

Weekly Stock Market Ownership and Trading Activity Report

Market Reports

Week Ending 4 October 2018



Definitions



Weekly Stock Market Ownership and Trading Activity Report

Definitions

Nationality	Investor Type	Description
Saudi	Individuals*	Saudi Individuals have been classified under 4 categories as defined below:
	- Retail	Retail investors are Saudi individuals , excluding IPIs (see below) and HNWIs .
	- High Net Worth Investors (HNWIs)	HNWIs are Saudi individuals who have had an average portfolio size of SAR 1m (and above) for the preceding 12 months, excluding IPIs (see below).
	- Individual Professional Investors (IPIs)	IPIs are Saudi individuals who have had an average portfolio size of SAR 50m (and above) for the preceding 12 months and a portfolio turnover ratio of not more than 4 times annually.
	- Individual DPMs	Saudi individual investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	Institutions	Saudi Institutions have been classified under 4 categories as defined below:
	- Corporates	Saudi company with a commercial registration permitting its investment in the stock market .
	- Mutual Funds	A mutual fund managed by an Authorized Person and licensed by the CMA.
GCC	- Government Related Entities (GREs)	A Government entity or a supranational authority recognized by the CMA.
	- Institutional DPMs	Saudi institutional investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	Individuals*	GCC natural person
Foreign	Institutions	GCC legal person with a commercial registration certificate (corporate or mutual fund), in addition to GCC government entities.
	GCC DPMs	GCC investment account (institutional or individual) in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	SWAP Holders	Authorized Person with the permission to enter into SWAP agreements to transfer the economic benefits of its ownership of shares in Saudi companies listed on the Saudi Stock Exchange to its non-resident foreign clients.
	Foreign Residents*	Foreign investors domiciled in the Kingdom with a valid residency permit (Iqama) (excluding GCC nationals).
	QFIs	Qualified Foreign Institutional investors registered with the CMA in accordance with the QFI Rules, to invest in shares listed on the Saudi Stock Exchange.
Foreign	Foreign DPMs	Foreign resident investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	Strategic Partners	Foreign investors considered founders and partners of Saudi listed companies.

*Nomu-Parallel
Market Individual
Investors

Individuals who fulfill any of the following:

- Conducted transactions not less than SAR 40m, and not less than 10 transaction in each quarter during last 12 months.
- Have an average portfolio size of SAR 10m for the preceding 12 months
- Hold General Securities Qualification Certificate which is recognized by the Authority.

Main Market - Value Traded & Ownership Breakdown



Weekly Report - Main Market

Value Traded (by Nationality and Investor Type)

Main Market Value Traded Breakdown - By Nationality and Investor Type

Nationality	Investor Type	Buy		Sell		Net Value Traded	
		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Saudi	Individuals:						
	Retail	4,826,485,159	31.91%	6,598,540,375	43.63%	-1,772,055,217	-11.72%
	HNWIs	4,948,195,331	32.72%	5,345,207,824	35.34%	-397,012,493	-2.62%
	IPIs	617,196,272	4.08%	766,918,230	5.07%	-149,721,958	-0.99%
	Individual DPMs	238,317,487	1.58%	252,668,821	1.67%	-14,351,334	-0.09%
	Sub Total (Individuals)	10,630,194,249	70.28%	12,963,335,250	85.71%	-2,333,141,001	-15.43%
	Institutions:						
	Corporates	2,276,564,645	15.05%	484,372,404	3.20%	1,792,192,241	11.85%
	Mutual Funds	187,005,586	1.24%	229,250,267	1.52%	-42,244,681	-0.28%
	GRE's	239,094	0.00%	0	0.00%	239,094	0.00%
	Institution DPMs	194,636,337	1.29%	290,070,994	1.92%	-95,434,657	-0.63%
	Sub Total (Institutions)	2,658,445,662	17.58%	1,003,693,665	6.64%	1,654,751,997	10.94%
Total Saudi Investors	13,288,639,911	87.86%	13,967,028,915	92.35%	-678,389,004	-4.49%	
GCC	Individuals	105,816,685	0.70%	45,283,209	0.30%	60,533,477	0.40%
	Institutions	338,110,823	2.24%	350,429,528	2.32%	-12,318,705	-0.08%
	GCC DPMs	2,206,182	0.01%	123,612	0.00%	2,082,570	0.01%
	Total GCC Investors	446,133,690	2.95%	395,836,349	2.62%	50,297,342	0.33%
Foreign	SWAP Holders	360,588,893	2.38%	257,994,188	1.71%	102,594,706	0.68%
	Foreign Residents	139,790,758	0.92%	230,723,256	1.53%	-90,932,498	-0.60%
	QFIs	889,100,998	5.88%	272,646,155	1.80%	616,454,844	4.08%
	Foreign DPMs	415,350	0.00%	440,739	0.00%	-25,389	0.00%
	Strategic Partners	0	0.00%	0	0.00%	0	0.00%
	Total Foreign Investors	1,389,895,999	9.19%	761,804,337	5.04%	628,091,662	4.15%
Grand Total		15,124,669,600	100.00%	15,124,669,600	100.00%		

