

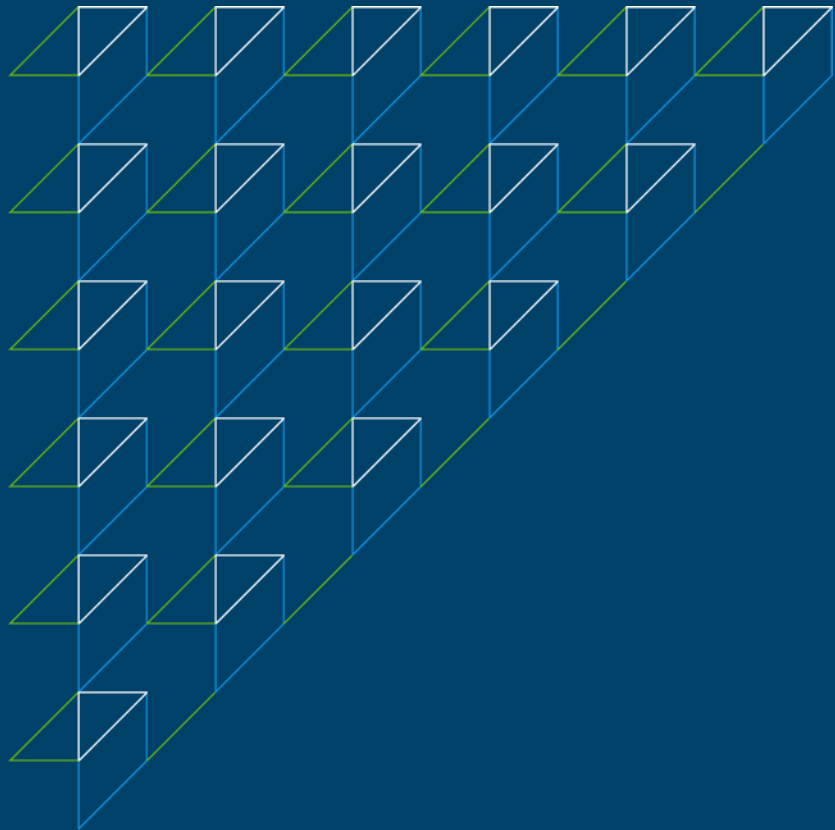
Weekly Stock Market Ownership and Trading Activity Report

Market Reports

Week Ending 30 November 2017



Definitions



Weekly Stock Market Ownership and Trading Activity Report

Definitions

Nationality	Investor Type	Description
Saudi	Individuals*	Saudi Individuals have been classified under 4 categories as defined below:
	- Retail	Retail investors are Saudi individuals , excluding IPIs (see below) and HNWIs .
	- High Net Worth Investors (HNWIs)	HNWIs are Saudi individuals who have had an average portfolio size of SAR 1m (and above) for the preceding 12 months, excluding IPIs (see below).
	- Individual Professional Investors (IPIs)	IPIs are Saudi individuals who have had an average portfolio size of SAR 50m (and above) for the preceding 12 months and a portfolio turnover ratio of not more than 4 times annually.
	- Individual DPMs	Saudi individual investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	Institutions	Saudi Institutions have been classified under 4 categories as defined below:
	- Corporates	Saudi company with a commercial registration permitting its investment in the stock market .
	- Mutual Funds	A mutual fund managed by an Authorized Person and licensed by the CMA.
	- Government Related Entities (GREs)	A Government entity or a supranational authority recognized by the CMA.
	- Institutional DPMs	Saudi institutional investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
GCC	Individuals*	GCC natural person
	Institutions	GCC legal person with a commercial registration certificate (corporate or mutual fund), in addition to GCC government entities.
	GCC DPMs	GCC investment account (institutional or individual) in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
Foreign	SWAP Holders	Authorized Person with the permission to enter into SWAP agreements to transfer the economic benefits of its ownership of shares in Saudi companies listed on the Saudi Stock Exchange to its non-resident foreign clients.
	Foreign Residents*	Foreign investors domiciled in the Kingdom with a valid residency permit (Iqama) (excluding GCC nationals).
	QFIs	Qualified Foreign Institutional investors registered with the CMA in accordance with the QFI Rules, to invest in shares listed on the Saudi Stock Exchange.
	Foreign DPMs	Foreign resident investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	Strategic Partners	Foreign investors considered founders and partners of Saudi listed companies.

