

Weekly Stock Market Ownership and Trading Activity Report

Market Reports

Week Ending 05 November 2020



Definitions



Weekly Stock Market Ownership and Trading Activity Report

Definitions

Nationality	Investor Type	Description
Saudi	Individuals*	Saudi Individuals have been classified under 4 categories as defined below:
	- Retail	Retail investors are Saudi individuals , excluding IPIs (see below) and HNWIs.
	- High Net Worth Investors (HNWIs)	HNWIs are Saudi individuals who have had an average portfolio size of SAR 1m (and above) for the preceding 12 months, excluding IPIs (see below).
	- Individual Professional Investors (IPIs)	IPIs are Saudi individuals who have had an average portfolio size of SAR 50m (and above) for the preceding 12 months and a portfolio turnover ratio of not more than 4 times annually.
	- Individual DPMs	Saudi individual investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	Institutions	Saudi Institutions have been classified under 4 categories as defined below:
	- Corporates	Saudi company with a commercial registration permitting its investment in the stock market .
	- Mutual Funds	A mutual fund managed by an Authorized Person and licensed by the CMA.
	- Government Related Entities (GREs)	A Government entity or a supranational authority recognized by the CMA.
- Institutional DPMs	Saudi institutional investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).	
GCC	Individuals*	GCC natural person
	Institutions	GCC legal person with a commercial registration certificate (corporate or mutual fund), in addition to GCC government entities.
	GCC DPMs	GCC investment account (institutional or individual) in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
Foreign	SWAP Holders	Authorized Person with the permission to enter into SWAP agreements to transfer the economic benefits of its ownership of shares in Saudi companies listed on the Saudi Stock Exchange to its non-resident foreign clients.
	Foreign Residents & Others	Investors with a valid residency permit (Iqama) and others (excluding SWAP, QFIs, DPM & Strategic Investors).
	QFIs	Qualified Foreign Institutional investors registered with the CMA in accordance with the QFI Rules, to invest in shares listed on the Saudi Stock Exchange.
	Foreign DPMs	Foreign resident investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	Strategic Investors	A foreign legal entity that aims to own a strategic shareholding in listed companies.

*Nomu-Parallel Market Individual Investors

Individuals who fulfill any of the following:

- Conducted transactions not less than SAR 40m, and not less than 10 transaction in each quarter during last 12 months.
- Have an average portfolio size of SAR 5m for the preceding 12 months
- Worked for at least 3 years in financial sectors.
- Hold General Securities Qualification Certificate which is recognized by the Authority.
- Holds professional certificate that is related to securities business and accredited by an internationally recognized entity

Main Market - Value Traded & Ownership Breakdown



Weekly Report - Main Market

Value Traded (by Nationality and Investor Type)

Main Market Value Traded Breakdown - By Nationality and Investor Type

Nationality	Investor Type	Buy		Sell		Net Value Traded		
		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)	
Saudi	Individuals:							
	Retail	22,796,448,008	65.53%	23,206,050,731	66.71%	-409,602,723	-1.18%	
	HNWIs	7,360,811,300	21.16%	7,691,778,354	22.11%	-330,967,054	-0.95%	
	IPs	313,339,447	0.90%	555,886,029	1.60%	-242,546,582	-0.70%	
	Individual DPMs	149,251,557	0.43%	161,392,259	0.46%	-12,140,702	-0.03%	
	Sub Total (Individuals)	30,619,850,311	88.02%	31,615,107,373	90.88%	-995,257,062	-2.86%	
	Institutions:							
	Corporates	1,157,131,182	3.33%	300,149,955	0.86%	856,981,227	2.46%	
	Mutual Funds	399,374,591	1.15%	781,492,775	2.25%	-382,118,184	-1.10%	
	GRE's	72,222,035	0.21%	9,064,949	0.03%	63,157,087	0.18%	
	Institution DPMs	271,996,532	0.78%	129,501,535	0.37%	142,494,997	0.41%	
	Sub Total (Institutions)	1,900,724,340	5.46%	1,220,209,214	3.51%	680,515,126	1.96%	
	Total Saudi Investors	32,520,574,651	93.48%	32,835,316,587	94.39%	-314,741,936	-0.90%	
	GCC	Individuals	53,887,264	0.15%	129,015,477	0.37%	-75,128,214	-0.22%
Institutions		243,072,691	0.70%	81,152,134	0.23%	161,920,557	0.47%	
GCC DPMs		6,689,076	0.02%	6,086,363	0.02%	602,713	0.00%	
Total GCC Investors		303,649,030	0.87%	216,253,974	0.62%	87,395,056	0.25%	
Foreign	SWAP Holders	33,442,297	0.10%	4,991,914	0.01%	28,450,383	0.08%	
	Foreign Residents & Others	802,376,328	2.31%	804,625,424	2.31%	-2,249,096	-0.01%	
	QFIs	1,128,000,839	3.24%	926,908,291	2.66%	201,092,548	0.58%	
	Foreign DPMs	61,247	0.00%	8,203	0.00%	53,044	0.00%	
	Strategic Investor	0	0.00%	0	0.00%	0	0.00%	
	Total Foreign Investors	1,963,880,711	5.65%	1,736,533,832	4.99%	227,346,880	0.65%	
Grand Total		34,788,104,393	100.00%	34,788,104,393	100.00%			