Weekly Report - Main Market

Value Traded (by Investor Classification)

Main Market Value Traded Breakdown - By Investor Classification						
Investor Classification	Buy		Sell		Net Value Traded	
	SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Institutional	5,104,381,668	33.75%	2,904,914,937	19.21%	2,199,466,730	14.54%
Non-Institutional	10,020,287,933	66.25%	12,219,754,663	80.79%	-2,199,466,730	-14.54%
Grand Total	15,124,669,600	100.00%	15,124,669,600	100.00%		

Definitions

Institutional:

Refers to investors with institutional behavior including GREs, Saudi Corporates, Saudi Mutual Funds, Saudi and Non-Saudi DPMs, Saudi Individual Professional Investors (IPI), SWAP Holders, Foreign Strategic Partners and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.

Weekly Report - Main Market

Ownership Value (by Nationality and Investor Type)

Main Market Ownership Breakdown - By Nationality and Investor Type

Nationality	Investor Type	As of 27 September 2018		As of 4 October 2018		Weekly Change	
		Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
Saudi	Individuals:						
	Retail	76,364,303,341	4.07%	74,623,686,371	3.93%	-1,740,616,970	-0.14%
	HNWIs	146,746,419,286	7.83%	147,906,955,614	7.80%	1,160,536,328	-0.03%
	IPIs	256,532,045,688	13.68%	261,483,427,388	13.78%	4,951,381,700	0.10%
	Individual DPMs	5,646,593,412	0.30%	5,613,492,975	0.30%	-33,100,437	-0.01%
	Sub Total (Individuals)	485,289,361,727	25.88%	489,627,562,348	25.81%	4,338,200,622	-0.07%
	Institutions:						
	Corporates	293,314,523,346	15.64%	296,396,696,795	15.62%	3,082,173,450	-0.02%
	Mutual Funds	93,437,504,135	4.98%	94,638,809,274	4.99%	1,201,305,139	0.01%
	GRE's	741,771,102,271	39.56%	760,034,826,408	40.06%	18,263,724,137	0.51%
	Institution DPMs	127,393,256,092	6.79%	121,864,915,936	6.42%	-5,528,340,156	-0.37%
Sub Total (Institutions)	1,255,916,385,844	66.97%	1,272,935,248,413	67.10%	17,018,862,569	0.12%	
Total Saudi Investors	1,741,205,747,571	92.85%	1,762,562,810,762	92.91%	21,357,063,191	0.06%	
GCC	Individuals	3,008,384,858	0.16%	3,114,525,136	0.16%	106,140,279	0.00%
	Institutions	35,115,731,117	1.87%	35,567,398,369	1.87%	451,667,252	0.00%
	GCC DPMs	835,484,611	0.04%	851,652,599	0.04%	16,167,988	0.00%
	Total GCC Investors	38,959,600,585	2.08%	39,533,576,104	2.08%	573,975,518	0.01%
Foreign	SWAP Holders	13,389,241,607	0.71%	13,622,800,563	0.72%	233,558,956	0.00%
	Foreign Residents	3,570,841,155	0.19%	6,908,426,667	0.36%	3,337,585,512	0.17%
	QFIs	16,194,095,521	0.86%	16,917,003,726	0.89%	722,908,205	0.03%
	Foreign DPMs	3,187,072,389	0.17%	3,267,043,482	0.17%	79,971,093	0.00%
	Strategic Partners	58,740,471,282	3.13%	54,312,180,457	2.86%	-4,428,290,826	-0.27%
Total Foreign Investors	95,081,721,955	5.07%	95,027,454,895	5.01%	-54,267,060	-0.06%	
Grand Total		1,875,247,070,111	100.00%	1,897,123,841,760	100.00%	21,876,771,649	

