

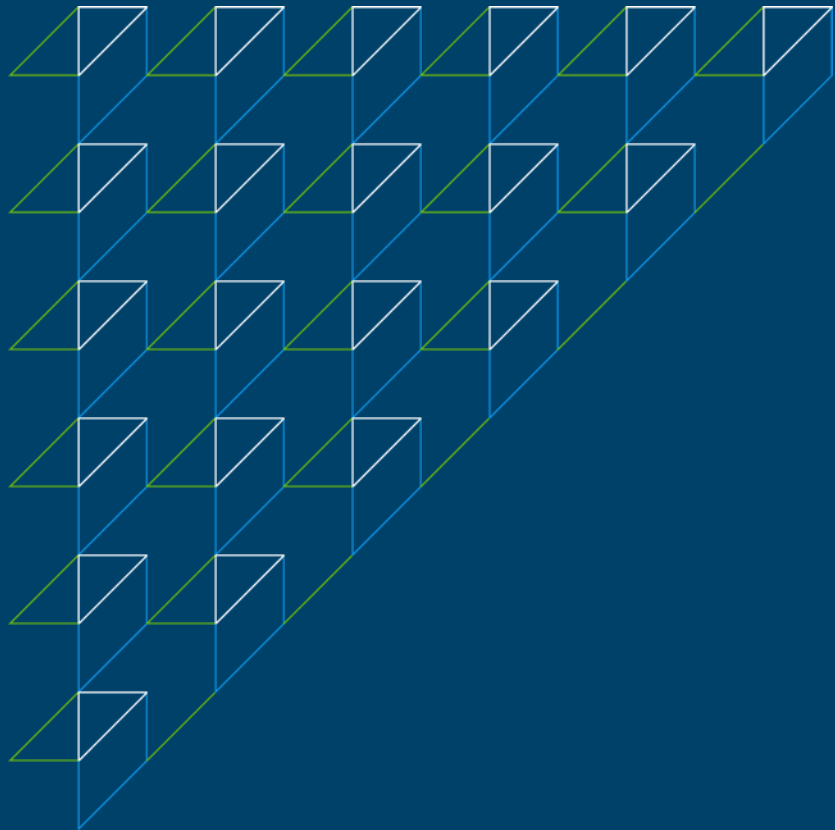
Monthly Stock Market Ownership and Trading Activity Report

Market Reports

Month Ending 31 August 2016



Definitions



Monthly Stock Market Ownership and Trading Activity Report

Definitions

Nationality	Investor Type	Description
Saudi	Individuals	Saudi Individuals have been classified under 4 categories as defined below:
	- Retail	Retail investors are Saudi individuals , excluding IPIs (see below) and HNWIs .
	- High Net Worth Investors (HNWIs)	HNWIs are Saudi individuals who have had an average portfolio size of SAR 1m (and above) for the preceding 12 months, excluding IPIs (see below).
	- Individual Professional Investors (IPIs)	IPIs are Saudi individuals who have had an average portfolio size of SAR 50m (and above) for the preceding 12 months and a portfolio turnover ratio of not more than 4 times annually.
	- Individual DPMs	Saudi individual investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	Institutions	Saudi Institutions have been classified under 4 categories as defined below:
	- Corporates	Saudi company with a commercial registration permitting its investment in the stock market .
	- Mutual Funds	A mutual fund managed by an Authorized Person and licensed by the CMA.
	- Government Related Entities (GREs)	A Government entity or a supranational authority recognized by the CMA.
- Institutional DPMs	Saudi institutional investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).	
GCC	Individuals	GCC natural person
	Institutions	GCC legal person with a commercial registration certificate (corporate or mutual fund), in addition to GCC government entities.
	GCC DPMs	GCC investment account (institutional or individual) in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
Foreign	SWAP Holders	Authorized Person with the permission to enter into SWAP agreements to transfer the economic benefits of its ownership of shares in Saudi companies listed on the Saudi Stock Exchange to its non-resident foreign clients.
	Foreign Residents	Foreign investors domiciled in the Kingdom with a valid residency permit (Iqama) (excluding GCC nationals).
	QFIs	Qualified Foreign Institutional investors registered with the CMA in accordance with the QFI Rules, to invest in shares listed on the Saudi Stock Exchange.
	Foreign DPMs	Foreign resident investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	Strategic Partners	Foreign investors considered founders and partners of Saudi listed companies.

