

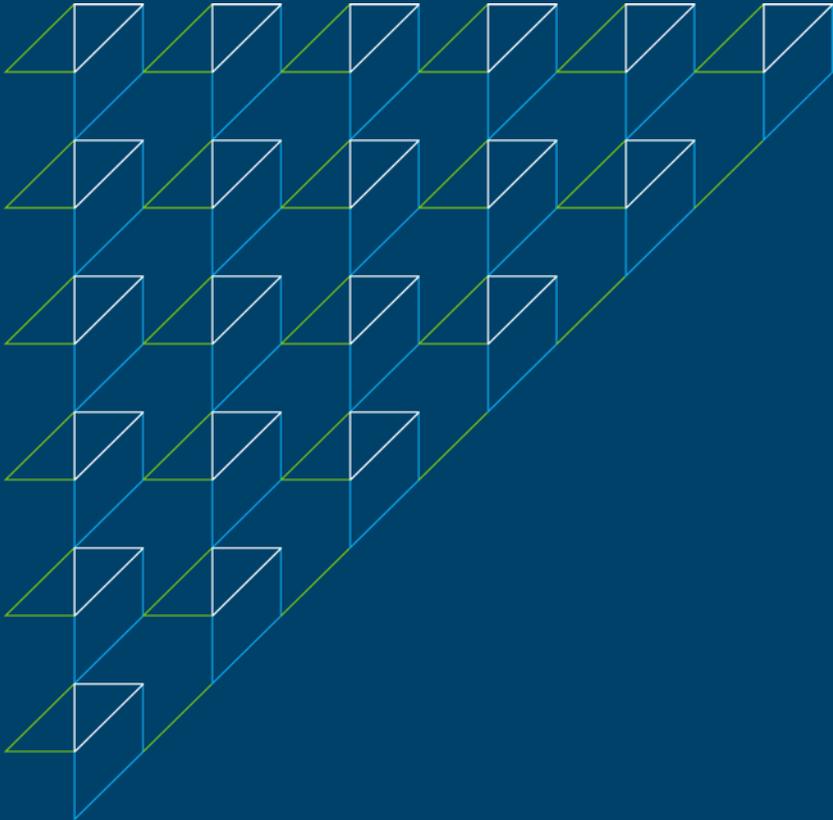
# Weekly Stock Market Ownership and Trading Activity Report

