



صندوق الأهلي لمؤشر أسهم آسيا والباسيفيك
AlAhli Asia Pacific Index Fund

التقرير السنوي - 2020

Annual Report - 2020



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AlAhli Asia Pacific Index Fund

صندوق الأهلي لمؤشر أسهم آسيا والباسيفيك

Investment Fund Information

معلومات صندوق الاستثمار

Investment Objective

أهداف الاستثمار

AlAhli Asia Pacific Index Fund is an open-ended investment fund which aims to achieve capital growth over the long term. The Fund seeks to replicate as closely as possible, before expenses, the performance of the MSCI Pacific Islamic M-Series (Net Total Return USD).

صندوق الأهلي لمؤشر أسهم آسيا والباسيفيك هو صندوق استثمار مفتوح متوافق مع المعايير الشرعية يهدف إلى نمو رأس المال على المدى الطويل من خلال تحقيق عائد إجمالي يعادل أداء مؤشر "إم إس سي آي" الإسلامي لمنطقة المحيط الهادئ من الفئة "أم" (صافي العائد الإجمالي بالدولار الأمريكي).

Investment Policies

سياسات الاستثمار وممارساته

The Fund's Investments will be concentrated in the stocks of companies listed on Developed Asia and Pacific Markets. The Fund is managed pursuant to an index-linked passive strategy that is designed to trace the performance of MSCI Pacific Islamic M-Series.

يركز الصندوق استثماراته في أسهم الشركات المدرجة في أسواق الدول المتقدمة الآسيوية والباسيفيكية. يدار الصندوق حسب طريقة الإدارة غير النشطة، المرتبطة بالمؤشر والتي تهدف إلى تتبع أداء مؤشر "إم إس سي آي" الإسلامي لمنطقة المحيط الهادئ من الفئة "أم".

Distribution of Income & Gain Policy

سياسة توزيع الدخل والأرباح

Income and dividends are reinvested in the Fund. No income or dividends will be distributed to Unitholders.

يتم إعادة استثمار الدخل والأرباح في الصندوق، ولا يتم توزيع أي دخل وأرباح على مالكي الوحدات.

- The Fund's reports are available upon request free of charge.

- متاح تقارير الصندوق عند الطلب وبدون مقابل.

Fund Performance

أداء الصندوق

Fund performance for the last three years

أداء الصندوق للسنوات الثلاث الأخيرة:

Year	2020	2019	2018	السنة
Net Assets value (NAV)*	70,403,387.00	52,780,286	38,722,732	صافي قيمة الأصول*
NAV per Unit*	2.26	1.77	1.43	صافي قيمة الأصول لكل وحدة*
Highest NAV per Unit*	2.27	1.78	1.74	أعلى سعر وحدة*
Lowest NAV per Unit*	1.32	1.42	1.37	أقل سعر وحدة*
Number of Units	31,118,419	29,753,127	27,160,830	عدد الوحدات
Income Distribution per Unit*	-	-	-	الأرباح الموزعة لكل وحدة
Fees & Expense Ratio	%0.44	%0.45	%0.50	إجمالي نسبة الرسوم والمصروفات

*In US Dollars

*بالدولار الأمريكي

Total return compared to the benchmark:

العائد الإجمالي للصندوق مقارنة بالمؤشر:

Period	5 سنوات – 5 Years	3 سنوات - 3 Years	سنة - 1 Year	الفترة
Total Return %	13.66	12.07	27.54	عائد الصندوق %
Benchmark %	15.77	12.62	28.32	عائد المؤشر %

Annual total return for the last 10 years:

العائد الإجمالي السنوي للصندوق للعشر سنوات الماضية:

Year	2020	2019	2018	2017	2016	2015	2014	2013	2012	2011	السنة
Return %	27.54	24.43	-11.29	29.12	4.36	-5.71	4.56	6.55	16.25	-10.81	العائد %
Benchmark %	28.32	25.00	-10.94	29.89	12.09	-5.51	8.08	8.29	22.48	-8.69	المؤشر %

Actual fees and fund expenses paid by the investment fund during the year as a percentage of average Net Asset Value:

مقابل الخدمات والعمولات والأتعاب التي تحملها الصندوق على مدار العام كنسبة مئوية من متوسط صافي قيمة أصول الصندوق:

الرسوم والمصروفات	النسبة المئوية %	ألف دولار USD '000	Fees and Expenses
رسوم الإدارة	0.30	160	Management Fee Expense
ضريبة القيمة المضافة على رسوم الإدارة	0.05	19	VAT on Management Fee
مراجعة حسابات الصندوق	0.01	10	Audit Fee Expense
العمليات الإدارية	0.02	15	Fund Administration
هيئة السوق المالية	0.00	2	CMA Fee
تداول	0.00	1	Tadawul Fee
رسوم الحفظ	0.04	31	Custody Fees
المراجعة الشرعية	0.01	8	Shariah Audit Fee Expense
مجلس إدارة الصندوق	0.01	6	Fund Board Fee Expense
رسوم التعامل	0.00	9	Dealing Fees
مجموع الرسوم والمصاريف	0.44	261.00	Total Fees and Expenses

Material Changes

No material changes occurred during the year.

تغيرات جوهرية حدثت خلال الفترة

لم تحدث أي تغييرات جوهرية تؤثر على أداء الصندوق.

Exercising of voting rights

The Fund Manager has exercised voting rights, for more details kindly refer to the "Exercising of voting right Annex".

ممارسات التصويت السنوية

قام مدير الصندوق بممارسات التصويت السنوية. و للمزيد من التفاصيل يرجى مراجعة "ملحق ممارسات التصويت السنوية".

Fund Board Annual Report

The Board of Directors consists of the following members, who were appointed by the fund manager and approved by the Capital Market Authority:

Mohammed Al-Ali	Chairman (Non-independent)
Mohammed AlSaggaf	Non-independent member
Asem AlHomaydi	Independent member
Mohammed AlOyaidi	Independent member

تقرير مجلس إدارة الصندوق السنوي

يتكون مجلس إدارة الصندوق من الأعضاء التاليين، والذين تعيينهم من قبل مدير الصندوق بعد موافقة هيئة السوق المالية:

محمد عبدالله العلي	رئيس مجلس إدارة الصندوق (عضو غير مستقل)
محمد جعفر السقاف	عضو غير مستقل
الدكتور/ عاصم خالد الحميضي	عضو مستقل
محمد عمر العبيدي	عضو مستقل

The Fund's Board of Directors held three meetings during 2020. The following is a summary of the key decisions approved and the matters discussed by the Fund's Board of Directors:

- Fund's objectives achievement and performance review
- Risks related to the funds; including: liquidity, market, operational risks.
- Ensuring fund's compliance to all applicable rules and regulations.

عقد مجلس إدارة الصندوق ثلاثة اجتماعات خلال العام 2020م، وفيما يلي ملخصاً لأهم القرارات التي تم إقرارها والمواضيع التي تمت مناقشتها من قبل مجلس إدارة الصندوق:

- مناقشة تحقيق الصندوق لأهدافه وأدائه خلال العام
- المخاطر المتعلقة بالصندوق بما في ذلك مخاطر السيولة، السوق، والتشغيل.
- التزام الصناديق بلوائح هيئة السوق المالية مع مسؤول المطابقة والالتزام.

Fund Manager

NCB Capital Company, Saudi Arabia
Tower B, King Saud Road, P.O. Box 22216, Riyadh 11495
Tel: +966 920000232
Website: www.alahlicapital.com

مدير الصندوق

شركة الأهلي المالية، المملكة العربية السعودية
البرج ب، طريق الملك سعود، ص.ب. 22216، الرياض 11495
هاتف: +966 920000232
الموقع: www.alahlicapital.com



Sub-Manager / Investment Adviser		مدير الصندوق من الباطن و/أو مستشارين الاستثمار	
AMUNDI Asset Management, 90 Boulevard Pasteur, 75015 Paris, France.		أموندي لإدارة الأصول 90 Boulevard Pasteur, 75015, باريس، فرنسا	
Investment Activities		أنشطة الاستثمار	
The fund targets to replicate as closely as possible, before expenses, the performance of the MSCI Pacific Islamic M-series Index (Net Total Return USD).		يهدف الصندوق الى مواكبة أدائه (قبل المصروفات) قدر المستطاع مع أداء مؤشر "إم إس سي آي" الإسلامي لمنطقة المحيط الهادئ من فئة "إم" (صافي العائد الإجمالي بالدولار الأمريكي).	
Performance		تقرير الأداء	
Fund Performance	27.54%	27.54%	أداء الصندوق
Benchmark Performance	28.32%	28.32%	أداء المؤشر
The fund underperformed the benchmark by 78 bps.		انخفض أداء الصندوق عن أداء المؤشر بفارق 78 نقطة أساس.	
Terms & Conditions and information memorandum Material Changes		تغيرات حدثت في شروط وأحكام الصندوق و مذكرة المعلومات	
There are no changes in the terms and condition, and Information Memorandum.		لا توجد تغيرات في الشروط والأحكام ومذكرة المعلومات.	
Other information enabling unitholders to make informed judgment about fund activities during the period		معلومات أخرى بشأن أنشطة الصندوق خلال الفترة تمكن مالك الوحدات من اتخاذ قرار مدروس	
None		لا يوجد	
Investments in other Investment Funds		الاستثمار في صناديق استثمارية أخرى	
The fund does not invest substantially in other investment funds.		الصندوق لم يستثمر بشكل كبير في صناديق استثمارية أخرى.	
Special Commission		عمولات خاصة	
No special commissions were received during the period.		لم يحصل مدير الصندوق على أي عمولات خاصة خلال الفترة.	
Conflict of Interests		تعارض في المصالح	
None		لا يوجد	
Fund Distribution During The Year		توزيعات الصندوق خلال العام	
None		لا يوجد	
Incorrect Valuation or Pricing		خطأ في التقويم والتسعير	
None		لا يوجد	
Investment Limitation Breaches		مخالفة قيود الاستثمار	
There were no breaches to any of the Investment Restrictions, Limitations and borrowing powers applicable to IFR.		لم تتم مخالفة أي من قيود و حدود الاستثمار وصلاحيات الاقتراض المعمول بها في لائحة صناديق الاستثمار.	
Custodian		أمين الحفظ	
The Northern Trust Company of Saudi Arabia Nakheel Tower, P.O. Box 10175, Riyadh 11433, Saudi Arabia Tel: +966114188694 Website: www.northerntrust.com		شركة نورذن ترست العربية السعودية برج النخيل، ص.ب. 10175، الرياض 11433، المملكة العربية السعودية هاتف: +966114188694 www.northerntrust.com الموقع:	

Custodian's duties and responsibilities

-The custodian shall be held responsible for compliance with investment funds Regulations whether he performed his duties directly or delegated to any third party. The custodian shall be held responsible to the fund manager and unitholder for any losses caused to the investment fund due to the custodian fraud, negligence, misconduct or willful default.

- The custodian shall be responsible for taking custody and protecting the fund's assets on behalf of unitholders, and taking all necessary administrative measures in relation to the custody of the fund's assets.

- The Fund Manager acknowledges that the responsibilities vested in the custodian does not include ensuring the fund manager's compliance with the contents of subparagraphs (a,b,c) of paragraph (d-3) of annex 5 of the Investment Funds Regulations "IFR".

Fund Manager Opinion

- Units were Issued, transferred and redeemed in accordance with the provision of the IFR and fund Terms and Conditions

- Units were valued and calculated in accordance with the provisions of IFR, Fund and fund Terms and Conditions.

- There were no breaches to any of the Investment Restrictions, Limitations and borrowing powers applicable to IFR.

Auditor

KPMG Al Fozan & Partners
Zahran Business Center, Prince Sultan Street, P.O Box 55078
21534 Jeddah, Saudi Arabia
Tel: +966 12 6989595
Website: www.kpmg.com/sa

Auditor's Opinion

Accompanying financial statements have complied with the requirements of the applicable provisions of the Investment Funds Regulations issued by the Capital Market Authority, and the Fund's Terms and Conditions and the Information Memorandum in so far as they affect the preparation of the financial statements and accordingly nothing has been reported by the auditor in their report which is in compliance with the SOCPA requirements.

واجبات ومسؤوليات أمين الحفظ

- يعد أمين الحفظ مسؤولاً عن التزاماته وفقاً لأحكام لائحة صناديق الاستثمار، سواء أدى مسؤولياته بشكل مباشر أم كلف بها طرفاً ثالثاً بموجب أحكام لائحة صناديق الاستثمار أو لائحة مؤسسات السوق المالية. ويُعدّ أمين الحفظ مسؤولاً تجاه مدير الصندوق ومالكي الوحدات عن خسائر الصندوق الناجمة بسبب احتيال أو إهمال أو سوء تصرف أو تقصيره المتعمد

- يعد أمين الحفظ مسؤولاً عن حفظ أصول الصندوق وحمايتها لصالح مالكي الوحدات، وهو مسؤول كذلك عن اتخاذ جميع الإجراءات الإدارية اللازمة فيما يتعلق بحفظ أصول الصندوق

- يقر مدير الصندوق بأن المسؤوليات المنوطة بأمين الحفظ لا تشمل إبداء رأيه حول ما إذا كان مدير الصندوق قد قام بالأنشطة في الفقرات الفرعية (أ،ب،ج) من الفقرة (د-3) من الملحق (5) من لائحة صناديق الاستثمار

رأي مدير الصندوق

- تم إصدار ونقل واسترداد الوحدات بموجب أحكام لائحة صناديق الاستثمار وشروط وأحكام الصندوق.

- تم تقويم وحساب سعر الوحدات بموجب أحكام لائحة صناديق الاستثمار وشروط وأحكام الصندوق.

- لم تتم مخالفة أي من قيود و حدود الاستثمار وصلاحيات الاقتراض المعمول بها في لائحة صناديق الاستثمار.

المحاسب القانوني

كي بي ام جي الفوزان وشركاه
مركز زهران للأعمال – شارع الأمير سلطان ص.ب. 55078،
جدة 21534 المملكة العربية السعودية،
هاتف: +966 12 698 9595
الموقع: www.kpmg.com/sa

رأي المحاسب القانوني

إن القوائم المالية المرفقة لهذا التقرير متوافقة مع متطلبات لائحة صناديق الاستثمار الصادرة عن هيئة السوق المالية، وشروط وأحكام الصندوق ومذكرة معلوماته فيما يتعلق بإعداد القوائم المالية، ولم يوجد ما يتطلب الإبلاغ عنه من قبل مراجع الحسابات في تقريره حسب متطلبات الهيئة السعودية للمحاسبين القانونيين.



Annex - Exercised Voting Rights

ملحق - ممارسات التصويت السنوية

Company Name	Meeting Date	Proposal Text	Vote Instruction
ABC-MART, INC.	28-May-20	Approve Allocation of Income, with a Final Dividend of JPY 85	For
ABC-MART, INC.	28-May-20	Elect Director Noguchi, Minoru	For
ABC-MART, INC.	28-May-20	Elect Director Katsunuma, Kiyoshi	For
ABC-MART, INC.	28-May-20	Elect Director Kojima, Jo	For
ABC-MART, INC.	28-May-20	Elect Director Kikuchi, Takashi	For
ABC-MART, INC.	28-May-20	Elect Director Hattori, Kiichiro	For
Advantest Corp.	25-June-20	Elect Director Yoshida, Yoshiaki	For
Advantest Corp.	25-June-20	Elect Director Karatsu, Osamu	For
Advantest Corp.	25-June-20	Elect Director Urabe, Toshimitsu	For
Advantest Corp.	25-June-20	Elect Director Nicholas Benes	For
Advantest Corp.	25-June-20	Elect Director Tsukakoshi, Soichi	For
Advantest Corp.	25-June-20	Elect Director Fujita, Atsushi	For
Advantest Corp.	25-June-20	Elect Director Tsukui, Koichi	For
Advantest Corp.	25-June-20	Elect Director Douglas Lefever	For
Advantest Corp.	25-June-20	Elect Director and Audit Committee Member Sumida, Sayaka	For
AGL Energy Limited	07-October-20	Approve Remuneration Report	Against
AGL Energy Limited	07-October-20	Elect Peter Botten as Director	Against
AGL Energy Limited	07-October-20	Elect Mark Bloom as Director	For
AGL Energy Limited	07-October-20	Approve Grant of Performance Rights under the AGL Long Term Incentive Plan to Brett Redman	Against
AGL Energy Limited	07-October-20	Approve Termination Benefits for Eligible Senior Executives	For
AGL Energy Limited	07-October-20	Approve Reinsertion of Proportional Takeover Provisions	For
AGL Energy Limited	07-October-20	Approve the Amendments to the Company's Constitution	Against
AGL Energy Limited	07-October-20	Approve Coal Closure Dates	For
Alumina Limited	20-May-20	Approve Remuneration Report	For
Alumina Limited	20-May-20	Elect Peter Day as Director	Against
Alumina Limited	20-May-20	Approve Grant of Performance Rights to Mike Ferraro	Against
AMADA Co., Ltd.	25-June-20	Approve Allocation of Income, with a Final Dividend of JPY 24	Against
AMADA Co., Ltd.	25-June-20	Elect Director Isobe, Tsutomu	Against
AMADA Co., Ltd.	25-June-20	Elect Director Kurihara, Toshinori	Against
AMADA Co., Ltd.	25-June-20	Elect Director Fukui, Yukihiro	Against
AMADA Co., Ltd.	25-June-20	Elect Director Miwa, Kazuhiko	For
AMADA Co., Ltd.	25-June-20	Elect Director Okamoto, Mitsuo	For
AMADA Co., Ltd.	25-June-20	Elect Director Mazuka, Michiyoshi	For
AMADA Co., Ltd.	25-June-20	Elect Director Chino, Toshitake	For
AMADA Co., Ltd.	25-June-20	Elect Director Miyoshi, Hidekazu	For
AMADA Co., Ltd.	25-June-20	Appoint Alternate Statutory Auditor Murata, Makoto	For
Asahi Intecc Co., Ltd.	29-September-20	Approve Allocation of Income, with a Final Dividend of JPY 8.81	For
Asahi Intecc Co., Ltd.	29-September-20	Elect Director Miyata, Masahiko	For
Asahi Intecc Co., Ltd.	29-September-20	Elect Director Miyata, Kenji	For
Asahi Intecc Co., Ltd.	29-September-20	Elect Director Kato, Tadakazu	For
Asahi Intecc Co., Ltd.	29-September-20	Elect Director Terai, Yoshinori	For
Asahi Intecc Co., Ltd.	29-September-20	Elect Director Matsumoto, Munechika	For
Asahi Intecc Co., Ltd.	29-September-20	Elect Director Ito, Mizuho	For
Asahi Intecc Co., Ltd.	29-September-20	Elect Director Nishiuchi, Makoto	For
Asahi Intecc Co., Ltd.	29-September-20	Elect Director Ito, Kiyomichi	For



Asahi Intecc Co., Ltd.	29-September-20	Elect Director Shibazaki, Akinori	For
Asahi Intecc Co., Ltd.	29-September-20	Elect Director Sato, Masami	For
Asahi Intecc Co., Ltd.	29-September-20	Elect Director and Audit Committee Member Ota, Hiroshi	For
Asahi Intecc Co., Ltd.	29-September-20	Elect Director and Audit Committee Member Tomida, Ryuji	For
Asahi Intecc Co., Ltd.	29-September-20	Elect Director and Audit Committee Member Hanano, Yasunari	For
Asahi Intecc Co., Ltd.	29-September-20	Elect Alternate Director and Audit Committee Member Fukaya, Ryoko	For
Asahi Kasei Corp.	24-June-20	Elect Director Kobori, Hideki	For
Asahi Kasei Corp.	24-June-20	Elect Director Takayama, Shigeki	For
Asahi Kasei Corp.	24-June-20	Elect Director Shibata, Yutaka	For
Asahi Kasei Corp.	24-June-20	Elect Director Yoshida, Hiroshi	For
Asahi Kasei Corp.	24-June-20	Elect Director Sakamoto, Shuichi	For
Asahi Kasei Corp.	24-June-20	Elect Director Kawabata, Fumitoshi	For
Asahi Kasei Corp.	24-June-20	Elect Director Shiraishi, Masumi	For
Asahi Kasei Corp.	24-June-20	Elect Director Tatsuoka, Tsuneyoshi	For
Asahi Kasei Corp.	24-June-20	Elect Director Okamoto, Tsuyoshi	For
ASM Pacific Technology Limited	12-May-20	Elect Robin Gerard Ng Cher Tat as Director	Against
ASM Pacific Technology Limited	12-May-20	Elect Guenter Walter Lauber as Director	Against
ASM Pacific Technology Limited	12-May-20	Accept Financial Statements and Statutory Reports	For
ASM Pacific Technology Limited	12-May-20	Approve Deloitte Touche Tohmatsu as Auditors and Authorize Board to Fix Their Remuneration	For
ASM Pacific Technology Limited	12-May-20	Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights	For
ASM Pacific Technology Limited	12-May-20	Authorize Reissuance of Repurchased Shares	For
ASM Pacific Technology Limited	12-May-20	Authorize Board to Fix Remuneration of Directors	For
ASM Pacific Technology Limited	12-May-20	Approve Final Dividend	Against
ASM Pacific Technology Limited	12-May-20	Authorize Repurchase of Issued Share Capital	Against
Astellas Pharma, Inc.	18-June-20	Elect Director Hatanaka, Yoshihiko	For
Astellas Pharma, Inc.	18-June-20	Elect Director Yasukawa, Kenji	For
Astellas Pharma, Inc.	18-June-20	Elect Director Okamura, Naoki	For
Astellas Pharma, Inc.	18-June-20	Elect Director Sekiyama, Mamoru	For
Astellas Pharma, Inc.	18-June-20	Elect Director Yamagami, Keiko	For
Astellas Pharma, Inc.	18-June-20	Elect Director Kawabe, Hiroshi	For
Astellas Pharma, Inc.	18-June-20	Elect Director Ishizuka, Tatsuro	For
Astellas Pharma, Inc.	18-June-20	Elect Director and Audit Committee Member Yoshimitsu, Toru	For
Astellas Pharma, Inc.	18-June-20	Elect Director and Audit Committee Member Sasaki, Hiro	For
Astellas Pharma, Inc.	18-June-20	Elect Director and Audit Committee Member Takahashi, Raita	For
Auckland International Airport Ltd.	22-October-20	Elect Julia Hoare as Director	Against
Auckland International Airport Ltd.	22-October-20	Authorize Board to Fix Remuneration of the Auditors	For
BeiGene, Ltd.	17-June-20	Elect Director John V. Oyler	For
BeiGene, Ltd.	17-June-20	Elect Director Timothy Chen	For
BeiGene, Ltd.	17-June-20	Elect Director Jing-Shyh (Sam) Su	For
BeiGene, Ltd.	17-June-20	Ratify Ernst & Young Hua Ming LLP and Ernst & Young as Auditors	For
BeiGene, Ltd.	17-June-20	Authorize the Board of Directors to Issue, Allot, or Deal with Unissued Ordinary Shares and/or American Depositary Shares	Against
BeiGene, Ltd.	17-June-20	Approve Connected Person Placing Authorization I	For
BeiGene, Ltd.	17-June-20	Approve Connected Person Placing Authorization II	For
BeiGene, Ltd.	17-June-20	Amend Omnibus Stock Plan	Against
BeiGene, Ltd.	17-June-20	Advisory Vote to Ratify Named Executive Officers' Compensation	For



Bluescope Steel Limited	19-November-20	Approve Remuneration Report	Against
Bluescope Steel Limited	19-November-20	Elect John Bevan as Director	Against
Bluescope Steel Limited	19-November-20	Elect Penny Bingham-Hall as Director	For
Bluescope Steel Limited	19-November-20	Elect Rebecca Dee-Bradbury as Director	For
Bluescope Steel Limited	19-November-20	Elect Jennifer Lambert as Director	For
Bluescope Steel Limited	19-November-20	Elect Kathleen Conlon as Director	Against
Bluescope Steel Limited	19-November-20	Approve Grant of Share Rights to Mark Vassella	For
Bluescope Steel Limited	19-November-20	Approve Grant of Alignment Rights to Mark Vassella	Against
Bluescope Steel Limited	19-November-20	Approve Renewal of Proportional Takeover Provisions	For
Brambles Limited	08-October-20	Approve Remuneration Report	For
Brambles Limited	08-October-20	Elect John Patrick Mullen as Director	For
Brambles Limited	08-October-20	Elect Nora Lia Scheinkestel as Director	Against
Brambles Limited	08-October-20	Elect Kenneth Stanley McCall as Director	For
Brambles Limited	08-October-20	Elect Tahira Hassan as Director	For
Brambles Limited	08-October-20	Elect Nessa O'Sullivan as Director	For
Brambles Limited	08-October-20	Approve Brambles Limited MyShare Plan	For
Brambles Limited	08-October-20	Approve Participation of Graham Chipchase in the Performance Share Plan	Against
Brambles Limited	08-October-20	Approve Participation of Nessa O'Sullivan in the Performance Share Plan	For
Brambles Limited	08-October-20	Approve Participation of Nessa O'Sullivan in the MyShare Plan	For
Brambles Limited	08-October-20	Approve Extension of On-Market Share Buy-Backs	For
Bridgestone Corp.	24-March-20	Approve Allocation of Income, with a Final Dividend of JPY 80	For
Bridgestone Corp.	24-March-20	Elect Director Tsuya, Masaaki	For
Bridgestone Corp.	24-March-20	Elect Director Ishibashi, Shuichi	For
Bridgestone Corp.	24-March-20	Elect Director Eto, Akihiro	For
Bridgestone Corp.	24-March-20	Elect Director Scott Trevor Davis	For
Bridgestone Corp.	24-March-20	Elect Director Okina, Yuri	For
Bridgestone Corp.	24-March-20	Elect Director Masuda, Kenichi	For
Bridgestone Corp.	24-March-20	Elect Director Yamamoto, Kenzo	For
Bridgestone Corp.	24-March-20	Elect Director Terui, Keiko	For
Bridgestone Corp.	24-March-20	Elect Director Sasa, Seiichi	For
Bridgestone Corp.	24-March-20	Elect Director Shiba, Yojiro	For
Bridgestone Corp.	24-March-20	Elect Director Suzuki, Yoko	For
Bridgestone Corp.	24-March-20	Elect Director Hara, Hideo	For
Bridgestone Corp.	24-March-20	Elect Director Yoshimi, Tsuyoshi	For
CALBEE, Inc.	24-June-20	Approve Allocation of Income, with a Final Dividend of JPY 50	For
CALBEE, Inc.	24-June-20	Elect Director Ito, Shuji	For
CALBEE, Inc.	24-June-20	Elect Director Ehara, Makoto	For
CALBEE, Inc.	24-June-20	Elect Director Kikuchi, Koichi	For
CALBEE, Inc.	24-June-20	Elect Director Mogi, Yuzaburo	For
CALBEE, Inc.	24-June-20	Elect Director Takahara, Takahisa	For
CALBEE, Inc.	24-June-20	Elect Director Fukushima, Atsuko	For
CALBEE, Inc.	24-June-20	Elect Director Miyauchi, Yoshihiko	For
CALBEE, Inc.	24-June-20	Elect Director Sylvia Dong	For
CALBEE, Inc.	24-June-20	Appoint Statutory Auditor Oe, Nagako	For
CALBEE, Inc.	24-June-20	Appoint Alternate Statutory Auditor Mataichi, Yoshio	For
CALBEE, Inc.	24-June-20	Approve Annual Bonus	For
CALBEE, Inc.	24-June-20	Approve Trust-Type Equity Compensation Plan	For
Caltex Australia Ltd.	14-May-20	Elect Melinda Conrad as Director	For



