

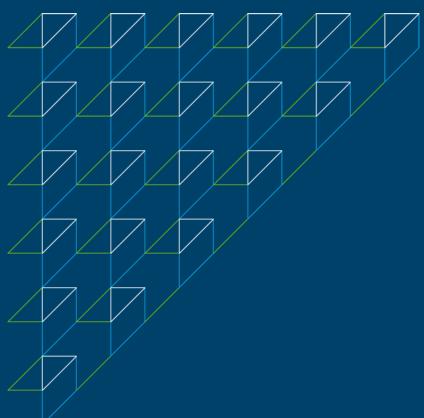
# Weekly Stock Market Ownership and Trading Activity Report

**Market Reports** 

Week Ending 29 March 2018



# Definitions



## Weekly Stock Market Ownership and Trading Activity Report



#### **Definitions**

Nationality	Investor Type	Description
	Individuals*	Saudi Individuals have been classified under 4 categories as defined below:
	- Retail	Retail investors are Saudi individuals, excluding IPIs (see below) and HNWIs.
	- High Net Worth Investors (HNWIs)	<b>HNWIs</b> are Saudi individuals who have had an average portfolio size of SAR 1m (and above) for the preceding 12 months, excluding <b>IPIs</b> (see below).
	- Individual Professional Investors (IPIs)	<b>IPIs</b> are Saudi individuals who have had an average portfolio size of SAR 50m (and above) for the preceding 12 months and a portfolio turnover ratio of not more than 4 times annually.
Saudi	- Individual DPMs	Saudi individual investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	Institutions	Saudi Institutions have been classified under 4 categories as defined below:
	- Corporates	Saudi company with a commercial registration permitting its investment in the stock market .
	- Mutual Funds	A mutual fund managed by an Authorized Person and licensed by the CMA.
	- Government Related Entities (GREs)	A Government entity or a supranational authority recognized by the CMA.
	- Institutional DPMs	Saudi institutional investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	Individuals*	GCC natural person
GCC	Institutions	GCC legal person with a commercial registration certificate (corporate or mutual fund), in addition to GCC government entities.
	GCC DPMs	GCC investment account (institutional or individual) in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	SWAP Holders	Authorized Person with the permission to enter into SWAP agreements to transfer the economic benefits of its ownership of shares in Saudi companies listed on the Saudi Stock Exchange to its non-resident foreign clients.
Foreign	Foreign Residents*	Foreign investors domiciled in the Kingdom with a valid residency permit (Iqama) (excluding GCC nationals).
	QFls	Qualified Foreign Institutional investors registered with the CMA in accordance with the QFI Rules, to invest in shares listed on the Saudi Stock Exchange.
	Foreign DPMs	Foreign resident investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	Strategic Partners	Foreign investors considered founders and partners of Saudi listed companies.

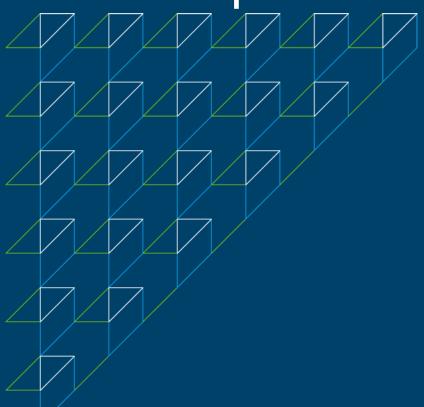
\*Nomu-Parallel Market Individual Investors

Individuals who fulfill any of the following:

- Conducted transactions not less than SAR 40m, and not less than 10 transaction in each quarter during last 12 months.

- Have an average portfolio size of SAR 10m for the preceding 12 months
- Hold General Securities Qualification Certificate which is recognized by the Authority.

