

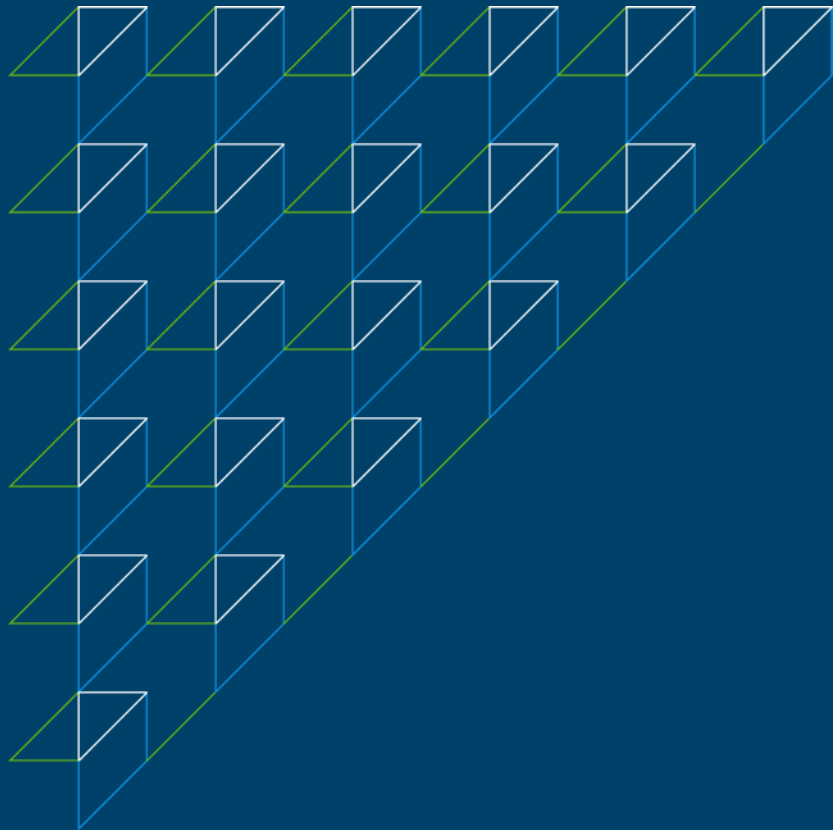
Weekly Stock Market Ownership and Trading Activity Report

Market Reports

Week Ending 03 January 2019



Definitions



Weekly Stock Market Ownership and Trading Activity Report

Definitions

Nationality	Investor Type	Description
Saudi	Individuals*	Saudi Individuals have been classified under 4 categories as defined below:
	- Retail	Retail investors are Saudi individuals , excluding IPis (see below) and HNWIs.
	- High Net Worth Investors (HNWIs)	HNWIs are Saudi individuals who have had an average portfolio size of SAR 1m (and above) for the preceding 12 months, excluding IPis (see below).
	- Individual Professional Investors (IPis)	IPis are Saudi individuals who have had an average portfolio size of SAR 50m (and above) for the preceding 12 months and a portfolio turnover ratio of not more than 4 times annually.
	- Individual DPMS	Saudi individual investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	Institutions	Saudi Institutions have been classified under 4 categories as defined below:
	- Corporates	Saudi company with a commercial registration permitting its investment in the stock market .
	- Mutual Funds	A mutual fund managed by an Authorized Person and licensed by the CMA.
GCC	- Government Related Entities (GREs)	A Government entity or a supranational authority recognized by the CMA.
	- Institutional DPMS	Saudi institutional investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	Individuals*	GCC natural person
GCC	Institutions	GCC legal person with a commercial registration certificate (corporate or mutual fund), in addition to GCC government entities.
	GCC DPMS	GCC investment account (institutional or individual) in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
Foreign	SWAP Holders	Authorized Person with the permission to enter into SWAP agreements to transfer the economic benefits of its ownership of shares in Saudi companies listed on the Saudi Stock Exchange to its non-resident foreign clients.
	Foreign Residents*	Foreign investors domiciled in the Kingdom with a valid residency permit (Iqama) (excluding GCC nationals).
	QFIs	Qualified Foreign Institutional investors registered with the CMA in accordance with the QFI Rules, to invest in shares listed on the Saudi Stock Exchange.
	Foreign DPMS	Foreign resident investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	Strategic Partners	Foreign investors considered founders and partners of Saudi listed companies.
	NRFI	Non-Resident Foreign Investors in accordance with the Guidance Note for the investment of Non- Resident Foreigners in Parallel Market

*Nomu-Parallel Market Individual Investors

Individuals who fulfill any of the following:

- Conducted transactions not less than SAR 40m, and not less than 10 transaction in each quarter during last 12 months.
- Have an average portfolio size of SAR 5m for the preceding 12 months
- Worked for at least 3 years in financial sectors.
- Hold General Securities Qualification Certificate which is recognized by the Authority.
- Holds professional certificate that is related to securities business and accredited by an internationally recognized entity

Main Market - Value Traded & Ownership Breakdown



Weekly Report - Main Market

Value Traded (by Nationality and Investor Type)

Main Market Value Traded Breakdown - By Nationality and Investor Type

Nationality	Investor Type	Buy		Sell		Net Value Traded		
		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)	
Saudi	Individuals:							
	Retail	4,338,763,801	50.22%	4,676,753,545	54.14%	-337,989,743	-3.91%	
	HNWIs	2,059,540,426	23.84%	2,245,356,939	25.99%	-185,816,512	-2.15%	
	IPIs	149,296,695	1.73%	232,023,181	2.69%	-82,726,486	-0.96%	
	Individual DPMs	79,670,975	0.92%	91,285,327	1.06%	-11,614,352	-0.13%	
	Sub Total (Individuals)	6,627,271,897	76.72%	7,245,418,991	83.87%	-618,147,094	-7.16%	
	Institutions:							
	Corporates	812,743,252	9.41%	390,049,123	4.52%	422,694,129	4.89%	
	Mutual Funds	139,429,461	1.61%	289,265,551	3.35%	-149,836,090	-1.73%	
	GRE's	0	0.00%	0	0.00%	0	0.00%	
	Institution DPMs	288,681,450	3.34%	201,477,428	2.33%	87,204,022	1.01%	
Sub Total (Institutions)	1,240,854,163	14.36%	880,792,102	10.20%	360,062,061	4.17%		
Total Saudi Investors	7,868,126,060	91.08%	8,126,211,093	94.07%	-258,085,033	-2.99%		
GCC	Individuals	48,257,301	0.56%	25,677,999	0.30%	22,579,303	0.26%	
	Institutions	196,491,011	2.27%	94,795,145	1.10%	101,695,866	1.18%	
	GCC DPMs	478,036	0.01%	978,243	0.01%	-500,207	-0.01%	
	Total GCC Investors	245,226,348	2.84%	121,451,387	1.41%	123,774,962	1.43%	
Foreign	SWAP Holders	158,099,834	1.83%	113,115,590	1.31%	44,984,244	0.52%	
	Foreign Residents	115,413,424	1.34%	127,207,623	1.47%	-11,794,199	-0.14%	
	QFIs	251,875,773	2.92%	150,037,543	1.74%	101,838,230	1.18%	
	Foreign DPMs	0	0.00%	0	0.00%	0	0.00%	
	Strategic Partners	0	0.00%	718,204	0.01%	-718,204	-0.01%	
	NRFI	0	0.00%	0	0.00%	0	0.00%	
Total Foreign Investors	525,389,032	6.08%	391,078,960	4.53%	134,310,071	1.55%		
Grand Total		8,638,741,440	100.00%	8,638,741,440	100.00%			

Weekly Report - Main Market

Value Traded (by Investor Classification)

Main Market Value Traded Breakdown - By Investor Classification						
Investor Classification	Buy		Sell		Net Value Traded	
	SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Institutional	2,076,766,487	24.04%	1,563,745,335	18.10%	513,021,152	5.94%
Non-Institutional	6,561,974,953	75.96%	7,074,996,105	81.90%	-513,021,152	-5.94%
Grand Total	8,638,741,440	100.00%	8,638,741,440	100.00%		

Definitions

Institutional:

Refers to investors with institutional behavior including GREs, Saudi Corporates, Saudi Mutual Funds, Saudi and Non-Saudi DPMs, Saudi Individual Professional Investors (IPI), SWAP Holders, Foreign Strategic Partners and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.

Weekly Report - Main Market

Ownership Value (by Nationality and Investor Type)

