

Weekly Stock Market Ownership and Trading Activity Report

Market Reports

Week Ending 30 August 2018



Definitions



Weekly Stock Market Ownership and Trading Activity Report

Definitions

Nationality	Investor Type	Description
Saudi	Individuals*	Saudi Individuals have been classified under 4 categories as defined below:
	- Retail	Retail investors are Saudi individuals , excluding IPIs (see below) and HNWIs .
	- High Net Worth Investors (HNWIs)	HNWIs are Saudi individuals who have had an average portfolio size of SAR 1m (and above) for the preceding 12 months, excluding IPIs (see below).
	- Individual Professional Investors (IPIs)	IPIs are Saudi individuals who have had an average portfolio size of SAR 50m (and above) for the preceding 12 months and a portfolio turnover ratio of not more than 4 times annually.
	- Individual DPMs	Saudi individual investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	Institutions	Saudi Institutions have been classified under 4 categories as defined below:
	- Corporates	Saudi company with a commercial registration permitting its investment in the stock market .
	- Mutual Funds	A mutual fund managed by an Authorized Person and licensed by the CMA.
- Government Related Entities (GREs)	A Government entity or a supranational authority recognized by the CMA.	
- Institutional DPMs	Saudi institutional investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).	
GCC	Individuals*	GCC natural person
	Institutions	GCC legal person with a commercial registration certificate (corporate or mutual fund), in addition to GCC government entities.
	GCC DPMs	GCC investment account (institutional or individual) in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
Foreign	SWAP Holders	Authorized Person with the permission to enter into SWAP agreements to transfer the economic benefits of its ownership of shares in Saudi companies listed on the Saudi Stock Exchange to its non-resident foreign clients.
	Foreign Residents*	Foreign investors domiciled in the Kingdom with a valid residency permit (Iqama) (excluding GCC nationals).
	QFIs	Qualified Foreign Institutional investors registered with the CMA in accordance with the QFI Rules, to invest in shares listed on the Saudi Stock Exchange.
	Foreign DPMs	Foreign resident investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	Strategic Partners	Foreign investors considered founders and partners of Saudi listed companies.

*Nomu-Parallel
Market Individual
Investors

Individuals who fulfill any of the following:

- Conducted transactions not less than SAR 40m, and not less than 10 transaction in each quarter during last 12 months.
- Have an average portfolio size of SAR 10m for the preceding 12 months
- Hold General Securities Qualification Certificate which is recognized by the Authority.

Main Market - Value Traded & Ownership Breakdown



Value Traded (by Nationality and Investor Type)

Main Market Value Traded Breakdown - By Nationality and Investor Type							
Nationality	Investor Type	Buy		Sell		Net Value Traded	
		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Saudi	Individuals:						
	Retail	3,766,195,928	37.96%	4,365,585,047	44.00%	-599,389,119	-6.04%
	HNWIs	2,694,300,047	27.15%	2,680,928,804	27.02%	13,371,243	0.13%
	IPIs	401,505,000	4.05%	491,246,977	4.95%	-89,741,978	-0.90%
	Individual DPMs	113,236,366	1.14%	59,744,106	0.60%	53,492,260	0.54%
	Sub Total (Individuals)	6,975,237,341	70.30%	7,597,504,934	76.57%	-622,267,594	-6.27%
	Institutions:						
	Corporates	1,343,107,357	13.54%	193,123,473	1.95%	1,149,983,883	11.59%
	Mutual Funds	339,803,718	3.42%	281,691,965	2.84%	58,111,753	0.59%
	GRE's	0	0.00%	0	0.00%	0	0.00%
	Institution DPMs	114,634,559	1.16%	722,658,922	7.28%	-608,024,363	-6.13%
	Sub Total (Institutions)	1,797,545,633	18.12%	1,197,474,361	12.07%	600,071,273	6.05%
	Total Saudi Investors	8,772,782,974	88.41%	8,794,979,295	88.64%	-22,196,321	-0.22%
GCC	Individuals	18,504,482	0.19%	18,542,689	0.19%	-38,208	0.00%
	Institutions	308,759,902	3.11%	166,071,237	1.67%	142,688,665	1.44%
	GCC DPMs	2,248,391	0.02%	2,290,012	0.02%	-41,622	0.00%
	Total GCC Investors	329,512,774	3.32%	186,903,939	1.88%	142,608,835	1.44%
Foreign	SWAP Holders	216,662,251	2.18%	389,358,660	3.92%	-172,696,409	-1.74%
	Foreign Residents	101,720,698	1.03%	147,967,550	1.49%	-46,246,853	-0.47%
	QFIs	501,668,486	5.06%	399,043,846	4.02%	102,624,639	1.03%
	Foreign DPMs	0	0.00%	0	0.00%	0	0.00%
	Strategic Partners	0	0.00%	4,093,892	0.04%	-4,093,892	-0.04%
	Total Foreign Investors	820,051,434	8.26%	940,463,949	9.48%	-120,412,514	-1.21%
Grand Total		9,922,347,182	100.00%	9,922,347,182	100.00%		