Weekly Report - Main Market

Value Traded (by Investor Classification)

Main Market Value Traded Breakdown - By Investor Classification						
Investor Classification	Buy		Sell		Net Value Traded	
	SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Institutional	3,774,581,493	10.85%	2,956,634,406	8.50%	817,947,087	2.35%
Non-Institutional	31,013,522,899	89.15%	31,831,469,986	91.50%	-817,947,087	-2.35%
Grand Total	34,788,104,393	100.00%	34,788,104,393	100.00%		

Definitions

Institutional:

Refers to investors with institutional behavior including GREs, Saudi Corporates, Saudi Mutual Funds, Saudi and Non-Saudi DPMs, Saudi Individual Professional Investors (IPI), SWAP Holders, Foreign Strategic Partners and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.

Weekly Report - Main Market

Ownership Value (by Nationality and Investor Type)

Main Market Ownership Breakdown - By Nationality and Investor Type

Nationality	Investor Type	As of 29 October 2020			As of 05 November 2020			Weekly Change		
		Holding Value (SAR)	Ownership		Holding Value (SAR)	Ownership		Δ Holding Value (SAR)	Ownership	
			Issued (%)	Free Float (%)		Issued (%)	Free Float (%)		Δ Issued (%)	Δ Free Float (%)
Saudi	Individuals:									
	Retail	111,155,689,914	1.29%	9.44%	114,966,815,961	1.30%	9.55%	3,811,126,047	0.01%	0.12%
	HNWIs	164,050,373,012	1.90%	13.81%	168,122,666,381	1.90%	13.80%	4,072,293,369	0.00%	-0.01%
	IPIs	272,944,457,646	3.16%	16.94%	279,564,995,875	3.16%	16.98%	6,620,538,229	0.00%	0.04%
	Individual DPMs	5,613,766,389	0.07%	0.48%	5,751,742,499	0.06%	0.48%	137,976,111	0.00%	0.00%
	Sub Total (Individuals)	553,764,286,961	6.41%	40.66%	568,406,220,716	6.42%	40.81%	14,641,933,756	0.01%	0.15%
	Institutions:									
	Corporates	570,610,246,046	6.61%	22.58%	577,491,054,872	6.52%	22.52%	6,880,808,826	-0.09%	-0.07%
	Mutual Funds	190,177,624,006	2.20%	11.03%	195,860,137,950	2.21%	11.01%	5,682,513,944	0.01%	-0.02%
	GRE's	7,043,573,530,162	81.58%	6.65%	7,230,899,480,583	81.67%	6.62%	187,325,950,422	0.09%	-0.03%
	Institution DPMs	46,391,936,099	0.54%	3.94%	47,679,316,012	0.54%	3.96%	1,287,379,913	0.00%	0.02%
Sub Total (Institutions)	7,850,753,336,313	90.93%	44.21%	8,051,929,989,417	90.94%	44.12%	201,176,653,105	0.01%	-0.10%	
Total Saudi Investors	8,404,517,623,273	97.34%	84.87%	8,620,336,210,134	97.36%	84.93%	215,818,586,860	0.02%	0.05%	
GCC	Individuals	2,372,260,401	0.03%	0.20%	2,339,624,604	0.03%	0.19%	-32,635,797	0.00%	-0.01%
	Institutions	36,820,019,269	0.43%	2.08%	37,718,874,600	0.43%	2.09%	898,855,331	0.00%	0.01%
	GCC DPMs	235,936,772	0.00%	0.02%	236,009,855	0.00%	0.02%	73,083	0.00%	0.00%
	Total GCC Investors	39,428,216,442	0.46%	2.30%	40,294,509,060	0.46%	2.31%	866,292,617	0.00%	0.01%
Foreign	SWAP Holders	1,926,194,029	0.02%	0.16%	1,998,203,363	0.02%	0.16%	72,009,334	0.00%	0.00%
	Foreign Residents & Others	7,205,125,986	0.08%	0.52%	7,322,924,867	0.08%	0.48%	117,798,882	0.00%	-0.04%
	QFIs	142,637,264,942	1.65%	12.02%	145,680,780,196	1.65%	12.00%	3,043,515,254	-0.01%	-0.02%
	Foreign DPMs	1,498,514,132	0.02%	0.13%	1,543,336,451	0.02%	0.13%	44,822,319	0.00%	0.00%
	Strategic Investors	36,535,342,917	0.42%	0.00%	36,763,178,897	0.42%	0.00%	227,835,980	-0.01%	0.00%
Total Foreign Investors	189,802,442,005	2.20%	12.83%	193,308,423,774	2.18%	12.77%	3,505,981,768	-0.02%	-0.06%	
Grand Total		8,633,748,281,721	100.00%	100.00%	8,853,939,142,967	100.00%	100.00%	220,190,861,246		