Caltex Australia Ltd.	14-May-20	Approve Remuneration Report	For
Caltex Australia Ltd.	14-May-20	Approve the Change of Company Name to Ampol Limited	For
Canon, Inc.	27-March-20	Approve Allocation of Income, with a Final Dividend of JPY 80	Against
Canon, Inc.	27-March-20	Elect Director Mitarai, Fujio	Against
Canon, Inc.	27-March-20	Elect Director Maeda, Masaya	Against
Canon, Inc.	27-March-20	Elect Director Tanaka, Toshizo	Against
Canon, Inc.	27-March-20	Elect Director Homma, Toshio	Against
Canon, Inc.	27-March-20	Appoint Statutory Auditor Ebinuma, Ryuichi	Against
Canon, Inc.	27-March-20	Elect Director Saida, Kunitaro	For
Canon, Inc.	27-March-20	Elect Director Kato, Haruhiko	For
Canon, Inc.	27-March-20	Appoint Deloitte Touche Tohmatsu LLC as New External Audit Firm	For
Canon, Inc.	27-March-20	Approve Annual Bonus	For
Casio Computer Co., Ltd.	26-June-20	Approve Allocation of Income, with a Final Dividend of JPY 22.5	Against
Casio Computer Co., Ltd.	26-June-20	Elect Director Kashio, Kazuhiro	For
Casio Computer Co., Ltd.	26-June-20	Elect Director Yamagishi, Toshiyuki	For
Casio Computer Co., Ltd.	26-June-20	Elect Director Takano, Shin	For
Casio Computer Co., Ltd.	26-June-20	Elect Director Kashio, Tetsuo	For
Casio Computer Co., Ltd.	26-June-20	Elect Director Ozaki, Motoki	For
Chugai Pharmaceutical Co., Ltd.	30-March-20	Approve Allocation of Income, with a Final Dividend of JPY 92	For
Chugai Pharmaceutical Co., Ltd.	30-March-20	Elect Director Kosaka, Tatsuro	For
Chugai Pharmaceutical Co., Ltd.	30-March-20	Elect Director Ueno, Moto	For
Chugai Pharmaceutical Co., Ltd.	30-March-20	Elect Director Okuda, Osamu	For
Chugai Pharmaceutical Co., Ltd.	30-March-20	Elect Director Momoi, Mariko	For
Chugai Pharmaceutical Co., Ltd.	30-March-20	Appoint Statutory Auditor Nimura, Takaaki	For
Chugai Pharmaceutical Co., Ltd.	30-March-20	Appoint Statutory Auditor Masuda, Kenichi	For
Chugai Pharmaceutical Co., Ltd.	30-March-20	Approve Compensation Ceiling for Statutory Auditors	For
CIMIC Group Limited	01-April-20	Approve Remuneration Report	For
CIMIC Group Limited	01-April-20	Elect Marcelino Fernandez Verdes as Director	Against
CIMIC Group Limited	01-April-20	Elect Jose Luis del Valle Perez as Director	Against
CIMIC Group Limited	01-April-20	Elect Pedro Lopez Jimenez as Director	Against
CK Infrastructure Holdings Limited	13-May-20	Accept Financial Statements and Statutory Reports	For
CK Infrastructure Holdings Limited	13-May-20	Elect Paul Joseph Tighe as Director	For
CK Infrastructure Holdings Limited	13-May-20	Approve Deloitte Touche Tohmatsu as Auditor and Authorize Board to Fix Their Remuneration	For
CK Infrastructure Holdings Limited	13-May-20	Amend Bye-Laws	For
CK Infrastructure Holdings Limited	13-May-20	Approve Final Dividend	Against
CK Infrastructure Holdings Limited	13-May-20	Elect Li Tzar Kuoi, Victor as Director	Against



CK Infrastructure Holdings Limited	13-May-20	Elect Chan Loi Shun as Director	Against
CK Infrastructure Holdings Limited	13-May-20	Elect Chen Tsien Hua as Director	Against
CK Infrastructure Holdings Limited	13-May-20	Elect Sng Sow-mei alias Poon Sow Mei as Director	Against
CK Infrastructure Holdings Limited	13-May-20	Elect Colin Stevens Russel as Director	Against
CK Infrastructure Holdings Limited	13-May-20	Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights	Against
CK Infrastructure Holdings Limited	13-May-20	Authorize Repurchase of Issued Share Capital	Against
CK Infrastructure Holdings Limited	13-May-20	Authorize Reissuance of Repurchased Shares	Against
CLP Holdings Ltd.	08-May-20	Elect Michael Kadoorie as Director	Against
CLP Holdings Ltd.	08-May-20	Accept Financial Statements and Statutory Reports	For
CLP Holdings Ltd.	08-May-20	Approve PricewaterhouseCoopers as Auditor and Authorize Board to Fix Their Remuneration	For
CLP Holdings Ltd.	08-May-20	Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights	For
CLP Holdings Ltd.	08-May-20	Elect William Elkin Mocatta as Director	Against
CLP Holdings Ltd.	08-May-20	Elect Roderick Ian Eddington as Director	Against
CLP Holdings Ltd.	08-May-20	Authorize Repurchase of Issued Share Capital	Against
Coca-Cola Bottlers Japan Holdings, Inc.	26-March-20	Amend Articles to Change Location of Head Office	For
Coca-Cola Bottlers Japan Holdings, Inc.	26-March-20	Elect Director Calin Dragan	For
Coca-Cola Bottlers Japan Holdings, Inc.	26-March-20	Elect Director Bjorn Ivar Ulgenes	For
Coca-Cola Bottlers Japan Holdings, Inc.	26-March-20	Elect Director Yoshioka, Hiroshi	For
Coca-Cola Bottlers Japan Holdings, Inc.	26-March-20	Elect Director Wada, Hiroko	For
Coca-Cola Bottlers Japan Holdings, Inc.	26-March-20	Elect Director Yamura, Hirokazu	For
Coca-Cola Bottlers Japan Holdings, Inc.	26-March-20	Approve Compensation Ceiling for Directors Who Are Not Audit Committee Members and Restricted Stock Plan	For
Coca-Cola Bottlers Japan Holdings, Inc.	26-March-20	Approve Allocation of Income, with a Final Dividend of JPY 25	Against
Coca-Cola Bottlers Japan Holdings, Inc.	26-March-20	Elect Director and Audit Committee Member Enrique Rapetti	Against
Cochlear Limited	20-October-20	Approve Financial Statements and Reports of the Directors and Auditors	For
Cochlear Limited	20-October-20	Approve Remuneration Report	For
Cochlear Limited	20-October-20	Elect Andrew Denver as Director	For
Cochlear Limited	20-October-20	Elect Bruce Robinson as Director	For
Cochlear Limited	20-October-20	Elect Michael Daniell as Director	For
Cochlear Limited	20-October-20	Elect Stephen Mayne as Director	Against
Cochlear Limited	20-October-20	Approve Grant of Securities to Dig Howitt	Against
Cochlear Limited	20-October-20	Adopt New Constitution	For
Cochlear Limited	20-October-20	Approve Proportional Takeover Provisions in the Proposed Constitution	For
CSL Limited	14-October-20	Elect Bruce Brook as Director	Against
CSL Limited	14-October-20	Elect Carolyn Hewson as Director	For
CSL Limited	14-October-20	Elect Pascal Soriot as Director	For
CSL Limited	14-October-20	Approve Remuneration Report	Against
CSL Limited	14-October-20	Approve Grant of Performance Share Units to Paul Perreault	Against
Daifuku Co., Ltd.	26-June-20	Amend Articles to Amend Business Lines	For
Daifuku Co., Ltd.	26-June-20	Elect Director Geshiro, Hiroshi	For
Daifuku Co., Ltd.	26-June-20	Elect Director Honda, Shuichi	For
Daifuku Co., Ltd.	26-June-20	Elect Director Sato, Seiji	For
Daifuku Co., Ltd.	26-June-20	Elect Director Hayashi, Toshiaki	For
Daifuku Co., Ltd.	26-June-20	Elect Director Ozawa, Yoshiaki	For



Daifuku Co., Ltd.	26-June-20	Elect Director Sakai, Mineo	For
Daifuku Co., Ltd.	26-June-20	Elect Director Kato, Kaku	For
Daifuku Co., Ltd.	26-June-20	Elect Director Kaneko, Keiko	For
Daifuku Co., Ltd.	26-June-20	Appoint Statutory Auditor Aihara, Ryosuke	For
Daiichi Sankyo Co., Ltd.	15-June-20	Approve Allocation of Income, with a Final Dividend of JPY 35	For
Daiichi Sankyo Co., Ltd.	15-June-20	Elect Director Manabe, Sunao	For
Daiichi Sankyo Co., Ltd.	15-June-20	Elect Director Sai, Toshiaki	For
Daiichi Sankyo Co., Ltd.	15-June-20	Elect Director Kimura, Satoru	For
Daiichi Sankyo Co., Ltd.	15-June-20	Elect Director Uji, Noritaka	For
Daiichi Sankyo Co., Ltd.	15-June-20	Elect Director Fukui, Tsuguya	For
Daiichi Sankyo Co., Ltd.	15-June-20	Elect Director Kama, Kazuaki	For
Daiichi Sankyo Co., Ltd.	15-June-20	Elect Director Nohara, Sawako	For
Daiichi Sankyo Co., Ltd.	15-June-20	Elect Director Otsuki, Masahiko	For
Daiichi Sankyo Co., Ltd.	15-June-20	Elect Director Hirashima, Shoji	For
Daiichi Sankyo Co., Ltd.	15-June-20	Approve Annual Bonus	For
Daiichi Sankyo Co., Ltd.	15-June-20	Approve Restricted Stock Plan	For
Daikin Industries Ltd.	26-June-20	Approve Allocation of Income, with a Final Dividend of JPY 80	For
Daikin Industries Ltd.	26-June-20	Amend Articles to Reduce Directors' Term	For
Daikin Industries Ltd.	26-June-20	Elect Director Inoue, Noriyuki	Against
Daikin Industries Ltd.	26-June-20	Elect Director Togawa, Masanori	Against
Daikin Industries Ltd.	26-June-20	Elect Director Terada, Chiyono	For
Daikin Industries Ltd.	26-June-20	Elect Director Kawada, Tatsuo	For
Daikin Industries Ltd.	26-June-20	Elect Director Makino, Akiji	For
Daikin Industries Ltd.	26-June-20	Elect Director Torii, Shingo	For
Daikin Industries Ltd.	26-June-20	Elect Director Tayano, Ken	For
Daikin Industries Ltd.	26-June-20	Elect Director Minaka, Masatsugu	For
Daikin Industries Ltd.	26-June-20	Elect Director Tomita, Jiro	For
Daikin Industries Ltd.	26-June-20	Elect Director Kanwal Jeet Jawa	For
Daikin Industries Ltd.	26-June-20	Elect Director Matsuzaki, Takashi	Against
Daikin Industries Ltd.	26-June-20	Appoint Statutory Auditor Nagashima, Toru	For
Daikin Industries Ltd.	26-June-20	Appoint Alternate Statutory Auditor Ono, Ichiro	For
Daikin Industries Ltd.	26-June-20	Approve Compensation Ceiling for Directors	For
Daito Trust Construction Co., Ltd.	26-June-20	Approve Allocation of Income, with a Final Dividend of JPY 345	For
DENSO Corp.	19-June-20	Elect Director Arima, Koji	For
DENSO Corp.	19-June-20	Elect Director Yamanaka, Yasushi	For
DENSO Corp.	19-June-20	Elect Director Wakabayashi, Hiroyuki	For
DENSO Corp.	19-June-20	Elect Director Usui, Sadahiro	For
DENSO Corp.	19-June-20	Elect Director Toyoda, Akio	For
DENSO Corp.	19-June-20	Elect Director George Olcott	For
DENSO Corp.	19-June-20	Elect Director Kushida, Shigeki	For
DENSO Corp.	19-June-20	Elect Director Mitsuya, Yuko	For
DENSO Corp.	19-June-20	Appoint Alternate Statutory Auditor Kitagawa, Hiromi	For
DENSO Corp.	19-June-20	Approve Annual Bonus	For
DENSO Corp.	19-June-20	Approve Cash Compensation Ceiling for Directors and Restricted Stock Plan	For
DISCO Corp.	26-June-20	Approve Allocation of Income, with a Final Dividend of JPY 347	For
Eisai Co., Ltd.	19-June-20	Elect Director Naito, Haruo	Against



Eisai Co., Ltd.	19-June-20	Elect Director Kato, Yasuhiko	For
Eisai Co., Ltd.	19-June-20	Elect Director Kanai, Hirokazu	For
Eisai Co., Ltd.	19-June-20	Elect Director Tsunoda, Daiken	For
Eisai Co., Ltd.	19-June-20	Elect Director Bruce Aronson	For
Eisai Co., Ltd.	19-June-20	Elect Director Tsuchiya, Yutaka	For
Eisai Co., Ltd.	19-June-20	Elect Director Kaihori, Shuzo	For
Eisai Co., Ltd.	19-June-20	Elect Director Murata, Ryuichi	For
Eisai Co., Ltd.	19-June-20	Elect Director Uchiyama, Hideyo	For
Eisai Co., Ltd.	19-June-20	Elect Director Hayashi, Hideki	For
Eisai Co., Ltd.	19-June-20	Elect Director Miwa, Yumiko	For
Evolution Mining Limited	26-November-20	Approve Remuneration Report	For
Evolution Mining Limited	26-November-20	Approve the Spill Resolution	Against
Evolution Mining Limited	26-November-20	Elect Jason Attew as Director	For
Evolution Mining Limited	26-November-20	Elect Peter Smith as Director	For
Evolution Mining Limited	26-November-20	Elect Victoria (Vicky) Binns as Director	For
Evolution Mining Limited	26-November-20	Elect James (Jim) Askew as Director	For
Evolution Mining Limited	26-November-20	Elect Thomas (Tommy) McKeith as Director	Against
Evolution Mining Limited	26-November-20	Elect Andrea Hall as Director	Against
Evolution Mining Limited	26-November-20	Approve Issuance of Performance Rights to Jacob (Jake) Klein	Against
Evolution Mining Limited	26-November-20	Approve Issuance of Performance Rights to Lawrence (Lawrie) Conway	Against
Evolution Mining Limited	26-November-20	Approve Employee Share Option and Performance Rights Plan	Against
FANUC Corp.	26-June-20	Approve Allocation of Income, with a Final Dividend of JPY 174.65	For
FANUC Corp.	26-June-20	Elect Director Inaba, Yoshiharu	For
FANUC Corp.	26-June-20	Elect Director Yamaguchi, Kenji	For
FANUC Corp.	26-June-20	Elect Director Uchida, Hiroyuki	For
FANUC Corp.	26-June-20	Elect Director Gonda, Yoshihiro	For
FANUC Corp.	26-June-20	Elect Director Saito, Yutaka	For
FANUC Corp.	26-June-20	Elect Director Inaba, Kiyonori	For
FANUC Corp.	26-June-20	Elect Director Noda, Hiroshi	For
FANUC Corp.	26-June-20	Elect Director Michael J. Cicco	For
FANUC Corp.	26-June-20	Elect Director Tsukuda, Kazuo	For
FANUC Corp.	26-June-20	Elect Director IMay, Yasuo	For
FANUC Corp.	26-June-20	Elect Director Ono, Masato	For
FANUC Corp.	26-June-20	Elect Director Yamazaki, Naoko	For
FANUC Corp.	26-June-20	Appoint Statutory Auditor Tomita, Mieko	For
FAST RETAILING CO., LTD.	26-November-20	Amend Articles to Amend Business Lines	For
FAST RETAILING CO., LTD.	26-November-20	Elect Director Yanai, Tadashi	For
FAST RETAILING CO., LTD.	26-November-20	Elect Director Hambayashi, Toru	For
FAST RETAILING CO., LTD.	26-November-20	Elect Director Hattori, Nobumichi	For
FAST RETAILING CO., LTD.	26-November-20	Elect Director Shintaku, Masaaki	For
FAST RETAILING CO., LTD.	26-November-20	Elect Director Nawa, Takashi	For
FAST RETAILING CO., LTD.	26-November-20	Elect Director Ono, Naotake	For
FAST RETAILING CO., LTD.	26-November-20	Elect Director Okazaki, Takeshi	For



FAST RETAILING CO., LTD.	26-November-20	Elect Director Yanai, Kazumi	For
FAST RETAILING CO., LTD.	26-November-20	Elect Director Yanai, Koji	For
FAST RETAILING CO., LTD.	26-November-20	Appoint Statutory Auditor Shinjo, Masaaki	Against
FAST RETAILING CO., LTD.	26-November-20	Appoint Statutory Auditor Kaneko, Keiko	For
FAST RETAILING CO., LTD.	26-November-20	Appoint Statutory Auditor Mori, Masakatsu	For
Fisher & Paykel Healthcare Corporation Limited	21-August-20	Elect Pip Greenwood as Director	For
Fisher & Paykel Healthcare Corporation Limited	21-August-20	Elect Geraldine McBride as Director	For
Fisher & Paykel Healthcare Corporation Limited	21-August-20	Authorize Board to Fix Remuneration of the Auditors	For
Fisher & Paykel Healthcare Corporation Limited	21-August-20	Approve the Increase in Maximum Aggregate Remuneration of Non-Executive Directors	Against
Fisher & Paykel Healthcare Corporation Limited	21-August-20	Approve Issuance of Performance Share Rights to Lewis Gradon	For
Fisher & Paykel Healthcare Corporation Limited	21-August-20	Approve Issuance of Options to Lewis Gradon	For
Fisher & Paykel Healthcare Corporation Limited	21-August-20	Approve 2019 Performance Share Rights Plan Rules - North American Plan and 2019 Share Option Plan Rules - North American Plan	For
Fortescue Metals Group Ltd.	11-November-20	Approve Remuneration Report	Against
Fortescue Metals Group Ltd.	11-November-20	Elect Andrew Forrest as Director	For
Fortescue Metals Group Ltd.	11-November-20	Elect Mark Barnaba as Director	For
Fortescue Metals Group Ltd.	11-November-20	Elect Penny Bingham-Hall as Director	For
Fortescue Metals Group Ltd.	11-November-20	Elect Jennifer Morris as Director	For
Fortescue Metals Group Ltd.	11-November-20	Approve Grant of Performance Rights to Elizabeth Gaines	Against
Fortescue Metals Group Ltd.	11-November-20	Approve Renewal of Proportional Takeover Approval Provisions	For
Goodman Group	19-November-20	Appoint KPMG as Auditors of Goodman Logistics (HK) Limited and Authorize the Board to Fix Their Remuneration	For
Goodman Group	19-November-20	Elect Stephen Johns as Director of Goodman Limited	For
Goodman Group	19-November-20	Elect Stephen Johns as Director of Goodman Logistics (HK) Ltd	For
Goodman Group	19-November-20	Elect Mark Johnson as Director	Against
Goodman Group	19-November-20	Approve Remuneration Report	Against
Goodman Group	19-November-20	Approve Issuance of Performance Rights to Gregory Goodman	Against
Goodman Group	19-November-20	Approve Issuance of Performance Rights to Danny Peeters	Against
Goodman Group	19-November-20	Approve Issuance of Performance Rights to Anthony Rozic	Against
Hamamatsu Photonics KK	18-December-20	Approve Allocation of Income, with a Final Dividend of JPY 20	For
Hamamatsu Photonics KK	18-December-20	Elect Director Kurihara, Kazue	For
Hamamatsu Photonics KK	18-December-20	Appoint Statutory Auditor Utsuyama, Akira	Against
Hamamatsu Photonics KK	18-December-20	Appoint Statutory Auditor Suzuki, Michihito	Against
Hamamatsu Photonics KK	18-December-20	Appoint Statutory Auditor Maki, Yuji	Against
Hamamatsu Photonics KK	18-December-20	Appoint Statutory Auditor Kurauchi, Muneo	Against



Hisamitsu Pharmaceutical Co., Inc.	21-May-20	Elect Director Nakatomi, Hirotaka	Against
Hisamitsu Pharmaceutical Co., Inc.	21-May-20	Elect Director Nakatomi, Kazuhide	Against
Hisamitsu Pharmaceutical Co., Inc.	21-May-20	Appoint Statutory Auditor Nakatomi, Nobuyuki	Against
Hisamitsu Pharmaceutical Co., Inc.	21-May-20	Appoint Statutory Auditor Hirano, Munehiko	Against
Hisamitsu Pharmaceutical Co., Inc.	21-May-20	Approve Allocation of Income, with a Final Dividend of JPY 41.5	For
Hisamitsu Pharmaceutical Co., Inc.	21-May-20	Elect Director Sugiyama, Kosuke	For
Hisamitsu Pharmaceutical Co., Inc.	21-May-20	Elect Director Tsuruda, Toshiaki	For
Hisamitsu Pharmaceutical Co., Inc.	21-May-20	Elect Director Takao, Shinichiro	For
Hisamitsu Pharmaceutical Co., Inc.	21-May-20	Elect Director Saito, Kyu	For
Hisamitsu Pharmaceutical Co., Inc.	21-May-20	Elect Director Tsutsumi, Nobuo	For
Hisamitsu Pharmaceutical Co., Inc.	21-May-20	Elect Director Murayama, Shinichi	For
Hisamitsu Pharmaceutical Co., Inc.	21-May-20	Elect Director Ichikawa, Isao	For
Hisamitsu Pharmaceutical Co., Inc.	21-May-20	Elect Director Furukawa, Teijiro	For
Hisamitsu Pharmaceutical Co., Inc.	21-May-20	Elect Director Anzai, Yuichiro	For
Hisamitsu Pharmaceutical Co., Inc.	21-May-20	Elect Director Matsuo, Tetsugo	For
Hisamitsu Pharmaceutical Co., Inc.	21-May-20	Appoint Statutory Auditor Ono, Keinosuke	For
Hong Kong and China Gas Company Limited	05-June-20	Approve Final Dividend	Against
Hong Kong and China Gas Company Limited	05-June-20	Elect Lee Ka-kit as Director	Against
Hong Kong and China Gas Company Limited	05-June-20	Elect David Li Kwok-po as Director	Against
Hong Kong and China Gas Company Limited	05-June-20	Accept Financial Statements and Statutory Reports	For
Hong Kong and China Gas Company Limited	05-June-20	Elect Alfred Chan Wing-kin as Director	For
Hong Kong and China Gas Company Limited	05-June-20	Approve PricewaterhouseCoopers as Auditor and Authorize Board to Fix Their Remuneration	For
Hong Kong and China Gas Company Limited	05-June-20	Approve Issuance of Bonus Shares	For



Gas Company Limited			
Hong Kong and China Gas Company Limited	05-June-20	Authorize Repurchase of Issued Share Capital	Against
Hong Kong and China Gas Company Limited	05-June-20	Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights	Against
Hong Kong and China Gas Company Limited	05-June-20	Authorize Reissuance of Repurchased Shares	Against
HOSHIZAKI Corp.	26-March-20	Elect Director Sakamoto, Seishi	For
HOSHIZAKI Corp.	26-March-20	Elect Director Kobayashi, Yasuhiro	For
HOSHIZAKI Corp.	26-March-20	Elect Director Tomozoe, Masanao	For
HOSHIZAKI Corp.	26-March-20	Elect Director Yoshimatsu, Masuo	For
HOSHIZAKI Corp.	26-March-20	Elect Director Maruyama, Satoru	For
HOSHIZAKI Corp.	26-March-20	Elect Director Kurimoto, Katsuhiko	For
HOSHIZAKI Corp.	26-March-20	Elect Director Ieta, Yasushi	For
HOSHIZAKI Corp.	26-March-20	Elect Director Yaguchi, Kyo	For
HOSHIZAKI Corp.	26-March-20	Elect Director and Audit Committee Member Motomatsu, Shigeru	For
HOSHIZAKI Corp.	26-March-20	Elect Alternate Director and Audit Committee Member Suzuki, Takeshi	For
HOSHIZAKI Corp.	26-March-20	Elect Alternate Director and Audit Committee Member Suzuki, Tachio	For
HOYA Corp.	24-June-20	Elect Director Uchinaga, Yukako	For
HOYA Corp.	24-June-20	Elect Director Urano, Mitsudo	For
HOYA Corp.	24-June-20	Elect Director Takasu, Takeo	For
HOYA Corp.	24-June-20	Elect Director Kaihori, Shuzo	For
HOYA Corp.	24-June-20	Elect Director Yoshihara, Hiroaki	For
HOYA Corp.	24-June-20	Elect Director Suzuki, Hiroshi	For
Isuzu Motors Ltd.	29-June-20	Approve Allocation of Income, with a Final Dividend of JPY 19	For
Isuzu Motors Ltd.	29-June-20	Elect Director Minami, Shinsuke	For
Isuzu Motors Ltd.	29-June-20	Elect Director Sugimoto, Shigeji	Against
Isuzu Motors Ltd.	29-June-20	Elect Director Shibata, Mitsuyoshi	For
Isuzu Motors Ltd.	29-June-20	Elect Director Nakayama, Kozue	For
Isuzu Motors Ltd.	29-June-20	Appoint Statutory Auditor Miyazaki, Kenji	Against
ITOCHU Techno-Solutions Corp.	18-June-20	Approve Allocation of Income, with a Final Dividend of JPY 30.5	For
ITOCHU Techno-Solutions Corp.	18-June-20	Elect Director Kikuchi, Satoshi	For
ITOCHU Techno-Solutions Corp.	18-June-20	Elect Director Tsuge, Ichiro	For
ITOCHU Techno-Solutions Corp.	18-June-20	Elect Director Okubo, Tadataka	For
ITOCHU Techno-Solutions Corp.	18-June-20	Elect Director Iwasaki, Naoko	For
ITOCHU Techno-Solutions Corp.	18-June-20	Elect Director Motomura, Aya	For
ITOCHU Techno-Solutions Corp.	18-June-20	Elect Director Kajiwara, Hiroshi	For
ITOCHU Techno-Solutions Corp.	18-June-20	Appoint Statutory Auditor Takada, Hiroshi	Against
ITOCHU Techno-Solutions Corp.	18-June-20	Appoint Statutory Auditor Tada, Toshiaki	For
James Hardie Industries Plc	05-November-20	Approve the Remuneration Report	Against
James Hardie Industries Plc	05-November-20	Approve the Grant of Fiscal Year 2021 Return on Capital Employed Restricted Stock Units to Jack Truong	Against
James Hardie Industries Plc	05-November-20	Accept Financial Statements and Statutory Reports	For
James Hardie Industries Plc	05-November-20	Elect Moe Nozari as Director	For
James Hardie Industries Plc	05-November-20	Elect Nigel Stein as Director	For