*Nomu-Parallel
Market Individual
Investors

Individuals who fulfill any of the following:

- Conducted transactions not less than SAR 40m, and not less than 10 transaction in each quarter during last 12 months.
- Have an average portfolio size of SAR 10m for the preceding 12 months
- Hold General Securities Qualification Certificate which is recognized by the Authority.

Main Market - Value Traded & Ownership Breakdown



Weekly Report - Main Market

Value Traded (by Nationality and Investor Type)

Main Market Value Traded Breakdown - By Nationality and Investor Type

Nationality	Investor Type	Buy		Sell		Net Value Traded	
		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Saudi	Individuals:						
	Retail	6,815,844,962	40.04%	8,746,794,699	51.39%	-1,930,949,737	-11.34%
	HNWIs	5,345,536,196	31.40%	5,503,511,444	32.33%	-157,975,249	-0.93%
	IPIs	398,356,378	2.34%	443,859,117	2.61%	-45,502,740	-0.27%
	Individual DPMs	87,049,404	0.51%	142,161,689	0.84%	-55,112,285	-0.32%
	Sub Total (Individuals)	12,646,786,939	74.30%	14,836,326,950	87.16%	-2,189,540,011	-12.86%
	Institutions:						
	Corporates	2,006,698,458	11.79%	914,162,635	5.37%	1,092,535,823	6.42%
	Mutual Funds	1,348,593,597	7.92%	393,658,118	2.31%	954,935,478	5.61%
	GRE's	0	0.00%	495,600	0.00%	-495,600	0.00%
	Institution DPMs	129,980,870	0.76%	131,134,154	0.77%	-1,153,284	-0.01%
	Sub Total (Institutions)	3,485,272,925	20.48%	1,439,450,508	8.46%	2,045,822,417	12.02%
Total Saudi Investors	16,132,059,864	94.77%	16,275,777,458	95.62%	-143,717,594	-0.84%	
GCC	Individuals	52,920,510	0.31%	34,699,522	0.20%	18,220,988	0.11%
	Institutions	308,233,963	1.81%	164,007,418	0.96%	144,226,545	0.85%
	GCC DPMs	150,156	0.00%	746,372	0.00%	-596,216	0.00%
	Total GCC Investors	361,304,629	2.12%	199,453,312	1.17%	161,851,317	0.95%
Foreign	SWAP Holders	126,830,424	0.75%	67,047,384	0.39%	59,783,040	0.35%
	Foreign Residents	197,997,718	1.16%	251,806,241	1.48%	-53,808,523	-0.32%
	QFIs	128,383,918	0.75%	127,727,101	0.75%	656,817	0.00%
	Foreign DPMs	74,986,091	0.44%	86,759,257	0.51%	-11,773,166	-0.07%
	Strategic Partners	0	0.00%	12,991,892	0.08%	-12,991,892	-0.08%
	Total Foreign Investors	528,198,151	3.10%	546,331,874	3.21%	-18,133,723	-0.11%
Grand Total		17,021,562,644	100.00%	17,021,562,644	100.00%		

Weekly Report - Main Market

Value Traded (by Investor Classification)

Main Market Value Traded Breakdown - By Investor Classification

Investor Classification	Buy		Sell		Net Value Traded	
	SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Institutional	4,609,263,259	27.08%	2,484,750,738	14.60%	2,124,512,521	12.48%
Non-Institutional	12,412,299,386	72.92%	14,536,811,907	85.40%	-2,124,512,521	-12.48%
Grand Total	17,021,562,644	100.00%	17,021,562,644	100.00%		

Definitions

Institutional:

Refers to investors with institutional behavior including GREs, Saudi Corporates, Saudi Mutual Funds, Saudi and Non-Saudi DPMs, Saudi Individual Professional Investors (IPI), SWAP Holders, Foreign Strategic Partners and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.

Weekly Report - Main Market

Ownership Value (by Nationality and Investor Type)