Market Reports

Week Ending 21 November 2019



# Definitions



# Monthly Stock Market Ownership and Trading Activity Report

## Definitions

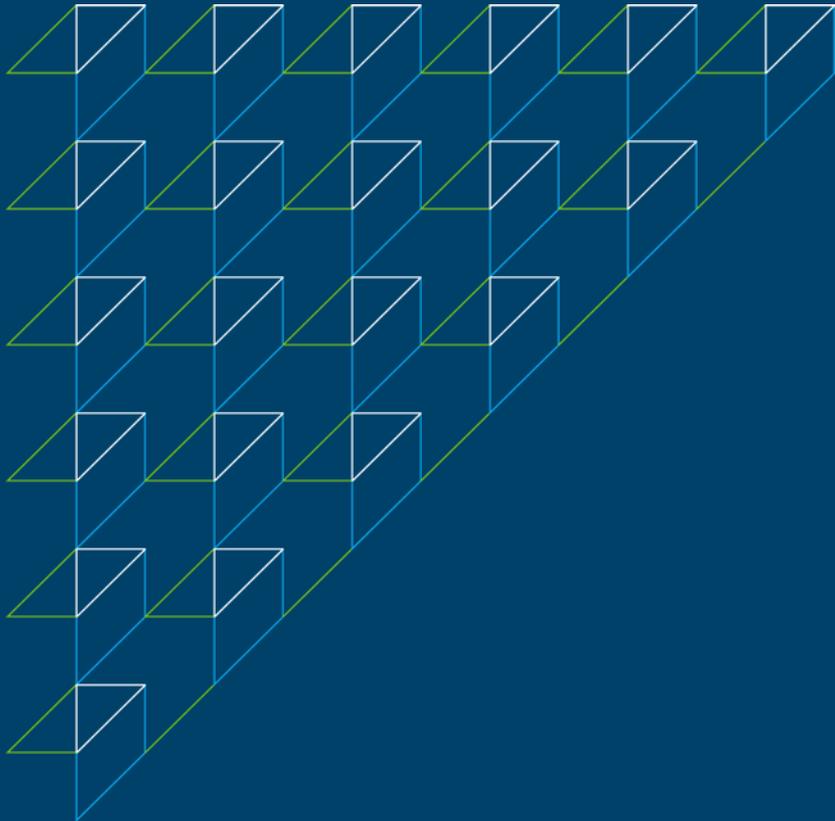
Nationality	Investor Type	Description
Saudi	<b>Individuals*</b>	Saudi Individuals have been classified under 4 categories as defined below:
	- Retail	Retail investors are Saudi individuals , excluding IPIs (see below) and HNWIs.
	- High Net Worth Investors (HNWIs)	HNWIs are Saudi individuals who have had an average portfolio size of SAR 1m (and above) for the preceding 12 months, excluding IPIs (see below).
	- Individual Professional Investors (IPIs)	IPIs are Saudi individuals who have had an average portfolio size of SAR 50m (and above) for the preceding 12 months and a portfolio turnover ratio of not more than 4 times annually.
	- Individual DPMs	Saudi individual investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	<b>Institutions</b>	Saudi Institutions have been classified under 4 categories as defined below:
	- Corporates	Saudi company with a commercial registration permitting its investment in the stock market .
	- Mutual Funds	A mutual fund managed by an Authorized Person and licensed by the CMA.
	- Government Related Entities (GREs)	A Government entity or a supranational authority recognized by the CMA.
- Institutional DPMs	Saudi institutional investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).	
GCC	<b>Individuals*</b>	GCC natural person
	<b>Institutions</b>	GCC legal person with a commercial registration certificate (corporate or mutual fund), in addition to GCC government entities.
	<b>GCC DPMs</b>	GCC investment account (institutional or individual) in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
Foreign	<b>SWAP Holders</b>	Authorized Person with the permission to enter into SWAP agreements to transfer the economic benefits of its ownership of shares in Saudi companies listed on the Saudi Stock Exchange to its non-resident foreign clients.
	<b>Foreign Residents &amp; Others</b>	Investors with a valid residency permit (Iqama) and others (excluding SWAP, QFIs, DPM & Strategic Investors).
	<b>QFIs</b>	Qualified Foreign Institutional investors registered with the CMA in accordance with the QFI Rules, to invest in shares listed on the Saudi Stock Exchange.
	<b>Foreign DPMs</b>	Foreign resident investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	<b>Strategic Investors</b>	A foreign legal entity that aims to own a strategic shareholding in listed companies.

\*Nomu-Parallel Market Individual Investors

Individuals who fulfill any of the following:

- Conducted transactions not less than SAR 40m, and not less than 10 transaction in each quarter during last 12 months.
- Have an average portfolio size of SAR 5m for the preceding 12 months
- Worked for at least 3 years in financial sectors.
- Hold General Securities Qualification Certificate which is recognized by the Authority.
- Holds professional certificate that is related to securities business and accredited by an internationally recognized entity

