.47 billion representing 2.38% of total buying activity, and sales of SAR 1.03 billion representing 1.68% of total selling activity. Total ownership of “GCC Investors” stood at 1.87% of total market capitalization as of 31 October 2019, representing an increase of 0.03% from the previous month.
- ▶ The total value of shares purchased by “Foreign Investors” during this period amounted to SAR 11.93 billion representing 19.35% of total buying activity, and sales of SAR 7.43 billion representing 12.05% of total selling activity. Total ownership of “Foreign Investors” stood at 9.04% of total market capitalization as of 31 October 2019, representing an increase of 0.44% from the previous month.



Main Market - Value Traded & Ownership Breakdown



Monthly Report - Main Market

Value Traded (by Nationality and Investor Type)

Main Market Value Traded Breakdown - By Nationality and Investor Type							
Nationality	Investor Type	Buy		Sell		Net Value Traded	
		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Saudi	Individuals:						
	Retail	23,528,716,742	38.16%	24,971,118,745	40.50%	-1,442,402,003	-2.34%
	HNWIs	13,672,665,919	22.17%	13,820,225,116	22.41%	-147,559,196	-0.24%
	IPIs	2,208,273,997	3.58%	2,106,740,900	3.42%	101,533,097	0.16%
	Individual DPMs	921,048,678	1.49%	632,039,874	1.03%	289,008,804	0.47%
	Sub Total (Individuals)	40,330,705,336	65.41%	41,530,124,635	67.35%	-1,199,419,298	-1.95%
	Institutions:						
	Corporates	4,603,481,020	7.47%	4,718,170,536	7.65%	-114,689,516	-0.19%
	Mutual Funds	2,417,520,439	3.92%	2,684,818,778	4.35%	-267,298,339	-0.43%
	GRE's	24,543,607	0.04%	114,180,513	0.19%	-89,636,907	-0.15%
	Institution DPMs	886,399,872	1.44%	4,146,872,560	6.73%	-3,260,472,688	-5.29%
	Sub Total (Institutions)	7,931,944,937	12.86%	11,664,042,386	18.92%	-3,732,097,450	-6.05%
Total Saudi Investors	48,262,650,273	78.27%	53,194,167,021	86.27%	-4,931,516,748	-8.00%	
GCC	Individuals	170,917,590	0.28%	197,479,825	0.32%	-26,562,235	-0.04%
	Institutions	1,291,646,286	2.09%	833,061,748	1.35%	458,584,537	0.74%
	GCC DPMs	6,272,471	0.01%	4,059,606	0.01%	2,212,865	0.00%
	Total GCC Investors	1,468,836,347	2.38%	1,034,601,179	1.68%	434,235,167	0.70%
Foreign	SWAP Holders	291,010,853	0.47%	249,422,518	0.40%	41,588,335	0.07%
	Foreign Residents & Others	718,490,786	1.17%	701,378,669	1.14%	17,112,117	0.03%
	QFIs	10,917,392,750	17.71%	6,478,577,160	10.51%	4,438,815,591	7.20%
	Foreign DPMs	2,944,156	0.00%	3,178,618	0.01%	-234,462	0.00%
	Strategic Investors	0	0.00%	0	0.00%	0	0.00%
	Total Foreign Investors	11,929,838,545	19.35%	7,432,556,965	12.05%	4,497,281,581	7.29%
Grand Total		61,661,325,165	100.00%	61,661,325,165	100.00%		

Monthly Report - Main Market

Value Traded (by Investor Classification)

Main Market Value Traded Breakdown - By Investor Classification						
Investor Classification	Buy		Sell		Net Value Traded	
	SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Institutional	23,570,534,127	38.23%	21,971,122,811	35.63%	1,599,411,316	2.59%
Non-Institutional	38,090,791,037	61.77%	39,690,202,354	64.37%	-1,599,411,316	-2.59%
Grand Total	61,661,325,165	100.00%	61,661,325,165	100.00%		

Definitions

Institutional:

Refers to investors with institutional behavior including GREs, Saudi Corporates, Saudi Mutual Funds, Saudi and Non-Saudi DPMs, Saudi Individual Professional Investors (IPI), SWAP Holders, Foreign Strategic Partners and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.

