

Weekly Stock Market Ownership and Trading Activity Report

Market Reports

Week Ending 06 August 2020



Definitions



Weekly Stock Market Ownership and Trading Activity Report

Definitions

Nationality	Investor Type	Description
Saudi	Individuals*	Saudi Individuals have been classified under 4 categories as defined below:
	- Retail	Retail investors are Saudi individuals , excluding IPIs (see below) and HNWIs.
	- High Net Worth Investors (HNWIs)	HNWIs are Saudi individuals who have had an average portfolio size of SAR 1m (and above) for the preceding 12 months, excluding IPIs (see below).
	- Individual Professional Investors (IPIs)	IPIs are Saudi individuals who have had an average portfolio size of SAR 50m (and above) for the preceding 12 months and a portfolio turnover ratio of not more than 4 times annually.
	- Individual DPMs	Saudi individual investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	Institutions	Saudi Institutions have been classified under 4 categories as defined below:
	- Corporates	Saudi company with a commercial registration permitting its investment in the stock market .
	- Mutual Funds	A mutual fund managed by an Authorized Person and licensed by the CMA.
	- Government Related Entities (GREs)	A Government entity or a supranational authority recognized by the CMA.
- Institutional DPMs	Saudi institutional investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).	
GCC	Individuals*	GCC natural person
	Institutions	GCC legal person with a commercial registration certificate (corporate or mutual fund), in addition to GCC government entities.
	GCC DPMs	GCC investment account (institutional or individual) in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
Foreign	SWAP Holders	Authorized Person with the permission to enter into SWAP agreements to transfer the economic benefits of its ownership of shares in Saudi companies listed on the Saudi Stock Exchange to its non-resident foreign clients.
	Foreign Residents & Others	Investors with a valid residency permit (Iqama) and others (excluding SWAP, QFIs, DPM & Strategic Investors).
	QFIs	Qualified Foreign Institutional investors registered with the CMA in accordance with the QFI Rules, to invest in shares listed on the Saudi Stock Exchange.
	Foreign DPMs	Foreign resident investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	Strategic Investors	A foreign legal entity that aims to own a strategic shareholding in listed companies.

*Nomu-Parallel Market Individual Investors

Individuals who fulfill any of the following:

- Conducted transactions not less than SAR 40m, and not less than 10 transaction in each quarter during last 12 months.
- Have an average portfolio size of SAR 5m for the preceding 12 months
- Worked for at least 3 years in financial sectors.
- Hold General Securities Qualification Certificate which is recognized by the Authority.
- Holds professional certificate that is related to securities business and accredited by an internationally recognized entity

Main Market - Value Traded & Ownership Breakdown



Weekly Report - Main Market

Value Traded (by Nationality and Investor Type)

Main Market Value Traded Breakdown - By Nationality and Investor Type

Nationality	Investor Type	Buy		Sell		Net Value Traded		
		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)	
Saudi	Individuals:							
	Retail	6,081,797,860	55.81%	6,130,072,066	56.25%	-48,274,207	-0.44%	
	HNWIs	2,273,865,068	20.87%	2,338,268,583	21.46%	-64,403,515	-0.59%	
	IPIs	279,725,129	2.57%	313,446,693	2.88%	-33,721,564	-0.31%	
	Individual DPMs	86,980,968	0.80%	89,671,994	0.82%	-2,691,026	-0.02%	
	Sub Total (Individuals)	8,722,369,025	80.04%	8,871,459,336	81.40%	-149,090,311	-1.37%	
	Institutions:							
	Corporates	419,620,404	3.85%	298,926,542	2.74%	120,693,862	1.11%	
	Mutual Funds	162,853,593	1.49%	131,950,419	1.21%	30,903,174	0.28%	
	GRE's	144,134,275	1.32%	257,996,478	2.37%	-113,862,203	-1.04%	
	Institution DPMs	67,315,654	0.62%	6,796,177	0.06%	60,519,476	0.56%	
	Sub Total (Institutions)	793,923,925	7.29%	695,669,616	6.38%	98,254,309	0.90%	
Total Saudi Investors	9,516,292,950	87.32%	9,567,128,952	87.79%	-50,836,002	-0.47%		
GCC	Individuals	14,919,791	0.14%	23,460,110	0.22%	-8,540,319	-0.08%	
	Institutions	28,226,930	0.26%	37,571,416	0.34%	-9,344,485	-0.09%	
	GCC DPMs	1,258,856	0.01%	157,476,734	1.45%	-156,217,878	-1.43%	
	Total GCC Investors	44,405,577	0.41%	218,508,259	2.01%	-174,102,682	-1.60%	
Foreign	SWAP Holders	3,824,603	0.04%	6,121,108	0.06%	-2,296,505	-0.02%	
	Foreign Residents & Others	194,720,785	1.79%	208,528,009	1.91%	-13,807,225	-0.13%	
	QFIs	1,138,703,305	10.45%	897,660,891	8.24%	241,042,414	2.21%	
	Foreign DPMs	0	0.00%	0	0.00%	0	0.00%	
	Strategic Investor	0	0.00%	0	0.00%	0	0.00%	
Total Foreign Investors	1,337,248,693	12.27%	1,112,310,008	10.21%	224,938,685	2.06%		
Grand Total		10,897,947,220	100.00%	10,897,947,220	100.00%			