Industries Plc			
James Hardie Industries Plc	05-November-20	Elect Harold Wiens as Director	For
James Hardie Industries Plc	05-November-20	Authorize Board to Fix Remuneration of Auditors	For
James Hardie Industries Plc	05-November-20	Approve Renewal of Authority for Director to Issues Shares without Pre-emptive Rights	For
James Hardie Industries Plc	05-November-20	Approve the Amendments to the Company's Articles of Association	Against
James Hardie Industries Plc	05-November-20	Approve 2020 Non-Executive Director Equity Plan and Issuance of Shares Thereunder	For
James Hardie Industries Plc	05-November-20	Approve the Grant of Fiscal Year 2021 Relative Total Shareholder Return Restricted Stock Units to Jack Truong	Against
JSR Corp.	17-June-20	Approve Allocation of Income, with a Final Dividend of JPY 30	Against
JSR Corp.	17-June-20	Elect Director Eric Johnson	For
JSR Corp.	17-June-20	Elect Director Kawahashi, Nobuo	For
JSR Corp.	17-June-20	Elect Director Koshiba, Mitsunobu	For
JSR Corp.	17-June-20	Elect Director Kawasaki, Koichi	For
JSR Corp.	17-June-20	Elect Director Miyazaki, Hideki	For
JSR Corp.	17-June-20	Elect Director Nakayama, Mika	For
JSR Corp.	17-June-20	Elect Director Matsuda, Yuzuru	For
JSR Corp.	17-June-20	Elect Director Sugata, Shiro	For
JSR Corp.	17-June-20	Elect Director Seki, Tadayuki	For
JSR Corp.	17-June-20	Appoint Statutory Auditor Iwabuchi, Tomoaki	For
JSR Corp.	17-June-20	Appoint Alternate Statutory Auditor Doi, Makoto	For
JSR Corp.	17-June-20	Appoint Alternate Statutory Auditor Chiba, Akira	For
Kakaku.com, Inc.	18-June-20	Approve Allocation of Income, with a Final Dividend of JPY 20	For
Kakaku.com, Inc.	18-June-20	Elect Director Hayashi, Kaoru	For
Kakaku.com, Inc.	18-June-20	Elect Director Hata, Shonosuke	Against
Kakaku.com, Inc.	18-June-20	Elect Director Murakami, Atsuhiko	For
Kakaku.com, Inc.	18-June-20	Elect Director Yuki, Shingo	For
Kakaku.com, Inc.	18-June-20	Elect Director Miyazaki, Kanako	For
Kakaku.com, Inc.	18-June-20	Elect Director Kato, Tomoharu	For
Kakaku.com, Inc.	18-June-20	Elect Director Miyajima, Kazuyoshi	Against
Kakaku.com, Inc.	18-June-20	Elect Director Kinoshita, Masayuki	For
Kakaku.com, Inc.	18-June-20	Elect Director Tada, Kazukuni	For
Kamigumi Co., Ltd.	26-June-20	Approve Allocation of Income, with a Final Dividend of JPY 23	For
Kamigumi Co., Ltd.	26-June-20	Elect Director Kubo, Masami	Against
Kamigumi Co., Ltd.	26-June-20	Elect Director Fukai, Yoshihiro	Against
Kamigumi Co., Ltd.	26-June-20	Elect Director Tahara, Norihito	For
Kamigumi Co., Ltd.	26-June-20	Elect Director Horiuchi, Toshihiro	For
Kamigumi Co., Ltd.	26-June-20	Elect Director Murakami, Katsumi	For
Kamigumi Co., Ltd.	26-June-20	Elect Director Hiramatsu, Koichi	For
Kamigumi Co., Ltd.	26-June-20	Elect Director Nagata, Yukihiro	Against
Kamigumi Co., Ltd.	26-June-20	Elect Director Shiino, Kazuhisa	Against
Kamigumi Co., Ltd.	26-June-20	Elect Director Ishibashi, Nobuko	For
Kamigumi Co., Ltd.	26-June-20	Elect Director Suzuki, Mitsuo	For
Kamigumi Co., Ltd.	26-June-20	Appoint Statutory Auditor Kobayashi, Yasuo	For
Kamigumi Co., Ltd.	26-June-20	Appoint Statutory Auditor Nakao, Takumi	For
Kamigumi Co., Ltd.	26-June-20	Appoint Alternate Statutory Auditor Saeki, Kuniharu	For
Kamigumi Co., Ltd.	26-June-20	Approve Compensation Ceilings for Directors and Statutory Auditors	For
Kansai Paint Co., Ltd.	26-June-20	Approve Allocation of Income, with a Final Dividend of JPY 15	For
Kansai Paint Co., Ltd.	26-June-20	Elect Director Mori, Kunishi	For



Kansai Paint Co., Ltd.	26-June-20	Elect Director Furukawa, Hidenori	For
Kansai Paint Co., Ltd.	26-June-20	Elect Director Teraoka, Naoto	For
Kansai Paint Co., Ltd.	26-June-20	Elect Director Yoshida, Kazuhiro	For
Kansai Paint Co., Ltd.	26-June-20	Elect Director Nishibayashi, Hitoshi	For
Kansai Paint Co., Ltd.	26-June-20	Elect Director Yoshikawa, Keiji	For
Kansai Paint Co., Ltd.	26-June-20	Elect Director Ando, Tomoko	For
Kansai Paint Co., Ltd.	26-June-20	Elect Director John P. Durkin	For
Kansai Paint Co., Ltd.	26-June-20	Appoint Statutory Auditor Aoyagi, Akira	Against
Kansai Paint Co., Ltd.	26-June-20	Appoint Statutory Auditor Hasebe, Hideshi	Against
Kansai Paint Co., Ltd.	26-June-20	Appoint Alternate Statutory Auditor Nakai, Hiroe	For
Kao Corp.	25-March-20	Approve Allocation of Income, with a Final Dividend of JPY 65	For
Kao Corp.	25-March-20	Amend Articles to Amend Provisions on Director Titles	For
Kao Corp.	25-March-20	Elect Director Sawada, Michitaka	For
Kao Corp.	25-March-20	Elect Director Takeuchi, Toshiaki	For
Kao Corp.	25-March-20	Elect Director Hasebe, Yoshihiro	For
Kao Corp.	25-March-20	Elect Director Matsuda, Tomoharu	For
Kao Corp.	25-March-20	Elect Director Kadonaga, Sonosuke	For
Kao Corp.	25-March-20	Elect Director Shinobe, Osamu	For
Kao Corp.	25-March-20	Elect Director Mukai, Chiaki	For
Kao Corp.	25-March-20	Elect Director Hayashi, Nobuhide	For
Kao Corp.	25-March-20	Appoint Statutory Auditor Nakazawa, Takahiro	For
KEYENCE Corp.	12-June-20	Elect Director Nakata, Yu	Against
KEYENCE Corp.	12-June-20	Approve Allocation of Income, with a Final Dividend of JPY 100	For
KEYENCE Corp.	12-June-20	Elect Director Takizaki, Takemitsu	For
KEYENCE Corp.	12-June-20	Elect Director Kimura, Keiichi	For
KEYENCE Corp.	12-June-20	Elect Director Yamaguchi, Akiji	For
KEYENCE Corp.	12-June-20	Elect Director Miki, Masayuki	For
KEYENCE Corp.	12-June-20	Elect Director Yamamoto, Akinori	For
KEYENCE Corp.	12-June-20	Elect Director Kanzawa, Akira	For
KEYENCE Corp.	12-June-20	Elect Director Tanabe, Yoichi	For
KEYENCE Corp.	12-June-20	Elect Director Taniguchi, Seiichi	For
KEYENCE Corp.	12-June-20	Appoint Statutory Auditor Takeda, Hidehiko	For
KEYENCE Corp.	12-June-20	Appoint Statutory Auditor Indo, Hiroji	For
KEYENCE Corp.	12-June-20	Appoint Alternate Statutory Auditor Yamamoto, Masaharu	For
Kobayashi Pharmaceutical Co., Ltd.	27-March-20	Amend Articles to Amend Provisions on Number of Statutory Auditors	For
Kobayashi Pharmaceutical Co., Ltd.	27-March-20	Elect Director Kobayashi, Kazumasa	For
Kobayashi Pharmaceutical Co., Ltd.	27-March-20	Elect Director Kobayashi, Akihiro	For
Kobayashi Pharmaceutical Co., Ltd.	27-March-20	Elect Director Yamane, Satoshi	For
Kobayashi Pharmaceutical Co., Ltd.	27-March-20	Elect Director Tsuji, Haruo	For
Kobayashi Pharmaceutical Co., Ltd.	27-March-20	Elect Director Ito, Kunio	For
Kobayashi Pharmaceutical Co., Ltd.	27-March-20	Elect Director Sasaki, Kaori	For
Kobayashi Pharmaceutical Co., Ltd.	27-March-20	Appoint Statutory Auditor Ariizumi, Chiaki	For



Koito Manufacturing Co., Ltd.	26-June-20	Approve Allocation of Income, with a Final Dividend of JPY 20	For
Koito Manufacturing Co., Ltd.	26-June-20	Appoint Statutory Auditor Kikuchi, Mitsuo	Against
Koito Manufacturing Co., Ltd.	26-June-20	Appoint Statutory Auditor Kawaguchi, Yohei	Against
Koito Manufacturing Co., Ltd.	26-June-20	Appoint Statutory Auditor Suzuki, Yukinobu	Against
KOSÉ Corp.	26-June-20	Approve Allocation of Income, with a Final Dividend of JPY 95	For
KOSÉ Corp.	26-June-20	Elect Director Kobayashi, Kazutoshi	Against
KOSÉ Corp.	26-June-20	Elect Director Kobayashi, Takao	For
KOSÉ Corp.	26-June-20	Elect Director Kobayashi, Yusuke	For
KOSÉ Corp.	26-June-20	Elect Director Kikuma, Yukino	For
KOSÉ Corp.	26-June-20	Elect Director Maeda, Yuko	For
KOSÉ Corp.	26-June-20	Appoint Statutory Auditor Tanabe, Shinji	Against
KOSÉ Corp.	26-June-20	Appoint Statutory Auditor Kobayashi, Kumi	For
KOSÉ Corp.	26-June-20	Approve Bonus Related to Retirement Bonus System Abolition	Against
KOSÉ Corp.	26-June-20	Approve Restricted Stock Plan	For
Kurita Water Industries Ltd.	29-June-20	Approve Allocation of Income, with a Final Dividend of JPY 31	For
Kurita Water Industries Ltd.	29-June-20	Elect Director Kadota, Michiya	For
Kurita Water Industries Ltd.	29-June-20	Elect Director Ito, Kiyoshi	For
Kurita Water Industries Ltd.	29-June-20	Elect Director Yamada, Yoshio	For
Kurita Water Industries Ltd.	29-June-20	Elect Director Ejiri, Hirohiko	For
Kurita Water Industries Ltd.	29-June-20	Elect Director Suzuki, Yasuo	For
Kurita Water Industries Ltd.	29-June-20	Elect Director Kobayashi, Toshimi	For
Kurita Water Industries Ltd.	29-June-20	Elect Director Moriwaki, Tsuguto	For
Kurita Water Industries Ltd.	29-June-20	Elect Director Sugiyama, Ryoko	For
Kurita Water Industries Ltd.	29-June-20	Elect Director Tanaka, Keiko	For
Kurita Water Industries Ltd.	29-June-20	Appoint Statutory Auditor Kobayashi, Kenjiro	For
Kurita Water Industries Ltd.	29-June-20	Appoint Statutory Auditor Tada, Toshiaki	For
Kurita Water Industries Ltd.	29-June-20	Appoint Alternate Statutory Auditor Nagasawa, Tetsuya	For
Kyocera Corp.	25-June-20	Approve Allocation of Income, with a Final Dividend of JPY 80	For
Kyocera Corp.	25-June-20	Appoint Statutory Auditor Harada, Itsuki	Against
Kyocera Corp.	25-June-20	Appoint Statutory Auditor Sakata, Hitoshi	For
Kyocera Corp.	25-June-20	Appoint Statutory Auditor Akiyama, Masaaki	For
Kyocera Corp.	25-June-20	Appoint Statutory Auditor Koyama, Shigeru	Against
Kyowa Kirin Co., Ltd.	19-March-20	Appoint Statutory Auditor Ueno, Masaki	Against
Kyowa Kirin Co., Ltd.	19-March-20	Approve Allocation of Income, with a Final Dividend of JPY 22	For
Kyowa Kirin Co., Ltd.	19-March-20	Amend Articles to Clarify Director Authority on Board Meetings	For
Kyowa Kirin Co., Ltd.	19-March-20	Elect Director Miyamoto, Masashi	For
Kyowa Kirin Co., Ltd.	19-March-20	Elect Director Osawa, Yutaka	For
Kyowa Kirin Co., Ltd.	19-March-20	Elect Director Mikayama, Toshifumi	For
Kyowa Kirin Co., Ltd.	19-March-20	Elect Director Yokota, Noriya	For
Kyowa Kirin Co., Ltd.	19-March-20	Elect Director Uryu, Kentaro	For
Kyowa Kirin Co., Ltd.	19-March-20	Elect Director Morita, Akira	For
Kyowa Kirin Co., Ltd.	19-March-20	Elect Director Haga, Yuko	For
Kyowa Kirin Co., Ltd.	19-March-20	Approve Restricted Stock Plan	For
Kyushu Railway Co.	23-June-20	Approve Allocation of Income, with a Final Dividend of JPY 46.5	For



Kyushu Railway Co.	23-June-20	Elect Director Karaike, Koji	For
Kyushu Railway Co.	23-June-20	Elect Director Aoyagi, Toshihiko	For
Kyushu Railway Co.	23-June-20	Elect Director Tanaka, Ryuji	For
Kyushu Railway Co.	23-June-20	Elect Director Furumiya, Yoji	For
Kyushu Railway Co.	23-June-20	Elect Director Mori, Toshihiro	For
Kyushu Railway Co.	23-June-20	Elect Director Fukunaga, Hiroyuki	For
Kyushu Railway Co.	23-June-20	Elect Director Nuki, Masayoshi	For
Kyushu Railway Co.	23-June-20	Elect Director Kuwano, Izumi	For
Kyushu Railway Co.	23-June-20	Elect Director Ichikawa, Toshihide	For
Kyushu Railway Co.	23-June-20	Elect Director Asatsuma, Shinji	For
Kyushu Railway Co.	23-June-20	Elect Director Muramatsu, Kuniko	For
Kyushu Railway Co.	23-June-20	Elect Director and Audit Committee Member Kuga, Eiichi	Against
Kyushu Railway Co.	23-June-20	Elect Director and Audit Committee Member Hirokawa, Masaya	For
Kyushu Railway Co.	23-June-20	Elect Director and Audit Committee Member Ide, Kazuhide	Against
Kyushu Railway Co.	23-June-20	Elect Director and Audit Committee Member Eto, Yasunori	For
Kyushu Railway Co.	23-June-20	Amend Articles to Disclose Revenues, EBITDA, Net Operating Income and Appraised Cap Rate for Each Commercial and Residential Real Estate Assets	Against
Kyushu Railway Co.	23-June-20	Elect Shareholder Director Nominee Takei, Fumiyo	For
Kyushu Railway Co.	23-June-20	Elect Shareholder Director Nominee Motoyoshi, Daizo	For
Kyushu Railway Co.	23-June-20	Elect Shareholder Director Nominee Nagao, Yoshiko	Against
LINE Corp.	26-March-20	Approve Stock Option Plan	For
LINE Corp.	15-December-20	Approve Reverse Stock Split to Squeeze Out Minority Shareholders	For
LINE Corp.	15-December-20	Amend Articles to Decrease Authorized Capital	For
Link Real Estate Investment Trust	22-July-20	Elect Peter Tse Pak Wing as Director	For
Link Real Estate Investment Trust	22-July-20	Elect Nancy Tse Sau Ling as Director	For
Link Real Estate Investment Trust	22-July-20	Elect Elaine Carole Young as Director	For
Link Real Estate Investment Trust	22-July-20	Elect Ng Kok Siong as Director	For
Link Real Estate Investment Trust	22-July-20	Authorize Repurchase of Issued Units	Against
Link Real Estate Investment Trust	22-July-20	Approve Amended Scope of Permitted Investments and the Corresponding Investment Scope Trust Deed Amendments	For
Lion Corp.	27-March-20	Elect Director Hama, Itsuo	For
Lion Corp.	27-March-20	Elect Director Kikukawa, Masazumi	For
Lion Corp.	27-March-20	Elect Director Kobayashi, Kenjiro	For
Lion Corp.	27-March-20	Elect Director Sakakibara, Takeo	For
Lion Corp.	27-March-20	Elect Director Kume, Yugo	For
Lion Corp.	27-March-20	Elect Director Noritake, Fumitomo	For
Lion Corp.	27-March-20	Elect Director Uchida, Kazunari	For
Lion Corp.	27-March-20	Elect Director Shiraishi, Takashi	For
Lion Corp.	27-March-20	Elect Director Sugaya, Takako	For
Lion Corp.	27-March-20	Appoint Alternate Statutory Auditor Sunaga, Akemi	For
M3, Inc.	30-June-20	Elect Director Tanimura, Itaru	Against
M3, Inc.	30-June-20	Elect Director Tomaru, Akihiko	For
M3, Inc.	30-June-20	Elect Director Tsuchiya, Eiji	For
M3, Inc.	30-June-20	Elect Director Izumiya, Kazuyuki	For
M3, Inc.	30-June-20	Elect Director Urae, Akinori	For
M3, Inc.	30-June-20	Elect Director Yoshida, Kenichiro	For
M3, Inc.	30-June-20	Elect Director Mori, Kenichi	For
M3, Inc.	30-June-20	Elect Director and Audit Committee Member Ii, Masako	For



M3, Inc.	30-June-20	Elect Director and Audit Committee Member Yamazaki, Mayuka	For
M3, Inc.	30-June-20	Elect Director and Audit Committee Member Toyama, Ryoko	For
Makita Corp.	25-June-20	Approve Allocation of Income, with a Final Dividend of JPY 43	For
Makita Corp.	25-June-20	Appoint Statutory Auditor Wakayama, Mitsuhiko	For
Makita Corp.	25-June-20	Appoint Statutory Auditor Kodama, Akira	For
Makita Corp.	25-June-20	Appoint Statutory Auditor Inoue, Shoji	For
Makita Corp.	25-June-20	Approve Annual Bonus	For
Maruichi Steel Tube Ltd.	24-June-20	Elect Director Suzuki, Hiroyuki	Against
Maruichi Steel Tube Ltd.	24-June-20	Elect Director Yoshimura, Yoshinori	Against
Maruichi Steel Tube Ltd.	24-June-20	Elect Director Horikawa, Daiji	For
Maruichi Steel Tube Ltd.	24-June-20	Elect Director Kadono, Minoru	Against
Maruichi Steel Tube Ltd.	24-June-20	Elect Director Nakano, Kenjiro	For
Maruichi Steel Tube Ltd.	24-June-20	Elect Director Ushino, Kenichiro	For
Maruichi Steel Tube Ltd.	24-June-20	Elect Director Fujioka, Yuka	For
McDonald's Holdings Co. (Japan) Ltd.	27-March-20	Elect Director Sarah L. CasaNovember	Against
McDonald's Holdings Co. (Japan) Ltd.	27-March-20	Appoint Statutory Auditor Ishii, Takaaki	Against
McDonald's Holdings Co. (Japan) Ltd.	27-March-20	Appoint Statutory Auditor Ellen Caya	Against
McDonald's Holdings Co. (Japan) Ltd.	27-March-20	Approve Allocation of Income, with a Final Dividend of JPY 33	For
McDonald's Holdings Co. (Japan) Ltd.	27-March-20	Elect Director Aroscha Yijemuni	For
McDonald's Holdings Co. (Japan) Ltd.	27-March-20	Elect Director Ueda, Masataka	For
McDonald's Holdings Co. (Japan) Ltd.	27-March-20	Appoint Statutory Auditor Tashiro, Yuko	For
McDonald's Holdings Co. (Japan) Ltd.	27-March-20	Appoint Statutory Auditor Honda, Yoshiyuki	For
Meiji Holdings Co., Ltd.	26-June-20	Elect Director Kawamura, Kazuo	For
Meiji Holdings Co., Ltd.	26-June-20	Elect Director Kobayashi, Daikichiro	For
Meiji Holdings Co., Ltd.	26-June-20	Elect Director Matsuda, Katsunari	For
Meiji Holdings Co., Ltd.	26-June-20	Elect Director Shiozaki, Koichiro	For
Meiji Holdings Co., Ltd.	26-June-20	Elect Director Furuta, Jun	For
Meiji Holdings Co., Ltd.	26-June-20	Elect Director Iwashita, Tomochika	For
Meiji Holdings Co., Ltd.	26-June-20	Elect Director Murayama, Toru	For
Meiji Holdings Co., Ltd.	26-June-20	Elect Director Matsumura, Mariko	For
Meiji Holdings Co., Ltd.	26-June-20	Appoint Alternate Statutory Auditor Imamura, Makoto	For
Mercury NZ Limited	24-September-20	Elect Hannah Hamling as Director	For
Mercury NZ Limited	24-September-20	Elect Andy Lark as Director	For
Mercury NZ Limited	24-September-20	Elect Scott St John as Director	For
Mercury NZ Limited	24-September-20	Elect Patrick Strange as Director	Against
Meridian Energy Limited	01-October-20	Elect Mark Verbiest as Director	For
Minebea Mitsumi, Inc.	26-June-20	Elect Director Kainuma, Yoshihisa	Against
Minebea Mitsumi, Inc.	26-June-20	Elect Director Moribe, Shigeru	Against



Minebea Mitsumi, Inc.	26-June-20	Elect Director Iwaya, Ryoza	For
Minebea Mitsumi, Inc.	26-June-20	Elect Director Tsuruta, Tetsuya	For
Minebea Mitsumi, Inc.	26-June-20	Elect Director None, Shigeru	For
Minebea Mitsumi, Inc.	26-June-20	Elect Director Kagami, Michiya	For
Minebea Mitsumi, Inc.	26-June-20	Elect Director Yoshida, Katsuhiko	Against
Minebea Mitsumi, Inc.	26-June-20	Elect Director Aso, Hiroshi	For
Minebea Mitsumi, Inc.	26-June-20	Elect Director Murakami, Koshi	For
Minebea Mitsumi, Inc.	26-June-20	Elect Director Matsumura, Atsuko	For
Minebea Mitsumi, Inc.	26-June-20	Elect Director Haga, Yuko	For
Minebea Mitsumi, Inc.	26-June-20	Elect Director Matsuoka, Takashi	For
Minebea Mitsumi, Inc.	26-June-20	Approve Trust-Type Equity Compensation Plan	For
MISUMI Group Inc.	16-June-20	Approve Allocation of Income, with a Final Dividend of JPY 7.04	For
MISUMI Group Inc.	16-June-20	Elect Director Nishimoto, Kosuke	For
MISUMI Group Inc.	16-June-20	Elect Director Ono, Ryusei	For
MISUMI Group Inc.	16-June-20	Elect Director Ikeguchi, Tokuya	For
MISUMI Group Inc.	16-June-20	Elect Director Otokozaawa, Ichiro	For
MISUMI Group Inc.	16-June-20	Elect Director Sato, Toshinari	For
MISUMI Group Inc.	16-June-20	Elect Director Ogi, Takehiko	For
MISUMI Group Inc.	16-June-20	Elect Director Nakano, Yoichi	For
MISUMI Group Inc.	16-June-20	Elect Director Shimizu, Arata	For
Mitsubishi Electric Corp.	26-June-20	Elect Director Sakuyama, Masaki	Against
Mitsubishi Electric Corp.	26-June-20	Elect Director Sugiyama, Takeshi	Against
Mitsubishi Electric Corp.	26-June-20	Elect Director Sagawa, Masahiko	For
Mitsubishi Electric Corp.	26-June-20	Elect Director Harada, Shinji	Against
Mitsubishi Electric Corp.	26-June-20	Elect Director Kawagoishi, Tadashi	For
Mitsubishi Electric Corp.	26-June-20	Elect Director Sakamoto, Takashi	For
Mitsubishi Electric Corp.	26-June-20	Elect Director Uruma, Kei	Against
Mitsubishi Electric Corp.	26-June-20	Elect Director Yabunaka, Mitoji	For
Mitsubishi Electric Corp.	26-June-20	Elect Director Obayashi, Hiroshi	For
Mitsubishi Electric Corp.	26-June-20	Elect Director Watanabe, Kazunori	For
Mitsubishi Electric Corp.	26-June-20	Elect Director Koide, Hiroko	For
Mitsubishi Electric Corp.	26-June-20	Elect Director Oyamada, Takashi	Against
Mitsubishi Gas Chemical Co., Inc.	25-June-20	Elect Director Kurai, Toshikiyo	For
Mitsubishi Gas Chemical Co., Inc.	25-June-20	Elect Director Fujii, Masashi	For
Mitsubishi Gas Chemical Co., Inc.	25-June-20	Elect Director Inari, Masato	For
Mitsubishi Gas Chemical Co., Inc.	25-June-20	Elect Director Ariyoshi, Nobuhisa	For
Mitsubishi Gas Chemical Co., Inc.	25-June-20	Elect Director Okubo, Tomohiko	For
Mitsubishi Gas Chemical Co., Inc.	25-June-20	Elect Director Kato, Kenji	For