Monthly Report - Main Market

Ownership Value (by Nationality and Investor Type)

Main Market Ownership Breakdown - By Nationality and Investor Type

Nationality	Investor Type	As of 30 September 2019		As of 31 October 2019		Monthly Change		
		Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)	
Saudi	Individuals:							
	Retail	71,199,783,165	3.73%	68,764,353,931	3.78%	-2,435,429,234	0.05%	
	HNWIs	147,153,981,927	7.71%	140,715,009,536	7.74%	-6,438,972,390	0.03%	
	IPIs	265,847,350,245	13.93%	255,453,102,057	14.05%	-10,394,248,187	0.12%	
	Individual DPMs	4,405,217,545	0.23%	4,352,254,135	0.24%	-52,963,411	0.01%	
	Sub Total (Individuals)	488,606,332,882	25.60%	469,284,719,659	25.80%	-19,321,613,223	0.20%	
	Institutions:							
	Corporates	338,278,602,432	17.73%	323,954,667,577	17.81%	-14,323,934,855	0.09%	
	Mutual Funds	192,843,170,533	10.10%	185,315,023,900	10.19%	-7,528,146,633	0.08%	
	GRE's	654,960,811,167	34.32%	611,827,949,789	33.64%	-43,132,861,378	-0.68%	
	Institution DPMs	34,501,833,937	1.81%	29,986,510,532	1.65%	-4,515,323,404	-0.16%	
	Sub Total (Institutions)	1,220,584,418,068	63.96%	1,151,084,151,797	63.29%	-69,500,266,271	-0.67%	
Total Saudi Investors	1,709,190,750,950	89.56%	1,620,368,871,456	89.09%	-88,821,879,493	-0.47%		
GCC	Individuals	2,450,506,976	0.13%	2,288,921,442	0.13%	-161,585,533	0.00%	
	Institutions	32,167,372,232	1.69%	31,162,479,879	1.71%	-1,004,892,353	0.03%	
	GCC DPMs	572,046,076	0.03%	550,395,020	0.03%	-21,651,057	0.00%	
	Total GCC Investors	35,189,925,284	1.84%	34,001,796,341	1.87%	-1,188,128,943	0.03%	
Foreign	SWAP Holders	3,095,387,122	0.16%	3,036,302,814	0.17%	-59,084,307	0.00%	
	Foreign Residents & Others	15,492,403,690	0.81%	15,783,337,536	0.87%	290,933,846	0.06%	
	QFIs	105,671,293,073	5.54%	105,217,890,542	5.79%	-453,402,531	0.25%	
	Foreign DPMs	17,140,573	0.00%	16,119,306	0.00%	-1,021,267	0.00%	
	Strategic Investors	39,790,632,707	2.08%	40,341,270,636	2.22%	550,637,929	0.13%	
Total Foreign Investors	164,066,857,165	8.60%	164,394,920,835	9.04%	328,063,671	0.44%		
Grand Total		1,908,447,533,399	100.00%	1,818,765,588,633	100.00%	-89,681,944,766		

Monthly Report - Main Market

Ownership Value (by Investor Classification)

Main Market Ownership Breakdown - By Investor Classification						
Investor Classification	As of 30 September 2019		As of 31 October 2019		Monthly Change	
	Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
Institutional	1,688,707,933,982	88.49%	1,601,414,226,695	88.05%	-87,293,707,287	-0.44%
Non-Institutional	219,739,599,417	11.51%	217,351,361,938	11.95%	-2,388,237,478	0.44%
Grand Total	1,908,447,533,399	100.00%	1,818,765,588,633	100.00%	-89,681,944,766	

