هيئة السوق المالية
Capital Market Authority

# Form (3)

Profile Form for a Nominated/ Appointed Director/ Representative of a Legal Person for Membership of the Board of Directors of a Joint Stock Company Listed on the Saudi Stock Exchange (Tadawul)

**How to Fill Out the Form**

1. This form shall be filled out by the nominated/ appointed director/ representative of a legal person (Director) for membership of the Board of Directors of a joint stock company listed on the Saudi Stock Exchange (Tadawul) (the Company).
2. Director shall send this form to the Company.
3. The Company shall send this form attached to the e-form No. (3) to the Capital Market Authority (CMA) through the e-link system as soon as it obtains approvals of the competent authorities; or otherwise at least (3) working days before the AGM, provided in such case that the Company shall provide CMA with approvals of the competent authorities whenever obtained.

| 1. Company Details | |
|---|---|
| **Company Name** | |
| **Company Industry** | |

| 2. Membership Role and Nature | | | |
|---|---|---|---|
| **Role (tick✓)** | ☐ Executive | ☐ Non-Executive | ☐ Independent |
| **Nature (tick✓)** | ☐ In Personal Capacity | ☐ Appointed by a shareholder who has the right to appoint under the company's bylaws :<br>Shareholder name: | |
| **Appointment Date:** | Appointment Date:<br>/ / | End Date of Board Term:<br>/ / | |

| 3. Personal Details of the Director | | | | | |
|---|---|---|---|---|---|
| **Full name** | | | | | |
| **Nationality** | | **Date of Birth** | | **Mailing Address** | |
| **Work Place:** | | **Job Title**: | | **Office Phone** | |
| | | | | **Mobile** | |
| | | | | **FAX** | |
| **ID No.** | | | | | |
| **Number of Company shares owned by the Director** | | | | | |
| **Number of Company shares owned by the legal person represented by the Director** | | | | | |

| 4. Academic Qualifications of the Director | | | | |
|---|---|---|---|---|
| **No.** | **Qualification** | **Major** | **Qualification Date** | **Academic Institution** |
| 1 | | | | |
| 2 | | | | |
| 3 | | | | |
| 4 | | | | |

| 5. Experience of the Director |
|---|

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| Period | Areas of expertise |
|---|---|
| | |
| | |
| | |

## 6. Current Membership of Boards of other Joint Stock Companies (Listed or Non-Listed) or any other Company regardless of its Legal Form or any Board Committees:

| No. | Company Name | Core Business Activity | Role (Executive, Non-Executive, Independent) | ( in personal capacity, appointed by a shareholder who has the right to appoint under the company's bylaws, nominate by shareholder) | Committee Memberships | Company Legal Form |
|---|---|---|---|---|---|---|
| 1 | | | | | | |
| 2 | | | | | | |
| 3 | | | | | | |
| 4 | | | | | | |

## 7. Please answer the following questions:

A- Does the Director own 5% or more of the shares of the Company or any other company in its group? If so, give full elaboration:

☐ **Yes** ☐ **No**

| No. | Company Name | Percentage |
|---|---|---|
| | | |
| | | |
| | | |

B- Does the Director represent a legal person that owns 5% or more of the shares of the Company or any other company in its group? If so, give full elaboration on the legal person's ownership:

☐ **Yes** ☐ **No** ☐ **N/A**

| No. | Company Name | Percentage |
|---|---|---|
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☐ **Yes** ☐ **No**

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C- Has the Director served as a senior executive in the Company or any other company in its group over the past two years?
If so, give full elaboration:

| No. | Company Name | Executive Role |
|---|---|---|
| | | |
| | | |
| | | |

D- Is there a first-degree relationship of the Director to any other board member in the Company or any other company in its group? ☐ **Yes** ☐ **No**
If so, give full elaboration:

| No. | Full name of relative Director | Company Name |
|---|---|---|
| | | |
| | | |
| | | |

E- Is there a first-degree relationship of the Director to any other senior executive in the Company or any other company in its group? ☐ **Yes** ☐ **No**
If so, give full elaboration:

| No. | Full name of relative executive | Company Name |
|---|---|---|
| | | |
| | | |
| | | |

F- Does the Director serve as a board member in any other company in the Company group? ☐ **Yes** ☐ **No**
If so, give full elaboration:

| No. | Company Name |
|---|---|
| | |
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| | |

G- Has the Director worked during the past two years for any party related to the Company or any company in its group, such as certified public accountants (CPAs) and major suppliers, or held a controlling interest in any of said parties during the past two years? ☐ **Yes** ☐ **No**
If so, give full elaboration:

| No. | Company Name | Name of party associated with the Company | Position | Percentage |
|---|---|---|---|---|
| | | | | |

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H- Is the Director a full-time director of the Company? ☐ **Yes** ☐ **No**

I- Does the Director receive monthly or annual financial consideration from the Company? ☐ **Yes** ☐ **No**