* Non deposited amount of 564,800,000 SAR was not reflected as on 4 October holding value (The Mediterranean and Gulf Insurance and Reinsurance Co. 8030 - Corporate Action -Tradable Rights)

Weekly Report - Main Market

Ownership Value (by Investor Classification)

Main Market Ownership Breakdown - By Investor Classification

Investor Classification	As of 27 September 2018		As of 4 October 2018		Weekly Change	
	Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
Institutional	1,645,557,121,472	87.75%	1,664,570,247,972	87.74%	19,013,126,500	-0.01%
Non-Institutional	229,689,948,639	12.25%	232,553,593,788	12.26%	2,863,645,149	0.01%
Grand Total	1,875,247,070,111	100.00%	1,897,123,841,760	100.00%	21,876,771,649	

Definitions

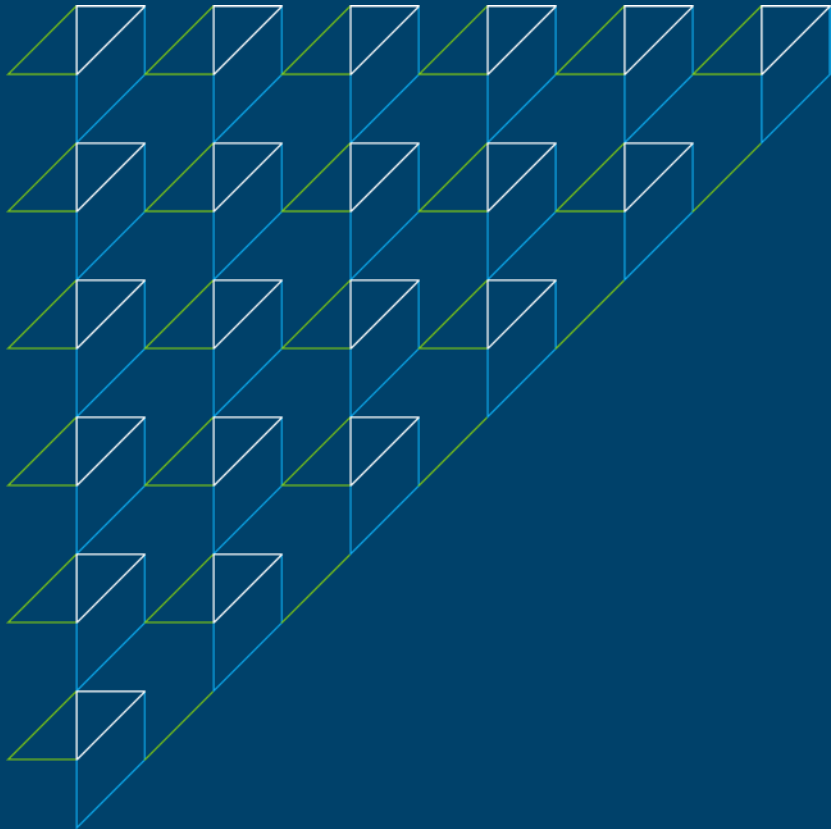
Institutional:

Refers to investors with institutional behavior including GREs, Saudi Corporates, Saudi Mutual Funds, Saudi and Non-Saudi DPMs, Saudi Individual Professional Investors (IPI), SWAP Holders, Foreign Strategic Partners and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.