Highlights



Monthly Stock Market Ownership and Trading Activity Report

Highlights

The total value of shares traded for the month ending 31 August 2016 amounted to SAR 67.97 billion, increasing by 14.32% over the previous month; while total stock market capitalization reached SAR 1,418.65 billion at the end of this period, decreasing by 2.82% over the previous month.

- ▶ The total value of shares purchased by “Saudi Investors” during this period amounted to SAR 64.40 billion representing 94.74% of total buying activity, and sales of SAR 64.38 billion representing 94.72% of total selling activity. Total ownership of “Saudi Investors” stood at 93.51% of total market capitalization as of 31 August 2016, representing an increase of 0.12% from the previous month.
- ▶ The total value of shares purchased by “GCC Investors” during this period amounted to SAR 1.558 billion representing 2.29% of total buying activity, and sales of SAR 1.449 billion representing 2.13% of total selling activity. Total ownership of “GCC Investors” stood at 2.44% of total market capitalization as of 31 August 2016, representing a decrease of 0.03% from the previous month.
- ▶ The total value of shares purchased by “Foreign Investors” during this period amounted to SAR 2.015 billion representing 2.96% of total buying activity, and sales of SAR 2.141 billion representing 3.15% of total selling activity. Total ownership of “Foreign Investors” stood at 4.04% of total market capitalization as of 31 August 2016, representing a decrease of 0.09% from the previous month.



Stock Market Value Traded Breakdown



Monthly Stock Market Ownership and Trading Activity Report

Value Traded (by Nationality and Investor Type)

Stock Market Traded Value Breakdown - By Nationality and Investor Type

Nationality	Investor Type	Buy		Sell		Net Value Traded	
		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Saudi	Individuals:						
	Retail	25,464,217,862	37.46%	33,989,913,120	50.00%	-8,525,695,258	-12.54%
	HNWIs	22,022,933,400	32.40%	22,234,403,444	32.71%	-211,470,044	-0.31%
	IPIs	1,423,603,467	2.09%	1,399,665,346	2.06%	23,938,120	0.04%
	Individual DPMs	730,743,526	1.08%	539,656,180	0.79%	191,087,346	0.28%
	Sub Total (Individuals)	49,641,498,255	73.03%	58,163,638,091	85.57%	-8,522,139,837	-12.54%
	Institutions:						
	Corporates	9,356,576,707	13.76%	1,444,102,997	2.12%	7,912,473,710	11.64%
	Mutual Funds	3,040,144,325	4.47%	2,573,766,231	3.79%	466,378,093	0.69%
	GRE's	355,801,498	0.52%	722,097,806	1.06%	-366,296,308	-0.54%
	Institution DPMs	2,007,190,335	2.95%	1,480,181,746	2.18%	527,008,589	0.78%
	Sub Total (Institutions)	14,759,712,865	21.71%	6,220,148,781	9.15%	8,539,564,084	12.56%
Total Saudi Investors	64,401,211,120	94.74%	64,383,786,872	94.72%	17,424,248	0.03%	
GCC	Individuals	225,306,051	0.33%	217,800,829	0.32%	7,505,223	0.01%
	Institutions	1,306,587,199	1.92%	1,208,060,766	1.78%	98,526,434	0.14%
	GCC DPMs	25,890,291	0.04%	23,013,599	0.03%	2,876,692	0.00%
	Total GCC Investors	1,557,783,542	2.29%	1,448,875,194	2.13%	108,908,348	0.16%
Foreign	SWAP Holders	1,073,805,103	1.58%	1,019,831,753	1.50%	53,973,350	0.08%
	Foreign Residents	875,149,130	1.29%	1,062,870,325	1.56%	-187,721,195	-0.28%
	QFIs	65,745,182	0.10%	58,329,933	0.09%	7,415,249	0.01%
	Foreign DPMs	0	0.00%	0	0.00%	0	0.00%
	Strategic Partners	0	0.00%	0	0.00%	0	0.00%
	Total Foreign Investors	2,014,699,415	2.96%	2,141,032,011	3.15%	-126,332,596	-0.19%
Grand Total		67,973,694,076	100.00%	67,973,694,076	100.00%		

Monthly Stock Market Ownership and Trading Activity Report

Value Traded (by Investor Classification)