Main Market Ownership Breakdown - By Nationality and Investor Type

Nationality	Investor Type	As of 27 December 2018		As of 03 January 2019		Weekly Change	
		Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
Saudi	Individuals:						
	Retail	73,925,710,570	4.01%	72,315,260,189	3.88%	-1,610,450,381	-0.14%
	HNWIs	143,978,897,275	7.82%	146,121,834,137	7.83%	2,142,936,862	0.01%
	IPIs	249,235,125,723	13.53%	253,201,137,822	13.57%	3,966,012,099	0.04%
	Individual DPMs	4,810,633,414	0.26%	4,814,183,505	0.26%	3,550,092	0.00%
	Sub Total (Individuals)	471,950,366,982	25.62%	476,452,415,653	25.53%	4,502,048,672	-0.09%
	Institutions:						
	Corporates	281,351,648,016	15.27%	282,623,586,826	15.14%	1,271,938,810	-0.13%
	Mutual Funds	89,496,390,923	4.86%	90,569,985,591	4.85%	1,073,594,668	-0.01%
	GRE's	746,703,594,132	40.54%	761,324,024,939	40.80%	14,620,430,808	0.26%
	Institution DPMs	129,478,974,241	7.03%	131,320,954,518	7.04%	1,841,980,277	0.01%
Sub Total (Institutions)	1,247,030,607,313	67.70%	1,265,838,551,875	67.83%	18,807,944,562	0.13%	
Total Saudi Investors	1,718,980,974,294	93.32%	1,742,290,967,528	93.36%	23,309,993,234	0.04%	
GCC	Individuals	2,716,246,298	0.15%	2,727,462,975	0.15%	11,216,677	0.00%
	Institutions	32,837,517,963	1.78%	33,327,091,380	1.79%	489,573,416	0.00%
	GCC DPMs	815,432,936	0.04%	839,108,165	0.04%	23,675,228	0.00%
	Total GCC Investors	36,369,197,198	1.97%	36,893,662,520	1.98%	524,465,322	0.00%
Foreign	SWAP Holders	8,990,975,604	0.49%	9,042,270,553	0.48%	51,294,950	0.00%
	Foreign Residents	3,287,880,417	0.18%	3,325,891,765	0.18%	38,011,348	0.00%
	QFIs	13,501,944,500	0.73%	13,851,163,592	0.74%	349,219,092	0.01%
	Foreign DPMs	3,834,983,696	0.21%	3,918,446,888	0.21%	83,463,192	0.00%
	Strategic Partners	56,999,854,883	3.09%	56,873,581,688	3.05%	-126,273,195	-0.05%
	NRFI	13,112	0.00%	15,553	0.00%	2,441	0.00%
Total Foreign Investors	86,615,652,212	4.70%	87,011,370,039	4.66%	395,717,827	-0.04%	
Grand Total		1,841,965,823,704	100.00%	1,866,196,000,087	100.00%	24,230,176,382	

* Non deposited amount of 428,000,000 SAR was not reflected as on 03 January 2019 holding value (Saudi Enaya Cooperative Insurance Co. 8311 - Corporate Action -Tradable Rights)

Weekly Report - Main Market

Ownership Value (by Investor Classification)

Main Market Ownership Breakdown - By Investor Classification						
Investor Classification	As of 27 December 2018		As of 03 January 2019		Weekly Change	
	Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
Institutional	1,618,057,089,144	87.84%	1,641,705,551,021	87.97%	23,648,461,877	0.13%
Non-Institutional	223,908,734,561	12.16%	224,490,449,066	12.03%	581,714,505	-0.13%
Grand Total	1,841,965,823,704	100.00%	1,866,196,000,087	100.00%	24,230,176,382	

Definitions

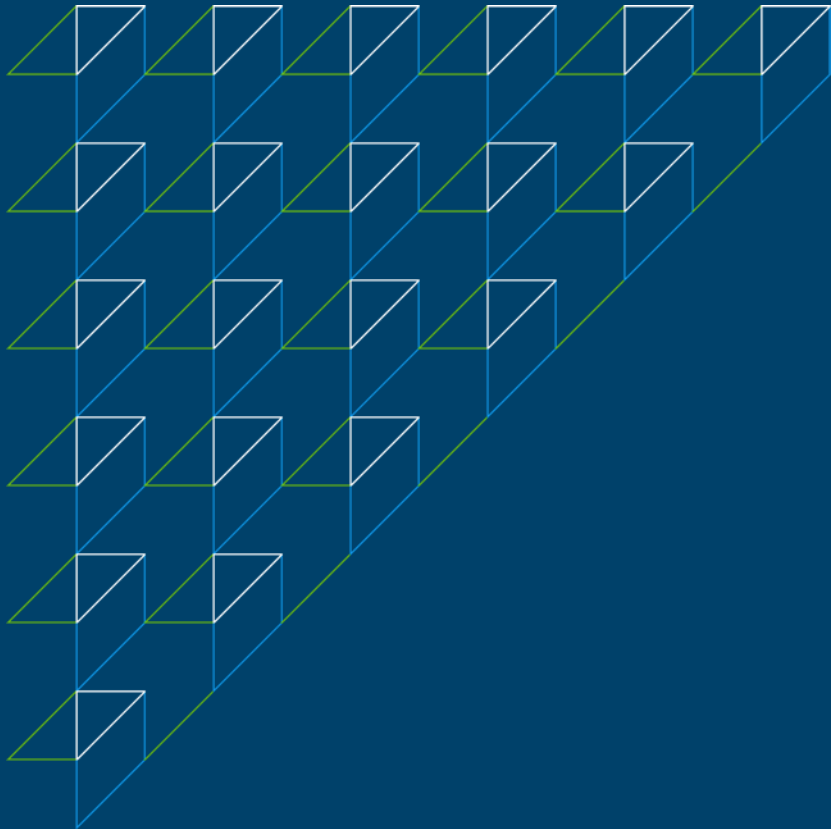
Institutional:

Refers to investors with institutional behavior including GREs, Saudi Corporates, Saudi Mutual Funds, Saudi and Non-Saudi DPMs, Saudi Individual Professional Investors (IPI), SWAP Holders, Foreign Strategic Partners and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.