Main Market Ownership Breakdown - By Nationality and Investor Type

Nationality	Investor Type	As of 23 November 2017		As of 30 November 2017		Weekly Change	
		Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
Saudi	Individuals:						
	Retail	69,278,607,378	4.23%	68,574,541,419	4.13%	-704,065,959	-0.10%
	HNWIs	127,235,629,234	7.78%	132,149,157,664	7.97%	4,913,528,430	0.19%
	IPIs	234,411,979,870	14.33%	238,133,601,512	14.35%	3,721,621,641	0.02%
	Individual DPMs	4,373,920,978	0.27%	4,274,085,552	0.26%	-99,835,426	-0.01%
	Sub Total (Individuals)	435,300,137,461	26.61%	443,131,386,147	26.71%	7,831,248,686	0.10%
	Institutions:						
	Corporates	265,276,939,317	16.22%	270,465,186,209	16.30%	5,188,246,892	0.09%
	Mutual Funds	168,522,537,622	10.30%	170,338,689,396	10.27%	1,816,151,775	-0.03%
	GRE's	617,552,443,560	37.75%	624,362,373,050	37.63%	6,809,929,490	-0.12%
	Institution DPMs	47,587,730,219	2.91%	48,472,737,614	2.92%	885,007,396	0.01%
	Sub Total (Institutions)	1,098,939,650,717	67.17%	1,113,638,986,270	67.12%	14,699,335,553	-0.05%
Total Saudi Investors	1,534,239,788,178	93.78%	1,556,770,372,417	93.83%	22,530,584,238	0.05%	
GCC	Individuals	2,637,083,466	0.16%	2,689,350,945	0.16%	52,267,479	0.00%
	Institutions	29,272,203,662	1.79%	30,056,334,920	1.81%	784,131,258	0.02%
	GCC DPMs	535,447,061	0.03%	543,856,287	0.03%	8,409,226	0.00%
	Total GCC Investors	32,444,734,189	1.98%	33,289,542,152	2.01%	844,807,963	0.02%
Foreign	SWAP Holders	8,512,618,888	0.52%	8,728,450,070	0.53%	215,831,181	0.01%
	Foreign Residents	4,014,880,984	0.25%	4,027,474,921	0.24%	12,593,938	0.00%
	QFIs	6,381,959,471	0.39%	6,474,506,348	0.39%	92,546,877	0.00%
	Foreign DPMs	3,032,234,605	0.19%	3,214,194,452	0.19%	181,959,847	0.01%
	Strategic Partners	47,347,091,005	2.89%	46,578,099,978	2.81%	-768,991,028	-0.09%
	Total Foreign Investors	69,288,784,953	4.24%	69,022,725,768	4.16%	-266,059,185	-0.08%
Grand Total		1,635,973,307,321	100.00%	1,659,082,640,337	100.00%	23,109,333,017	

Weekly Report - Main Market

Ownership Value (by Investor Classification)

Main Market Ownership Breakdown - By Investor Classification						
Investor Classification	As of 23 November 2017		As of 30 November 2017		Weekly Change	
	Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
Institutional	1,432,807,106,258	87.58%	1,451,642,115,388	87.50%	18,835,009,129	-0.08%
Non-Institutional	203,166,201,062	12.42%	207,440,524,950	12.50%	4,274,323,888	0.08%
Grand Total	1,635,973,307,321	100.00%	1,659,082,640,337	100.00%	23,109,333,017	

Definitions

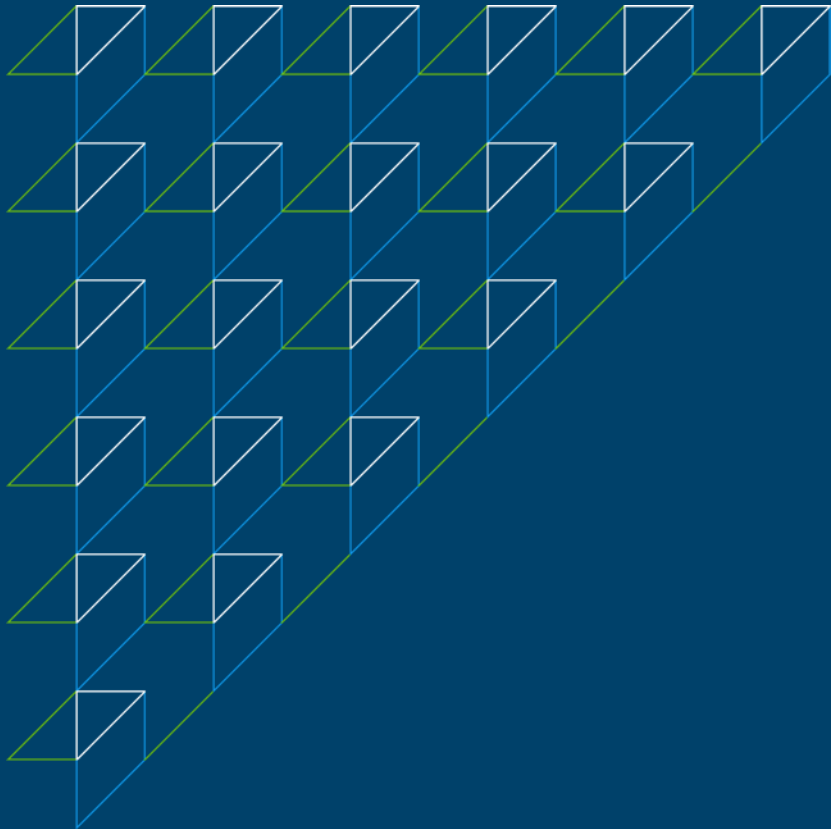
Institutional:

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Non Institutional:

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.