Stock Market Traded Value Breakdown - By Investor Classification

Investor Classification	Buy		Sell		Net Value Traded	
	SAR	% of Total Buys	SAR	% of Total Sells	SAR Millions (Buy - Sell)	Difference (% Buy - % Sell)
Institutional	19,386,087,633	28.52%	10,468,706,359	15.40%	8,917,381,274	13.12%
Non-Institutional	48,587,606,443	71.48%	57,504,987,717	84.60%	-8,917,381,274	-13.12%
Grand Total	67,973,694,076	100.00%	67,973,694,076	100.00%		

Definitions

Institutional:

Refers to investors with institutional behavior including GREs, Saudi Corporates, Saudi Mutual Funds, Saudi and Non-Saudi DPMs, Saudi Individual Professional Investors (IPI), SWAP Holders, Foreign Strategic Partners and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.

Stock Market Ownership Breakdown



Monthly Stock Market Ownership and Trading Activity Report

Ownership Value (by Nationality and Investor Type)

Stock Market Ownership Breakdown - By Nationality and Investor Type

Nationality	Investor Type	As of 31 July 2016		As of 31 August 2016		Weekly Change	
		Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
Saudi	Individuals:						
	Retail	71,431,783,134	4.89%	68,806,641,814	4.85%	-2,625,141,321	-0.04%
	HNWIs	126,754,841,329	8.68%	122,419,452,370	8.63%	-4,335,388,959	-0.05%
	IPIs	243,563,613,872	16.69%	235,910,000,517	16.63%	-7,653,613,355	-0.06%
	Individual DPMs	4,231,639,460	0.29%	4,407,859,816	0.31%	176,220,356	0.02%
	Sub Total (Individuals)	445,981,877,796	30.55%	431,543,954,517	30.42%	-14,437,923,279	-0.13%
	Institutions:						
	Corporates	259,484,436,193	17.78%	250,805,628,874	17.68%	-8,678,807,319	-0.10%
	Mutual Funds	45,657,457,754	3.13%	43,279,630,246	3.05%	-2,377,827,509	-0.08%
	GRE's	570,118,220,265	39.06%	561,341,876,488	39.57%	-8,776,343,777	0.51%
	Institution DPMs	42,154,922,192	2.89%	39,674,701,359	2.80%	-2,480,220,833	-0.09%
	Sub Total (Institutions)	917,415,036,405	62.85%	895,101,836,967	63.10%	-22,313,199,438	0.25%
Total Saudi Investors	1,363,396,914,201	93.40%	1,326,645,791,484	93.51%	-36,751,122,717	0.12%	
GCC	Individuals	2,732,523,489	0.19%	2,681,849,194	0.19%	-50,674,296	0.00%
	Institutions	32,716,395,532	2.24%	31,364,781,206	2.21%	-1,351,614,326	-0.03%
	GCC DPMs	669,804,966	0.05%	613,291,062	0.04%	-56,513,904	0.00%
	Total GCC Investors	36,118,723,988	2.47%	34,659,921,462	2.44%	-1,458,802,526	-0.03%
Foreign	SWAP Holders	13,460,859,472	0.92%	12,946,800,628	0.91%	-514,058,845	-0.01%
	Foreign Residents	4,118,055,994	0.28%	4,035,600,153	0.28%	-82,455,841	0.00%
	QFIs	1,350,730,364	0.09%	1,301,225,071	0.09%	-49,505,293	0.00%
	Foreign DPMs	11,403,827	0.00%	10,990,505	0.00%	-413,322	0.00%
	Strategic Partners	41,309,477,845	2.83%	39,045,970,063	2.75%	-2,263,507,782	-0.08%
	Total Foreign Investors	60,250,527,502	4.13%	57,340,586,420	4.04%	-2,909,941,082	-0.09%
Grand Total		1,459,766,165,691	100.00%	1,418,646,299,366	100.00%	-41,119,866,325	

Monthly Stock Market Ownership and Trading Activity Report

Ownership Value (by Investor Classification)

Stock Market Ownership Breakdown - By Investor Classification

Investor Classification	As of 31 July 2016		As of 31 August 2016		Weekly Change	
	Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
Institutional	1,254,728,961,744	85.95%	1,220,702,755,836	86.05%	-34,026,205,908	0.09%
Non-Institutional	205,037,203,947	14.05%	197,943,543,530	13.95%	-7,093,660,417	-0.09%
Grand Total	1,459,766,165,691	100.00%	1,418,646,299,366	100.00%	-41,119,866,325	

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Non Institutional:

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.

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Saudi Stock Exchange

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Thank you