Nomu-Parallel Market - Value Traded & Ownership Breakdown



Weekly Report – Nomu-Parallel Market

Value Traded (by Nationality, Investor Type, & Classification)

Nomu-Parallel Market Value Traded Breakdown - By Nationality and Investor Type

Nationality	Investor Type	Buy		Sell		Net Value Traded	
		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Saudi	Individuals	9,032,297	79.77%	8,154,361	72.02%	877,936	7.75%
	Corporates	694,956	6.14%	966,245	8.53%	-271,288	-2.40%
	Institution	0	0.00%	91,202	0.81%	-91,202	-0.81%
	Total Saudi Investors	9,727,253	85.91%	9,211,808	81.36%	515,445	4.55%
GCC	Individuals	0	0.00%	0	0.00%	0	0.00%
	Institutions	0	0.00%	336,577	2.97%	-336,577	-2.97%
	Total GCC Investors	0	0.00%	336,577	2.97%	-336,577	-2.97%
Foreign	SWAP Holders	0	0.00%	0	0.00%	0	0.00%
	Foreign Residents	0	0.00%	81,366	0.72%	-81,366	-0.72%
	QFIs	1,595,413	14.09%	1,692,914	14.95%	-97,502	-0.86%
	Total Foreign Investors	1,595,413	14.09%	1,774,280	15.67%	-178,868	-1.58%
Grand Total		11,322,666	100.00%	11,322,666	100.00%		

Nomu-Parallel Market Value Traded Breakdown - By Investor Classification

Investor Classification	Buy		Sell		Net Value Traded	
	SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Institutional	2,290,369	20.23%	3,086,939	27.26%	-796,570	-7.04%
Non-Institutional	9,032,297	79.77%	8,235,727	72.74%	796,570	7.04%
Grand Total	11,322,666	100.00%	11,322,666	100.00%		

Institutional:

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.

Weekly Report – Nomu-Parallel Market

Ownership Value (by Nationality, Investor Type, & Classification)

Nomu-Parallel Market Ownership Breakdown - By Nationality and Investor Type							
Nationality	Investor Type	As of 27 December 2018		As of 3 January 2019		Weekly Change	
		Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
Saudi	Individuals	1,976,867,808	91.39%	2,160,140,488	91.63%	183,272,680	0.24%
	Corporates	122,818,568	5.68%	133,649,899	5.67%	10,831,331	-0.01%
	Institution	32,921,715	1.52%	34,344,646	1.46%	1,422,931	-0.07%
	Total Saudi Investors	2,132,608,090	98.59%	2,328,135,032	98.76%	195,526,942	0.17%
GCC	Individuals	0	0.00%	0	0.00%	0	0.00%
	Institutions	14,135,610	0.65%	13,060,324	0.55%	-1,075,286	-0.10%
	Total GCC Investors	14,135,610	0.65%	13,060,324	0.55%	-1,075,286	-0.10%
Foreign	SWAP Holders	167,404	0.01%	169,484	0.01%	2,080	0.00%
	Foreign Residents	2,439,200	0.11%	2,470,411	0.10%	31,212	-0.01%
	QFIs	13,730,497	0.63%	13,556,549	0.58%	-173,948	-0.06%
	Total Foreign Investors	16,337,100	0.76%	16,196,444	0.69%	-140,656	-0.07%
Grand Total		2,163,080,800	100.00%	2,357,391,800	100.00%	194,311,000	

Nomu-Parallel Market Ownership Breakdown - By Investor Classification						
Investor Classification	As of 27 December 2018		As of 3 January 2019		Weekly Change	
	Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
Institutional	183,773,793	8.50%	194,780,901	8.26%	11,007,108	-0.23%
Non-Institutional	1,979,307,007	91.50%	2,162,610,899	91.74%	183,303,892	0.23%
Grand Total	2,163,080,800	100.00%	2,357,391,800	100.00%	194,311,000	

Institutional:

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.

السوق المالية السعودية
Saudi Stock Exchange

تداول
Tadawul

Thank you