Trading days period (05 - 06 August)

Weekly Report - Main Market

Value Traded (by Investor Classification)

Main Market Value Traded Breakdown - By Investor Classification

Investor Classification	Buy		Sell		Net Value Traded	
	SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Institutional	2,332,643,716	21.40%	2,197,618,451	20.17%	135,025,265	1.24%
Non-Institutional	8,565,303,503	78.60%	8,700,328,768	79.83%	-135,025,265	-1.24%
Grand Total	10,897,947,220	100.00%	10,897,947,220	100.00%		

Definitions

Institutional:

Refers to investors with institutional behavior including GREs, Saudi Corporates, Saudi Mutual Funds, Saudi and Non-Saudi DPMs, Saudi Individual Professional Investors (IPI), SWAP Holders, Foreign Strategic Partners and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.

Weekly Report - Main Market

Ownership Value (by Nationality and Investor Type)

Main Market Ownership Breakdown - By Nationality and Investor Type

Nationality	Investor Type	As of 28 July 2020			As of 06 August 2020			Weekly Change		
		Holding Value (SAR)	Ownership		Holding Value (SAR)	Ownership		Δ Holding Value (SAR)	Ownership	
			Issued (%)	Free Float (%)		Issued (%)	Free Float (%)		Δ Issued (%)	Δ Free Float (%)
Saudi	Individuals:									
	Retail	109,282,850,315	1.30%	9.95%	106,942,611,213	1.28%	9.68%	-2,340,239,102	-0.03%	-0.27%
	HNWIs	151,529,349,773	1.81%	13.62%	154,811,191,583	1.85%	13.86%	3,281,841,811	0.04%	0.23%
	IPLs	259,382,305,184	3.10%	17.15%	261,790,760,729	3.13%	17.22%	2,408,455,545	0.03%	0.07%
	Individual DPMs	4,985,321,427	0.06%	0.45%	5,096,172,476	0.06%	0.46%	110,851,049	0.00%	0.01%
	Sub Total (Individuals)	525,179,826,698	6.27%	41.18%	528,640,736,001	6.31%	41.22%	3,460,909,303	0.04%	0.04%
	Institutions:									
	Corporates	538,889,271,191	6.43%	22.71%	539,205,039,699	6.44%	22.72%	315,768,509	0.01%	0.01%
	Mutual Funds	171,630,713,627	2.05%	11.02%	171,797,288,841	2.05%	11.03%	166,575,214	0.00%	0.01%
	GRE's	6,893,970,795,910	82.26%	7.33%	6,885,657,324,593	82.20%	7.28%	-8,313,471,317	-0.07%	-0.05%
	Institution DPMs	33,655,258,141	0.40%	3.07%	33,990,337,974	0.41%	3.08%	335,079,832	0.00%	0.01%
Sub Total (Institutions)	7,638,146,038,868	91.14%	44.13%	7,630,649,991,106	91.09%	44.12%	-7,496,047,762	-0.05%	-0.02%	
Total Saudi Investors	8,163,325,865,566	97.41%	85.31%	8,159,290,727,107	97.40%	85.34%	-4,035,138,459	-0.01%	0.03%	
GCC	Individuals	2,252,006,549	0.03%	0.20%	2,257,023,968	0.03%	0.20%	5,017,419	0.00%	0.00%
	Institutions	36,011,757,426	0.43%	1.97%	36,376,315,707	0.43%	1.99%	364,558,281	0.00%	0.02%
	GCC DPMs	701,925,024	0.01%	0.06%	623,308,565	0.01%	0.06%	-78,616,458	0.00%	-0.01%
	Total GCC Investors	38,965,688,998	0.46%	2.24%	39,256,648,241	0.47%	2.25%	290,959,242	0.00%	0.01%