* Non deposited shares was not reflected as on 05 November holding value (M obile Telecommunication Company Saudi Arabia. 7030 - Corporate Action -Right Issue)

* Non deposited shares was not reflected as on 05 November holding value (Anaam International Holding Group. 4061- Corporate Action -Right Issue)

Weekly Report - Main Market

Ownership Value (by Investor Classification)

Main Market Ownership Breakdown - By Investor Classification									
Investor Classification	As of 29 October 2020			As of 05 November 2020			Weekly Change		
	Holding Value (SAR)	Ownership		Holding Value (SAR)	Ownership		Δ Holding Value (SAR)	Ownership	
		Issued (%)	FreeFloat (%)		Issued (%)	FreeFloat (%)		Δ Issued (%)	Δ FreeFloat (%)
Institutional	8,350,425,942,695	96.70%	76.04%	8,562,730,447,604	96.69%	75.98%	212,304,504,909	-0.01%	-0.06%
Non-Institutional	283,322,339,026	3.30%	23.96%	291,208,695,363	3.31%	24.02%	7,886,356,337	0.01%	0.06%
Grand Total	8,633,748,281,721	100.00%	100.00%	8,853,939,142,967	100.00%	100.00%	220,190,861,246		

Definitions

Institutional:

Refers to investors with institutional behavior including GREs, Saudi Corporates, Saudi Mutual Funds, Saudi and Non-Saudi DPMs, Saudi Individual Professional Investors (IPI), SWAP Holders, Foreign Strategic Partners and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.

Nomu-Parallel Market - Value Traded & Ownership Breakdown



Weekly Report – Nomu-Parallel Market

Value Traded (by Nationality, Investor Type, & Classification)

Nomu-Parallel Market Value Traded Breakdown - By Nationality and Investor Type

Nationality	Investor Type	Buy		Sell		Net Value Traded	
		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Saudi	Individuals	172,458,612	96.53%	164,588,766	92.12%	7,869,847	4.40%
	Corporates	259,733	0.15%	7,517,150	4.21%	-7,257,418	-4.06%
	Institution	654,908	0.37%	747,090	0.42%	-92,182	-0.05%
	Total Saudi Investors	173,373,253	97.04%	172,853,006	96.75%	520,247	0.29%
GCC	Individuals	98,273	0.06%	0	0.00%	98,273	0.06%
	Institutions	0	0.00%	0	0.00%	0	0.00%
	Total GCC Investors	98,273	0.06%	0	0.00%	98,273	0.06%
Foreign	SWAP Holders	0	0.00%	0	0.00%	0	0.00%
	Foreign Residents	4,914,399	2.75%	4,717,708	2.64%	196,691	0.11%
	QFIs	276,973	0.16%	1,092,184	0.61%	-815,211	-0.46%
	Total Foreign Investors	5,191,371	2.91%	5,809,891	3.25%	-618,520	-0.35%
Grand Total		178,662,897	100.00%	178,662,897	100.00%		