# Main Market - Value Traded & Ownership Breakdown



#### تــداوك Tadawul

## Value Traded (by Nationality and Investor Type)

#### Main Market Value Traded Breakdown - By Nationality and Investor Type Buy Sell **Net Value Traded Nationality Investor Type** Difference SAR SAR % of Total Buys SAR % of Total Sells (Buy - Sell) (% Buy - % Sell) Individuals: Retail 7,395,986,492 33.30% 9,040,104,086 40.70% -1,644,117,594 -7.40% **HNWIs** 6,482,491,603 29.18% 32.80% -804,429,491 -3.62% 7,286,921,094 **IPIs** 874.608.750 3.94% 1.137.966.005 5.12% -263.357.255 -1.19% Individual DPMs 145.878.318 0.66% 139.802.087 0.63% 6.076.231 0.03% Sub Total (Individuals) 14,898,965,164 67.07% 17,604,793,273 79.25% -2,705,828,109 -12.18% Institutions: Saudi 1.854.795.516 8.35% 874.939.511 3.94% 979.856.005 4.41% Corporates 3.71% **Mutual Funds** 823.353.515 484.990.057 2.18% 338.363.458 1.52% GRE's 0 0.00% 499,929,245 2.25% -499,929,245 -2.25% Institution DPMs 841.018.222 3.79% 175.460.298 0.79% 665.557.924 3.00% Sub Total (Institutions) 3,519,167,254 15.84% 2,035,319,111 9.16% 1,483,848,143 6.68% Total Saudi Investors 18,418,132,418 82.92% 19,640,112,384 88.42% -1,221,979,966 -5.50% Individuals 57.473.712 0.26% 56.293.055 0.25% 1,180,657 0.01% 2.70% Institutions 599.135.824 507.065.028 2.28% 92.070.796 0.41% GCC GCC DPMs 0.00% 0.00% 92,088 0.00% 1,075,206 983,118 Total GCC Investors 657.684.743 2.96% 564.341.201 2.54% 93.343.542 0.42% **SWAP Holders** 1,956,158,128 8.81% 1,227,608,001 5.53% 728,550,127 3.28% Foreign Residents 298.856.163 1.35% 284.014.900 1.28% 14.841.263 0.07% **QFIs** 882,291,757 3.97% 497,046,722 2.24% 385,245,035 1.73% **Foreign** Foreign DPMs 0 0.00% 0 0.00% 0 0.00% 0 Strategic Partners 0 0.00% 0 0.00% 0.00% 1,128,636,425 Total Foreign Investors 3,137,306,048 14.12% 2,008,669,623 9.04% 5.08% **Grand Total** 22,213,123,208 100.00% 22,213,123,208 100.00%



Value Traded (by Investor Classification)

Main Market Value Traded Breakdown - By Investor Classification									
	Buy		Sell		Net Value Traded				
Investor Classification	SAR	% of Total Buys	SAR	% of Total Sells		SAR (Buy - Sell)	Difference (% Buy - % Sell)		
Institutional	7,978,315,237	35.92%	5,545,790,072	24.97%		2,432,525,165	10.95%		
Non-Institutional	14,234,807,971	64.08%	16,667,333,136	75.03%		-2,432,525,165	-10.95%		
Grand Total	22,213,123,208	100.00%	22,213,123,208	100.00%					

#### **Definitions**

#### Institutional:

Refers to investors with institutional behavior including GREs, Saudi Corporates, Saudi Mutual Funds, Saudi and Non-Saudi DPMs, Saudi Individual Professional Investors (IPI), SWAP Holders, Foreign Strategic Partners and Qualified Foreign Investors (QFI).

#### Non Institutional:

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.