Foreign	SWAP Holders	2,282,595,285	0.03%	0.21%	2,300,929,281	0.03%	0.21%	18,333,996	0.00%	0.00%
	Foreign Residents & Others	8,974,121,105	0.11%	0.55%	8,968,830,918	0.11%	0.55%	-5,290,187	0.00%	0.00%
	QFIs	128,309,656,092	1.53%	11.56%	128,596,464,990	1.54%	11.52%	286,808,898	0.00%	-0.04%
	Foreign DPMs	1,563,573,754	0.02%	0.14%	1,570,854,673	0.02%	0.14%	7,280,919	0.00%	0.00%
	Strategic Investors	36,832,721,981	0.44%	0.00%	36,835,433,682	0.44%	0.00%	2,711,701	0.00%	0.00%
Total Foreign Investors	177,962,668,217	2.12%	12.45%	178,272,513,544	2.13%	12.42%	309,845,327	0.00%	-0.04%	
Grand Total		8,380,254,222,781	100.00%	100.00%	8,376,819,888,892	100.00%	100.00%	-3,434,333,889		

*Non deposited shares was not reflected as on 06 August holding value (Tihama Advertising and Public Relations Co. 4070 - Corporate Action - Tradable Rights)

Weekly Report - Main Market

Ownership Value (by Investor Classification)

Main Market Ownership Breakdown - By Investor Classification									
Investor Classification	As of 28 July 2020			As of 06 August 2020			Weekly Change		
	Holding Value (SAR)	Ownership		Holding Value (SAR)	Ownership		Δ Holding Value (SAR)	Ownership	
		Issued (%)	FreeFloat (%)		Issued (%)	FreeFloat (%)		Δ Issued (%)	Δ FreeFloat (%)
Institutional	8,111,076,258,166	96.75%	75.67%	8,106,687,217,574	96.74%	75.71%	-4,389,040,592	-0.01%	0.04%
Non-Institutional	269,177,964,615	3.25%	24.33%	270,132,671,317	3.26%	24.29%	954,706,702	0.01%	-0.04%
Grand Total	8,380,254,222,781	100.00%	100.00%	8,376,819,888,892	100.00%	100.00%	-3,434,333,889		

Definitions

Institutional:

Refers to investors with institutional behavior including GREs, Saudi Corporates, Saudi Mutual Funds, Saudi and Non-Saudi DPMs, Saudi Individual Professional Investors (IPI), SWAP Holders, Foreign Strategic Partners and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.

Nomu-Parallel Market - Value Traded & Ownership Breakdown



Weekly Report – Nomu-Parallel Market

Value Traded (by Nationality, Investor Type, & Classification)

Nomu-Parallel Market Value Traded Breakdown - By Nationality and Investor Type

Nationality	Investor Type	Buy		Sell		Net Value Traded	
		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Saudi	Individuals	16,186,178	92.63%	17,035,146	97.49%	-848,968	-4.86%
	Corporates	789,032	4.52%	49,000	0.28%	740,032	4.24%
	Institution	0	0.00%	0	0.00%	0	0.00%
	Total Saudi Investors	16,975,210	97.15%	17,084,146	97.77%	-108,936	-0.62%
GCC	Individuals	0	0.00%	0	0.00%	0	0.00%
	Institutions	0	0.00%	0	0.00%	0	0.00%
	Total GCC Investors	0	0.00%	0	0.00%	0	0.00%
Foreign	SWAP Holders	0	0.00%	0	0.00%	0	0.00%
	Foreign Residents	498,544	2.85%	389,608	2.23%	108,936	0.62%
	QFIs	0	0.00%	0	0.00%	0	0.00%
	Total Foreign Investors	498,544	2.85%	389,608	2.23%	108,936	0.62%
Grand Total		17,473,755	100.00%	17,473,755	100.00%		

