

# Monthly Stock Market Ownership and Trading Activity Report

Market Reports

Dataset Period:  
Month Ending 30 June 2016



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## Definitions

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Nationality	Investor Type	Description
Saudi	<b>Individuals</b>	<b>Saudi Individuals</b> have been classified under 4 categories as defined below:
	<ul style="list-style-type: none"> <li>Retail</li> </ul>	<b>Retail</b> investors are Saudi individuals , excluding <b>IPIs</b> (see below) and <b>HNWIs</b> .
	<ul style="list-style-type: none"> <li>High Net Worth Investors (<b>HNWIs</b>)</li> </ul>	<b>HNWIs</b> are Saudi individuals who have had an average portfolio size of SAR 1m (and above) for the preceding 12 months, excluding <b>IPIs</b> (see below).
	<ul style="list-style-type: none"> <li>Individual Professional Investors (<b>IPIs</b>)</li> </ul>	<b>IPIs</b> are Saudi individuals who have had an average portfolio size of SAR 50m (and above) for the preceding 12 months and a portfolio turnover ratio of not more than 4 times annually.
	<ul style="list-style-type: none"> <li>Individual DPMs</li> </ul>	Saudi individual investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	<b>Institutions</b>	<b>Saudi Institutions</b> have been classified under 4 categories as defined below:
	<ul style="list-style-type: none"> <li>Corporates</li> </ul>	Saudi company with a commercial registration permitting its investment in the stock market .
	<ul style="list-style-type: none"> <li>Mutual Funds</li> </ul>	A mutual fund managed by an Authorized Person and licensed by the CMA.
	<ul style="list-style-type: none"> <li>Government Related Entities (<b>GREs</b>)</li> </ul>	A Government entity or a supranational authority recognized by the CMA.
<ul style="list-style-type: none"> <li>Institutional DPMs</li> </ul>	Saudi institutional investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).	
GCC	<b>Individuals</b>	GCC natural person
	<b>Institutions</b>	GCC legal person with a commercial registration certificate (corporate or mutual fund), in addition to GCC government entities.
	<b>GCC DPMs</b>	GCC investment account (institutional or individual) in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
Foreign	<b>SWAP Holders</b>	Authorized Person with the permission to enter into SWAP agreements to transfer the economic benefits of its ownership of shares in Saudi companies listed on the Saudi Stock Exchange to its non-resident foreign clients.
	<b>Foreign Residents</b>	Foreign investors domiciled in the Kingdom with a valid residency permit (Iqama) (excluding GCC nationals).
	<b>QFIs</b>	Qualified Foreign Institutional investors registered with the CMA in accordance with the QFI Rules, to invest in shares listed on the Saudi Stock Exchange.
	<b>Foreign DPMs</b>	Foreign resident investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	<b>Strategic Partners</b>	Foreign investors considered founders and partners of Saudi listed companies.

## Highlights

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## Highlights

The total value of shares traded for the month ending 30 June 2016 amounted to SAR 84.28 billion, decreasing by 28.55% over the previous month; while total stock market capitalization reached SAR 1,504.35 billion at the end of this period, increasing by 1.06% over the previous month.

- The total value of shares purchased by “Saudi Investors” during this period amounted to SAR 80.94 billion representing 96.03% of total buying activity, and sales of SAR 81.30 billion representing 96.46% of total selling activity. Total ownership of “Saudi Investors” stood at 93.24% of total market capitalization as of 30 June 2016, representing an increase of 0.004% from the previous month.
- The total value of shares purchased by “GCC Investors” during this period amounted to SAR 1.18 billion representing 1.40% of total buying activity, and sales of SAR 0.869 billion representing 1.03% of total selling activity. Total ownership of “GCC Investors” stood at 2.56% of total market capitalization as of 30 June 2016, representing an increase of 0.02% from the previous month.
- The total value of shares purchased by “Foreign Investors” during this period amounted to SAR 2.17 billion representing 2.57% of total buying activity, and sales of SAR 2.11 billion representing 2.50% of total selling activity. Total ownership of “Foreign Investors” stood at 4.21% of total market capitalization as of 30 June 2016, representing a decrease of 0.01% from the previous month.