#### تــداوك Tadawul

## Ownership Value (by Nationality and Investor Type)

#### Main Market Ownership Breakdown - By Nationality and Investor Type **Weekly Change** As of 22 March 2018 As of 29 March 2018\* **Nationality** Investor Type Δ Ownership **Holding Value** Ownership **Holding Value** Ownership Δ Holding Value (SAR) (%) (SAR) (%) (SAR) (%) Individuals: Retail 78,137,365,171 4.23% 76,784,094,874 4.11% -1,353,270,296 -0.12% **HNWIs** 146,096,047,525 7.91% 145,862,584,779 7.82% -233,462,746 -0.10% **IPIs** 262.028.065.742 14.19% 264.758.318.467 14.19% 2.730.252.725 0.00% Individual DPMs 5.231.419.081 0.28% 5.232.841.947 0.28% 1.422.866 0.00% Sub Total (Individuals) 491,492,897,519 26.62% 492,637,840,068 26.40% 1,144,942,549 -0.22% Institutions: Saudi Corporates 16.01% 296.427.820.889 15.88% 753.954.716 -0.13% 295.673.866.173 Mutual Funds 169.859.948.298 9.20% 172.013.102.721 9.22% 2.153.154.424 0.02% GRE's 708.665.269.397 38.38% 721,502,852,691 38.66% 12,837,583,294 0.28% Institution DPMs 56.006.172.009 3.03% 57 142 311 905 3.06% 1.136.139.896 0.03% Sub Total (Institutions) 1.230.205.255.877 66.62% 1.247.086.088.207 66.82% 16.880.832.330 0.20% Total Saudi Investors 1,721,698,153,396 93.24% 1,739,723,928,275 93.22% 18,025,774,879 -0.02% Individuals 3.236.106.189 0.18% 3.308.009.965 0.18% 71.903.777 0.00% Institutions 34.149.543.685 1.85% 34.597.326.957 1.85% 447.783.272 0.00% **GCC** GCC DPMs 0.03% 0.03% 0.00% 626,576,971 631,343,138 4,766,167 Total GCC Investors 38.012.226.845 2.06% 38.536.680.060 2.06% 524.453.215 0.01% **SWAP Holders** 13,432,255,894 0.73% 13,978,248,769 0.75% 545,992,875 0.02% 0.23% Foreign Residents 4.314.117.025 4.253.716.416 0.23% -60.400.610 -0.01% **QFIs** 13,797,969,969 0.75% 14,569,540,517 0.78% 771,570,548 0.03% **Foreign** Foreign DPMs 8.001.789 0.00% 8.052.039 0.00% 50.250 0.00% 2.99% 2.96% -75.297.386 -0.04% Strategic Partners 55.241.957.134 55.166.659.749 Total Foreign Investors 86,794,301,811 4.70% 87,976,217,489 4.71% 1,181,915,678 0.01% **Grand Total** 1,846,504,682,052 100.00% 1,866,236,825,824 19,732,143,772 100.00%

<sup>\*</sup> Non Deposited Tradable Rights amount of 3,360,000,000 SAR was not reflected as on 29 March holding value



## Ownership Value (by Investor Classification)

Main Market Ownership Breakdown - By Investor Classification										
	As of 22 March 2018		As of 29 March 2018*		Weekly Change					
Investor Classification	Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	∆ Holding Value (SAR)	∆ Ownership (%)				
Institutional	1,614,721,046,142	87.45%	1,636,028,419,790	87.66%	21,307,373,647	0.22%				
Non-Institutional	231,783,635,910	12.55%	230,208,406,034	12.34%	-1,575,229,875	-0.22%				
Grand Total	1,846,504,682,052	100.00%	1,866,236,825,824	100.00%	19,732,143,772					

#### **Definitions**

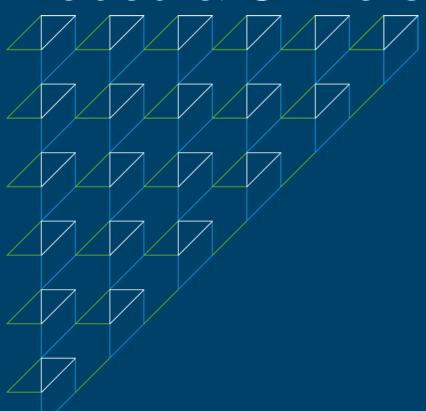
#### Institutional:

Refers to investors with institutional behavior including GREs, Saudi Corporates, Saudi Mutual Funds, Saudi and Non-Saudi DPMs, Saudi Individual Professional Investors (IPI), SWAP Holders, Foreign Strategic Partners and Qualified Foreign Investors (QFI).

#### Non Institutional:

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.