Chemical Co., Inc.			
Mitsubishi Gas Chemical Co., Inc.	25-June-20	Elect Director Kosaka, Yasushi	For
Mitsubishi Gas Chemical Co., Inc.	25-June-20	Elect Director Nagaoka, Naruyuki	For
Mitsubishi Gas Chemical Co., Inc.	25-June-20	Elect Director Tanigawa, Kazuo	For
Mitsubishi Gas Chemical Co., Inc.	25-June-20	Elect Director Sato, Tsugio	For
Mitsubishi Gas Chemical Co., Inc.	25-June-20	Elect Director Hirose, Haruko	For
Mitsubishi Gas Chemical Co., Inc.	25-June-20	Elect Director Suzuki, Toru	For
Mitsubishi Gas Chemical Co., Inc.	25-June-20	Appoint Statutory Auditor Sugita, Katsuhiko	Against
Mitsubishi Gas Chemical Co., Inc.	25-June-20	Appoint Statutory Auditor Mizukami, Masamichi	Against
MonotaRO Co., Ltd.	26-March-20	Elect Director Yamagata, Yasuo	Against
MonotaRO Co., Ltd.	26-March-20	Elect Director Kitamura, Haruo	Against
MonotaRO Co., Ltd.	26-March-20	Approve Allocation of Income, with a Final Dividend of JPY 7.5	For
MonotaRO Co., Ltd.	26-March-20	Elect Director Seto, Kinya	For
MonotaRO Co., Ltd.	26-March-20	Elect Director Suzuki, Masaya	For
MonotaRO Co., Ltd.	26-March-20	Elect Director Kishida, Masahiro	For
MonotaRO Co., Ltd.	26-March-20	Elect Director Ise, Tomoko	For
MonotaRO Co., Ltd.	26-March-20	Elect Director Sagiya, Mari	For
MonotaRO Co., Ltd.	26-March-20	Elect Director Barry Greenhouse	For
MTR Corporation Limited	20-May-20	Elect Bunny Chan Chung-bun as Director	Against
MTR Corporation Limited	20-May-20	Accept Financial Statements and Statutory Reports	For
MTR Corporation Limited	20-May-20	Approve Final Dividend	Against
MTR Corporation Limited	20-May-20	Elect Andrew Clifford Winawer Brandler as Director	For
MTR Corporation Limited	20-May-20	Elect Pamela Chan Wong Shui as Director	For
MTR Corporation Limited	20-May-20	Elect Dorothy Chan Yuen Tak-fai as Director	For
MTR Corporation Limited	20-May-20	Elect Johannes Zhou Yuan as Director	For
MTR Corporation Limited	20-May-20	Approve KPMG as Auditors and Authorize Board to Fix Their Remuneration	For
MTR Corporation Limited	20-May-20	Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights	For
MTR Corporation Limited	20-May-20	Authorize Repurchase of Issued Share Capital	Against
Murata Manufacturing Co. Ltd.	26-June-20	Approve Allocation of Income, with a Final Dividend of JPY 50	For
Murata Manufacturing Co. Ltd.	26-June-20	Elect Director Murata, Tsuneo	Against
Murata Manufacturing Co. Ltd.	26-June-20	Elect Director Nakajima, Norio	Against
Murata Manufacturing Co. Ltd.	26-June-20	Elect Director Iwatsubo, Hiroshi	For
Murata Manufacturing Co. Ltd.	26-June-20	Elect Director Takemura, Yoshito	For



Ltd.			
Murata Manufacturing Co. Ltd.	26-June-20	Elect Director Ishitani, Masahiro	Against
Murata Manufacturing Co. Ltd.	26-June-20	Elect Director Miyamoto, Ryuji	For
Murata Manufacturing Co. Ltd.	26-June-20	Elect Director Minamide, Masanori	For
Murata Manufacturing Co. Ltd.	26-June-20	Elect Director Shigematsu, Takashi	For
Murata Manufacturing Co. Ltd.	26-June-20	Elect Director Yasuda, Yuko	For
Murata Manufacturing Co. Ltd.	26-June-20	Elect Director and Audit Committee Member Ozawa, Yoshiro	Against
Murata Manufacturing Co. Ltd.	26-June-20	Elect Director and Audit Committee Member Kambayashi, Hiyo	For
Murata Manufacturing Co. Ltd.	26-June-20	Elect Director and Audit Committee Member Yamamoto, Takatoshi	Against
Murata Manufacturing Co. Ltd.	26-June-20	Elect Director and Audit Committee Member Munakata, Naoko	For
Nabtesco Corp.	24-March-20	Approve Allocation of Income, with a Final Dividend of JPY 37	For
Nabtesco Corp.	24-March-20	Elect Director Juman, Shinji	For
Nabtesco Corp.	24-March-20	Elect Director Hakoda, Daisuke	For
Nabtesco Corp.	24-March-20	Elect Director Akita, Toshiaki	For
Nabtesco Corp.	24-March-20	Elect Director Naoki, Shigeru	For
Nabtesco Corp.	24-March-20	Elect Director Kimura, Kazumasa	For
Nabtesco Corp.	24-March-20	Elect Director Fujiwara, Yutaka	For
Nabtesco Corp.	24-March-20	Elect Director Uchida, Norio	For
Nabtesco Corp.	24-March-20	Elect Director Iizuka, Mari	For
Nabtesco Corp.	24-March-20	Elect Director Mizukoshi, Naoko	For
Nabtesco Corp.	24-March-20	Appoint Statutory Auditor Shimizu, Isao	For
Nabtesco Corp.	24-March-20	Appoint Statutory Auditor Sasaki, Zenzo	For
Nabtesco Corp.	24-March-20	Appoint Statutory Auditor Nagasaka, Takemi	For
Nabtesco Corp.	24-March-20	Elect Director Teramoto, Katsuhiko	Against
Newcrest Mining Ltd.	11-November-20	Elect Sally-Anne Layman as Director	Against
Newcrest Mining Ltd.	11-November-20	Elect Roger Higgins as Director	For
Newcrest Mining Ltd.	11-November-20	Elect Gerard Bond as Director	For
Newcrest Mining Ltd.	11-November-20	Approve Grant of Performance Rights to Sandeep Biswas	Against
Newcrest Mining Ltd.	11-November-20	Approve Grant of Performance Rights to Gerard Bond	Against
Newcrest Mining Ltd.	11-November-20	Approve Remuneration Report	For
Newcrest Mining Ltd.	11-November-20	Approve Renewal of Proportional Takeover Bid Provisions in the Constitution	For
Newcrest Mining Ltd.	11-November-20	Approve the Amendments to the Company's Constitution	Against
NEXON Co., Ltd.	25-March-20	Elect Director Owen Mahoney	For
NEXON Co., Ltd.	25-March-20	Elect Director Uemura, Shiro	For
NEXON Co., Ltd.	25-March-20	Elect Director Patrick Soderlund	For
NEXON Co., Ltd.	25-March-20	Elect Director and Audit Committee Member Hongwoo Lee	For
NEXON Co., Ltd.	25-March-20	Elect Director and Audit Committee Member Honda, Satoshi	For
NEXON Co., Ltd.	25-March-20	Elect Director and Audit Committee Member Kuniya, Shiro	For
NEXON Co., Ltd.	25-March-20	Approve Stock Option Plan	For
NGK SPARK PLUG CO., LTD.	24-June-20	Elect Director Odo, Shinichi	For



NGK SPARK PLUG CO., LTD.	24-June-20	Elect Director Kawai, Takeshi	For
NGK SPARK PLUG CO., LTD.	24-June-20	Elect Director Matsui, Toru	For
NGK SPARK PLUG CO., LTD.	24-June-20	Elect Director Kato, Mikihiro	For
NGK SPARK PLUG CO., LTD.	24-June-20	Elect Director Kojima, Takio	For
NGK SPARK PLUG CO., LTD.	24-June-20	Elect Director Isobe, Kenji	For
NGK SPARK PLUG CO., LTD.	24-June-20	Elect Director Maeda, Hiroyuki	For
NGK SPARK PLUG CO., LTD.	24-June-20	Elect Director Otaki, Morihiko	For
NGK SPARK PLUG CO., LTD.	24-June-20	Elect Director Yasui, Kanemaru	For
NGK SPARK PLUG CO., LTD.	24-June-20	Elect Director Mackenzie Donald Clugston	For
NGK SPARK PLUG CO., LTD.	24-June-20	Elect Director Doi, Miwako	For
NGK SPARK PLUG CO., LTD.	24-June-20	Appoint Alternate Statutory Auditor Ando, Toshihiro	For
NIDEC Corp.	17-June-20	Amend Articles to Adopt Board Structure with Audit Committee - Amend Provisions on Number of Directors - Authorize Directors to Execute Day to Day Operations without Full Board Approval - Indemnify Directors	For
NIDEC Corp.	17-June-20	Elect Director Nagamori, Shigenobu	For
NIDEC Corp.	17-June-20	Elect Director Seki, Jun	For
NIDEC Corp.	17-June-20	Elect Director Sato, Teiichi	For
NIDEC Corp.	17-June-20	Elect Director Shimizu, Osamu	For
NIDEC Corp.	17-June-20	Elect Director and Audit Committee Member Murakami, Kazuya	Against
NIDEC Corp.	17-June-20	Elect Director and Audit Committee Member Ochiai, Hiroyuki	Against
NIDEC Corp.	17-June-20	Elect Director and Audit Committee Member Nakane, Takeshi	For
NIDEC Corp.	17-June-20	Elect Director and Audit Committee Member Yamada, Aya	Against
NIDEC Corp.	17-June-20	Elect Director and Audit Committee Member Sakai, Takako	For
NIDEC Corp.	17-June-20	Elect Alternate Director and Audit Committee Member Watanabe, Junko	Against
NIDEC Corp.	17-June-20	Approve Compensation Ceiling for Directors Who Are Not Audit Committee Members	For
NIDEC Corp.	17-June-20	Approve Compensation Ceiling for Directors Who Are Audit Committee Members	For
NIDEC Corp.	17-June-20	Approve Trust-Type Equity Compensation Plan	For
Nintendo Co., Ltd.	26-June-20	Approve Allocation of Income, with a Final Dividend of JPY 820	For
Nintendo Co., Ltd.	26-June-20	Elect Director Furukawa, Shuntaro	For
Nintendo Co., Ltd.	26-June-20	Elect Director Miyamoto, Shigeru	For
Nintendo Co., Ltd.	26-June-20	Elect Director Takahashi, Shinya	For
Nintendo Co., Ltd.	26-June-20	Elect Director Shiota, Ko	For
Nintendo Co., Ltd.	26-June-20	Elect Director Shibata, Satoru	For
Nintendo Co., Ltd.	26-June-20	Elect Director and Audit Committee Member Noguchi, Naoki	For
Nintendo Co., Ltd.	26-June-20	Elect Director and Audit Committee Member Umeyama, Katsuhiro	For
Nintendo Co., Ltd.	26-June-20	Elect Director and Audit Committee Member Yamazaki, Masao	For
Nintendo Co., Ltd.	26-June-20	Elect Director and Audit Committee Member Shinkawa, Asa	For
Nippon Paint Holdings Co., Ltd.	26-March-20	Approve Allocation of Income, with a Final Dividend of JPY 23	For
Nippon Paint Holdings Co., Ltd.	26-March-20	Amend Articles to Adopt Board Structure with Three Committees - Clarify Director Authority on Shareholder Meetings - Amend Provisions on Director Titles - Clarify Director Authority on Board Meetings - Indemnify Directors	For
Nippon Paint Holdings Co., Ltd.	26-March-20	Elect Director Tanaka, Masaaki	For
Nippon Paint Holdings Co., Ltd.	26-March-20	Elect Director Goh Hup Jin	For
Nippon Paint Holdings Co., Ltd.	26-March-20	Elect Director Minami, Manabu	For
Nippon Paint Holdings Co., Ltd.	26-March-20	Elect Director Hara, Hisashi	For



Nippon Paint Holdings Co., Ltd.	26-March-20	Elect Director Tsutsui, Takashi	For
Nippon Paint Holdings Co., Ltd.	26-March-20	Elect Director Morohoshi, Toshio	For
Nippon Paint Holdings Co., Ltd.	26-March-20	Elect Director Nakamura, Masayoshi	For
Nippon Paint Holdings Co., Ltd.	26-March-20	Elect Director Mitsuhashi, Masataka	For
Nippon Paint Holdings Co., Ltd.	26-March-20	Elect Director Koezuka, Mihar	For
Nippon Shinyaku Co., Ltd.	26-June-20	Approve Allocation of Income, with a Final Dividend of JPY 43	For
Nippon Shinyaku Co., Ltd.	26-June-20	Elect Director Maekawa, Shigenobu	For
Nippon Shinyaku Co., Ltd.	26-June-20	Elect Director Matsura, Akira	For
Nippon Shinyaku Co., Ltd.	26-June-20	Elect Director Sano, Shozo	For
Nippon Shinyaku Co., Ltd.	26-June-20	Elect Director Saito, Hitoshi	For
Nippon Shinyaku Co., Ltd.	26-June-20	Elect Director Kobayashi, Kenro	For
Nippon Shinyaku Co., Ltd.	26-June-20	Elect Director Takaya, Takashi	For
Nippon Shinyaku Co., Ltd.	26-June-20	Elect Director Edamitsu, Takanori	For
Nippon Shinyaku Co., Ltd.	26-June-20	Elect Director Nakai, Toru	For
Nippon Shinyaku Co., Ltd.	26-June-20	Elect Director Sugiura, Yukio	For
Nippon Shinyaku Co., Ltd.	26-June-20	Elect Director Sakata, Hitoshi	For
Nippon Shinyaku Co., Ltd.	26-June-20	Elect Director Sakurai, Miyuki	For
Nippon Shinyaku Co., Ltd.	26-June-20	Elect Director Wada, Yoshinao	For
Nippon Shinyaku Co., Ltd.	26-June-20	Appoint Statutory Auditor Kuwabara, Kenji	Against
Nippon Shinyaku Co., Ltd.	26-June-20	Appoint Statutory Auditor Kondo, Tsuyoshi	For
Nissan Chemical Corp.	25-June-20	Approve Allocation of Income, with a Final Dividend of JPY 48	For
Nissan Chemical Corp.	25-June-20	Elect Director Kinoshita, Kojiro	For
Nissan Chemical Corp.	25-June-20	Elect Director Miyazaki, Junichi	For
Nissan Chemical Corp.	25-June-20	Elect Director Yagi, Shinsuke	For
Nissan Chemical Corp.	25-June-20	Elect Director Miyaji, Katsuaki	For
Nissan Chemical Corp.	25-June-20	Elect Director Honda, Takashi	For
Nissan Chemical Corp.	25-June-20	Elect Director Suzuki, Hitoshi	For
Nissan Chemical Corp.	25-June-20	Elect Director Oe, Tadashi	For
Nissan Chemical Corp.	25-June-20	Elect Director Obayashi, Hidehito	For
Nissan Chemical Corp.	25-June-20	Elect Director Kataoka, Kazunori	For
Nissan Chemical Corp.	25-June-20	Appoint Statutory Auditor Suzuki, Norihiro	Against
Nisshin Seifun Group Inc.	25-June-20	Elect Director Kemmoku, Nobuki	For
Nisshin Seifun Group Inc.	25-June-20	Elect Director Takizawa, Michinori	For
Nisshin Seifun Group Inc.	25-June-20	Elect Director Mori, Akira	For
Nisshin Seifun Group	25-June-20	Elect Director Iwasaki, Koichi	For



Inc.			
Nisshin Seifun Group Inc.	25-June-20	Elect Director Yamada, Takao	For
Nisshin Seifun Group Inc.	25-June-20	Elect Director Koike, Yuji	For
Nisshin Seifun Group Inc.	25-June-20	Elect Director Mimura, Akio	For
Nisshin Seifun Group Inc.	25-June-20	Elect Director Fushiya, Kazuhiko	For
Nisshin Seifun Group Inc.	25-June-20	Elect Director Nagai, Moto	For
Nisshin Seifun Group Inc.	25-June-20	Elect Director Odaka, Satoshi	For
Nissin Foods Holdings Co., Ltd.	25-June-20	Approve Allocation of Income, with a Final Dividend of JPY 55	For
Nissin Foods Holdings Co., Ltd.	25-June-20	Amend Articles to Amend Provision on Shareholder Meeting Venue	For
Nissin Foods Holdings Co., Ltd.	25-June-20	Elect Director Ando, Koki	Against
Nissin Foods Holdings Co., Ltd.	25-June-20	Elect Director Ando, Noritaka	Against
Nissin Foods Holdings Co., Ltd.	25-June-20	Elect Director Yokoyama, Yukio	For
Nissin Foods Holdings Co., Ltd.	25-June-20	Elect Director Kobayashi, Ken	For
Nissin Foods Holdings Co., Ltd.	25-June-20	Elect Director Okafuji, Masahiro	For
Nissin Foods Holdings Co., Ltd.	25-June-20	Elect Director Mizuno, Masato	For
Nissin Foods Holdings Co., Ltd.	25-June-20	Elect Director Nakagawa, Yukiko	For
Nissin Foods Holdings Co., Ltd.	25-June-20	Elect Director Sakuraba, Eietsu	For
Nitori Holdings Co., Ltd.	14-May-20	Elect Director Nitori, Akio	For
Nitori Holdings Co., Ltd.	14-May-20	Elect Director Shirai, Toshiyuki	For
Nitori Holdings Co., Ltd.	14-May-20	Elect Director Sudo, Fumihiro	For
Nitori Holdings Co., Ltd.	14-May-20	Elect Director Matsumoto, Fumiaki	For
Nitori Holdings Co., Ltd.	14-May-20	Elect Director Takeda, Masanori	For
Nitori Holdings Co., Ltd.	14-May-20	Elect Director Sakakibara, Sadayuki	For
Nitori Holdings Co., Ltd.	14-May-20	Elect Director Miyauchi, Yoshihiko	For
Nitori Holdings Co., Ltd.	14-May-20	Elect Director and Audit Committee Member Kubo, Takao	For
Nitori Holdings Co., Ltd.	14-May-20	Elect Director and Audit Committee Member Ando, Takaharu	For
Nitori Holdings Co., Ltd.	14-May-20	Elect Director and Audit Committee Member Suzuki, Kazuhiro	For
Nitori Holdings Co., Ltd.	14-May-20	Elect Director and Audit Committee Member Tatsuoka, Tsuneyoshi	For
Nitto Denko Corp.	19-June-20	Appoint Statutory Auditor Teranishi, Masashi	Against
Nitto Denko Corp.	19-June-20	Approve Allocation of Income, with a Final Dividend of JPY 100	Against
Nitto Denko Corp.	19-June-20	Approve Annual Bonus	For
Nitto Denko Corp.	19-June-20	Elect Director Takasaki, Hideo	For
Nitto Denko Corp.	19-June-20	Elect Director Todokoro, Nobuhiro	For
Nitto Denko Corp.	19-June-20	Elect Director Miki, Yosuke	For
Nitto Denko Corp.	19-June-20	Elect Director Iseyama, Yasuhiro	For
Nitto Denko Corp.	19-June-20	Elect Director Furuse, Yoichiro	For
Nitto Denko Corp.	19-June-20	Elect Director Hatchoji, Takashi	For
Nitto Denko Corp.	19-June-20	Elect Director Fukuda, Tamio	For



Nitto Denko Corp.	19-June-20	Elect Director Wong Lai Yong	For
Nitto Denko Corp.	19-June-20	Appoint Statutory Auditor Shiraki, Mitsuhide	For
Nitto Denko Corp.	19-June-20	Approve Compensation Ceiling for Directors	For
Nomura Research Institute Ltd.	18-June-20	Elect Director Konomoto, Shingo	For
Nomura Research Institute Ltd.	18-June-20	Elect Director Momose, Hironori	For
Nomura Research Institute Ltd.	18-June-20	Elect Director Ueno, Ayumu	For
Nomura Research Institute Ltd.	18-June-20	Elect Director Fukami, Yasuo	For
Nomura Research Institute Ltd.	18-June-20	Elect Director Shimamoto, Tadashi	For
Nomura Research Institute Ltd.	18-June-20	Elect Director Funakura, Hiroshi	For
Nomura Research Institute Ltd.	18-June-20	Elect Director Matsuzaki, Masatoshi	For
Nomura Research Institute Ltd.	18-June-20	Elect Director Omiya, Hideaki	For
Nomura Research Institute Ltd.	18-June-20	Elect Director Sakata, Shinoi	For
Nomura Research Institute Ltd.	18-June-20	Appoint Statutory Auditor Sakata, Takuhito	Against
Northern Star Resources Limited	25-November-20	Approve Remuneration Report	Against
Northern Star Resources Limited	25-November-20	Approve FY20 Share Plan	For
Northern Star Resources Limited	25-November-20	Approve Issuance of Performance Rights to Bill Beament	Against
Northern Star Resources Limited	25-November-20	Elect Peter O'Connor as Director	For
Northern Star Resources Limited	25-November-20	Approve the Increase in Aggregate Remuneration of Non-Executive Directors	For
Northern Star Resources Limited	25-November-20	Approve Issuance of Performance Rights to Raleigh Finlayson	Against
NTT DoCoMo, Inc.	16-June-20	Elect Director and Audit Committee Member Suto, Shoji	Against
NTT DoCoMo, Inc.	16-June-20	Elect Director and Audit Committee Member Sagae, Hironobu	Against
NTT DoCoMo, Inc.	16-June-20	Elect Director and Audit Committee Member Nakata, Katsumi	Against
NTT DoCoMo, Inc.	16-June-20	Approve Allocation of Income, with a Final Dividend of JPY 60	For
NTT DoCoMo, Inc.	16-June-20	Amend Articles to Abolish Board Structure with Statutory Auditors - Adopt Board Structure with Audit Committee - Amend Provisions on Number of Directors - Authorize Directors to Execute Day to Day Operations without Full Board Approval	For
NTT DoCoMo, Inc.	16-June-20	Elect Director Yoshizawa, Kazuhiro	For
NTT DoCoMo, Inc.	16-June-20	Elect Director Ii, Motoyuki	For
NTT DoCoMo, Inc.	16-June-20	Elect Director Maruyama, Seiji	For
NTT DoCoMo, Inc.	16-June-20	Elect Director Fujiwara, Michio	For
NTT DoCoMo, Inc.	16-June-20	Elect Director Hiroi, Takashi	For
NTT DoCoMo, Inc.	16-June-20	Elect Director Tateishi, Mayumi	For
NTT DoCoMo, Inc.	16-June-20	Elect Director Shintaku, Masaaki	For
NTT DoCoMo, Inc.	16-June-20	Elect Director Endo, Noriko	For
NTT DoCoMo, Inc.	16-June-20	Elect Director Kikuchi, Shin	For
NTT DoCoMo, Inc.	16-June-20	Elect Director Kuroda, Katsumi	For
NTT DoCoMo, Inc.	16-June-20	Elect Director and Audit Committee Member Kajikawa, Mikio	For
NTT DoCoMo, Inc.	16-June-20	Elect Director and Audit Committee Member Tsujiyama, Eiko	For
NTT DoCoMo, Inc.	16-June-20	Approve Compensation Ceiling for Directors Who Are Not Audit Committee Members	For
NTT DoCoMo, Inc.	16-June-20	Approve Compensation Ceiling for Directors Who Are Audit Committee Members	For
OBIC Co., Ltd.	26-June-20	Approve Allocation of Income, with a Final Dividend of JPY 90	For
OBIC Co., Ltd.	26-June-20	Elect Director Noda, Masahiro	Against
OBIC Co., Ltd.	26-June-20	Elect Director Tachibana, Shoichi	Against