Nomu-Parallel Market - Value Traded & Ownership Breakdown



Weekly Report – Nomu-Parallel Market

Value Traded (by Nationality, Investor Type, & Classification)

Nomu-Parallel Market Value Traded Breakdown - By Nationality and Investor Type							
Nationality	Investor Type	Buy		Sell		Net Value Traded	
		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Saudi	Individuals	6,733,768	92.04%	5,327,833	72.82%	1,405,935	19.22%
	Corporates	48,587	0.66%	127,087	1.74%	-78,500	-1.07%
	Institution	268,075	3.66%	1,723,696	23.56%	-1,455,621	-19.90%
	Total Saudi Investors	7,050,430	96.37%	7,178,617	98.12%	-128,186	-1.75%
GCC	Individuals	0	0.00%	0	0.00%	0	0.00%
	Institutions	0	0.00%	0	0.00%	0	0.00%
	Total GCC Investors	0	0.00%	0	0.00%	0	0.00%
Foreign	SWAP Holders	0	0.00%	0	0.00%	0	0.00%
	Foreign Residents	198,661	2.72%	137,451	1.88%	61,210	0.84%
	QFIs	66,977	0.92%	0	0.00%	66,977	0.92%
	Total Foreign Investors	265,637	3.63%	137,451	1.88%	128,186	1.75%
Grand Total		7,316,068	100.00%	7,316,068	100.00%		

Nomu-Parallel Market Value Traded Breakdown - By Investor Classification							
Investor Classification	Buy		Sell		Net Value Traded		
	SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)	
Institutional	383,639	5.24%	1,850,783	25.30%	-1,467,145	-20.05%	
Non-Institutional	6,932,429	94.76%	5,465,284	74.70%	1,467,145	20.05%	
Grand Total	7,316,068	100.00%	7,316,068	100.00%			

Institutional:

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.

Weekly Report – Nomu-Parallel Market

Ownership Value (by Nationality, Investor Type, & Classification)

Nomu-Parallel Market Ownership Breakdown - By Nationality and Investor Type							
Nationality	Investor Type	As of 23 November 2017		As of 30 November 2017		Weekly Change	
		Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
Saudi	Individuals	104,826,916	5.16%	1,811,962,080	88.75%	1,707,135,164	83.59%
	Corporates	1,801,196,239	88.74%	106,882,555	5.24%	-1,694,313,684	-83.51%
	Institution	105,597,604	5.20%	103,899,480	5.09%	-1,698,123	-0.11%
	Total Saudi Investors	2,011,620,759	99.11%	2,022,744,114	99.08%	11,123,356	-0.03%
GCC	Individuals	25,730	0.00%	25,629	0.00%	-101	0.00%
	Institutions	11,947,028	0.59%	12,483,632	0.61%	536,604	0.02%
	Total GCC Investors	11,972,758	0.59%	12,509,261	0.61%	536,502	0.02%
Foreign	SWAP Holders	187,399	0.01%	193,399	0.01%	6,001	0.00%
	Foreign Residents	2,943,460	0.15%	3,062,628	0.15%	119,168	0.00%
	QFIs	2,939,934	0.14%	3,065,593	0.15%	125,659	0.01%
	Total Foreign Investors	6,070,793	0.30%	6,321,620	0.31%	250,827	0.01%
Grand Total		2,029,664,310	100.00%	2,041,574,995	100.00%	11,910,685	

Nomu-Parallel Market Ownership Breakdown - By Investor Classification						
Investor Classification	As of 23 November 2017		As of 30 November 2017		Weekly Change	
	Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
Institutional	1,921,868,204	94.69%	226,524,659	11.10%	-1,695,343,545	-83.59%
Non-Institutional	107,796,106	5.31%	1,815,050,336	88.90%	1,707,254,230	83.59%
Grand Total	2,029,664,310	100.00%	2,041,574,995	100.00%	11,910,685	

Institutional:

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.

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Saudi Stock Exchange

تداول
Tadawul

Thank you