# Main Market - Value Traded & Ownership Breakdown



# Weekly Report - Main Market

## Value Traded (by Nationality and Investor Type)

Main Market Value Traded Breakdown - By Nationality and Investor Type							
Nationality	Investor Type	Buy		Sell		Net Value Traded	
		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Saudi	<b>Individuals:</b>						
	Retail	5,482,506,833	35.76%	6,324,557,749	41.25%	-842,050,916	-5.49%
	HNWIs	3,333,883,402	21.74%	3,262,198,884	21.28%	71,684,519	0.47%
	IPIs	375,625,635	2.45%	466,128,458	3.04%	-90,502,823	-0.59%
	Individual DPMs	55,386,251	0.36%	221,119,274	1.44%	-165,733,023	-1.08%
	<b>Sub Total (Individuals)</b>	<b>9,247,402,120</b>	<b>60.31%</b>	<b>10,274,004,364</b>	<b>67.01%</b>	<b>-1,026,602,244</b>	<b>-6.70%</b>
	<b>Institutions:</b>						
	Corporates	1,161,347,449	7.57%	588,610,330	3.84%	572,737,119	3.74%
	Mutual Funds	334,026,127	2.18%	1,003,492,530	6.54%	-669,466,403	-4.37%
	GRE's	13,116,419	0.09%	5,958,610	0.04%	7,157,809	0.05%
	Institution DPMs	154,085,032	1.00%	115,339,024	0.75%	38,746,008	0.25%
	<b>Sub Total (Institutions)</b>	<b>1,662,575,027</b>	<b>10.84%</b>	<b>1,713,400,493</b>	<b>11.17%</b>	<b>-50,825,466</b>	<b>-0.33%</b>
<b>Total Saudi Investors</b>	<b>10,909,977,147</b>	<b>71.15%</b>	<b>11,987,404,857</b>	<b>78.18%</b>	<b>-1,077,427,710</b>	<b>-7.03%</b>	
GCC	Individuals	32,938,911	0.21%	31,408,056	0.20%	1,530,856	0.01%
	Institutions	154,562,353	1.01%	176,048,744	1.15%	-21,486,392	-0.14%
	GCC DPMs	108,421	0.00%	1,402,285	0.01%	-1,293,864	-0.01%
	<b>Total GCC Investors</b>	<b>187,609,685</b>	<b>1.22%</b>	<b>208,859,085</b>	<b>1.36%</b>	<b>-21,249,400</b>	<b>-0.14%</b>
Foreign	SWAP Holders	32,182,962	0.21%	35,937,086	0.23%	-3,754,124	-0.02%
	Foreign Residents & Others	137,885,402	0.90%	157,392,635	1.03%	-19,507,233	-0.13%
	QFIs	4,065,055,093	26.51%	773,501,745	5.04%	3,291,553,349	21.47%
	Foreign DPMs	231,232	0.00%	203,213	0.00%	28,019	0.00%
	Strategic Investor	0	0.00%	2,169,642,900	14.15%	-2,169,642,900	-14.15%
	<b>Total Foreign Investors</b>	<b>4,235,354,689</b>	<b>27.62%</b>	<b>3,136,677,579</b>	<b>20.46%</b>	<b>1,098,677,110</b>	<b>7.17%</b>
<b>Grand Total</b>		<b>15,332,941,522</b>	<b>100.00%</b>	<b>15,332,941,522</b>	<b>100.00%</b>		

# Weekly Report - Main Market

## Value Traded (by Investor Classification)

Main Market Value Traded Breakdown - By Investor Classification						
Investor Classification	Buy		Sell		Net Value Traded	
	SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Institutional	6,345,726,974	41.39%	5,557,384,199	36.24%	788,342,775	5.14%
Non-Institutional	8,987,214,548	58.61%	9,775,557,323	63.76%	-788,342,775	-5.14%
<b>Grand Total</b>	<b>15,332,941,522</b>	<b>100.00%</b>	<b>15,332,941,522</b>	<b>100.00%</b>		

## Definitions

### **Institutional:**

Refers to investors with institutional behavior including GREs, Saudi Corporates, Saudi Mutual Funds, Saudi and Non-Saudi DPMs, Saudi Individual Professional Investors (IPI), SWAP Holders, Foreign Strategic Partners and Qualified Foreign Investors (QFI).