OBIC Co., Ltd.	26-June-20	Elect Director Kawanishi, Atsushi	For
OBIC Co., Ltd.	26-June-20	Elect Director Noda, Mizuki	For
OBIC Co., Ltd.	26-June-20	Elect Director Fujimoto, Takao	For
OBIC Co., Ltd.	26-June-20	Elect Director Ida, Hideshi	For
OBIC Co., Ltd.	26-June-20	Elect Director Ueno, Takemitsu	For
OBIC Co., Ltd.	26-June-20	Elect Director Gomi, Yasumasa	For
OBIC Co., Ltd.	26-June-20	Elect Director Ejiri, Takashi	For
Olympus Corp.	30-July-20	Approve Allocation of Income, with a Final Dividend of JPY 10	For
Olympus Corp.	30-July-20	Amend Articles to Authorize Board to Determine Income Allocation	For
Olympus Corp.	30-July-20	Elect Director Takeuchi, Yasuo	For
Olympus Corp.	30-July-20	Elect Director Fujita, Sumitaka	For
Olympus Corp.	30-July-20	Elect Director Kaminaga, Susumu	For
Olympus Corp.	30-July-20	Elect Director Kikawa, Michijiro	For
Olympus Corp.	30-July-20	Elect Director Iwamura, Tetsuo	For
Olympus Corp.	30-July-20	Elect Director Masuda, Yasumasa	For
Olympus Corp.	30-July-20	Elect Director Natori, Katsuya	For
Olympus Corp.	30-July-20	Elect Director Iwasaki, Atsushi	For
Olympus Corp.	30-July-20	Elect Director David Robert Hale	For
Olympus Corp.	30-July-20	Elect Director Jimmy C. Beasley	For
Olympus Corp.	30-July-20	Elect Director Stefan Kaufmann	For
Olympus Corp.	30-July-20	Elect Director Koga, Nobuyuki	For
OMRON Corp.	23-June-20	Approve Allocation of Income, with a Final Dividend of JPY 42	For
OMRON Corp.	23-June-20	Elect Director Tateishi, Fumio	For
OMRON Corp.	23-June-20	Elect Director Yamada, Yoshihito	For
OMRON Corp.	23-June-20	Elect Director Miyata, Kiichiro	For
OMRON Corp.	23-June-20	Elect Director Nitto, Koji	For
OMRON Corp.	23-June-20	Elect Director Ando, Satoshi	For
OMRON Corp.	23-June-20	Elect Director Kobayashi, Eizo	For
OMRON Corp.	23-June-20	Elect Director Kamigama, Takehiro	For
OMRON Corp.	23-June-20	Elect Director Kobayashi, Izumi	For
OMRON Corp.	23-June-20	Appoint Statutory Auditor Uchiyama, Hideyo	For
OMRON Corp.	23-June-20	Appoint Alternate Statutory Auditor Watanabe, Toru	For
Ono Pharmaceutical Co., Ltd.	18-June-20	Approve Allocation of Income, with a Final Dividend of JPY 22.5	For
Ono Pharmaceutical Co., Ltd.	18-June-20	Elect Director Sagara, Gyo	For
Ono Pharmaceutical Co., Ltd.	18-June-20	Elect Director Awata, Hiroshi	For
Ono Pharmaceutical Co., Ltd.	18-June-20	Elect Director Ono, Isao	For
Ono Pharmaceutical Co., Ltd.	18-June-20	Elect Director Tsujinaka, Toshihiro	For
Ono Pharmaceutical Co., Ltd.	18-June-20	Elect Director Takino, Toichi	For
Ono Pharmaceutical Co., Ltd.	18-June-20	Elect Director Kurihara, Jun	For
Ono Pharmaceutical Co., Ltd.	18-June-20	Elect Director Nomura, Masao	For
Ono Pharmaceutical Co., Ltd.	18-June-20	Elect Director Okuno, Akiko	For
Ono Pharmaceutical Co., Ltd.	18-June-20	Appoint Statutory Auditor Nishimura, Katsuyoshi	Against
Ono Pharmaceutical Co., Ltd.	18-June-20	Appoint Statutory Auditor Hishiyama, Yasuo	For
Ono Pharmaceutical Co., Ltd.	18-June-20	Appoint Statutory Auditor Tanabe, Akiko	For
Oracle Corp Japan	21-August-20	Amend Articles to Clarify Director Authority on Shareholder Meetings	For
Oracle Corp Japan	21-August-20	Elect Director Minato, Koji	For



Oracle Corp Japan	21-August-20	Elect Director Krishna Sivaraman	For
Oracle Corp Japan	21-August-20	Elect Director Garrett Ilg	Against
Oracle Corp Japan	21-August-20	Elect Director Edward Paterson	Against
Oracle Corp Japan	21-August-20	Elect Director Kimberly Woolley	Against
Oracle Corp Japan	21-August-20	Elect Director Fujimori, Yoshiaki	For
Oracle Corp Japan	21-August-20	Elect Director John L. Hall	Against
Oracle Corp Japan	21-August-20	Elect Director Natsuno, Takeshi	For
OTSUKA CORP.	27-March-20	Approve Allocation of Income, with a Final Dividend of JPY 110	For
OTSUKA CORP.	27-March-20	Appoint Statutory Auditor Hada, Etsuo	For
OTSUKA CORP.	27-March-20	Appoint Statutory Auditor Minagawa, Katsumasa	For
OTSUKA CORP.	27-March-20	Appoint Statutory Auditor Nakai, Kazuhiko	Against
Otsuka Holdings Co., Ltd.	27-March-20	Elect Director Matsuo, Yoshiro	For
Otsuka Holdings Co., Ltd.	27-March-20	Elect Director Makino, Yuko	For
Otsuka Holdings Co., Ltd.	27-March-20	Elect Director Takagi, Shuichi	For
Otsuka Holdings Co., Ltd.	27-March-20	Elect Director Tobe, Sadanobu	For
Otsuka Holdings Co., Ltd.	27-March-20	Elect Director Kobayashi, Masayuki	For
Otsuka Holdings Co., Ltd.	27-March-20	Elect Director Tojo, Noriko	For
Otsuka Holdings Co., Ltd.	27-March-20	Elect Director Matsutani, Yukio	For
Otsuka Holdings Co., Ltd.	27-March-20	Elect Director Sekiguchi, Ko	For
Otsuka Holdings Co., Ltd.	27-March-20	Elect Director Aoki, Yoshihisa	For
Otsuka Holdings Co., Ltd.	27-March-20	Elect Director Mita, Mayo	For
Otsuka Holdings Co., Ltd.	27-March-20	Elect Director Otsuka, Ichiro	Against
Otsuka Holdings Co., Ltd.	27-March-20	Elect Director Higuchi, Tatsuo	Against
Otsuka Holdings Co., Ltd.	27-March-20	Elect Director Inoue, Makoto	Against
Park24 Co., Ltd.	30-Janv-20	Approve Allocation of Income, with a Final Dividend of JPY 70	For
Park24 Co., Ltd.	30-Janv-20	Elect Director Nishikawa, Koichi	For
Park24 Co., Ltd.	30-Janv-20	Elect Director Sasaki, Kenichi	For
Park24 Co., Ltd.	30-Janv-20	Elect Director Kawakami, Norifumi	For
Park24 Co., Ltd.	30-Janv-20	Elect Director Kawasaki, Keisuke	For
Park24 Co., Ltd.	30-Janv-20	Elect Director Oura, Yoshimitsu	For
Park24 Co., Ltd.	30-Janv-20	Elect Director Nagasaka, Takashi	For
Park24 Co., Ltd.	30-Janv-20	Elect Director and Audit Committee Member Takeda, Tsunekazu	For
Park24 Co., Ltd.	30-Janv-20	Elect Director and Audit Committee Member Niunoya, Miho	For
Park24 Co., Ltd.	30-Janv-20	Elect Director Yamanaka, Shingo	Against
Park24 Co., Ltd.	30-Janv-20	Elect Director and Audit Committee Member Sasakawa, Akifumi	Against
Peptidream Inc.	27-March-20	Elect Director Kubota, Kiichi	For
Peptidream Inc.	27-March-20	Elect Director Patrick C. Reid	For
Peptidream Inc.	27-March-20	Elect Director Masuya, Keiichi	For
Peptidream Inc.	27-March-20	Elect Director Kaneshiro, Kiyofumi	For
Peptidream Inc.	27-March-20	Elect Alternate Director and Audit Committee Member Utsunomiya, Junko	For
PERSOL Holdings Co., Ltd.	24-June-20	Approve Allocation of Income, with a Final Dividend of JPY 15	Against
PERSOL Holdings Co., Ltd.	24-June-20	Elect Director Mizuta, Masamichi	For



PERSOL Holdings Co., Ltd.	24-June-20	Elect Director Wada, Takao	For
PERSOL Holdings Co., Ltd.	24-June-20	Elect Director Takahashi, Hirotoshi	For
PERSOL Holdings Co., Ltd.	24-June-20	Elect Director Tamakoshi, Ryosuke	For
PERSOL Holdings Co., Ltd.	24-June-20	Elect Director Nishiguchi, Naohiro	For
PERSOL Holdings Co., Ltd.	24-June-20	Elect Director Yamauchi, Masaki	For
PERSOL Holdings Co., Ltd.	24-June-20	Elect Director and Audit Committee Member Enomoto, Chisa	Against
PERSOL Holdings Co., Ltd.	24-June-20	Elect Director and Audit Committee Member Tomoda, Kazuhiko	For
PERSOL Holdings Co., Ltd.	24-June-20	Elect Alternate Director and Audit Committee Member Tsukamoto, Hideo	For
PERSOL Holdings Co., Ltd.	24-June-20	Approve Compensation Ceiling for Directors Who Are Not Audit Committee Members	For
PERSOL Holdings Co., Ltd.	24-June-20	Approve Trust-Type Equity Compensation Plan	For
Pigeon Corp.	27-March-20	Approve Allocation of Income, with a Final Dividend of JPY 35	For
Pigeon Corp.	27-March-20	Amend Articles to Amend Provisions on Number of Directors - Indemnify Directors - Indemnify Statutory Auditors	For
Pigeon Corp.	27-March-20	Elect Director Nakata, Yoichi	For
Pigeon Corp.	27-March-20	Elect Director Yamashita, Shigeru	For
Pigeon Corp.	27-March-20	Elect Director Kitazawa, Norimasa	For
Pigeon Corp.	27-March-20	Elect Director Akamatsu, Eiji	For
Pigeon Corp.	27-March-20	Elect Director Itakura, Tadashi	For
Pigeon Corp.	27-March-20	Elect Director Kurachi, Yasunori	For
Pigeon Corp.	27-March-20	Elect Director Kevin Vyse-Peacock	For
Pigeon Corp.	27-March-20	Elect Director Nitta, Takayuki	For
Pigeon Corp.	27-March-20	Elect Director Hatoyama, Rehito	For
Pigeon Corp.	27-March-20	Elect Director Okada, Erika	For
Pigeon Corp.	27-March-20	Elect Director Hayashi, Chiaki	For
Pigeon Corp.	27-March-20	Elect Director Yamaguchi, Eriko	For
Pigeon Corp.	27-March-20	Appoint Statutory Auditor Matsunaga, Tsutomu	Against
Pola Orbis Holdings Inc.	24-March-20	Elect Director Suzuki, Satoshi	For
Pola Orbis Holdings Inc.	24-March-20	Elect Director Kume, Naoki	For
Pola Orbis Holdings Inc.	24-March-20	Elect Director Fujii, Akira	For
Pola Orbis Holdings Inc.	24-March-20	Elect Director Yokote, Yoshikazu	For
Pola Orbis Holdings Inc.	24-March-20	Elect Director Kobayashi, Takuma	For
Pola Orbis Holdings Inc.	24-March-20	Elect Director Komiya, Kazuyoshi	For
Pola Orbis Holdings Inc.	24-March-20	Elect Director Ushio, Naomi	For
Pola Orbis Holdings Inc.	24-March-20	Elect Director Yamamoto, Hikaru	For
Pola Orbis Holdings Inc.	24-March-20	Approve Allocation of Income, with a Final Dividend of JPY 81	Against
REA Group Limited	17-November-20	Approve Remuneration Report	For
REA Group Limited	17-November-20	Elect Nick Dowling as Director	Against
REA Group Limited	17-November-20	Approve Issuance of 7,093 Performance Rights to Owen Wilson under the REA Group Long-Term Incentive Plan	Against
REA Group Limited	17-November-20	Approve Issuance of 12,541 Performance Rights to Owen Wilson under the Recovery Incentive Plan	Against
Recruit Holdings Co., Ltd.	30-June-20	Elect Director Minegishi, Masumi	For
Recruit Holdings Co., Ltd.	30-June-20	Elect Director Idekoba, Hisayuki	For



Recruit Holdings Co., Ltd.	30-June-20	Elect Director Senaha, Ayano	For
Recruit Holdings Co., Ltd.	30-June-20	Elect Director Rony Kahan	For
Recruit Holdings Co., Ltd.	30-June-20	Elect Director Izumiya, Naoki	For
Recruit Holdings Co., Ltd.	30-June-20	Elect Director Totoki, Hiroki	For
Recruit Holdings Co., Ltd.	30-June-20	Appoint Statutory Auditor Nagashima, Yukiko	Against
Recruit Holdings Co., Ltd.	30-June-20	Appoint Statutory Auditor Ogawa, Yoichiro	For
Recruit Holdings Co., Ltd.	30-June-20	Appoint Statutory Auditor Natori, Katsuya	For
Recruit Holdings Co., Ltd.	30-June-20	Appoint Alternate Statutory Auditor Tanaka, Miho	For
ROHM Co., Ltd.	26-June-20	Approve Allocation of Income, with a Final Dividend of JPY 75	Against
ROHM Co., Ltd.	26-June-20	Elect Director Matsumoto, Isao	For
ROHM Co., Ltd.	26-June-20	Elect Director Azuma, Katsumi	For
ROHM Co., Ltd.	26-June-20	Elect Director Yamazaki, Masahiko	For
ROHM Co., Ltd.	26-June-20	Elect Director Uehara, Kunio	For
ROHM Co., Ltd.	26-June-20	Elect Director Tateishi, Tetsuo	For
ROHM Co., Ltd.	26-June-20	Elect Director Nishioka, Koichi	For
ROHM Co., Ltd.	26-June-20	Elect Director Ino, Kazuhide	For
ROHM Co., Ltd.	26-June-20	Approve Restricted Stock Plan	For
Ryman Healthcare Limited	13-August-20	Elect Paula Jeffs as Director	For
Ryman Healthcare Limited	13-August-20	Elect Claire Higgins as Director	For
Ryman Healthcare Limited	13-August-20	Authorize Board to Fix Remuneration of the Auditors	For
Ryman Healthcare Limited	13-August-20	Approve the Increase in Maximum Aggregate Remuneration of Directors	Against
Ryohin Keikaku Co., Ltd.	27-May-20	Approve Allocation of Income, with a Final Dividend of JPY 18.2	Against
Ryohin Keikaku Co., Ltd.	27-May-20	Elect Director Kanai, Masaaki	Against
Ryohin Keikaku Co., Ltd.	27-November-20	Approve Allocation of Income, With a Final Dividend of JPY 5	Against
Ryohin Keikaku Co., Ltd.	27-November-20	Elect Director Matsuzaki, Satoru	Against
Ryohin Keikaku Co., Ltd.	27-May-20	Amend Articles to Change Fiscal Year End	For
Ryohin Keikaku Co., Ltd.	27-May-20	Elect Director Suzuki, Kei	For
Ryohin Keikaku Co., Ltd.	27-May-20	Elect Director Yagyu, Masayoshi	For
Ryohin Keikaku Co., Ltd.	27-May-20	Elect Director Yoshikawa, Atsushi	For
Ryohin Keikaku Co., Ltd.	27-May-20	Appoint Statutory Auditor Hattori, Masaru	For
Ryohin Keikaku Co., Ltd.	27-May-20	Appoint Statutory Auditor Arai, Jun	For
Ryohin Keikaku Co., Ltd.	27-November-20	Elect Director Domae, Nobuo	For
Ryohin Keikaku Co., Ltd.	27-November-20	Elect Director Shimizu, Satoshi	For
Ryohin Keikaku Co., Ltd.	27-November-20	Elect Director Okazaki, Satoshi	For
Ryohin Keikaku Co., Ltd.	27-November-20	Elect Director Endo, Isao	For
Santen Pharmaceutical Co., Ltd.	24-June-20	Approve Allocation of Income, with a Final Dividend of JPY 14	For
Santen Pharmaceutical Co., Ltd.	24-June-20	Elect Director Kurokawa, Akira	For



Ltd.			
Santen Pharmaceutical Co., Ltd.	24-June-20	Elect Director Taniuchi, Shigeo	For
Santen Pharmaceutical Co., Ltd.	24-June-20	Elect Director Ito, Takeshi	For
Santen Pharmaceutical Co., Ltd.	24-June-20	Elect Director Oishi, Kanoko	For
Santen Pharmaceutical Co., Ltd.	24-June-20	Elect Director Shintaku, Yutaro	For
Santen Pharmaceutical Co., Ltd.	24-June-20	Elect Director Minakawa, Kunihiro	For
Santen Pharmaceutical Co., Ltd.	24-June-20	Appoint Statutory Auditor Isaka, Hiroshi	For
SECOM Co., Ltd.	25-June-20	Approve Allocation of Income, with a Final Dividend of JPY 85	For
SECOM Co., Ltd.	25-June-20	Elect Director Iida, Makoto	For
SECOM Co., Ltd.	25-June-20	Elect Director Nakayama, Yasuo	For
SECOM Co., Ltd.	25-June-20	Elect Director Ozeki, Ichiro	For
SECOM Co., Ltd.	25-June-20	Elect Director Yoshida, Yasuyuki	For
SECOM Co., Ltd.	25-June-20	Elect Director Fuse, Tatsuro	For
SECOM Co., Ltd.	25-June-20	Elect Director Izumida, Tatsuya	For
SECOM Co., Ltd.	25-June-20	Elect Director Kurihara, Tatsushi	For
SECOM Co., Ltd.	25-June-20	Elect Director Hirose, Takaharu	For
SECOM Co., Ltd.	25-June-20	Elect Director Kawano, Hirobumi	For
SECOM Co., Ltd.	25-June-20	Elect Director Watanabe, Hajime	For
SECOM Co., Ltd.	25-June-20	Elect Director Hara, Miri	For
Seek Limited	19-November-20	Approve Remuneration Report	Against
Seek Limited	19-November-20	Elect Julie Fahey as Director	For
Seek Limited	19-November-20	Elect Vanessa Wallace as Director	Against
Seek Limited	19-November-20	Elect Linda Kristjanson as Director	For
Seek Limited	19-November-20	Approve Renewal of Proportional Takeover Provisions	For
Seek Limited	19-November-20	Approve Grant of Equity Right to Andrew Bassat	Against
Seek Limited	19-November-20	Approve Grant of Wealth Sharing Plan Options and Wealth Sharing Plan Rights to Andrew Bassat	Against
Seek Limited	19-November-20	Approve Conditional Spill Resolution	Against
Seiko Epson Corp.	25-June-20	Approve Allocation of Income, with a Final Dividend of JPY 31	Against
Seiko Epson Corp.	25-June-20	Elect Director Usui, Minoru	For
Seiko Epson Corp.	25-June-20	Elect Director Ogawa, Yasunori	For
Seiko Epson Corp.	25-June-20	Elect Director Kubota, Koichi	For
Seiko Epson Corp.	25-June-20	Elect Director Seki, Tatsuaki	For
Seiko Epson Corp.	25-June-20	Elect Director Kawana, Masayuki	For
Seiko Epson Corp.	25-June-20	Elect Director Takahata, Toshiya	For
Seiko Epson Corp.	25-June-20	Elect Director Omiya, Hideaki	For
Seiko Epson Corp.	25-June-20	Elect Director Matsunaga, Mari	For
Seiko Epson Corp.	25-June-20	Elect Director and Audit Committee Member Shigemoto, Taro	For
Seiko Epson Corp.	25-June-20	Elect Director and Audit Committee Member Shirai, Yoshio	For
Seiko Epson Corp.	25-June-20	Elect Director and Audit Committee Member Murakoshi, Susumu	For
Seiko Epson Corp.	25-June-20	Elect Director and Audit Committee Member Otsuka, Michiko	For
Seiko Epson Corp.	25-June-20	Approve Annual Bonus	For
Sekisui Chemical Co., Ltd.	23-June-20	Approve Allocation of Income, with a Final Dividend of JPY 23	For
Sekisui Chemical Co., Ltd.	23-June-20	Elect Director Koge, Teiji	Against



Sekisui Chemical Co., Ltd.	23-June-20	Elect Director Kato, Keita	Against
Sekisui Chemical Co., Ltd.	23-June-20	Elect Director Hirai, Yoshiyuki	For
Sekisui Chemical Co., Ltd.	23-June-20	Elect Director Kamiyoshi, Toshiyuki	For
Sekisui Chemical Co., Ltd.	23-June-20	Elect Director Kamiwaki, Futoshi	Against
Sekisui Chemical Co., Ltd.	23-June-20	Elect Director Taketomo, Hiroyuki	For
Sekisui Chemical Co., Ltd.	23-June-20	Elect Director Shimizu, Ikusuke	For
Sekisui Chemical Co., Ltd.	23-June-20	Elect Director Kase, Yutaka	For
Sekisui Chemical Co., Ltd.	23-June-20	Elect Director Oeda, Hiroshi	For
Sekisui Chemical Co., Ltd.	23-June-20	Elect Director Ishikura, Yoko	For
Sekisui Chemical Co., Ltd.	23-June-20	Appoint Statutory Auditor Fukunaga, Toshitaka	For
SG Holdings Co., Ltd.	25-June-20	Elect Director Kuriwada, Eiichi	For
SG Holdings Co., Ltd.	25-June-20	Elect Director Araki, Hideo	For
SG Holdings Co., Ltd.	25-June-20	Elect Director Nakajima, Shunichi	For
SG Holdings Co., Ltd.	25-June-20	Elect Director Motomura, Masahide	For
SG Holdings Co., Ltd.	25-June-20	Elect Director Kawanago, Katsuhiko	For
SG Holdings Co., Ltd.	25-June-20	Elect Director Matsumoto, Hidekazu	For
SG Holdings Co., Ltd.	25-June-20	Elect Director Takaoka, Mika	For
SG Holdings Co., Ltd.	25-June-20	Elect Director Sagisaka, Osami	For
SG Holdings Co., Ltd.	25-June-20	Elect Director Akiyama, Masato	For
SG Holdings Co., Ltd.	25-June-20	Appoint Statutory Auditor Tajima, Satoshi	For
Shimadzu Corp.	25-June-20	Approve Allocation of Income, with a Final Dividend of JPY 15	For
Shimadzu Corp.	25-June-20	Elect Director Nakamoto, Akira	For
Shimadzu Corp.	25-June-20	Elect Director Ueda, Teruhisa	For
Shimadzu Corp.	25-June-20	Elect Director Miura, Yasuo	For
Shimadzu Corp.	25-June-20	Elect Director Kitaoka, Mitsuo	For
Shimadzu Corp.	25-June-20	Elect Director Yamamoto, Yasunori	For
Shimadzu Corp.	25-June-20	Elect Director Sawaguchi, Minoru	For
Shimadzu Corp.	25-June-20	Elect Director Wada, Hiroko	For
Shimadzu Corp.	25-June-20	Elect Director Hanai, Nobuo	For
Shimadzu Corp.	25-June-20	Appoint Statutory Auditor Nishimoto, Tsuyoshi	For
Shimadzu Corp.	25-June-20	Appoint Alternate Statutory Auditor Shimadera, Motoi	For
Shimano, Inc.	26-March-20	Elect Director Shimano, Yoza	Against
Shimano, Inc.	26-March-20	Elect Director Kanai, Takuma	Against
Shimano, Inc.	26-March-20	Approve Allocation of Income, with a Final Dividend of JPY 77.5	For
Shimano, Inc.	26-March-20	Elect Director Shimano, Taizo	For
Shimano, Inc.	26-March-20	Elect Director Toyoshima, Takashi	For
Shimano, Inc.	26-March-20	Elect Director Tsuzaki, Masahiro	For
Shimano, Inc.	26-March-20	Elect Director Tarutani, Kiyoshi	For
Shimano, Inc.	26-March-20	Elect Director Matsui, Hiroshi	For
Shimano, Inc.	26-March-20	Elect Director Otake, Masahiro	For
Shimano, Inc.	26-March-20	Elect Director Kiyotani, Kinji	For
Shimano, Inc.	26-March-20	Appoint Statutory Auditor Hirata, Yoshihiro	For
Shimano, Inc.	26-March-20	Appoint Alternate Statutory Auditor Kondo, Yukihiro	For
Shin-Etsu Chemical Co., Ltd.	26-June-20	Approve Allocation of Income, with a Final Dividend of JPY 110	For
Shin-Etsu Chemical Co., Ltd.	26-June-20	Elect Director Kanagawa, Chihiro	Against
Shin-Etsu Chemical Co., Ltd.	26-June-20	Elect Director Akiya, Fumio	Against



Shin-Etsu Chemical Co., Ltd.	26-June-20	Elect Director Todoroki, Masahiko	For
Shin-Etsu Chemical Co., Ltd.	26-June-20	Elect Director Akimoto, Toshiya	For
Shin-Etsu Chemical Co., Ltd.	26-June-20	Elect Director Arai, Fumio	For
Shin-Etsu Chemical Co., Ltd.	26-June-20	Elect Director Ikegami, Kenji	For
Shin-Etsu Chemical Co., Ltd.	26-June-20	Elect Director Mori, Shunzo	For
Shin-Etsu Chemical Co., Ltd.	26-June-20	Elect Director Komiyama, Hiroshi	For
Shin-Etsu Chemical Co., Ltd.	26-June-20	Elect Director Shiobara, Toshio	For
Shin-Etsu Chemical Co., Ltd.	26-June-20	Elect Director Takahashi, Yoshimitsu	For
Shin-Etsu Chemical Co., Ltd.	26-June-20	Elect Director Yasuoka, Kai	For
Shin-Etsu Chemical Co., Ltd.	26-June-20	Elect Director Nakamura, Kuniharu	For
Shin-Etsu Chemical Co., Ltd.	26-June-20	Approve Stock Option Plan	Against
Shionogi & Co., Ltd.	23-June-20	Approve Allocation of Income, with a Final Dividend of JPY 53	For
Shionogi & Co., Ltd.	23-June-20	Elect Director Teshirogi, Isao	For
Shionogi & Co., Ltd.	23-June-20	Elect Director Sawada, Takuko	For
Shionogi & Co., Ltd.	23-June-20	Elect Director Ando, Keiichi	For
Shionogi & Co., Ltd.	23-June-20	Elect Director Ozaki, Hiroshi	For
Shionogi & Co., Ltd.	23-June-20	Elect Director Takatsuki, Fumi	For
Shionogi & Co., Ltd.	23-June-20	Appoint Statutory Auditor Kato, Ikuo	For
Shionogi & Co., Ltd.	23-June-20	Appoint Statutory Auditor Okuhara, Shuichi	For
Shiseido Co., Ltd.	25-March-20	Approve Allocation of Income, with a Final Dividend of JPY 30	For
Shiseido Co., Ltd.	25-March-20	Elect Director Uotani, Masahiko	For
Shiseido Co., Ltd.	25-March-20	Elect Director Shimatani, Yoichi	For
Shiseido Co., Ltd.	25-March-20	Elect Director Suzuki, Yukari	For
Shiseido Co., Ltd.	25-March-20	Elect Director Tadakawa, Norio	For
Shiseido Co., Ltd.	25-March-20	Elect Director Fujimori, Yoshiaki	For
Shiseido Co., Ltd.	25-March-20	Elect Director Ishikura, Yoko	For
Shiseido Co., Ltd.	25-March-20	Elect Director Iwahara, Shinsaku	For
Shiseido Co., Ltd.	25-March-20	Elect Director Oishi, Kanoko	For
Shiseido Co., Ltd.	25-March-20	Appoint Statutory Auditor Nonomiya, Ritsuko	For
Shiseido Co., Ltd.	25-March-20	Approve Performance Share Plan	For
Singapore Telecommunications Limited	30-July-20	Adopt Financial Statements and Directors' and Auditors' Reports	For
Singapore Telecommunications Limited	30-July-20	Approve Final Dividend	Against
Singapore Telecommunications Limited	30-July-20	Elect Chua Sock Koong as Director	For
Singapore Telecommunications Limited	30-July-20	Elect Low Check Kian as Director	For
Singapore Telecommunications Limited	30-July-20	Elect Lee Theng Kiat as Director	For
Singapore Telecommunications Limited	30-July-20	Approve Directors' Fees	For
Singapore Telecommunications Limited	30-July-20	Approve Auditors and Authorize Board to Fix Their Remuneration	For
Singapore	30-July-20	Approve Issuance of Equity or Equity-Linked Securities with or without	For