J- Does the Director hold any direct or indirect interest in the businesses and contracts executed for the Company's account? ☐ **Yes** ☐ **No**
If so, give full elaboration:

| No. | Nature of Business and Contracts | Duration of Business and Contracts | Value of Business and Contracts |
|---|---|---|---|
| | | | |
| | | | |
| | | | |

K- Does the legal person represented by the Director hold any direct or indirect interest in the businesses and contracts executed for the Company's account? If so, give full elaboration: ☐ **Yes** ☐ **No** ☐ **N/A**

| No. | Nature of Business and Contracts | Duration of Business and Contracts | Value of Business and Contracts |
|---|---|---|---|
| | | | |
| | | | |
| | | | |

L- Does the Director serve as a board member in any other company that has businesses or contracts with the Company? ☐ **Yes** ☐ **No**
If so, give full elaboration:

| No. | Company Name | Nature of Business and Contracts | Duration of Business and Contracts | Value of Business and Contracts |
|---|---|---|---|---|
| | | | | |
| | | | | |
| | | | | |

M- Does the legal person represented by the Director serve as a board member in any other company that has businesses or contracts with the Company? If so, give full elaboration: ☐ **Yes** ☐ **No** ☐ **N/A**

| No. | Company Name | Business and Contract Nature | Business and Contract Period | Business and Contract Value |
|---|---|---|---|---|

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N- Is the Director an owner or a partner in a facility that has businesses or contracts with the Company? ☐ **Yes** ☐ **No**
If so, give full elaboration:

| No. | Facility Name | Nature of Business and Contracts | Duration of Business and Contracts | Value of Business and Contracts |
|---|---|---|---|---|
| | | | | |
| | | | | |
| | | | | |

O- Is the legal person represented by the Director an owner or a partner in a facility that has businesses or contracts with the Company? ☐ **Yes** ☐ **No** ☐ **N/A**
If so, give full elaboration:

| No. | Facility Name | Nature of Business and Contracts | Duration of Business and Contracts | Duration of Business and Contracts |
|---|---|---|---|---|
| | | | | |
| | | | | |
| | | | | |

P- Does the Director serve as a senior executive in a facility that has businesses or contracts with the Company? ☐ **Yes** ☐ **No**
If so, give full elaboration:

| No. | Facility Name | Nature of Business and Contracts | Duration of Business and Contracts | Value of Business and Contracts |
|---|---|---|---|---|
| | | | | |
| | | | | |
| | | | | |

Q- Is the Director engaged in any business that would compete with, or does the Director transact any business pursued by the Company or any company of its group? If so, give full elaboration: ☐ **Yes** ☐ **No**

| No. | Company Name | Nature of competitive business or activity |
|---|---|---|
| | | |
| | | |
| | | |

R- Is the legal person represented by the Director engaged in any business that would compete with, or does the legal person transact any business pursued by the Company or any company in its group? If so, give full elaboration: ☐ **Yes** ☐ **No** ☐ **N/A**

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| No. | Company Name | Nature of competitive business or activity |
|---|---|---|
| | | |
| | | |
| | | |

S- Does the Director serve as board member in a company or any other company in its group engaged in any business that would compete with, or does such company or any company in its group transact any business pursued by the Company or any company in its group? If so, give full elaboration:

☐ **Yes** ☐ **No**

| No. | Company Name | Nature of competitive business or activity |
|---|---|---|
| | | |
| | | |
| | | |

T- Does the legal person represented by the Director serve as board member in a company or any other company in its group engaged in any business that would compete with, or does such company or any company in its group transact any business pursued by the Company or any company in its group? If so, give full elaboration:

☐ **Yes** ☐ **No** ☐ **N/A**

| No. | Company Name | Nature of competitive business or activity |
|---|---|---|
| | | |
| | | |
| | | |

U- Is the Director an owner or a partner in any facility or any other company in its group engaged in any business that would compete with, or does such facility or any company in its group transact any business pursued by the Company or any company in its group? If so, give full elaboration:

☐ **Yes** ☐ **No**

| No. | Facility Name | Nature of competitive business or activity |
|---|---|---|
| | | |
| | | |
| | | |

V- Is the legal person represented by the Director an owner or a partner in any facility or any other company of its group engaged in any business that would compete with, or does such facility or any company in its group transact any business pursued by the Company or any company in its group? If so, give full elaboration:

☐ **Yes** ☐ **No** ☐ **N/A**

| No. | Facility Name | Nature of competitive business or activity |
|---|---|---|
| | | |
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☐ **Yes** ☐ **No**

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W- Does the Director serve as senior executive in a facility or any other company in its group engaged in any business that would compete with, or does such facility or company in its group transact any business pursued by the Company or any company in its group? If so, give full elaboration:

| No. | Facility Name | Nature of competitive business or activity |
|---|---|---|
| | | |
| | | |
| | | |

**Director**

Name :

Signature :

Date :

**Legal Person Represented by the Director**

legal person Name :

Authorized Signatory :

Title :

Signature :

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Date :

**NOTE:** The Director and legal person represented by the Director shall be responsible for the authenticity of the respective information provided herein.