Definitions

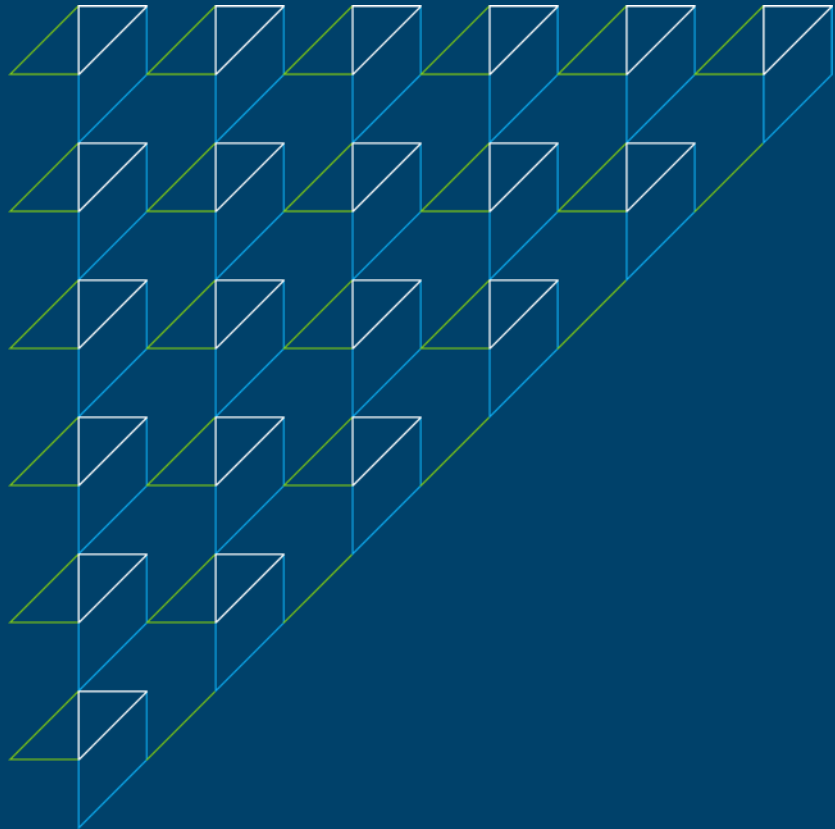
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Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.

Nomu-Parallel Market - Highlights

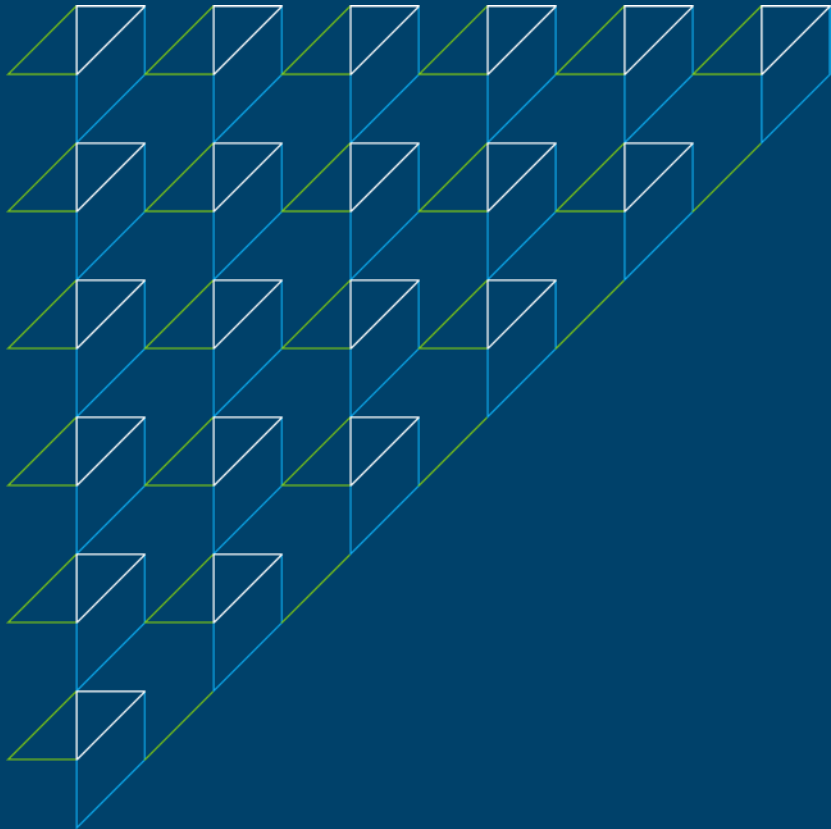


The total value of shares traded for the month ending 31 October 2019 amounted to SAR 166.64 million, increasing by 428.2% over the previous month; while total stock market capitalization reached SAR 3,633.09 million at the end of this period, decreasing by 21.09 % over the previous month.



- ▶ The total value of shares purchased by “Saudi Investors” during this period amounted to SAR 161.13 million representing 96.69% of total buying activity, and sales of SAR 153.56 million representing 92.15% of total selling activity. Total ownership of “Saudi Investors” stood at 99.08% of total market capitalization as of 31 October 2019, representing a decrease of 0.20% from the previous month.
- ▶ The total value of shares purchased by “GCC Investors” during this period amounted to SAR 0.14 million representing 0.9% of total buying activity, and sales of SAR 3.36 million representing 2.02% of total selling activity. Total ownership of “GCC Investors” stood at 0.33% of total market capitalization as of 31 October 2019, representing an increase of 0.07% from the previous month.
- ▶ The total value of shares purchased by “Foreign Investors” during this period amounted to SAR 5.37 million representing 3.22% of total buying activity, and sales of SAR 9.72 million representing 5.83% of total selling activity. Total ownership of “Foreign Investors” stood at 0.59% of total market capitalization as of 31 October 2019, representing an increase of 0.13% from the previous month.