Telecommunications Limited		Preemptive Rights	
Singapore	30-July-20	Approve Grant of Awards and Issuance of Shares Under the Singtel Performance Share Plan 2012	For
Telecommunications Limited			
Singapore	30-July-20	Authorize Share Repurchase Program	Against
Telecommunications Limited			
Singapore	30-July-20	Amend Constitution	For
Telecommunications Limited			
SMC Corp. (Japan)	26-June-20	Approve Allocation of Income, with a Final Dividend of JPY 200	For
SMC Corp. (Japan)	26-June-20	Elect Director Maruyama, Katsunori	Against
SMC Corp. (Japan)	26-June-20	Elect Director Takada, Yoshiki	Against
SMC Corp. (Japan)	26-June-20	Elect Director Kosugi, Seiji	For
SMC Corp. (Japan)	26-June-20	Elect Director Satake, Masahiko	For
SMC Corp. (Japan)	26-June-20	Elect Director Isoe, Toshio	For
SMC Corp. (Japan)	26-June-20	Elect Director Ota, Masahiro	For
SMC Corp. (Japan)	26-June-20	Elect Director Maruyama, Susumu	Against
SMC Corp. (Japan)	26-June-20	Elect Director Samuel Neff	Against
SMC Corp. (Japan)	26-June-20	Elect Director Kaizu, Masanobu	For
SMC Corp. (Japan)	26-June-20	Elect Director Kagawa, Toshiharu	For
SMC Corp. (Japan)	26-June-20	Approve Director Retirement Bonus	For
SMC Corp. (Japan)	26-June-20	Approve Bonus Related to Retirement Bonus System Abolition	For
SMC Corp. (Japan)	26-June-20	Approve Trust-Type Equity Compensation Plan	For
SOHGO SECURITY SERVICES CO., LTD.	24-June-20	Approve Allocation of Income, with a Final Dividend of JPY 35.5	For
SOHGO SECURITY SERVICES CO., LTD.	24-June-20	Elect Director Murai, Atsushi	Against
SOHGO SECURITY SERVICES CO., LTD.	24-June-20	Elect Director Aoyama, Yukiyasu	Against
SOHGO SECURITY SERVICES CO., LTD.	24-June-20	Elect Director Kayaki, Ikuji	Against
SOHGO SECURITY SERVICES CO., LTD.	24-June-20	Elect Director Hokari, Hirohisa	For
SOHGO SECURITY SERVICES CO., LTD.	24-June-20	Elect Director Murai, Tsuyoshi	For
SOHGO SECURITY SERVICES CO., LTD.	24-June-20	Elect Director Nomura, Shigeki	For
SOHGO SECURITY SERVICES CO., LTD.	24-June-20	Elect Director Suzuki, Motohisa	For
SOHGO SECURITY SERVICES CO., LTD.	24-June-20	Elect Director Kishimoto, Koji	Against
SOHGO SECURITY SERVICES CO., LTD.	24-June-20	Elect Director Ono, Seiei	For
SOHGO SECURITY SERVICES CO., LTD.	24-June-20	Elect Director Kadowaki, Hideharu	For
SOHGO SECURITY SERVICES CO., LTD.	24-June-20	Elect Director Ando, Toyoaki	For
SOHGO SECURITY SERVICES CO., LTD.	24-June-20	Elect Director Suetsugu, Hirotomo	For
SOHGO SECURITY SERVICES CO., LTD.	24-June-20	Appoint Statutory Auditor Nagasawa, Michiko	For
South32 Ltd.	29-October-20	Elect Frank Cooper as Director	Against
South32 Ltd.	29-October-20	Elect Xiaoling Liu as Director	For
South32 Ltd.	29-October-20	Elect Ntombifuthi (Futhi) Mtoba as Director	For
South32 Ltd.	29-October-20	Elect Karen Wood as Director	For
South32 Ltd.	29-October-20	Elect Guy Lansdown as Director	For
South32 Ltd.	29-October-20	Approve Remuneration Report	Against
South32 Ltd.	29-October-20	Approve Grant of Rights to Graham Kerr	Against
South32 Ltd.	29-October-20	Approve Renewal of Proportional Takeover Provisions	For
Spark New Zealand	06-November-20	Approve Deloitte Limited as Auditor of the Company and Authorize Board	For



Ltd.		to Fix Their Remuneration	
Spark New Zealand Ltd.	06-November-20	Elect Paul Berriman as Director	For
Spark New Zealand Ltd.	06-November-20	Elect Charles Sitch as Director	For
Square Enix Holdings Co., Ltd.	24-June-20	Elect Director Matsuda, Yosuke	For
Square Enix Holdings Co., Ltd.	24-June-20	Elect Director Chida, Yukinobu	For
Square Enix Holdings Co., Ltd.	24-June-20	Elect Director Yamamura, Yukihiro	For
Square Enix Holdings Co., Ltd.	24-June-20	Elect Director Nishiura, Yuji	For
Square Enix Holdings Co., Ltd.	24-June-20	Elect Director Ogawa, Masato	For
Square Enix Holdings Co., Ltd.	24-June-20	Elect Director Okamoto, Mitsuko	For
Square Enix Holdings Co., Ltd.	24-June-20	Elect Director and Audit Committee Member Kobayashi, Ryoichi	For
Square Enix Holdings Co., Ltd.	24-June-20	Elect Director and Audit Committee Member Toyoshima, Tadao	For
Square Enix Holdings Co., Ltd.	24-June-20	Elect Director and Audit Committee Member Shinji, Hajime	For
Square Enix Holdings Co., Ltd.	24-June-20	Elect Alternate Director and Audit Committee Member Fujii, Satoshi	For
Stanley Electric Co., Ltd.	24-June-20	Elect Director Kitano, Takanori	Against
Stanley Electric Co., Ltd.	24-June-20	Elect Director Hiratsuka, Yutaka	For
Stanley Electric Co., Ltd.	24-June-20	Elect Director Tanabe, Toru	For
Stanley Electric Co., Ltd.	24-June-20	Elect Director Iino, Katsutoshi	For
Stanley Electric Co., Ltd.	24-June-20	Elect Director Yoneya, Mitsuhiro	For
Stanley Electric Co., Ltd.	24-June-20	Elect Director Kaizumi, Yasuaki	For
Stanley Electric Co., Ltd.	24-June-20	Elect Director Ueda, Keisuke	For
Stanley Electric Co., Ltd.	24-June-20	Elect Director Mori, Masakatsu	For
Stanley Electric Co., Ltd.	24-June-20	Elect Director Kono, Hirokazu	For
Stanley Electric Co., Ltd.	24-June-20	Elect Director Takeda, Yozo	For
SUMCO Corp.	26-March-20	Elect Director Hashimoto, Mayuki	For
SUMCO Corp.	26-March-20	Elect Director Takii, Michiharu	For
SUMCO Corp.	26-March-20	Elect Director Furuya, Hisashi	For
SUMCO Corp.	26-March-20	Elect Director Hiramoto, Kazuo	For
SUMCO Corp.	26-March-20	Elect Director Kato, Akane	For
SUMCO Corp.	26-March-20	Elect Director and Audit Committee Member Yoshikawa, Hiroshi	For
SUMCO Corp.	26-March-20	Elect Director and Audit Committee Member Fujii, Atsuro	For
SUMCO Corp.	26-March-20	Elect Director and Audit Committee Member Tanaka, Hitoshi	For
SUMCO Corp.	26-March-20	Elect Director and Audit Committee Member Mitomi, Masahiro	For
SUMCO Corp.	26-March-20	Elect Director and Audit Committee Member Ota, Shinichiro	For
SUMCO Corp.	26-March-20	Elect Director and Audit Committee Member Fuwa, Akio	For
Sumitomo Dainippon Pharma Co., Ltd.	23-June-20	Approve Allocation of Income, with a Final Dividend of JPY 14	For
Sumitomo Dainippon Pharma Co., Ltd.	23-June-20	Elect Director Tada, Masayo	For
Sumitomo Dainippon Pharma Co., Ltd.	23-June-20	Elect Director Nomura, Hiroshi	For
Sumitomo Dainippon Pharma Co., Ltd.	23-June-20	Elect Director Odagiri, Hitoshi	For
Sumitomo Dainippon Pharma Co., Ltd.	23-June-20	Elect Director Kimura, Toru	For



Pharma Co., Ltd.			
Sumitomo Dainippon Pharma Co., Ltd.	23-June-20	Elect Director Ikeda, Yoshiharu	For
Sumitomo Dainippon Pharma Co., Ltd.	23-June-20	Elect Director Atomi, Yutaka	For
Sumitomo Dainippon Pharma Co., Ltd.	23-June-20	Elect Director Arai, Saeko	For
Sumitomo Dainippon Pharma Co., Ltd.	23-June-20	Elect Director Endo, Nobuhiro	For
Sundrug Co., Ltd.	20-June-20	Approve Allocation of Income, with a Final Dividend of JPY 34	For
Sundrug Co., Ltd.	20-June-20	Elect Director Saitsu, Tatsuro	For
Sundrug Co., Ltd.	20-June-20	Elect Director Sadakata, Hiroshi	For
Sundrug Co., Ltd.	20-June-20	Elect Director Tada, Naoki	For
Sundrug Co., Ltd.	20-June-20	Elect Director Tada, Takashi	For
Sundrug Co., Ltd.	20-June-20	Elect Director Sugiura, Nobuhiko	For
Sundrug Co., Ltd.	20-June-20	Elect Director Matsumoto, Masato	For
Sundrug Co., Ltd.	20-June-20	Elect Director Tsuji, Tomoko	For
Sundrug Co., Ltd.	20-June-20	Appoint Statutory Auditor Ozawa, Tetsuro	For
Sundrug Co., Ltd.	20-June-20	Appoint Statutory Auditor Shinohara, Kazuma	For
Suntory Beverage & Food Ltd.	27-March-20	Approve Allocation of Income, with a Final Dividend of JPY 39	For
Suntory Beverage & Food Ltd.	27-March-20	Amend Articles to Change Location of Head Office	For
Suntory Beverage & Food Ltd.	27-March-20	Elect Director Kogo, Saburo	For
Suntory Beverage & Food Ltd.	27-March-20	Elect Director Saito, Kazuhiro	For
Suntory Beverage & Food Ltd.	27-March-20	Elect Director Yamazaki, Yuji	For
Suntory Beverage & Food Ltd.	27-March-20	Elect Director Kimura, Josuke	For
Suntory Beverage & Food Ltd.	27-March-20	Elect Director Torii, Nobuhiro	For
Suntory Beverage & Food Ltd.	27-March-20	Elect Director Inoue, Yukari	For
Suntory Beverage & Food Ltd.	27-March-20	Elect Director and Audit Committee Member Chiji, Kozo	For
Suntory Beverage & Food Ltd.	27-March-20	Elect Alternate Director and Audit Committee Member Amitani, Mitsuhiro	For
Suzuki Motor Corp.	26-June-20	Approve Allocation of Income, with a Final Dividend of JPY 48	For
Suzuki Motor Corp.	26-June-20	Elect Director Suzuki, Osamu	Against
Suzuki Motor Corp.	26-June-20	Elect Director Harayama, Yasuhito	Against
Suzuki Motor Corp.	26-June-20	Elect Director Suzuki, Toshihiro	Against
Suzuki Motor Corp.	26-June-20	Elect Director Honda, Osamu	For
Suzuki Motor Corp.	26-June-20	Elect Director Nagao, Masahiko	For
Suzuki Motor Corp.	26-June-20	Elect Director Suzuki, Toshiaki	Against
Suzuki Motor Corp.	26-June-20	Elect Director Kawamura, Osamu	For
Suzuki Motor Corp.	26-June-20	Elect Director Domichi, Hideaki	For
Suzuki Motor Corp.	26-June-20	Elect Director Kato, Yuriko	For
Suzuki Motor Corp.	26-June-20	Appoint Statutory Auditor Sugimoto, Toyokazu	For
Suzuki Motor Corp.	26-June-20	Appoint Statutory Auditor Kasai, Masato	For
Suzuki Motor Corp.	26-June-20	Appoint Statutory Auditor Tanaka, Norio	For
Suzuki Motor Corp.	26-June-20	Appoint Statutory Auditor Araki, Nobuyuki	For
Suzuki Motor Corp.	26-June-20	Appoint Statutory Auditor Nagano, Norihisa	For
Suzuki Motor Corp.	26-June-20	Approve Restricted Stock Plan	For
Sysmex Corp.	19-June-20	Approve Allocation of Income, with a Final Dividend of JPY 36	For
Sysmex Corp.	19-June-20	Elect Director Ietsugu, Hisashi	For
Sysmex Corp.	19-June-20	Elect Director Nakajima, Yukio	For
Sysmex Corp.	19-June-20	Elect Director Asano, Kaoru	For



Systemex Corp.	19-June-20	Elect Director Tachibana, Kenji	For
Systemex Corp.	19-June-20	Elect Director Yamamoto, Junzo	For
Systemex Corp.	19-June-20	Elect Director Matsui, Iwane	For
Systemex Corp.	19-June-20	Elect Director Kanda, Hiroshi	For
Systemex Corp.	19-June-20	Elect Director Takahashi, Masayo	For
Systemex Corp.	19-June-20	Elect Director Ota, Kazuo	For
Systemex Corp.	19-June-20	Elect Director and Audit Committee Member Kamao, Yukitoshi	For
Systemex Corp.	19-June-20	Elect Director and Audit Committee Member Hashimoto, Kazumasa	For
Systemex Corp.	19-June-20	Elect Director and Audit Committee Member Iwasa, Michihide	For
Taisho Pharmaceutical Holdings Co., Ltd.	26-June-20	Approve Allocation of Income, with a Final Dividend of JPY 60	For
Taisho Pharmaceutical Holdings Co., Ltd.	26-June-20	Appoint Statutory Auditor Matsuo, Makoto	For
Techtronic Industries Co., Ltd.	15-May-20	Approve Final Dividend	Against
Techtronic Industries Co., Ltd.	15-May-20	Elect Horst Julius Pudwill as Director	Against
Techtronic Industries Co., Ltd.	15-May-20	Elect Vincent Ting Kau Cheung as Director	Against
Techtronic Industries Co., Ltd.	15-May-20	Accept Financial Statements and Statutory Reports	For
Techtronic Industries Co., Ltd.	15-May-20	Elect Joseph Galli Jr. as Director	For
Techtronic Industries Co., Ltd.	15-May-20	Elect Johannes-Gerhard Hesse as Director	For
Techtronic Industries Co., Ltd.	15-May-20	Elect Robert Hinman Getz as Director	For
Techtronic Industries Co., Ltd.	15-May-20	Authorize Board to Fix Remuneration of Directors	For
Techtronic Industries Co., Ltd.	15-May-20	Approve Deloitte Touche Tohmatsu as Auditors and Authorize Board to Fix Their Remuneration	For
Techtronic Industries Co., Ltd.	15-May-20	Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights (for Cash)	For
Techtronic Industries Co., Ltd.	15-May-20	Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights (for Consideration Other Than Cash)	For
Techtronic Industries Co., Ltd.	15-May-20	Authorize Repurchase of Issued Share Capital	Against
Techtronic Industries Co., Ltd.	15-May-20	Authorize Reissuance of Repurchased Shares (Pursuant to Resolution 5a)	Against
Techtronic Industries Co., Ltd.	15-May-20	Authorize Reissuance of Repurchased Shares (Pursuant to Resolution 5b)	Against
Terumo Corp.	25-June-20	Approve Allocation of Income, with a Final Dividend of JPY 14	For
Terumo Corp.	25-June-20	Elect Director Mimura, Takayoshi	For
Terumo Corp.	25-June-20	Elect Director Sato, Shinjiro	For
Terumo Corp.	25-June-20	Elect Director Takagi, Toshiaki	For
Terumo Corp.	25-June-20	Elect Director Hatano, Shoji	For
Terumo Corp.	25-June-20	Elect Director Nishikawa, Kyo	For
Terumo Corp.	25-June-20	Elect Director Ueda, Ryuzo	For
Terumo Corp.	25-June-20	Elect Director Kuroda, Yukiko	For
Terumo Corp.	25-June-20	Elect Director Nishi, Hidenori	For
Terumo Corp.	25-June-20	Elect Alternate Director and Audit Committee Member Sakaguchi, Koichi	For
The a2 Milk Company Limited	18-November-20	Authorize Board to Fix Remuneration of the Auditors	For
The a2 Milk Company Limited	18-November-20	Elect David Hearn as Director	For
The a2 Milk Company Limited	18-November-20	Elect Julia Hoare as Director	Against
The a2 Milk Company Limited	18-November-20	Elect Jesse Wu as Director	For



TOHO GAS Co., Ltd.	24-June-20	Approve Allocation of Income, with a Final Dividend of JPY 27.5	For
TOHO GAS Co., Ltd.	24-June-20	Elect Director Yasui, Koichi	Against
TOHO GAS Co., Ltd.	24-June-20	Elect Director Tominari, Yoshiro	Against
TOHO GAS Co., Ltd.	24-June-20	Elect Director Niwa, Shinji	Against
TOHO GAS Co., Ltd.	24-June-20	Elect Director Kodama, Mitsuhiro	For
TOHO GAS Co., Ltd.	24-June-20	Elect Director Senda, Shinichi	For
TOHO GAS Co., Ltd.	24-June-20	Elect Director Masuda, Nobuyuki	For
TOHO GAS Co., Ltd.	24-June-20	Elect Director Miyahara, Koji	For
TOHO GAS Co., Ltd.	24-June-20	Elect Director Hattori, Tetsuo	For
TOHO GAS Co., Ltd.	24-June-20	Elect Director Hamada, Michiyo	For
TOHO GAS Co., Ltd.	24-June-20	Appoint Statutory Auditor Ikeda, Keiko	For
TOHO GAS Co., Ltd.	24-June-20	Approve Annual Bonus	For
Tokyo Electron Ltd.	23-June-20	Elect Director Tsuneishi, Tetsuo	Against
Tokyo Electron Ltd.	23-June-20	Elect Director Kawai, Toshiki	Against
Tokyo Electron Ltd.	23-June-20	Elect Director Sasaki, Sadao	For
Tokyo Electron Ltd.	23-June-20	Elect Director Nunokawa, Yoshikazu	For
Tokyo Electron Ltd.	23-June-20	Elect Director Nagakubo, Tatsuya	For
Tokyo Electron Ltd.	23-June-20	Elect Director Sunohara, Kiyoshi	For
Tokyo Electron Ltd.	23-June-20	Elect Director Ikeda, Seisu	For
Tokyo Electron Ltd.	23-June-20	Elect Director Mitano, Yoshinobu	For
Tokyo Electron Ltd.	23-June-20	Elect Director Charles D Lake II	For
Tokyo Electron Ltd.	23-June-20	Elect Director Sasaki, Michio	For
Tokyo Electron Ltd.	23-June-20	Elect Director Eda, Makiko	For
Tokyo Electron Ltd.	23-June-20	Appoint Statutory Auditor Hama, Masataka	For
Tokyo Electron Ltd.	23-June-20	Appoint Statutory Auditor Miura, Ryota	For
Tokyo Electron Ltd.	23-June-20	Approve Annual Bonus	For
Tokyo Electron Ltd.	23-June-20	Approve Deep Discount Stock Option Plan	For
Tokyo Electron Ltd.	23-June-20	Approve Trust-Type Equity Compensation Plan	For
TOTO Ltd.	24-June-20	Elect Director Kuga, Toshiya	Against
TOTO Ltd.	24-June-20	Elect Director Kitamura, Madoka	Against
TOTO Ltd.	24-June-20	Elect Director Kiyota, Noriaki	Against
TOTO Ltd.	24-June-20	Elect Director Shirakawa, Satoshi	Against
TOTO Ltd.	24-June-20	Elect Director Abe, Soichi	For
TOTO Ltd.	24-June-20	Elect Director Hayashi, Ryosuke	For
TOTO Ltd.	24-June-20	Elect Director Taguchi, Tomoyuki	For
TOTO Ltd.	24-June-20	Elect Director Tamura, Shinya	For
TOTO Ltd.	24-June-20	Elect Director Shimizu, Takayuki	Against
TOTO Ltd.	24-June-20	Elect Director Shimono, Masatsugu	For
TOTO Ltd.	24-June-20	Elect Director Tsuda, Junji	For
TOTO Ltd.	24-June-20	Elect Director Yamauchi, Shigenori	For
TOTO Ltd.	24-June-20	Appoint Statutory Auditor Inoue, Shigeki	Against
Toyo Suisan Kaisha, Ltd.	25-June-20	Approve Allocation of Income, with a Final Dividend of JPY 40	For
Toyo Suisan Kaisha, Ltd.	25-June-20	Elect Director Tsutsumi, Tadasu	Against
Toyo Suisan Kaisha, Ltd.	25-June-20	Elect Director Imamura, Masanari	Against
Toyo Suisan Kaisha, Ltd.	25-June-20	Elect Director Sumimoto, Noritaka	For
Toyo Suisan Kaisha, Ltd.	25-June-20	Elect Director Oki, Hitoshi	For
Toyo Suisan Kaisha, Ltd.	25-June-20	Elect Director Makiya, Rieko	For
Toyo Suisan Kaisha, Ltd.	25-June-20	Elect Director Mochizuki, Masahisa	For
Toyo Suisan Kaisha, Ltd.	25-June-20	Elect Director Murakami, Osamu	For



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Toyo Suisan Kaisha, Ltd.	25-June-20	Elect Director Murayama, Ichiro	For
Toyo Suisan Kaisha, Ltd.	25-June-20	Elect Director Yazaki, Hirokazu	For
Toyo Suisan Kaisha, Ltd.	25-June-20	Elect Director Hayama, Tomohide	Against
Toyo Suisan Kaisha, Ltd.	25-June-20	Elect Director Yachi, Hiroyasu	For
Toyo Suisan Kaisha, Ltd.	25-June-20	Elect Director Mineki, Machiko	For
Toyo Suisan Kaisha, Ltd.	25-June-20	Elect Director Yazawa, Kenichi	For
Toyo Suisan Kaisha, Ltd.	25-June-20	Elect Director Chino, Isamu	For
Toyo Suisan Kaisha, Ltd.	25-June-20	Appoint Statutory Auditor Takahashi, Kiyoshi	Against
Toyo Suisan Kaisha, Ltd.	25-June-20	Appoint Alternate Statutory Auditor Ushijima, Tsutomu	For
Toyo Suisan Kaisha, Ltd.	25-June-20	Approve Annual Bonus	For
TPG Telecom Limited	24-June-20	Approve Scheme of Arrangement in Relation to the Proposed Merger of TPG Telecom Limited and Vodafone Hutchison Australia Limited	For
TPG Telecom Limited	24-June-20	Approve the Change of Company Name to TPG Corporation Limited	For
Trend Micro, Inc.	26-March-20	Approve Allocation of Income, with a Final Dividend of JPY 160	For
TSURUHA Holdings, Inc.	11-August-20	Amend Articles to Amend Business Lines	For
TSURUHA Holdings, Inc.	11-August-20	Elect Director Tsuruha, Tatsuru	For
TSURUHA Holdings, Inc.	11-August-20	Elect Director Tsuruha, Jun	Against
TSURUHA Holdings, Inc.	11-August-20	Elect Director Goto, Teruaki	For
TSURUHA Holdings, Inc.	11-August-20	Elect Director Ogawa, Hisaya	For
TSURUHA Holdings, Inc.	11-August-20	Elect Director Mitsuhashi, Shinya	For
TSURUHA Holdings, Inc.	11-August-20	Elect Director Murakami, Shoichi	For
TSURUHA Holdings, Inc.	11-August-20	Elect Director Atsumi, Fumiaki	For
TSURUHA Holdings, Inc.	11-August-20	Elect Director Abe, Mitsunobu	For
TSURUHA Holdings, Inc.	11-August-20	Elect Director Okada, Motoya	For
TSURUHA Holdings, Inc.	11-August-20	Elect Director Fujii, Fumiyo	For
TSURUHA Holdings, Inc.	11-August-20	Elect Director Sato, Harumi	For
TSURUHA Holdings, Inc.	11-August-20	Elect Director Yahata, Masahiro	Against
TSURUHA Holdings, Inc.	11-August-20	Appoint Alternate Statutory Auditor Yamazaki, Mikine	For
TSURUHA Holdings, Inc.	11-August-20	Approve Stock Option Plan	For
Unicharm Corp.	25-March-20	Elect Director Takahara, Takahisa	For
Unicharm Corp.	25-March-20	Elect Director Ishikawa, Eiji	For
Unicharm Corp.	25-March-20	Elect Director Mori, Shinji	For
Unicharm Corp.	25-March-20	Approve Restricted Stock Plan	For
USS Co., Ltd.	23-June-20	Approve Allocation of Income, with a Final Dividend of JPY 29.8	Against
USS Co., Ltd.	23-June-20	Elect Director Ando, Yukihiro	For
USS Co., Ltd.	23-June-20	Elect Director Seta, Dai	For
USS Co., Ltd.	23-June-20	Elect Director Yamanaka, Masafumi	For
USS Co., Ltd.	23-June-20	Elect Director Akase, Masayuki	For
USS Co., Ltd.	23-June-20	Elect Director Ikeda, Hiromitsu	For