Nomu-Parallel Market - Value Traded & Ownership Breakdown



Weekly Report – Nomu-Parallel Market

Value Traded (by Nationality, Investor Type, & Classification)

Nomu-Parallel Market Value Traded Breakdown - By Nationality and Investor Type							
Nationality	Investor Type	Buy		Sell		Net Value Traded	
		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Saudi	Individuals	2,340,493	90.80%	1,649,339	63.98%	691,154	26.81%
	Corporates	112,054	4.35%	458,897	17.80%	-346,843	-13.46%
	Institution	0	0.00%	370,131	14.36%	-370,131	-14.36%
	Total Saudi Investors	2,452,547	95.14%	2,478,367	96.15%	-25,821	-1.00%
GCC	Individuals	0	0.00%	0	0.00%	0	0.00%
	Institutions	0	0.00%	0	0.00%	0	0.00%
	Total GCC Investors	0	0.00%	0	0.00%	0	0.00%
Foreign	SWAP Holders	0	0.00%	86,425	3.35%	-86,425	-3.35%
	Foreign Residents	24,203	0.94%	12,932	0.50%	11,270	0.44%
	QFIs	100,976	3.92%	0	0.00%	100,976	3.92%
	Total Foreign Investors	125,178	4.86%	99,357	3.85%	25,821	1.00%
Grand Total		2,577,725	100.00%	2,577,725	100.00%		

Nomu-Parallel Market Value Traded Breakdown - By Investor Classification						
Investor Classification	Buy		Sell		Net Value Traded	
	SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Institutional	213,030	8.26%	915,454	35.51%	-702,424	-27.25%
Non-Institutional	2,364,695	91.74%	1,662,271	64.49%	702,424	27.25%
Grand Total	2,577,725	100.00%	2,577,725	100.00%		

Institutional:

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.

Weekly Report – Nomu-Parallel Market

Ownership Value (by Nationality, Investor Type, & Classification)

Nomu-Parallel Market Ownership Breakdown - By Nationality and Investor Type							
Nationality	Investor Type	As of 27 September 2018		As of 4 October 2018		Weekly Change	
		Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
Saudi	Individuals	1,972,533,540	91.56%	1,979,402,069	91.60%	6,868,529	0.04%
	Corporates	114,100,536	5.30%	114,168,445	5.28%	67,909	-0.01%
	Institution	42,407,117	1.97%	42,224,463	1.95%	-182,654	-0.01%
	Total Saudi Investors	2,129,041,193	98.83%	2,135,794,977	98.84%	6,753,784	0.01%
GCC	Individuals	24,463	0.00%	23,322	0.00%	-1,141	0.00%
	Institutions	13,343,819	0.62%	13,343,819	0.62%	0	0.00%
	Total GCC Investors	13,368,281	0.62%	13,367,141	0.62%	-1,141	0.00%
Foreign	SWAP Holders	362,436	0.02%	196,662	0.01%	-165,774	-0.01%
	Foreign Residents	2,055,940	0.10%	2,042,786	0.09%	-13,153	0.00%
	QFIs	9,444,650	0.44%	9,545,934	0.44%	101,284	0.00%
	Total Foreign Investors	11,863,025	0.55%	11,785,382	0.55%	-77,643	-0.01%
Grand Total		2,154,272,500	100.00%	2,160,947,500	100.00%	6,675,000	

Nomu-Parallel Market Ownership Breakdown - By Investor Classification						
Investor Classification	As of 27 September 2018		As of 4 October 2018		Weekly Change	
	Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
Institutional	179,658,557	8.34%	179,479,322	8.31%	-179,235	-0.03%
Non-Institutional	1,974,613,943	91.66%	1,981,468,178	91.69%	6,854,235	0.03%
Grand Total	2,154,272,500	100.00%	2,160,947,500	100.00%	6,675,000	

Institutional:

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.

السوق المالية السعودية
Saudi Stock Exchange

تداول
Tadawul

Thank you