Nomu-Parallel Market Value Traded Breakdown - By Investor Classification

Investor Classification	Buy		Sell		Net Value Traded	
	SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Institutional	789,032	4.52%	49,000	0.28%	740,032	4.24%
Non-Institutional	16,684,722	95.48%	17,424,755	99.72%	-740,032	-4.24%
Grand Total	17,473,755	100.00%	17,473,755	100.00%		

Institutional:

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.

Weekly Report – Nomu-Parallel Market

Ownership Value (by Nationality, Investor Type, & Classification)

Nomu-Parallel Market Ownership Breakdown - By Nationality and Investor Type

Nationality	Investor Type	As of 28 July 2020			As of 06 August 2020			Weekly Change		
		Holding Value (SAR)	Ownership (%)		Holding Value (SAR)	Ownership (%)		Δ Holding Value (SAR)	Δ Ownership (%)	
			Issued (%)	FreeFloat (%)		Issued (%)	FreeFloat (%)		Δ Issued (%)	Δ FreeFloat (%)
Saudi	Individuals	1,732,730,481	60.49%	92.98%	1,734,677,619	59.85%	92.84%	1,947,138	-0.65%	-0.14%
	Corporates	1,126,147,093	39.32%	6.09%	1,157,664,379	39.94%	6.11%	31,517,286	0.62%	0.03%
	Institution	1,956,090	0.07%	0.30%	2,811,145	0.10%	0.43%	855,055	0.03%	0.13%
	Total Saudi Investors	2,860,833,664	99.88%	99.37%	2,895,153,143	99.88%	99.38%	34,319,479	0.00%	0.01%
GCC	Individuals	0	0.00%	0.00%	0	0.00%	0.00%	0	0.00%	0.00%
	Institutions	0	0.00%	0.00%	0	0.00%	0.00%	0	0.00%	0.00%
	Total GCC Investors	0	0.00%	0.00%	0	0.00%	0.00%	0	0.00%	0.00%
Foreign	SWAP Holders	0	0.00%	0.00%	0	0.00%	0.00%	0	0.00%	0.00%
	Foreign Residents	3,466,336	0.12%	0.63%	3,396,857	0.12%	0.62%	-69,479	0.00%	-0.01%
	QFIs	0	0.00%	0.00%	0	0.00%	0.00%	0	0.00%	0.00%
	Total Foreign Investors	3,466,336	0.12%	0.63%	3,396,857	0.12%	0.62%	-69,479	0.00%	-0.01%
Grand Total		2,864,300,000	100.00%	100.00%	2,898,550,000	100.00%	100.00%	34,250,000		

Nomu-Parallel Market Ownership Breakdown - By Investor Classification

Investor Classification	As of 28 July 2020			As of 06 August 2020			Weekly Change		
	Holding Value (SAR)	Ownership (%)		Holding Value (SAR)	Ownership (%)		Δ Holding Value (SAR)	Ownership (%)	
		Issued (%)	FreeFloat (%)		Issued (%)	FreeFloat (%)		Δ Issued (%)	Δ FreeFloat (%)
Institutional	1,128,103,183	39.38%	6.38%	1,160,475,524	40.04%	6.54%	32,372,341	0.65%	0.16%
Non-Institutional	1,736,196,817	60.62%	93.62%	1,738,074,476	59.96%	93.46%	1,877,659	-0.65%	-0.16%
Grand Total	2,864,300,000	100%	100%	2,898,550,000	100%	100%	34,250,000		

Institutional:

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.

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Saudi Stock Exchange

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Thank you