### **Non Institutional:**

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.

# Weekly Report - Main Market

## Ownership Value (by Nationality and Investor Type)

Main Market Ownership Breakdown - By Nationality and Investor Type							
Nationality	Investor Type	As of 14 November 2019		As of 21 November 2019		Weekly Change	
		Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
Saudi	<b>Individuals:</b>						
	Retail	71,248,895,211	3.81%	74,297,018,024	3.91%	3,048,122,813	0.11%
	HNWIs	144,404,607,137	7.72%	147,019,176,997	7.75%	2,614,569,860	0.03%
	IPIs	258,135,453,326	13.80%	262,127,536,139	13.81%	3,992,082,813	0.01%
	Individual DPMS	4,510,054,094	0.24%	4,555,623,276	0.24%	45,569,182	0.00%
	<b>Sub Total (Individuals)</b>	<b>478,299,009,768</b>	<b>25.56%</b>	<b>487,999,354,436</b>	<b>25.71%</b>	<b>9,700,344,668</b>	<b>0.15%</b>
	<b>Institutions:</b>						
	Corporates	328,304,204,051	17.55%	332,171,303,502	17.50%	3,867,099,452	-0.04%
	Mutual Funds	194,625,572,907	10.40%	196,244,005,907	10.34%	1,618,433,000	-0.06%
	GRE's	639,334,493,787	34.17%	648,481,046,899	34.17%	9,146,553,111	0.00%
	Institution DPMS	24,834,052,301	1.33%	25,340,337,786	1.34%	506,285,485	0.01%
	<b>Sub Total (Institutions)</b>	<b>1,187,098,323,046</b>	<b>63.44%</b>	<b>1,202,236,694,094</b>	<b>63.34%</b>	<b>15,138,371,048</b>	<b>-0.10%</b>
	<b>Total Saudi Investors</b>	<b>1,665,397,332,814</b>	<b>89.01%</b>	<b>1,690,236,048,530</b>	<b>89.05%</b>	<b>24,838,715,716</b>	<b>0.05%</b>
GCC	Individuals	2,348,705,644	0.13%	2,395,665,956	0.13%	46,960,312	0.00%
	Institutions	30,951,484,950	1.65%	31,312,153,722	1.65%	360,668,771	0.00%
	GCC DPMS	563,655,472	0.03%	575,788,724	0.03%	12,133,251	0.00%
	<b>Total GCC Investors</b>	<b>33,863,846,067</b>	<b>1.81%</b>	<b>34,283,608,401</b>	<b>1.81%</b>	<b>419,762,335</b>	<b>0.00%</b>
Foreign	SWAP Holders	3,102,658,815	0.17%	3,127,265,270	0.16%	24,606,455	0.00%
	Foreign Residents & Others	16,054,733,399	0.86%	15,932,664,066	0.84%	-122,069,333	-0.02%
	QFIs	110,101,157,608	5.88%	111,222,808,959	5.86%	1,121,651,351	-0.02%
	Foreign DPMS	16,719,947	0.00%	17,109,214	0.00%	389,267	0.00%
	Strategic Investor	42,586,333,732	2.28%	43,200,711,361	2.28%	614,377,628	0.00%
<b>Total Foreign Investors</b>	<b>171,861,603,502</b>	<b>9.18%</b>	<b>173,500,558,870</b>	<b>9.14%</b>	<b>1,638,955,368</b>	<b>-0.04%</b>	
<b>Grand Total</b>		<b>1,871,122,782,382</b>	<b>100.00%</b>	<b>1,898,020,215,801</b>	<b>100.00%</b>	<b>26,897,433,418</b>	