Nomu-Parallel Market - Value Traded & Ownership Breakdown



Monthly Report – Nomu-Parallel Market

Value Traded (by Nationality, Investor Type, & Classification)

Nomu-Parallel Market Value Traded Breakdown - By Nationality and Investor Type							
Nationality	Investor Type	Buy		Sell		Net Value Traded	
		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Saudi	Individuals	155,486,880	93.30%	134,096,687	80.47%	21,390,194	12.84%
	Corporates	3,108,194	1.87%	15,787,748	9.47%	-12,679,554	-7.61%
	Institution	2,531,628	1.52%	3,679,942	2.21%	-1,148,313	-0.69%
	Total Saudi Investors	161,126,702	96.69%	153,564,377	92.15%	7,562,326	4.54%
GCC	Individuals	0	0.00%	0	0.00%	0	0.00%
	Institutions	144,804	0.09%	3,359,453	2.02%	-3,214,649	-1.93%
	Total GCC Investors	144,804	0.09%	3,359,453	2.02%	-3,214,649	-1.93%
Foreign	SWAP Holders	0	0.00%	0	0.00%	0	0.00%
	Foreign Residents	5,146,468	3.09%	3,084,481	1.85%	2,061,987	1.24%
	QFIs	226,047	0.14%	6,635,710	3.98%	-6,409,664	-3.85%
	Total Foreign Investors	5,372,515	3.22%	9,720,192	5.83%	-4,347,676	-2.61%
Grand Total		166,644,021	100.00%	166,644,021	100.00%		

Nomu-Parallel Market Value Traded Breakdown - By Investor Classification						
Investor Classification	Buy		Sell		Net Value Traded	
	SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Institutional	6,010,673	3.61%	29,462,854	17.68%	-23,452,181	-14.07%
Non-Institutional	160,633,349	96.39%	137,181,168	82.32%	23,452,181	14.07%
Grand Total	166,644,021	100.00%	166,644,021	100.00%		

Institutional:

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.

Ownership Value (by Nationality, Investor Type, & Classification)

Nomu-Parallel Market Ownership Breakdown - By Nationality and Investor Type							
Nationality	Investor Type	As of 30 September 2019		As of 31 October 2019		Monthly Change	
		Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
Saudi	Individuals	4,398,847,703	95.54%	3,378,385,470	92.99%	-1,020,462,232	-2.55%
	Corporates	129,786,145	2.82%	170,494,709	4.69%	40,708,565	1.87%
	Institution	42,368,822	0.92%	50,780,845	1.40%	8,412,022	0.48%
	Total Saudi Investors	4,571,002,669	99.28%	3,599,661,024	99.08%	-971,341,645	-0.20%
GCC	Individuals	0	0.00%	0	0.00%	0	0.00%
	Institutions	12,194,838	0.26%	12,106,931	0.33%	-87,907	0.07%
	Total GCC Investors	12,194,838	0.26%	12,106,931	0.33%	-87,907	0.07%
Foreign	SWAP Holders	154,874	0.00%	182,450	0.01%	27,576	0.00%
	Foreign Residents	4,567,577	0.10%	7,744,224	0.21%	3,176,648	0.11%
	QFIs	16,095,686	0.35%	13,396,090	0.37%	-2,699,595	0.02%
	Total Foreign Investors	20,818,136	0.45%	21,322,765	0.59%	504,628	0.13%
Grand Total		4,604,015,644	100.00%	3,633,090,720	100.00%	-970,924,924	

Nomu-Parallel Market Ownership Breakdown - By Investor Classification						
Investor Classification	As of 30 September 2019		As of 31 October 2019		Monthly Change	
	Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
Institutional	200,600,365	4.36%	246,961,025	6.80%	46,360,661	2.44%
Non-Institutional	4,403,415,279	95.64%	3,386,129,695	93.20%	-1,017,285,585	-2.44%
Grand Total	4,604,015,644	100.00%	3,633,090,720	100.00%	-970,924,924	

Institutional:

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.

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Saudi Stock Exchange

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Tadawul

Thank you