Weekly Report - Main Market

Value Traded (by Investor Classification)

Main Market Value Traded Breakdown - By Investor Classification

Investor Classification	Buy		Sell		Net Value Traded	
	SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Institutional	3,341,626,027	33.68%	2,709,323,091	27.31%	632,302,936	6.37%
Non-Institutional	6,580,721,155	66.32%	7,213,024,091	72.69%	-632,302,936	-6.37%
Grand Total	9,922,347,182	100.00%	9,922,347,182	100.00%		

Definitions

Institutional:

Refers to investors with institutional behavior including GREs, Saudi Corporates, Saudi Mutual Funds, Saudi and Non-Saudi DPMs, Saudi Individual Professional Investors (IPI), SWAP Holders, Foreign Strategic Partners and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.

Weekly Report - Main Market

Ownership Value (by Nationality and Investor Type)

Main Market Ownership Breakdown - By Nationality and Investor Type

Nationality	Investor Type	As of 16 August 2018		As of 30 August 2018		Weekly Change	
		Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
Saudi	Individuals:						
	Retail	75,781,605,670	4.06%	76,650,865,434	4.05%	869,259,764	-0.01%
	HNWIs	149,135,820,543	7.99%	150,856,250,679	7.97%	1,720,430,136	-0.03%
	IPIs	256,029,531,430	13.73%	258,591,203,357	13.66%	2,561,671,927	-0.07%
	Individual DPMs	4,575,994,622	0.25%	4,635,936,579	0.24%	59,941,957	0.00%
	Sub Total (Individuals)	485,522,952,265	26.03%	490,734,256,049	25.92%	5,211,303,784	-0.11%
	Institutions:						
	Corporates	292,977,218,821	15.71%	295,544,513,317	15.61%	2,567,294,496	-0.10%
	Mutual Funds	166,426,358,110	8.92%	166,793,509,155	8.81%	367,151,045	-0.11%
	GRE's	726,562,527,435	38.95%	745,482,542,133	39.37%	18,920,014,698	0.42%
	Institution DPMs	59,884,586,523	3.21%	59,777,170,150	3.16%	-107,416,373	-0.05%
	Sub Total (Institutions)	1,245,850,690,889	66.79%	1,267,597,734,755	66.95%	21,747,043,866	0.16%
Total Saudi Investors	1,731,373,643,154	92.82%	1,758,331,990,804	92.87%	26,958,347,650	0.05%	
GCC	Individuals	3,067,882,535	0.16%	3,106,122,146	0.16%	38,239,611	0.00%
	Institutions	35,325,848,454	1.89%	35,340,964,023	1.87%	15,115,569	-0.03%
	GCC DPMs	654,124,041	0.04%	660,840,670	0.03%	6,716,629	0.00%
	Total GCC Investors	39,047,855,031	2.09%	39,107,926,840	2.07%	60,071,810	-0.03%
Foreign	SWAP Holders	14,303,943,478	0.77%	14,105,450,655	0.75%	-198,492,823	-0.02%
	Foreign Residents	3,790,298,562	0.20%	3,713,319,908	0.20%	-76,978,654	-0.01%
	QFIs	18,391,909,327	0.99%	18,700,652,473	0.99%	308,743,147	0.00%
	Foreign DPMs	8,634,693	0.00%	8,718,364	0.00%	83,671	0.00%
	Strategic Partners	58,470,313,158	3.13%	59,346,986,674	3.13%	876,673,516	0.00%
	Total Foreign Investors	94,965,099,217	5.09%	95,875,128,074	5.06%	910,028,857	-0.03%
Grand Total		1,865,386,597,402	100.00%	1,893,315,045,718	100.00%	27,928,448,316	

Weekly Report - Main Market

Ownership Value (by Investor Classification)

Main Market Ownership Breakdown - By Investor Classification						
Investor Classification	As of 16 August 2018		As of 30 August 2018		Weekly Change	
	Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
Institutional	1,633,610,990,092	87.57%	1,658,988,487,551	87.62%	25,377,497,459	0.05%
Non-Institutional	231,775,607,310	12.43%	234,326,558,167	12.38%	2,550,950,857	-0.05%
Grand Total	1,865,386,597,402	100.00%	1,893,315,045,718	100.00%	27,928,448,316	

Definitions

Institutional:

Refers to investors with institutional behavior including GREs, Saudi Corporates, Saudi Mutual Funds, Saudi and Non-Saudi DPMs, Saudi Individual Professional Investors (IPI), SWAP Holders, Foreign Strategic Partners and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.