# Weekly Report - Main Market

## Ownership Value (by Investor Classification)

Main Market Ownership Breakdown - By Investor Classification						
Investor Classification	As of 14 November 2019		As of 21 November 2019		Weekly Change	
	Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
Institutional	1,647,338,483,900	88.04%	1,668,426,693,292	87.90%	21,088,209,392	-0.14%
Non-Institutional	223,784,298,482	11.96%	229,593,522,509	12.10%	5,809,224,026	0.14%
<b>Grand Total</b>	<b>1,871,122,782,382</b>	<b>100.00%</b>	<b>1,898,020,215,801</b>	<b>100.00%</b>	<b>26,897,433,418</b>	

## Definitions

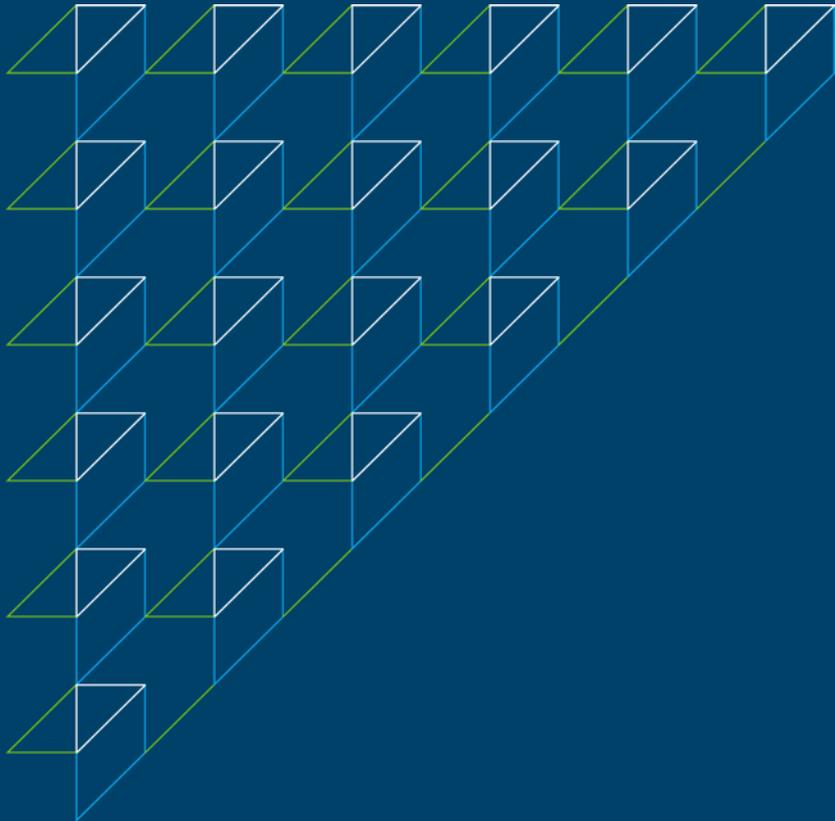
### **Institutional:**

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### **Non Institutional:**

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.

# Nomu-Parallel Market - Value Traded & Ownership Breakdown



# Weekly Report – Nomu-Parallel Market

## Value Traded (by Nationality, Investor Type, & Classification)

### Nomu-Parallel Market Value Traded Breakdown - By Nationality and Investor Type

Nationality	Investor Type	Buy		Sell		Net Value Traded	
		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Saudi	Individuals	267,021,725	96.03%	260,313,340	93.62%	6,708,385	2.41%
	Corporates	769,875	0.28%	900,765	0.32%	-130,890	-0.05%
	Institution	1,553,207	0.56%	7,350,150	2.64%	-5,796,942	-2.08%
	<b>Total Saudi Investors</b>	<b>269,344,808</b>	<b>96.87%</b>	<b>268,564,255</b>	<b>96.59%</b>	<b>780,553</b>	<b>0.28%</b>
GCC	Individuals	0	0.00%	0	0.00%	0	0.00%
	Institutions	0	0.00%	0	0.00%	0	0.00%
	<b>Total GCC Investors</b>	<b>0</b>	<b>0.00%</b>	<b>0</b>	<b>0.00%</b>	<b>0</b>	<b>0.00%</b>
Foreign	SWAP Holders	7,185	0.00%	0	0.00%	7,185	0.00%
	Foreign Residents	8,435,024	3.03%	9,488,038	3.41%	-1,053,014	-0.38%
	QFIs	265,276	0.10%	0	0.00%	265,276	0.10%
	<b>Total Foreign Investors</b>	<b>8,707,485</b>	<b>3.13%</b>	<b>9,488,038</b>	<b>3.41%</b>	<b>-780,553</b>	<b>-0.28%</b>
<b>Grand Total</b>		<b>278,052,293</b>	<b>100.00%</b>	<b>278,052,293</b>	<b>100.00%</b>		