## Stock Market Value Traded Breakdown

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# Monthly Stock Market Ownership and Trading Activity Report

## Value Traded (by Nationality and Investor Type)

Stock Market Traded Value Breakdown - By Nationality and Investor Type								
Nationality	Investor Type	Buy		Sell		Net Value Traded		
		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)	
Saudi	Individuals:							
	Retail	36,897,877,939	43.78%	45,130,471,601	53.55%	-8,232,593,662	-9.77%	
	HNWIs	29,622,316,435	35.15%	29,613,980,237	35.14%	8,336,198	0.01%	
	IPIs	1,322,216,922	1.57%	1,472,591,352	1.75%	-150,374,430	-0.18%	
	Individual DPMs	502,850,635	0.60%	531,710,613	0.63%	-28,859,978	-0.03%	
	<b>Sub Total (Individuals)</b>	<b>68,345,261,931</b>	<b>81.09%</b>	<b>76,748,753,803</b>	<b>91.06%</b>	<b>-8,403,491,872</b>	<b>-9.97%</b>	
	Institutions:							
	Corporates	8,388,810,278	9.95%	1,459,542,741	1.73%	6,929,267,537	8.22%	
	Mutual Funds	3,153,895,527	3.74%	2,135,559,404	2.53%	1,018,336,123	1.21%	
	GRE's	5,565,419	0.01%	55,819,844	0.07%	-50,254,425	-0.06%	
	Institution DPMs	1,044,862,203	1.24%	903,843,816	1.07%	141,018,387	0.17%	
	<b>Sub Total (Institutions)</b>	<b>12,593,133,427</b>	<b>14.94%</b>	<b>4,554,765,805</b>	<b>5.40%</b>	<b>8,038,367,622</b>	<b>9.54%</b>	
	<b>Total Saudi Investors</b>	<b>80,938,395,359</b>	<b>96.03%</b>	<b>81,303,519,608</b>	<b>96.46%</b>	<b>-365,124,249</b>	<b>-0.43%</b>	
GCC	Individuals	213,725,642	0.25%	189,909,801	0.23%	23,815,841	0.03%	
	Institutions	949,189,084	1.13%	672,868,106	0.80%	276,320,978	0.33%	
	GCC DPMs	13,155,405	0.02%	6,039,331	0.01%	7,116,074	0.01%	
	<b>Total GCC Investors</b>	<b>1,176,070,131</b>	<b>1.40%</b>	<b>868,817,238</b>	<b>1.03%</b>	<b>307,252,893</b>	<b>0.36%</b>	
Foreign	SWAP Holders	934,333,385	1.11%	645,537,232	0.77%	288,796,153	0.34%	
	Foreign Residents	1,185,302,656	1.41%	1,387,893,269	1.65%	-202,590,613	-0.24%	
	QFIs	48,920,645	0.06%	77,254,828	0.09%	-28,334,184	-0.03%	
	Foreign DPMs	0	0.00%	0	0.00%	0	0.00%	
	Strategic Partners	0	0.00%	0	0.00%	0	0.00%	
	<b>Total Foreign Investors</b>	<b>2,168,556,686</b>	<b>2.57%</b>	<b>2,110,685,330</b>	<b>2.50%</b>	<b>57,871,357</b>	<b>0.07%</b>	
<b>Grand Total</b>		<b>84,283,022,176</b>	<b>100.00%</b>	<b>84,283,022,176</b>	<b>100.00%</b>			

# Monthly Stock Market Ownership and Trading Activity Report

## Value Traded (by Investor Classification)

### Stock Market Traded Value Breakdown - By Investor Classification

Investor Classification	Buy		Sell		Net Value Traded	
	SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Institutional	16,363,799,503	19.42%	7,960,767,267	9.45%	8,403,032,236	9.97%
Non-Institutional	67,919,222,673	80.58%	76,322,254,908	90.55%	-8,403,032,236	-9.97%
<b>Grand Total</b>	<b>84,283,022,176</b>	<b>100.00%</b>	<b>84,283,022,176</b>	<b>100.00%</b>		

## Definitions

### **Institutional:**

Refers to investors with intuitional behavior including GREs, Saudi Corporates, Saudi Mutual Funds, Saudi and Non-Saudi DPMs, Saudi Individual Professional Investors (IPI), SWAP Holders, Foreign Strategic Partners and Qualified Foreign Investors (QFI).

### **Non Institutional:**

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.