Nomu-Parallel Market - Value Traded & Ownership Breakdown



Weekly Report – Nomu-Parallel Market

Value Traded (by Nationality, Investor Type, & Classification)

Nomu-Parallel Market Value Traded Breakdown - By Nationality and Investor Type							
Nationality	Investor Type	Buy		Sell		Net Value Traded	
		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Saudi	Individuals	7,256,327	97.31%	6,040,398	81.00%	1,215,929	16.31%
	Corporates	75,973	1.02%	690,478	9.26%	-614,505	-8.24%
	Institution	0	0.00%	519,611	6.97%	-519,611	-6.97%
	Total Saudi Investors	7,332,300	98.33%	7,250,486	97.23%	81,813	1.10%
GCC	Individuals	0	0.00%	0	0.00%	0	0.00%
	Institutions	0	0.00%	0	0.00%	0	0.00%
	Total GCC Investors	0	0.00%	0	0.00%	0	0.00%
Foreign	SWAP Holders	0	0.00%	0	0.00%	0	0.00%
	Foreign Residents	0	0.00%	0	0.00%	0	0.00%
	QFIs	124,720	1.67%	206,533	2.77%	-81,813	-1.10%
	Total Foreign Investors	124,720	1.67%	206,533	2.77%	-81,813	-1.10%
Grand Total		7,457,020	100.00%	7,457,020	100.00%		

Nomu-Parallel Market Value Traded Breakdown - By Investor Classification							
Investor Classification	Buy		Sell		Net Value Traded		
	SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)	
Institutional	200,693	2.69%	1,416,622	19.00%	-1,215,929	-16.31%	
Non-Institutional	7,256,327	97.31%	6,040,398	81.00%	1,215,929	16.31%	
Grand Total	7,457,020	100.00%	7,457,020	100.00%			

Institutional:

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.

Ownership Value (by Nationality, Investor Type, & Classification)

Nomu-Parallel Market Ownership Breakdown - By Nationality and Investor Type							
Nationality	Investor Type	As of 16 August 2018		As of 30 August 2018		Weekly Change	
		Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
Saudi	Individuals	2,018,451,951	91.33%	2,051,147,478	91.39%	32,695,527	0.05%
	Corporates	107,804,583	4.88%	107,696,917	4.80%	-107,667	-0.08%
	Institution	59,151,635	2.68%	60,768,297	2.71%	1,616,662	0.03%
	Total Saudi Investors	2,185,408,170	98.89%	2,219,612,692	98.90%	34,204,522	0.01%
GCC	Individuals	27,023	0.00%	26,719	0.00%	-304	0.00%
	Institutions	12,851,089	0.58%	13,007,175	0.58%	156,086	0.00%
	Total GCC Investors	12,878,112	0.58%	13,033,894	0.58%	155,782	0.00%
Foreign	SWAP Holders	777,343	0.04%	977,944	0.04%	200,601	0.01%
	Foreign Residents	2,285,805	0.10%	2,127,263	0.09%	-158,542	-0.01%
	QFIs	8,599,220	0.39%	8,659,747	0.39%	60,527	0.00%
	Total Foreign Investors	11,662,368	0.53%	11,764,955	0.52%	102,586	0.00%
Grand Total		2,209,948,650	100.00%	2,244,411,540	100.00%	34,462,890	

Nomu-Parallel Market Ownership Breakdown - By Investor Classification						
Investor Classification	As of 16 August 2018		As of 30 August 2018		Weekly Change	
	Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
Institutional	189,183,871	8.56%	191,110,080	8.51%	1,926,210	-0.05%
Non-Institutional	2,020,764,779	91.44%	2,053,301,460	91.49%	32,536,680	0.05%
Grand Total	2,209,948,650	100.00%	2,244,411,540	100.00%	34,462,890	

Institutional:

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.

السوق المالية السعودية
Saudi Stock Exchange

تداول
Tadawul

Thank you