USS Co., Ltd.	23-June-20	Elect Director Tamura, Hitoshi	For
USS Co., Ltd.	23-June-20	Elect Director Kato, Akihiko	For
USS Co., Ltd.	23-June-20	Elect Director Takagi, Nobuko	For
Venture Corporation Limited	03-June-20	Adopt Financial Statements and Directors' and Auditors' Reports	For
Venture Corporation Limited	03-June-20	Approve Final Dividend	Against
Venture Corporation Limited	03-June-20	Elect Jonathan S. Huberman as Director	For
Venture Corporation Limited	03-June-20	Elect Han Thong Kwang as Director	For
Venture Corporation Limited	03-June-20	Approve Directors' Fees	For
Venture Corporation Limited	03-June-20	Approve Deloitte & Touche LLP as Auditors and Authorize Board to Fix Their Remuneration	For
Venture Corporation Limited	03-June-20	Approve Issuance of Equity or Equity-Linked Securities with or without Preemptive Rights	For
Venture Corporation Limited	03-June-20	Approve Grant of Options and Issuance of Shares Under the Venture Corporation Executives' Share Option Scheme 2015	Against
Venture Corporation Limited	03-June-20	Authorize Share Repurchase Program	Against
Welcia Holdings Co., Ltd.	21-May-20	Elect Director Ikeno, Takamitsu	Against
Welcia Holdings Co., Ltd.	21-May-20	Elect Director Matsumoto, Tadahisa	Against
Welcia Holdings Co., Ltd.	21-May-20	Elect Director Hata, Kazuhiko	Against
Welcia Holdings Co., Ltd.	21-May-20	Elect Director Mizuno, Hideharu	For
Welcia Holdings Co., Ltd.	21-May-20	Elect Director Sato, Norimasa	For
Welcia Holdings Co., Ltd.	21-May-20	Elect Director Nakamura, Juichi	For
Welcia Holdings Co., Ltd.	21-May-20	Elect Director Abe, Takashi	For
Welcia Holdings Co., Ltd.	21-May-20	Elect Director Okada, Motoya	For
Welcia Holdings Co., Ltd.	21-May-20	Elect Director Narita, Yukari	For
Welcia Holdings Co., Ltd.	21-May-20	Elect Director Nakai, Tomoko	For
Welcia Holdings Co., Ltd.	21-May-20	Appoint Statutory Auditor Kagami, Hirohisa	For
Welcia Holdings Co., Ltd.	21-May-20	Approve Cash Compensation Ceiling for Directors and Trust-Type Equity Compensation Plan	For
Wesfarmers Limited	12-November-20	Elect Michael Alfred Chaney as Director	For
Wesfarmers Limited	12-November-20	Approve Remuneration Report	For
Wesfarmers Limited	12-November-20	Approve Grant of KEEPP Deferred Shares and KEEPP Performance Shares to Robert Scott	Against
Wesfarmers Limited	12-November-20	Approve Grant of Additional Performance-Tested Shares to Robert Scott	Against
Wisetech Global Limited	26-November-20	Approve Remuneration Report	Against
Wisetech Global Limited	26-November-20	Elect Maree Isaacs as Director	For
Wisetech Global Limited	26-November-20	Elect Arlene Tansey as Director	Against
Wisetech Global Limited	26-November-20	Approve Equity Incentives Plan	For
Wisetech Global Limited	26-November-20	Approve Grant of Share Rights to Non-Executive Directors	For
Woodside Petroleum Ltd.	30-April-20	Elect Ian Macfarlane as Director	For
Woodside Petroleum Ltd.	30-April-20	Elect Larry Archibald as Director	For
Woodside Petroleum Ltd.	30-April-20	Elect Swee Chen Goh as Director	For
Woodside Petroleum	30-April-20	Approve the Amendments to the Company's Constitution	Against



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Woodside Petroleum Ltd.	30-April-20	Approve Paris Goals and Targets	For
Woodside Petroleum Ltd.	30-April-20	Approve Climate Related Lobbying	For
Woodside Petroleum Ltd.	30-April-20	Approve Reputation Advertising Activities	Against
Woodside Petroleum Ltd.	30-April-20	Approve Remuneration Report	Against
Yakult Honsha Co., Ltd.	24-June-20	Elect Director Negishi, Takashige	Against
Yakult Honsha Co., Ltd.	24-June-20	Elect Director Narita, Hiroshi	For
Yakult Honsha Co., Ltd.	24-June-20	Elect Director Wakabayashi, Hiroshi	For
Yakult Honsha Co., Ltd.	24-June-20	Elect Director Ishikawa, Fumiyasu	For
Yakult Honsha Co., Ltd.	24-June-20	Elect Director Ito, Masanori	For
Yakult Honsha Co., Ltd.	24-June-20	Elect Director Doi, Akifumi	For
Yakult Honsha Co., Ltd.	24-June-20	Elect Director Hayashida, Tetsuya	For
Yakult Honsha Co., Ltd.	24-June-20	Elect Director Hirano, Susumu	For
Yakult Honsha Co., Ltd.	24-June-20	Elect Director Imada, Masao	For
Yakult Honsha Co., Ltd.	24-June-20	Elect Director Richard Hall	For
Yakult Honsha Co., Ltd.	24-June-20	Elect Director Yasuda, Ryuji	For
Yakult Honsha Co., Ltd.	24-June-20	Elect Director Fukuoka, Masayuki	For
Yakult Honsha Co., Ltd.	24-June-20	Elect Director Maeda, Norihito	For
Yakult Honsha Co., Ltd.	24-June-20	Elect Director Pascal Yves De Petrini	For
Yakult Honsha Co., Ltd.	24-June-20	Elect Director Tobe, Naoko	For
Yakult Honsha Co., Ltd.	24-June-20	Appoint Statutory Auditor Yamakami, Hiroshi	Against
Yakult Honsha Co., Ltd.	24-June-20	Appoint Statutory Auditor Tanigawa, Seijuro	Against
Yakult Honsha Co., Ltd.	24-June-20	Appoint Statutory Auditor Tezuka, Seno	Against
Yakult Honsha Co., Ltd.	24-June-20	Appoint Statutory Auditor Kawana, Hideyuki	Against
Yakult Honsha Co., Ltd.	24-June-20	Appoint Statutory Auditor Machida, Emi	Against
Yamaha Corp.	23-June-20	Approve Allocation of Income, with a Final Dividend of JPY 33	For
Yamaha Corp.	23-June-20	Elect Director Nakata, Takuya	For
Yamaha Corp.	23-June-20	Elect Director Yamahata, Satoshi	For
Yamaha Corp.	23-June-20	Elect Director Nakajima, Yoshimi	For
Yamaha Corp.	23-June-20	Elect Director Fukui, Taku	For
Yamaha Corp.	23-June-20	Elect Director Hidaka, Yoshihiro	For
Yamaha Corp.	23-June-20	Elect Director Fujitsuka, Mikio	For
Yamaha Corp.	23-June-20	Elect Director Paul Candland	For
Yamazaki Baking Co., Ltd.	27-March-20	Approve Allocation of Income, with a Final Dividend of JPY 20	For
Yamazaki Baking Co., Ltd.	27-March-20	Amend Articles to Amend Business Lines - Amend Provisions on Director Titles	For
Yamazaki Baking Co., Ltd.	27-March-20	Elect Director Iijima, Mikio	For
Yamazaki Baking Co., Ltd.	27-March-20	Elect Director Iijima, Sachihiko	For



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Yamazaki Baking Co., Ltd.	27-March-20	Elect Director Yokohama, Michio	For
Yamazaki Baking Co., Ltd.	27-March-20	Elect Director Aida, Masahisa	For
Yamazaki Baking Co., Ltd.	27-March-20	Elect Director Inutsuka, Isamu	For
Yamazaki Baking Co., Ltd.	27-March-20	Elect Director Sekine, Osamu	For
Yamazaki Baking Co., Ltd.	27-March-20	Elect Director Fukasawa, Tadashi	For
Yamazaki Baking Co., Ltd.	27-March-20	Elect Director Sonoda, Makoto	For
Yamazaki Baking Co., Ltd.	27-March-20	Elect Director Shoji, Yoshikazu	For
Yamazaki Baking Co., Ltd.	27-March-20	Elect Director Yoshidaya, Ryoichi	For
Yamazaki Baking Co., Ltd.	27-March-20	Elect Director Yamada, Yuki	For
Yamazaki Baking Co., Ltd.	27-March-20	Elect Director Arakawa, Hiroshi	For
Yamazaki Baking Co., Ltd.	27-March-20	Elect Director Hatae, Keiko	For
Yamazaki Baking Co., Ltd.	27-March-20	Elect Director Iijima, Nobuhito	Against
Yamazaki Baking Co., Ltd.	27-March-20	Elect Director Shimada, Hideo	Against
Yamazaki Baking Co., Ltd.	27-March-20	Appoint Statutory Auditor Sato, Kenji	Against
Yamazaki Baking Co., Ltd.	27-March-20	Approve Statutory Auditor Retirement Bonus	Against
YASKAWA Electric Corp.	27-May-20	Elect Director Tsuda, Junji	For
YASKAWA Electric Corp.	27-May-20	Elect Director Ogasawara, Hiroshi	For
YASKAWA Electric Corp.	27-May-20	Elect Director Murakami, Shuji	For
YASKAWA Electric Corp.	27-May-20	Elect Director Minami, Yoshikatsu	For
YASKAWA Electric Corp.	27-May-20	Elect Director Takamiya, Koichi	For
YASKAWA Electric Corp.	27-May-20	Elect Director Ogawa, Masahiro	For
YASKAWA Electric Corp.	27-May-20	Elect Director and Audit Committee Member Sasaki, Junko	For
YASKAWA Electric Corp.	27-May-20	Elect Director and Audit Committee Member Kato, Yuichiro	For
YASKAWA Electric Corp.	27-May-20	Approve Compensation Ceiling for Directors Who Are Audit Committee Members	For
Yokogawa Electric Corp.	24-June-20	Approve Allocation of Income, with a Final Dividend of JPY 17	Against
Yokogawa Electric Corp.	24-June-20	Elect Director Nishijima, Takashi	For
Yokogawa Electric Corp.	24-June-20	Elect Director Nara, Hitoshi	For
Yokogawa Electric Corp.	24-June-20	Elect Director Anabuki, Junichi	For
Yokogawa Electric Corp.	24-June-20	Elect Director Dai, Yu	For
Yokogawa Electric Corp.	24-June-20	Elect Director Uji, Noritaka	For
Yokogawa Electric Corp.	24-June-20	Elect Director Seki, Nobuo	For
Yokogawa Electric Corp.	24-June-20	Elect Director Sugata, Shiro	For
Yokogawa Electric Corp.	24-June-20	Elect Director Uchida, Akira	For
Yokogawa Electric Corp.	24-June-20	Appoint Statutory Auditor Watanabe, Hajime	For



Yokogawa Electric Corp.	24-June-20	Appoint Statutory Auditor Ono, Masaru	For
ZOZO, Inc.	28-Janv-20	Elect Director Kawabe, Kentaro	Against
ZOZO, Inc.	29-June-20	Approve Allocation of Income, With a Final Dividend of JPY 18	For
ZOZO, Inc.	29-June-20	Elect Director Ozawa, Takao	For
ZOZO, Inc.	29-June-20	Elect Director Saito, Taro	For
ZOZO, Inc.	29-June-20	Appoint Statutory Auditor Utsunomiya, Junko	For
ZOZO, Inc.	29-June-20	Appoint Deloitte Touche Tohmatsu LLC as New External Audit Firm	For
ZOZO, Inc.	29-June-20	Approve Restricted Stock Plan	For

ALAHLI ASIA PACIFIC INDEX FUND
(Managed by NCB Capital Company)

FINANCIAL STATEMENTS

For the year ended 31 December 2020

with

Independent Auditor's Report to the Unitholders

**KPMG Professional Services**

Riyadh Front, Airport road
P O Box 92876
Riyadh 11663
Kingdom of Saudi Arabia
Headquarter

Commercial Registration No 1010425494

كي بي إم جي للاستشارات المهنية

واجهة الرياض، طريق المطار
صندوق بريد ٩٢٨٧٦
الرياض ١١٦٦٣
المملكة العربية السعودية
المركز الرئيسي

سجل تجاري رقم ١٠١٠٤٢٥٤٩٤

Independent Auditor's Report

To the Unitholders of AIAhli Asia Pacific Index Fund

Opinion

We have audited the financial statements of **AIAhli Asia Pacific Index Fund** ("the Fund") managed by NCB Capital Company (the "Fund Manager"), which comprise the statement of financial position as at 31 December 2020, the statements of profit or loss and other comprehensive income, changes in equity attributable to unitholders and cash flows for the year then ended, and notes to the financial statements, comprising significant accounting policies and other explanatory information.

In our opinion, the accompanying financial statements present fairly, in all material respects, the financial position of the Fund as at 31 December 2020, and its financial performance and its cash flows for the year then ended in accordance with International Financial Reporting Standards (IFRS) that are endorsed in the Kingdom of Saudi Arabia and other standards and pronouncements issued by Saudi Organization for Certified Public Accountants (SOCPA).

Basis for Opinion

We conducted our audit in accordance with International Standards on Auditing that are endorsed in the Kingdom of Saudi Arabia. Our responsibilities under those standards are further described in the Auditor's Responsibilities for the Audit of the Financial Statements section of our report. We are independent of the Fund in accordance with the professional code of conduct and ethics that are endorsed in the Kingdom of Saudi Arabia that are relevant to our audit of the financial statements, and we have fulfilled our other ethical responsibilities in accordance with these requirements. We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our opinion.

Responsibilities of Fund Manager and Those Charged with Governance for the Financial Statements

The Fund Manager is responsible for the preparation and fair presentation of the financial statements in accordance with IFRS that are endorsed in Kingdom of Saudi Arabia and other standards and pronouncements issued by SOCPA, and to comply with the applicable provisions of the Investment Funds Regulations issued by the Capital Market Authority ("CMA"), the Fund's terms and conditions, and the Information Memorandum, and for such internal control as the Fund Manager determines is necessary to enable the preparation of financial statements that are free from material misstatement, whether due to fraud or error.

In preparing the financial statements, the Fund Manager is responsible for assessing the Fund's ability to continue as a going concern, disclosing, as applicable, matters related to going concern and using the going concern basis of accounting unless the Fund Manager either intends to liquidate the Fund or to cease operations, or has no realistic alternative but to do so.

Those charged with governance, the Fund Board, are responsible for overseeing the Fund's financial reporting process.

Independent Auditor's Report

To the Unitholders of AlAhli Asia Pacific Index Fund (continued)

Auditor's Responsibilities for the Audit of the Financial Statements

Our objectives are to obtain reasonable assurance about whether the financial statements as a whole are free from material misstatement, whether due to fraud or error, and to issue an auditor's report that includes our opinion. 'Reasonable assurance' is a high level of assurance, but is not a guarantee that an audit conducted in accordance with International Standards on Auditing that are endorsed in the Kingdom of Saudi Arabia, will always detect a material misstatement when it exists. Misstatements can arise from fraud or error and are considered material if, individually or in aggregate, they could reasonably be expected to influence the economic decisions of users taken on the basis of these financial statements.

As part of an audit in accordance with International Standards on Auditing that are endorsed in the Kingdom of Saudi Arabia, we exercise professional judgement and maintain professional scepticism throughout the audit. We also:

- Identify and assess the risks of material misstatement of the financial statements, whether due to fraud or error, design and perform audit procedures responsive to those risks, and obtain audit evidence that is sufficient and appropriate to provide a basis for our opinion. The risk of not detecting a material misstatement resulting from fraud is higher than for one resulting from error, as fraud may involve collusion, forgery, intentional omissions, misrepresentations or the override of internal control.
- Obtain an understanding of internal control relevant to the audit in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the Fund's internal control.
- Evaluate the appropriateness of accounting policies used and the reasonableness of accounting estimates and related disclosures made by the Fund Manager.
- Conclude on the appropriateness of the Fund Manager's use of the going concern basis of accounting and, based on the audit evidence obtained, whether a material uncertainty exists related to events or conditions that may cast significant doubt on the Fund's ability to continue as a going concern. If we conclude that a material uncertainty exists, then we are required to draw attention in our auditor's report to the related disclosures in the financial statements or, if such disclosures are inadequate, to modify our opinion. Our conclusions are based on the audit evidence obtained up to the date of our auditor's report. However, future events or conditions may cause the Fund to cease to continue as a going concern.
- Evaluate the overall presentation, structure and content of the financial statements, including the disclosures, and whether the financial statements represent the underlying transactions and events in a manner that achieves fair presentation.

We communicate with those charged with governance regarding, among other matters, the planned scope and timing of the audit and significant audit findings, including any significant deficiencies in internal control that we identify during our audit of *AlAhli Asia Pacific Index Fund* ("the Fund").

For KPMG Professional Services



Dr. Abdullah Hamad Al Fozan
License No. 348

Riyadh: 19 Sha'ban 1442H
Corresponding to 1 April 2021



ALAHLI ASIA PACIFIC INDEX FUND
(Managed by NCB Capital Company)

STATEMENT OF FINANCIAL POSITION

As at 31 December 2020

Expressed in US Dollars '000 (unless otherwise stated)

		31 December 2020	31 December 2019
	<i>Notes</i>		
ASSETS			
Cash and cash equivalents	8	762	571
Investments	9	70,129	52,481
Other receivables		111	91
Total assets		71,002	53,143
LIABILITY			
Other payables		599	363
Equity attributable to Unitholders		70,403	52,780
Units in issue in thousands (number)	10	31,118	29,753
Equity per unit (USD)		2.2625	1.7739

The accompanying notes 1 to 14 form
an integral part of these financial statements

ALAHLI ASIA PACIFIC INDEX FUND
(Managed by NCB Capital Company)

STATEMENT OF PROFIT OR LOSS AND OTHER COMPREHENSIVE INCOME

For the year ended 31 December 2020

Expressed in US Dollars '000 (unless otherwise stated)

	<i>Note</i>	For the year ended 31 December	
		<u>2020</u>	<u>2019</u>
Dividend income		874	823
Realised gain on sale of FVTPL investments		1,463	20
Unrealised gain on FVTPL investments		11,970	8,415
Total income		14,307	9,258
Management fees	11	(160)	(124)
Custody fees		(31)	(29)
Administrative expenses		(16)	(18)
Professional fees		(10)	(9)
Shariah audit fees		(8)	(7)
Value added tax		(19)	(7)
Fund Board remuneration		(6)	(6)
Capital market authority fees		(2)	(2)
Total operating expenses		(252)	(202)
Profit for the year		14,055	9,056
Other comprehensive income for the year		--	--
Total comprehensive income for the year		14,055	9,056

The accompanying notes 1 to 14 form
an integral part of these financial statements

ALAHLI ASIA PACIFIC INDEX FUND
(Managed by NCB Capital Company)

STATEMENT OF CHANGES IN EQUITY ATTRIBUTABLE TO UNITHOLDERS

For the year ended 31 December 2020

Expressed in US Dollars '000 (unless otherwise stated)

	For the year ended 31 December	
	<u>2020</u>	<u>2019</u>
Equity attributable to Unitholders at the beginning of the year	52,780	38,723
Total comprehensive income for the year	14,055	9,056
Increase in equity from unit transactions during the year		
Proceeds from units sold	26,002	16,738
Value of units redeemed	(22,434)	(11,737)
	<u>3,568</u>	<u>5,001</u>
Equity attributable to Unitholders at the end of the year	<u>70,403</u>	<u>52,780</u>

The accompanying notes 1 to 14 form
an integral part of these financial statements

ALAHLI ASIA PACIFIC INDEX FUND
(Managed by NCB Capital Company)

STATEMENT OF CASH FLOWS

For the year ended 31 December 2020

Expressed in US Dollars '000 (unless otherwise stated)

		For the year ended 31 December	
	<i>Note</i>	<u>2020</u>	<u>2019</u>
Cash flow from operating activities			
Profit for the year		14,055	9,056
<i>Adjustment for:</i>			
Realised gain on sale of FVTPL investments		(1,463)	(20)
Unrealised gain on FVTPL investments		(11,970)	(8,415)
		622	621
Changes in operating assets and liabilities:			
Investments		(4,215)	(5,654)
Other receivables		(20)	100
Other payables		236	273
Net cash used in operating activities		(3,377)	(4,660)
Cash flow from financing activities			
Proceeds from units sold		26,002	16,738
Value of units redeemed		(22,434)	(11,737)
Net cash generated from financing activities		3,568	5,001
Increase in cash and cash equivalents		191	341
Cash and cash equivalents at the beginning of the year	8	571	230
Cash and cash equivalents at the end of the year	8	762	571

The accompanying notes 1 to 14 form
an integral part of these financial statements

ALAHLI ASIA PACIFIC INDEX FUND
(Managed by NCB Capital Company)

NOTES TO THE FINANCIAL STATEMENTS

For the year ended 31 December 2020

Expressed in US Dollars '000 (unless otherwise stated)

1. THE FUND AND ITS ACTIVITIES

AlAhli Asia Pacific Index Fund (the "Fund") is a Shariah compliant, open-ended investment fund, managed by NCB Capital Company (the "Fund Manager"), a subsidiary of The National Commercial Bank (the "Bank"), for the benefit of the Fund's Unitholders. The Fund is established under article 30 of the Investment Fund Regulations (the Regulations) issued by the Capital Market Authority (CMA).

As defined in Capital Market Authority's (CMA) Regulation No. 2-83-2005 dated 21 Jumada Awal 1426H (28 June 2005) the Fund Manager conducts following securities' activities:

- a) Dealing;
- b) Arranging;
- c) Managing;
- d) Advising; and
- e) Custody;

The Fund's investments are held by Northern Trust, a custodian appointed by the Fund Manager.

The Fund Manager has appointed an international investment house, Amundi - France, as sub-manager to the Fund. Their duties include opening separate independent investment accounts and managing the Fund's assets in line with the investment strategies and Shariah guidelines.

The Fund invests in securities of companies listed on major South East Asian, Pacific and Japanese stock exchanges, complying with Shariah Principles for investment. Uninvested cash balances may be held in Shariah compliant money market and Murabaha funds and/or be placed in Murabaha transactions.

The terms and conditions of the Fund were originally approved by the Saudi Central Bank (SAMA) and subsequently endorsed by CMA through its letter dated 18 Dhul Hijja 1429H (corresponding to 16 December 2008).

The Fund is governed by the Regulations issued by the CMA pursuant to resolution number 1-219-2006 dated 3 Dhul Hijja 1427H (corresponding to 24 December 2006) as amended by the Resolution No 1/61/2016 of Board of the CMA dated 16 Sha'ban 1437H (corresponding to 23 May 2016), which provided detailed requirements for all funds within the Kingdom of Saudi Arabia.

2. BASIS OF ACCOUNTING

These financial statements of the Fund have been prepared in accordance with International Financial Reporting Standards ("IFRS") as endorsed in the Kingdom of Saudi Arabia and other standards and pronouncements issued by Saudi Organization for Certified Public Accountants ("SOCPA"), and to comply with the applicable provisions of the Investment Funds Regulations issued by Capital Market Authority, the Fund's terms and conditions and the Information Memorandum.

ALAHLI ASIA PACIFIC INDEX FUND
(Managed by NCB Capital Company)

NOTES TO THE FINANCIAL STATEMENTS

For the year ended 31 December 2020

Expressed in US Dollars '000 (unless otherwise stated)

3. BASIS OF MEASUREMENT

The financial statements have been prepared on a historical cost convention using accrual basis of accounting and going concern concept except for investments measured at fair value through profit or loss ("FVTPL") which are recorded at fair value.

The Fund does not have a clearly identifiable operating cycle and therefore does not present current and non-current assets and liabilities separately in the statement of financial position. Instead, assets and liabilities are presented in order of their liquidity.

4. FUNCTIONAL AND PRESENTATION CURRENCY

Items included in the financial statements are measured using the currency of the primary economic environment in which the Fund operates (the "functional currency"). These financial statements are presented in United States Dollar ("USD") which is the Fund's functional and presentation currency.

5. CHANGES IN FUND'S TERMS AND CONDITIONS

There is no change in the terms and conditions of the Fund during 2020.

6. CRITICAL ACCOUNTING ESTIMATES AND ASSUMPTIONS

The preparation of the financial statements requires management to make judgments, estimates and assumptions that affect the application of accounting policies and the reported amounts of assets, liabilities, income and expenses. Actual results may differ from these estimates. Estimates and underlying assumptions are reviewed on an ongoing basis. Revisions to accounting estimates are recognised in the year in which the estimates are revised and in any future years affected.

Fair value estimation

Fair value is the price that would be received to sell an asset or paid to transfer a liability in an orderly transaction between market participants at the measurement date. The fair value measurement is based on the presumption that the transaction to sell the asset or transfer the liability takes place either:

- In the principal market for the asset or liability, or
- In the absence of a principal market, in the most advantageous market for the asset or liability

ALAHLI ASIA PACIFIC INDEX FUND
(Managed by NCB Capital Company)

NOTES TO THE FINANCIAL STATEMENTS

For the year ended 31 December 2020

Expressed in US Dollars '000 (unless otherwise stated)

6. CRITICAL ACCOUNTING ESTIMATES AND ASSUMPTIONS (CONTINUED)

Fair value estimation (continued)

When available, the Fund measures the fair value of an instrument using the quoted price in an active market for that instrument. A market is regarded as active if transactions for the asset or liability take place with sufficient frequency and volume to provide pricing information on an on-going basis. The Fund measures instruments quoted in an active market at a market price, because this price reasonable approximation of the exit price.

If there is no quoted price in an active market, then the Fund uses valuation techniques that maximise the use of relevant observable inputs and minimise the use of unobservable inputs. The chosen valuation technique incorporates all of the factors that market participants would take into account in pricing a transaction. The Fund recognizes transfer between levels of fair value at the end of the reporting year during which the change has occurred.

The fair value hierarchy has the following levels:

- Level 1 inputs are quoted prices (unadjusted) in active markets for identical assets or liabilities that the entity can access at the measurement date;
- Level 2 inputs are inputs other than quoted prices included within Level 1 that are observable for the asset or liability, either directly or indirectly; and
- Level 3 inputs are unobservable inputs for the asset or liability.

The fund has classified the investment measured at Fair Value Through Profit or Loss (FVTPL) per the fair value hierarchy as level 1. During the year there has been no transfer in fair value hierarchy for FVTPL investments. Other financial instruments such as cash and cash equivalents, other receivables and other payables, the carrying values are a reasonable amounts approximation of the fair value.

7. SIGNIFICANT ACCOUNTING POLICIES

The principal accounting policies applied in the preparation of these financial statements are set out below. These policies have been consistently applied to all the years presented, unless otherwise stated. A number of new standards are effective from 1 January 2020 but they do not have a material effect on the Fund's financial statements.

7.1 *Cash and cash equivalents*

Cash equivalents include cash at bank and short term, highly liquid investments that are readily convertible to known amounts of cash and which are subject to an insignificant risk of change in value. Cash and cash equivalents include bank balances.