## Stock Market Ownership Breakdown

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# Monthly Stock Market Ownership and Trading Activity Report

## Ownership Value (by Nationality and Investor Type)

Stock Market Ownership Breakdown - By Nationality and Investor Type							
Nationality	Investor Type	As of 31 May 2016		As of 30 June 2016		Weekly Change	
		Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
Saudi	Individuals:						
	Retail	70,935,069,763	4.77%	72,678,961,720	4.83%	1,743,891,957	0.06%
	HNWIs	128,399,924,083	8.63%	130,232,195,974	8.66%	1,832,271,891	0.03%
	IPIs	255,087,971,646	17.14%	251,948,224,705	16.75%	-3,139,746,941	-0.39%
	Individual DPMs	3,649,092,513	0.25%	3,617,287,194	0.24%	-31,805,319	-0.01%
	<b>Sub Total (Individuals)</b>	<b>458,072,058,006</b>	<b>30.77%</b>	<b>458,476,669,593</b>	<b>30.48%</b>	<b>404,611,587</b>	<b>-0.29%</b>
	Institutions:						
	Corporates	262,610,336,154	17.64%	267,139,479,898	17.76%	4,529,143,744	0.12%
	Mutual Funds	48,196,620,714	3.24%	48,247,634,326	3.21%	51,013,612	-0.03%
	GRE's	576,917,157,416	38.76%	586,361,298,216	38.98%	9,444,140,800	0.22%
	Institution DPMs	42,058,155,409	2.83%	42,368,472,097	2.82%	310,316,688	-0.01%
	<b>Sub Total (Institutions)</b>	<b>929,782,269,692</b>	<b>62.46%</b>	<b>944,116,884,537</b>	<b>62.76%</b>	<b>14,334,614,845</b>	<b>0.30%</b>
<b>Total Saudi Investors</b>	<b>1,387,854,327,698</b>	<b>93.24%</b>	<b>1,402,593,554,130</b>	<b>93.24%</b>	<b>14,739,226,432</b>	<b>0.00%</b>	
GCC	Individuals	2,812,330,633	0.19%	2,873,716,726	0.19%	61,386,093	0.00%
	Institutions	34,315,721,953	2.31%	34,904,853,300	2.32%	589,131,347	0.01%
	GCC DPMs	667,118,396	0.04%	697,072,419	0.05%	29,954,023	0.01%
	<b>Total GCC Investors</b>	<b>37,795,170,982</b>	<b>2.54%</b>	<b>38,475,642,445</b>	<b>2.56%</b>	<b>680,471,463</b>	<b>0.02%</b>
Foreign	SWAP Holders	13,486,498,167	0.91%	13,738,374,487	0.91%	251,876,320	0.00%
	Foreign Residents	4,338,076,823	0.29%	4,282,328,222	0.28%	-55,748,601	-0.01%
	QFIs	1,439,320,978	0.10%	1,390,860,184	0.09%	-48,460,794	-0.01%
	Foreign DPMs	17,899,325	0.00%	17,949,577	0.00%	50,252	0.00%
	Strategic Partners	43,604,880,877	2.93%	43,847,589,790	2.91%	242,708,913	-0.02%
	<b>Total Foreign Investors</b>	<b>62,886,676,171</b>	<b>4.22%</b>	<b>63,277,102,259</b>	<b>4.21%</b>	<b>390,426,088</b>	<b>-0.01%</b>
<b>Grand Total</b>		<b>1,488,536,174,851</b>	<b>100.00%</b>	<b>1,504,346,298,834</b>	<b>100.00%</b>	<b>15,810,123,983</b>	

# Monthly Stock Market Ownership and Trading Activity Report

## Ownership Value (by Investor Classification)

Stock Market Ownership Breakdown - By Investor Classification						
Investor Classification	As of 31 May 2016		As of 30 June 2016		Weekly Change	
	Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
Institutional	1,282,050,773,548	85.98%	1,294,279,096,192	86.04%	12,228,322,644	0.06%
Non-Institutional	206,485,401,303	14.02%	210,067,202,642	13.96%	3,581,801,339	-0.06%
<b>Grand Total</b>	<b>1,488,536,174,851</b>	<b>100.00%</b>	<b>1,504,346,298,834</b>	<b>100.00%</b>	<b>15,810,123,983</b>	

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### **Non Institutional:**

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# Thank you

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