Nomu-Parallel Market Value Traded Breakdown - By Investor Classification

Investor Classification	Buy		Sell		Net Value Traded	
	SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Institutional	1,191,613	0.67%	9,356,424	5.24%	-8,164,810	-4.57%
Non-Institutional	177,471,284	99.33%	169,306,474	94.76%	8,164,810	4.57%
Grand Total	178,662,897	100.00%	178,662,897	100.00%		

Institutional:

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.

Weekly Report – Nomu-Parallel Market

Ownership Value (by Nationality, Investor Type, & Classification)

Nomu-Parallel Market Ownership Breakdown - By Nationality and Investor Type										
Nationality	Investor Type	As of 29 October 2020			As of 05 November 2020			Weekly Change		
		Holding Value (SAR)	Ownership (%)		Holding Value (SAR)	Ownership (%)		Δ Holding Value (SAR)	Δ Ownership (%)	
			Issued (%)	FreeFloat (%)		Issued (%)	FreeFloat (%)		Δ Issued (%)	Δ FreeFloat (%)
Saudi	Individuals	5,368,564,770	64.80%	67.24%	5,715,427,931	64.33%	68.31%	346,863,161	-0.48%	1.07%
	Corporates	2,804,523,048	33.85%	29.23%	3,055,867,603	34.39%	28.27%	251,344,555	0.54%	-0.96%
	Institution	11,443,302	0.14%	0.25%	12,027,171	0.14%	0.25%	583,869	0.00%	-0.01%
	Total Saudi Investors	8,184,531,119	98.79%	96.72%	8,783,322,704	98.86%	96.82%	598,791,585	0.06%	0.11%
GCC	Individuals	2,945,916	0.04%	0.10%	3,027,747	0.03%	0.09%	81,831	0.00%	0.00%
	Institutions	87,567,561	1.06%	2.88%	89,999,993	1.01%	2.81%	2,432,432	-0.04%	-0.06%
	Total GCC Investors	90,513,477	1.09%	2.97%	93,027,740	1.05%	2.91%	2,514,263	-0.05%	-0.07%
Foreign	SWAP Holders	0	0.00%	0.00%	0	0.00%	0.00%	0	0.00%	0.00%
	Foreign Residents	8,344,185	0.10%	0.27%	8,458,998	0.10%	0.26%	114,813	-0.01%	-0.01%
	QFIs	1,111,219	0.01%	0.04%	190,558	0.00%	0.01%	-920,661	-0.01%	-0.03%
	Total Foreign Investors	9,455,404	0.11%	0.31%	8,649,556	0.10%	0.27%	-805,848	-0.02%	-0.04%
Grand Total		8,284,500,000	100.00%	100.00%	8,885,000,000	100.00%	100.00%	600,500,000		

Nomu-Parallel Market Ownership Breakdown - By Investor Classification									
Investor Classification	As of 29 October 2020			As of 05 November 2020			Weekly Change		
	Holding Value (SAR)	Ownership (%)		Holding Value (SAR)	Ownership (%)		Δ Holding Value (SAR)	Ownership	
		Issued (%)	FreeFloat (%)		Issued (%)	FreeFloat (%)		Δ Issued (%)	Δ FreeFloat (%)
Institutional	2,904,645,129	35.06%	32.39%	3,158,085,324	35.54%	31.33%	253,440,195	0.48%	-1.06%
Non-Institutional	5,379,854,871	64.94%	67.61%	5,726,914,676	64.46%	68.67%	347,059,805	-0.48%	1.06%
Grand Total	8,284,500,000	100%	100%	8,885,000,000	100%	100%	600,500,000		

Institutional:

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.

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Saudi Stock Exchange

تداول
Tadawul

Thank you