### Nomu-Parallel Market Value Traded Breakdown - By Investor Classification

Investor Classification	Buy		Sell		Net Value Traded	
	SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
<b>Institutional</b>	2,595,543	0.93%	8,250,915	2.97%	-5,655,372	-2.03%
<b>Non-Institutional</b>	275,456,750	99.07%	269,801,378	97.03%	5,655,372	2.03%
<b>Grand Total</b>	<b>278,052,293</b>	<b>100.00%</b>	<b>278,052,293</b>	<b>100.00%</b>		

#### Institutional:

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

#### Non Institutional:

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.

# Weekly Report – Nomu-Parallel Market

## Ownership Value (by Nationality, Investor Type, & Classification)

Nomu-Parallel Market Ownership Breakdown - By Nationality and Investor Type							
Nationality	Investor Type	As of 14 November 2019		As of 21 November 2019		Weekly Change	
		Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
Saudi	Individuals	1,993,241,483	91.79%	1,872,214,114	93.14%	-121,027,369	1.35%
	Corporates	128,983,417	5.94%	126,434,068	6.29%	-2,549,349	0.35%
	Institution	43,421,220	2.00%	3,704,906	0.18%	-39,716,314	-1.82%
	<b>Total Saudi Investors</b>	<b>2,165,646,120</b>	<b>99.73%</b>	<b>2,002,353,087</b>	<b>99.61%</b>	<b>-163,293,033</b>	<b>-0.12%</b>
GCC	Individuals	0	0.00%	0	0.00%	0	0.00%
	Institutions	0	0.00%	0	0.00%	0	0.00%
	<b>Total GCC Investors</b>	<b>0</b>	<b>0.00%</b>	<b>0</b>	<b>0.00%</b>	<b>0</b>	<b>0.00%</b>
Foreign	SWAP Holders	0	0.00%	0	0.00%	0	0.00%
	Foreign Residents	5,610,301	0.26%	7,048,829	0.35%	1,438,528	0.09%
	QFIs	256,079	0.01%	760,583	0.04%	504,504	0.03%
	<b>Total Foreign Investors</b>	<b>5,866,380</b>	<b>0.27%</b>	<b>7,809,413</b>	<b>0.39%</b>	<b>1,943,033</b>	<b>0.12%</b>
<b>Grand Total</b>		<b>2,171,512,500</b>	<b>100.00%</b>	<b>2,010,162,500</b>	<b>100.00%</b>	<b>-161,350,000</b>	

Nomu-Parallel Market Ownership Breakdown - By Investor Classification						
Investor Classification	As of 14 November 2019		As of 21 November 2019		Weekly Change	
	Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
Institutional	172,660,716	7.95%	130,899,557	6.51%	-41,761,159	-1.44%
Non-Institutional	1,998,851,784	92.05%	1,879,262,943	93.49%	-119,588,841	1.44%
<b>Grand Total</b>	<b>2,171,512,500</b>	<b>100.00%</b>	<b>2,010,162,500</b>	<b>100.00%</b>	<b>-161,350,000</b>	

### Institutional:

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

### Non Institutional:

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.

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Saudi Stock Exchange

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Tadawul

Thank you