7.2 *Receivables*

Receivables are initially recognised when they are originated. Receivables without a significant financing component is initially measured at the transaction price and subsequently at their amortised cost using effective interest / commission rate method. Loss allowance for receivables is always measured at an amount equal to lifetime expected credit losses. Receivables without a significant financing component is initially measured at the transaction price.

ALAHLI ASIA PACIFIC INDEX FUND
(Managed by NCB Capital Company)

NOTES TO THE FINANCIAL STATEMENTS

For the year ended 31 December 2020

Expressed in US Dollars '000 (unless otherwise stated)

7. SIGNIFICANT ACCOUNTING POLICIES (CONTINUED)

7.3 *Financial assets and liabilities*

Classification of financial assets

On initial recognition, a financial asset is measured at its fair value and classified at amortized cost, fair value through profit or loss ("FVTPL") fair value through other comprehensive income ("FVOCI").

Financial asset at amortized cost

A financial asset is measured at amortized cost if it meets both of the following conditions and is not designated as at FVTPL:

- the asset is held within a business model whose objective is to hold assets to collect contractual cash flows; and
- the contractual terms of the financial asset give rise on specified dates to cash flows that are solely payments of principal and commission on the principal amount outstanding.

Financial asset at fair value through other comprehensive income ("FVOCI")

A financial asset is measured at FVOCI only if it meets both of the following conditions and is not designated as at FVTPL.

- it is held within a business model whose objective is achieved by both collecting contractual cash flows and selling financial assets; and
- its contractual terms give rise on specified dates to cash flows that are solely payments of principle and commission on the principle amount outstanding.

On initial recognition of an equity investment that is not held for trading, the Fund Manager may irrevocably elect to present subsequent changes in fair value in OCI. This election is made on an investment-by-investment basis.

Financial asset at fair value through profit or loss ("FVTPL")

All financial assets not classified as measured at amortized cost or FVOCI are measured at FVTPL.

Business model assessment

The Fund Manager assesses the objective of a business model in which an asset is held at a portfolio level because this best reflects the way the business is managed and information is provided to management. The information considered includes:

- the stated policies and objectives for the portfolio and the operation of those policies in practice;
- how the performance of the portfolio is evaluated and reported to the Fund Manager;
- the risks that affect the performance of the business model (and the financial assets held within that business model) and how those risks are managed;
- how managers of the business are compensated- e.g. whether compensation is based on the fair value of the assets managed or the contractual cash flows collected; and
- the frequency, volume and timing of sales in prior periods, the reasons for such sales and its expectations about future sales activity. However, information about sales activity is not considered in isolation, but as part of an overall assessment of how the Fund's stated objective for managing the financial assets is achieved and how cash flows are realized.

ALAHLI ASIA PACIFIC INDEX FUND
(Managed by NCB Capital Company)

NOTES TO THE FINANCIAL STATEMENTS

For the year ended 31 December 2020

Expressed in US Dollars '000 (unless otherwise stated)

7. SIGNIFICANT ACCOUNTING POLICIES (CONTINUED)

7.3 *Financial assets and liabilities (continued)*

Classification of financial assets (continued)

Business model assessment (continued)

The business model assessment is based on reasonably expected scenarios without taking 'worst case' or 'stress case' scenarios into account. If cash flows after initial recognition are realized in a way that is different from the Fund's original expectations, the Fund does not change the classification of the remaining financial assets held in that business model, but incorporates such information when assessing newly purchased financial assets going forward.

Financial assets that are held for trading and whose performance is evaluated on a fair value basis are measured at FVTPL because they are neither held to collect contractual cash flows nor held both to collect contractual cash flows and to sell financial assets.

Assessment whether contractual cash flows are solely payments of principal and commission

For the purposes of this assessment, 'principal' is defined as the fair value of the financial asset on initial recognition. Interest or 'Commission' is defined as consideration for the time value of money and for the credit risk associated with the principal amount outstanding during a particular period of time and for other basic lending risks and costs (for example: liquidity risk and administrative costs), as well as profit margin.

In assessing whether the contractual cash flows are solely payments of principal and commission, the Fund considers the contractual terms of the instrument. This includes assessing whether the financial asset contains a contractual term that could change the timing or amount of contractual cash flows such that it would not meet this condition. In making the assessment, the Fund considers:

- contingent events that would change the amount and timing of cash flows;
- leverage features;
- prepayment and extension terms;
- terms that limit the Fund's claim to cash flows from specified assets (for example: non-recourse asset arrangements); and
- features that modify consideration of the time value of money – for example: periodical reset of interest / commission rates.

Reclassifications

Financial assets are not reclassified subsequent to their initial recognition, except in the period after the Fund changes its business model for managing financial assets.

Classification of financial liabilities

The Fund classifies its financial liabilities at amortised cost unless it has designated liabilities at FVTPL.

Recognition and initial measurement

Financial assets at FVTPL are initially recognized on the trade date, which is the date on which the Fund becomes a party to the contractual provisions of the instrument. Other financial assets and financial liabilities are recognized on the date on which they are originated. Financial asset or financial liability is measured initially at fair value plus, for an item not at FVTPL, transaction costs that are directly attributable to its acquisition issue.

ALAHLI ASIA PACIFIC INDEX FUND
(Managed by NCB Capital Company)

NOTES TO THE FINANCIAL STATEMENTS

For the year ended 31 December 2020

Expressed in US Dollars '000 (unless otherwise stated)

7. SIGNIFICANT ACCOUNTING POLICIES (CONTINUED)

7.3 *Financial assets and liabilities (continued)*

Subsequent measurement

Financial assets at FVTPL are subsequently measured at fair value. Net gain or losses including any foreign exchange gains and losses, are recognized in profit or loss in 'realised and unrealized gains / (losses) on FVTPL investments' in the statement of comprehensive income.

Financial assets and financial liabilities at amortized cost are subsequently measured at amortized cost using effective interest / commission method and is recognized in statement of comprehensive income. Any gain or loss on de-recognition is also recognized in statement of comprehensive income. The 'amortized cost' of a financial asset or financial liability is the amount at which the financial asset or financial liability is measured on initial recognition minus the principle repayments, plus or minus the cumulative amortizing using effective interest / commission method of any difference between that initial amount and the maturity amount and, for financial assets, adjusted for any loss allowance.

Derecognition

The Fund derecognizes a financial asset when the contractual rights to the cash flow from the asset expire, or it transfers the rights to receive the contractual cash flows in a transaction in which substantially all of the risks and rewards of ownership of the financial asset are transferred or in which the Fund neither transfers nor retains substantially all of the risks and rewards of ownership and does not retain control of the financial asset.

On derecognition of the financial asset, the difference between the carrying amount of the asset (or the carrying amount allocated to the portion of the asset that is derecognised) and the consideration received (including any new asset obtained less any new liability assumed) is recognized in statement of comprehensive income. Any commission in such transferred financial assets that is created or retained by the Fund is recognized as a separate asset or liability.

The Fund enters into transactions whereby it transfers assets recognized on its statement of financial position but retains either all or substantially all of the risks and rewards of the transferred assets or a portion of them. If all or substantially all of the risk and rewards are retained, then the transferred assets are not derecognized. The Fund derecognize a financial liability when its contractual obligations are discharged or cancelled or expire.

Offsetting

Financial assets and financial liabilities are offset and the net amount presented in the statement of financial position when, and only when, the Fund has legally enforceable right to offset the amounts and intends either to settle them on a net basis or to realize the asset and settle their liability simultaneously.

Income and expenses are presented on a net basis for gain and losses from financial instruments at FVTPL and foreign exchange gains and losses.

ALAHLI ASIA PACIFIC INDEX FUND
(Managed by NCB Capital Company)

NOTES TO THE FINANCIAL STATEMENTS

For the year ended 31 December 2020

Expressed in US Dollars '000 (unless otherwise stated)

7. SIGNIFICANT ACCOUNTING POLICIES (CONTINUED)

7.4 *Provisions*

A provision is recognised when the Fund has a present legal or constructive obligation as a result of past events, it is probable that an outflow of resources embodying economic benefits will be required to settle the obligation, and a reliable estimate of the amount can be made. Provision is not recognised for future operating loss.

7.5 *Equity per unit*

The Fund is open for subscription and redemption of units on every Saudi business day. The equity per unit is calculated by dividing the equity attributable to unitholders included in the statement of financial position by the number of units outstanding at the year end.

7.6 *Distributions to the Unitholders*

Distribution to the Unitholders is accounted for as a deduction from net assets (equity) attributable to the Unitholders.

7.7 *Units in issue*

The Fund classified financial instruments issued as financial liabilities or equity instruments in accordance with the substance of the contractual terms of the instruments.

The Fund has redeemable units in issue. On liquidation of the Fund, they entitle the holders to the residual net assets. They rank pari passu in all respects and have identical terms and conditions. The redeemable units provide investors with the right to require redemption for cash at a value proportionate to the investor's share in the Fund's net assets at each redemption date as well as in the event of the Fund's liquidation.

Redeemable units are classified as equity as it meets all of the following conditions:

- it entitles the holder to a pro rata share of the Fund's net assets in the event of the Fund's liquidation;
- it is in the class of instruments that is subordinate to all other classes of instruments;
- all financial instruments in the class of instruments that is subordinate to all other classes of instruments have identical features;
- the instrument does not include any other features that would require classification as a liability; and
- the total expected cash flows attributable to the instrument over its life are based substantially on the profit or loss, the change in recognized net assets or the change in the fair value of the recognized and unrecognized net assets of the Fund over the life of the instrument.

Incremental costs directly attributable to the issue or redemption of redeemable units are recognized directly in equity as a deduction from the proceeds or part of the acquisition cost.

ALAHLI ASIA PACIFIC INDEX FUND
(Managed by NCB Capital Company)

NOTES TO THE FINANCIAL STATEMENTS

For the year ended 31 December 2020

Expressed in US Dollars '000 (unless otherwise stated)

7. SIGNIFICANT ACCOUNTING POLICIES (CONTINUED)

7.8 *Taxation / zakat*

Under the current system of zakat and income tax in the Kingdom of Saudi Arabia, the Fund is exempt from paying any zakat and income tax. Zakat and income tax are considered to be the obligation of the Unitholders and are not provided in the financial statements.

The Value Added Tax ("VAT") applicable for fees and expenses are recognized in the statement of comprehensive income.

7.9 *Dividend income*

Dividend income is recognized in statement of comprehensive income on the date on which the right to receive payment is established. For quoted equity securities, this is usually the ex-dividend date. For unquoted equity securities, this is usually the date on which the unitholders approve the payment of a dividend. Dividend income from equity securities designated as at fair value through profit or loss ("FVTPL") is recognized in statement of comprehensive income in a separate line item.

7.10 *Management fee expense*

Management fee expense is recognized in the statement of comprehensive income as the related services are performed.

7.11 *Accrued expenses and other payables*

Accrued expenses and other payables are recognised initially at fair value and subsequently measured at amortised cost using the effective commission rate method.

7.12 *New IFRS standards, IFRIC interpretations and amendments thereof, adopted by the Fund*

Below amendments to accounting standards and interpretations became applicable for annual reporting periods commencing on or after 1 January 2020. The Fund manager has assessed that the amendments have no significant impact on the Fund's financial statements.

Standards / Interpretations and Amendments

Amendments to IFRS 3 - Definition of a Business

Amendments to IAS 1 and IAS 8 - Definition of Material

Amendments to References to the Conceptual Framework in IFRS Standards

Amendments to IFRS 9, IAS 39 and IFRS 7 - Interest Rate Benchmark Reform – Phase 1

ALAHLI ASIA PACIFIC INDEX FUND
(Managed by NCB Capital Company)

NOTES TO THE FINANCIAL STATEMENTS

For the year ended 31 December 2020

Expressed in US Dollars '000 (unless otherwise stated)

7. SIGNIFICANT ACCOUNTING POLICIES (CONTINUED)

7.13 *Standards issued but not yet effective*

Standards issued but not yet effective up to the date of issuance of the Fund's financial statements are listed below. The Fund intends to adopt these standards when they become effective.

<i>Standards / Interpretations and amendments</i>	<i>Description</i>	<i>Effective from periods beginning on or after the following date</i>
Amendments to IFRS 16	COVID-19 – Related Rent Concessions	June 1, 2020
IFRS 17	Insurance contracts	January 1, 2023
Amendments to IAS 1	Classification of Liabilities as Current or Non-current	January 1, 2022
Amendments to IAS 37	Onerous contracts – Cost of Fulfilling a contract	January 1, 2022
Amendments to IFRS 9, IAS 39, IFRS 7, IFRS 4 and IFRS 16	Interest Rate Benchmark Reform – Phase 2	January 1, 2021
Amendments to IAS 16	Property, Plant and Equipment: Proceeds before Intended Use	January 1, 2022
Amendments to IFRS 3	Reference to Conceptual Framework	January 1, 2022

The above amended standards and interpretations are not expected to have a significant impact on the Fund's financial statements.

Furthermore, the Capital Market Authority, on March 1, 2021, has issued certain amendments to the Investment Funds Regulations and Glossary of Defined Terms Used in the Regulations and Rules of the Capital Market Authority. These amendments have effective dates starting from May 1, 2021. The Fund Manager is currently in the process of evaluating the impact, if any, of these amendments on the Fund's financial statements.

8. CASH AND CASH EQUIVALENTS

This comprises of balances held with a local Bank having a sound credit rating.

9. INVESTMENTS

INVESTMENTS MEASURED AT FAIR VALUE THROUGH PROFIT OR LOSS

The composition of investments measured at FVTPL by currency is summarized below:

<i>Currency</i>	<i>Country</i>	31 December 2020		
		% of Total Value (Fair value)	Cost	Fair Value
Japanese Yen	Japan	78.57	38,104	55,100
Australia Dollar	Australia, Ireland	14.49	7,450	10,164
Hong Kong Dollar	Hong Kong, Cayman Islands	3.85	2,338	2,697
New Zealand Dollar	New Zealand	2.24	1,141	1,570
Singapore Dollar	Singapore	0.85	719	598
		100	49,752	70,129

ALAHLI ASIA PACIFIC INDEX FUND
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NOTES TO THE FINANCIAL STATEMENTS

For the year ended 31 December 2020

Expressed in US Dollars '000 (unless otherwise stated)

9. INVESTMENTS (CONTINUED)

INVESTMENTS MEASURED AT FAIR VALUE THROUGH PROFIT OR LOSS (continued)

		31 December 2019		
<i>Currency</i>	<i>Country</i>	% of Total Value (Fair value)	Cost	Fair Value
Japanese Yen	Japan	76.34	33,696	40,067
Australia Dollar	Australia, Ireland	15.01	6,469	7,876
Hong Kong Dollar	Hong Kong	4.95	2,216	2,598
New Zealand Dollar	New Zealand	1.98	822	1,039
Singapore Dollar	Singapore	1.40	726	735
United States Dollar	Cayman Islands	0.32	143	166
		100	44,072	52,481

The composition of investments measured at fair value through profit or loss by industry sector is summarized below:

		31 December 2020		
<i>Industry Sector</i>		% of Total Value (Fair value)	Cost	Fair Value
Industrials		30.89	14,484	21,667
Health Care		21.36	10,925	14,986
Consumer Goods		16.12	8,710	11,302
Consumer Services		8.97	3,934	6,291
Materials		8.58	3,901	6,015
Information Technology		7.76	3,725	5,440
Utilities		2.58	1,398	1,806
Telecommunication Services		2.44	1,657	1,712
Financial Services		1.03	830	720
Oil & Gas		0.27	188	190
		100	49,752	70,129

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9. INVESTMENTS (continued)

INVESTMENTS MEASURED AT FAIR VALUE THROUGH PROFIT OR LOSS (continued)

<i>Industry Sector</i>	31 December 2019		
	% of Total		
	<u>Value</u>		
	<u>(Fair value)</u>	<u>Cost</u>	<u>Fair Value</u>
Industrials	29.34	12,654	15,397
Health Care	20.96	8,575	11,001
Consumer Goods	17.49	8,391	9,179
Consumer Services	7.66	3,330	4,022
Materials	6.74	3,027	3,539
Information Technology	6.72	2,797	3,525
Telecommunication Services	3.53	1,721	1,853
Utilities	3.21	1,585	1,682
Financial Services	2.79	1,227	1,463
Oil & Gas	1.56	765	820
	<u>100</u>	<u>44,072</u>	<u>52,481</u>

10. UNIT TRANSACTIONS

Transactions in units during the year are summarized as follows:

	For the year ended 31 December	
	<u>2020</u>	<u>2019</u>
	<u>Units' 000</u>	<u>Units' 000</u>
Units at the beginning of the year	29,753	27,161
Units sold	14,520	10,119
Units redeemed	(13,155)	(7,527)
Net increase in units during the year	1,365	2,592
Units at the end of the year	31,118	29,753

As at 31 December 2020, the top five Unitholders represented of 62.10% (31 December 2019: 56.04%) of the Fund's units.

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11. RELATED PARTY TRANSACTIONS AND BALANCES

Parties are considered to be related if one party has the ability to control the other party or exercise significant influence over the other party in making financial or operational decisions. The related parties of the Fund include the Fund Manager, other funds managed by the Fund Manager and Parent of the Fund Manager.

<u>Name of entity</u>	<u>Relationship</u>
National Commercial Bank	Shareholder of the Fund Manager
NCB Capital Company	Fund Manager
AlAhli Takaful Company	Affiliate
AlAhli Multi Asset Conservative Fund	Unitholder / Funds managed by NCBC
AlAhli Multi Asset Moderate Fund	Unitholder / Funds managed by NCBC
AlAhli Multi Asset Growth Fund	Unitholder / Funds managed by NCBC
AlAhli Global Equity Fund	Unitholder / Funds managed by NCBC

Management fee and other expenses

The Fund is managed and administered by the Fund Manager. For these services, the Fund accrues, daily a management fee, as determined by the Fund Manager, which should not be more than the maximum annual rate of 0.3% p.a. of the Fund's daily equity as set out in the Fund's terms and conditions.

The Fund Manager is also entitled to recover expenses incurred on behalf of the Fund relating to audit, custody, advisory, data processing and other similar charges. The maximum amount of such expenses that can be recovered from the Fund by the Fund Manager is restricted to 0.5% per annum of the Fund's equity at the respective valuation days. These expenses have been recovered by the Fund Manager on an actual basis.

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11. RELATED PARTY TRANSACTIONS AND BALANCES (continued)

Transactions with related parties

During the year, the Fund entered into the following transactions, other than those disclosed elsewhere in the financial statements, with related parties in the ordinary course of business. These transactions were carried out on the basis of approved terms and conditions of the Fund. All related party transactions are approved by the Fund Board.

<u>Related party</u>	<u>Nature of transaction</u>	<u>Amount of transactions</u>		<u>Balance as at</u>	
		<u>2020</u>	<u>2019</u>	<u>31 December 2020</u>	<u>31 December 2019</u>
NCB Capital Company	Management fee	160	124		
	Expenses paid on behalf of the Fund	<u>92</u>	<u>78</u>	<u>--</u>	<u>--</u>
AlAhli Multi Asset Conservative Fund	Subscription of units	4,705	1,155		
	Redemption of units	<u>4,810</u>	<u>111</u>	<u>3,176</u>	<u>3,398</u>
AlAhli Multi Asset Moderate Fund	Subscription of units	2,171	1,895		
	Redemption of units	<u>1,807</u>	<u>320</u>	<u>5,578</u>	<u>4,754</u>
AlAhli Multi Asset Growth Fund	Subscription of units	1,105	1,380		
	Redemption of units	<u>618</u>	<u>362</u>	<u>2,617</u>	<u>2,147</u>
AlAhli Global Equity Fund	Subscription of units		--		
	Redemption of units	<u>384</u>	<u>2,823</u>	<u>--</u>	<u>619</u>
AlAhli Takaful Company	Subscription of units	348	--		
	Redemption of units	<u>348</u>	<u>--</u>	<u>--</u>	<u>--</u>

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12. FINANCIAL RISK MANAGEMENT

12.1 *Financial risk factors*

The Fund's activities expose it to a variety of financial risks including market risk, credit risk, liquidity risk and operational risk.

The Fund Manager is responsible for identifying and controlling risks. The Fund Board supervises the Fund Manager and is ultimately responsible for the overall management of the Fund.

Monitoring and controlling risks is primarily set up to be performed based on the limits established by the Fund Board. The Fund has its Terms and Conditions document that set out its overall business strategies, its tolerance of risks and its general risk management philosophy and is obliged to take actions to rebalance the portfolio in line with the investment guidelines.

12.1.1 *Market risk*

'Market Risk' is the risk that changes in market prices – such as commission rates, foreign exchange rates, equity prices and credit spreads – will affect the Fund's income or the fair value of its holdings in financial instruments.

a) *Foreign exchange risk*

Foreign exchange risk is the risk that the value of future cash flows of a financial instrument will fluctuate due to changes in foreign exchange rates and arises from financial instruments denominated in foreign currency. The Fund views the United States Dollar as its functional currency.

The Fund's financial assets and liabilities are denominated in currencies other than its functional currency. Accordingly, the value of the Fund's assets may be affected favorably or unfavorably by fluctuations in currency rates.

The analysis calculates the effect of a reasonably possible movement of the U.S. Dollar against the underlying currencies of the investment portfolio with all other variables held constant, on the statement of comprehensive income due to the fair value of currency sensitive assets.

	Change in <u>market rates</u>	31 December <u>2020</u>	31 December <u>2019</u>
Japanese Yen	± 10%	± 5,510	± 4,007
Australian Dollar	± 10%	± 1,016	± 788
Hong Kong Dollar	± 10%	± 270	± 260
New Zealand Dollar	± 10%	± 157	± 104
Singapore Dollar	± 10%	± 60	± 74

b) *Price risk*

Price risk is the risk that the value of the Fund's financial instruments will fluctuate as a result of changes in market prices caused by factors other than foreign currency and commission rate movements. The price risk arises primarily from uncertainty about the future prices of financial instruments that the Fund holds. The Fund closely monitors the price movement of its investments in financial instruments. As of the statement of financial position date, the Fund has investments in equities.

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12. FINANCIAL RISK MANAGEMENT (continued)

12.1 Financial risk factors (continued)

12.1.1 Market risk(continued)

b) Price risk (continued)

The effect on the equity (as a result of the change in the fair value of investments) due to a reasonably possible change in price of FVTPL investments, with all other variables held constants is as follows:

	31 December 2020	31 December 2019
Effect on equity	±10% <u>±7,013</u>	±10% <u>± 5,248</u>

12.1.2 Credit risk

Credit risk is the risk that one party to a financial instrument will fail to discharge an obligation and cause the other party to incur a financial loss. The Fund Manager seeks to manage credit risk by monitoring credit exposures, limiting transactions with specific counterparties, and continually assessing the creditworthiness of counterparties. As at the statement of financial position date, the Fund's maximum exposure to credit risk is represented by the carrying amount of cash and cash equivalents, which represent balances with a local Bank having Moody's credit rating of A3 and other receivable. There is no impact of ECL on these financial assets.

12.1.3 Liquidity risk

Liquidity risk is the risk that the Fund may not be able to generate sufficient cash resources to settle its obligations in full as they fall due or can only do so on terms that are materially disadvantageous.

The Fund's terms and conditions provide for subscription and redemption of units on every Saudi and US Business day (Monday to Thursday) and it is, therefore, exposed to the liquidity risk of meeting unitholder redemptions on these days. The Fund Manager monitors liquidity requirements by ensuring that sufficient funds are available to meet any commitments as they arise, either through new subscriptions, liquidation of the investment portfolio or by taking short term loans from the facilities obtained by the Fund Manager.

The Fund manages its liquidity risk by investing predominantly in securities that it expects to be able to liquidate within short period.

12.1.4 Operational risk

Operational risk is the risk of direct or indirect loss arising from a variety of causes associated with the processes, technology and infrastructure supporting the Fund's activities either internally or externally at the Fund's service provider and from external factors other than credit, liquidity, currency and market risks such as those arising from the legal and regulatory requirements.

The Fund's objective is to manage operational risk to balance limiting of financial losses and damage to its reputation with achieving its investment objective of generating returns to unitholders.

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12. FINANCIAL RISK MANAGEMENT (continued)

12.1 *Financial risk factors (continued)*

12.1.4 *Operational risk (continued)*

The primary responsibility for the development and implementation of control over operational risks rests with the Risk Management Team. This responsibility is supported by the development of overall standard for the management of operational risk, which encompasses the controls and processes at the service providers and the establishment of service levels with the service providers, in the following areas:

- documentation of controls and procedures;
- requirements for: -
 - appropriate segregation of duties between various functions, roles and responsibilities;
 - reconciliation and monitoring of transactions; and
 - periodic assessment of operational risks faced.
- the adequacy of controls and procedures to address the risks identified;
- compliance with regulatory and other legal requirements;
- development of contingency plans;
- training and professional development;
- ethical and business standards; and
- risk mitigation.

12.1.5 *Considerations due to covid-19*

The COVID-19 pandemic continues to disrupt global markets as many geographies are experiencing a “second wave” of infections despite having previously controlled the outbreak through aggressive precautionary measures such as imposing restrictions on travel, lockdowns and strict social distancing rules. The Government of the Kingdom of Saudi Arabia (“the Government”) however has managed to successfully control the outbreak to date, owing primarily to the unprecedented yet effective measures taken by the Government, following which the Government has ended the lockdowns and has taken phased measures towards normalization.

Recently, a number of COVID-19 vaccines have been developed and approved for mass distribution by various governments around the world. The Government has also approved a vaccine which is currently available for healthcare workers and certain other categories of people and it will be available to the masses in general during 2021. Despite the fact that there are some uncertainties around the COVID-19 vaccine such as how long the immunity last, whether vaccine will prevent transmission or not etc.; however, the testing results showed exceptionally high success rates. Hence, the Fund continues to be cognizant of both the micro and macroeconomic challenges that COVID-19 has posed, the teething effects of which may be felt for some time and is closely monitoring its exposures.

13. LAST VALUATION DAY

The last valuation day for the purpose of preparation of these financial statements was 31 December 2020 (2019: 31 December 2019).

14. APPROVAL OF THE FINANCIAL STATEMENTS

These financial statements were approved by the Fund Manager on 19 Sha’ban 1442H corresponding to 1 April 2021.