## Nomu-Parallel Market - Value Traded & Ownership Breakdown



## Weekly Report – Nomu-Parallel Market



## Value Traded (by Nationality, Investor Type, & Classification)

Nomu-P	arallel Market Va	alue Traded E	Breakdown	- By Nationa	lity and Inve	stor Type	
Nationality	Investor Type	Buy		Sell		Net Value Traded	
		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell
	Individuals	9,044,774	91.88%	8,308,163	84.40%	736,611	7.48%
0	Corporates	287,505	2.92%	0	0.00%	287,505	2.92%
Saudi	Institution	0	0.00%	1,230,331	12.50%	-1,230,331	-12.50%
	Total Saudi Investors	9,332,279	94.81%	9,538,494	96.90%	-206,214	-2.09%
	Individuals	0	0.00%	0	0.00%	0	0.00%
GCC	Institutions	0	0.00%	0	0.00%	0	0.00%
	Total GCC Investors	0	0.00%	0	0.00%	0	0.00%
	SWAP Holders	0	0.00%	0	0.00%	0	0.00%
Faraian	Foreign Residents	42,974	0.44%	305,147	3.10%	-262,173	-2.66%
Foreign	QFIs	468,388	4.76%	0	0.00%	468,388	4.76%
	Total Foreign Investors	511,362	5.19%	305,147	3.10%	206,215	2.09%
Grand Total		9,843,641	100.00%	9,843,641	100.00%		
Nomu-Pa	rallel Market Value	Traded Break	down - By Inv	estor Classific	ation		
Investor Classification		Bu	<u> </u>	Sel	ı	Net Value	Traded
		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sel
Institutional		755,893	7.68%	1,230,331	12.50%	-474,437	-4.82%
Non-Institution	onal	9,087,748	92.32%	8,613,310	87.50%	474,437	4.82%

#### Institutional:

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

9,843,641

100.00%

#### Non Institutional:

**Grand Total** 

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.

100.00%

9,843,641

## Weekly Report – Nomu-Parallel Market



## Ownership Value (by Nationality, Investor Type, & Classification)

Nationality	Investor Type	As of 22 March 2018		As of 29 March 2018		Weekly Change		
		Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)	
	Individuals	1,894,010,348	89.11%	1,862,041,832	89.13%	-31,968,516	0.02%	
o "	Corporates	120,132,044	5.65%	117,799,500	5.64%	-2,332,544	-0.01%	
Saudi	Institution	90,911,444	4.28%	88,779,681	4.25%	-2,131,762	-0.03%	
	Total Saudi Investors	2,105,053,836	99.04%	2,068,621,014	99.01%	-36,432,823	-0.02%	
	Individuals	30,420	0.00%	29,051	0.00%	-1,369	0.00%	
GCC	Institutions	12,149,520	0.57%	12,098,897	0.58%	-50,623	0.01%	
	Total GCC Investors	12,179,940	0.57%	12,127,948	0.58%	-51,992	0.01%	
	SWAP Holders	587,422	0.03%	841,794	0.04%	254,372	0.01%	
Foreign	Foreign Residents	2,830,908	0.13%	2,884,002	0.14%	53,094	0.00%	
	QFIs	4,895,244	0.23%	4,772,502	0.23%	-122,742	0.00%	
	Total Foreign Investors	8,313,574	0.39%	8,498,298	0.41%	184,724	0.02%	
Grand Total		2,125,547,350	100.00%	2,089,247,260	100.00%	-36,300,090		

Nomu-Parallel Market Ownership Breakdown - By Investor Classification									
	As of 22 March 2018		As of 29 March 2018		Weekly Change				
Investor Classification	Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)			
Institutional	228,675,674	10.76%	224,292,375	10.74%	-4,383,299	-0.02%			
Non-Institutional	1,896,871,676	89.24%	1,864,954,885	89.26%	-31,916,791	0.02%			
Grand Total	2,125,547,350	100.00%	2,089,247,260	100.00%	-36,300,090				

#### Institutional:

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

#### Non Institutional:

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.



