

Weekly Stock Market Ownership and Trading Activity Report

Market Reports

Week Ending 16 April 2020



Definitions



Monthly Stock Market Ownership and Trading Activity Report

Definitions

Nationality	Investor Type	Description
Saudi	Individuals*	Saudi Individuals have been classified under 4 categories as defined below:
	- Retail	Retail investors are Saudi individuals , excluding IPIs (see below) and HNWIs.
	- High Net Worth Investors (HNWIs)	HNWIs are Saudi individuals who have had an average portfolio size of SAR 1m (and above) for the preceding 12 months, excluding IPIs (see below).
	- Individual Professional Investors (IPIs)	IPIs are Saudi individuals who have had an average portfolio size of SAR 50m (and above) for the preceding 12 months and a portfolio turnover ratio of not more than 4 times annually.
	- Individual DPMs	Saudi individual investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	Institutions	Saudi Institutions have been classified under 4 categories as defined below:
	- Corporates	Saudi company with a commercial registration permitting its investment in the stock market .
	- Mutual Funds	A mutual fund managed by an Authorized Person and licensed by the CMA.
	- Government Related Entities (GREs)	A Government entity or a supranational authority recognized by the CMA.
- Institutional DPMs	Saudi institutional investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).	
GCC	Individuals*	GCC natural person
	Institutions	GCC legal person with a commercial registration certificate (corporate or mutual fund), in addition to GCC government entities.
	GCC DPMs	GCC investment account (institutional or individual) in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
Foreign	SWAP Holders	Authorized Person with the permission to enter into SWAP agreements to transfer the economic benefits of its ownership of shares in Saudi companies listed on the Saudi Stock Exchange to its non-resident foreign clients.
	Foreign Residents & Others	Investors with a valid residency permit (Iqama) and others (excluding SWAP, QFIs, DPM & Strategic Investors).
	QFIs	Qualified Foreign Institutional investors registered with the CMA in accordance with the QFI Rules, to invest in shares listed on the Saudi Stock Exchange.
	Foreign DPMs	Foreign resident investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	Strategic Investors	A foreign legal entity that aims to own a strategic shareholding in listed companies.

*Nomu-Parallel Market Individual Investors

Individuals who fulfill any of the following:

- Conducted transactions not less than SAR 40m, and not less than 10 transaction in each quarter during last 12 months.
- Have an average portfolio size of SAR 5m for the preceding 12 months
- Worked for at least 3 years in financial sectors.
- Hold General Securities Qualification Certificate which is recognized by the Authority.
- Holds professional certificate that is related to securities business and accredited by an internationally recognized entity

Main Market - Value Traded & Ownership Breakdown



Weekly Report - Main Market

Value Traded (by Nationality and Investor Type)

Main Market Value Traded Breakdown - By Nationality and Investor Type							
Nationality	Investor Type	Buy		Sell		Net Value Traded	
		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Saudi	Individuals:						
	Retail	10,058,427,637	57.69%	9,069,353,764	52.01%	989,073,873	5.67%
	HNWIs	3,977,680,998	22.81%	3,894,037,852	22.33%	83,643,146	0.48%
	IPIs	435,606,331	2.50%	429,001,972	2.46%	6,604,359	0.04%
	Individual DPMs	62,312,117	0.36%	456,481,273	2.62%	-394,169,156	-2.26%
	Sub Total (Individuals)	14,534,027,083	83.35%	13,848,874,861	79.42%	685,152,223	3.93%
	Institutions:						
	Corporates	541,632,513	3.11%	457,382,391	2.62%	84,250,122	0.48%
	Mutual Funds	182,496,430	1.05%	535,499,277	3.07%	-353,002,847	-2.02%
	GRE's	98,051,283	0.56%	572,085,064	3.28%	-474,033,782	-2.72%
	Institution DPMs	296,066,341	1.70%	222,240,401	1.27%	73,825,939	0.42%
	Sub Total (Institutions)	1,118,246,566	6.41%	1,787,207,133	10.25%	-668,960,567	-3.84%
Total Saudi Investors	15,652,273,649	89.77%	15,636,081,994	89.67%	16,191,656	0.09%	
GCC	Individuals	34,060,797	0.20%	18,962,673	0.11%	15,098,124	0.09%
	Institutions	207,598,183	1.19%	271,634,138	1.56%	-64,035,955	-0.37%
	GCC DPMs	12,614,627	0.07%	21,619,804	0.12%	-9,005,177	-0.05%
	Total GCC Investors	254,273,607	1.46%	312,216,615	1.79%	-57,943,007	-0.33%
Foreign	SWAP Holders	23,471,186	0.13%	20,702,956	0.12%	2,768,229	0.02%
	Foreign Residents & Others	338,237,646	1.94%	313,898,180	1.80%	24,339,466	0.14%
	QFIs	1,168,122,547	6.70%	1,153,408,621	6.61%	14,713,926	0.08%
	Foreign DPMs	90,683	0.00%	160,953	0.00%	-70,270	0.00%
	Strategic Investor	0	0.00%	0	0.00%	0	0.00%
	Total Foreign Investors	1,529,922,061	8.77%	1,488,170,710	8.53%	41,751,352	0.24%
Grand Total		17,436,469,318	100.00%	17,436,469,318	100.00%		

Weekly Report - Main Market

Value Traded (by Investor Classification)

Main Market Value Traded Breakdown - By Investor Classification						
Investor Classification	Buy		Sell		Net Value Traded	
	SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Institutional	3,028,062,239	17.37%	4,140,216,849	23.74%	-1,112,154,610	-6.38%
Non-Institutional	14,408,407,079	82.63%	13,296,252,469	76.26%	1,112,154,610	6.38%
Grand Total	17,436,469,318	100.00%	17,436,469,318	100.00%		

Definitions

Institutional:

Refers to investors with institutional behavior including GREs, Saudi Corporates, Saudi Mutual Funds, Saudi and Non-Saudi DPMs, Saudi Individual Professional Investors (IPI), SWAP Holders, Foreign Strategic Partners and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.

Weekly Report - Main Market

Ownership Value (by Nationality and Investor Type)

Main Market Ownership Breakdown - By Nationality and Investor Type										
Nationality	Investor Type	As of 09 April 2020			As of 16 April 2020			Weekly Change		
		Holding Value (SAR)	Ownership		Holding Value (SAR)	Ownership		Δ Holding Value (SAR)	Ownership	
			Issued (%)	Free Float (%)		Issued (%)	Free Float (%)		Δ Issued (%)	Δ Free Float (%)
Saudi	Individuals:									
	Retail	95,275,667,291	1.18%	9.30%	93,166,239,109	1.23%	9.59%	-2,109,428,182	0.05%	0.29%
	HNWIs	142,330,941,752	1.76%	13.70%	137,197,998,292	1.81%	13.91%	-5,132,943,460	0.05%	0.21%
	IPLs	238,051,289,425	2.95%	17.25%	227,631,018,492	3.00%	17.28%	-10,420,270,933	0.05%	0.03%
	Individual DPMs	5,374,468,572	0.07%	0.52%	4,971,412,222	0.07%	0.51%	-403,056,350	0.00%	-0.01%
	Sub Total (Individuals)	481,032,367,039	5.96%	40.77%	462,966,668,114	6.11%	41.28%	-18,065,698,925	0.14%	0.51%
	Institutions:									
	Corporates	328,746,501,955	4.08%	23.12%	309,331,727,301	4.08%	22.99%	-19,414,774,654	0.01%	-0.14%
	Mutual Funds	170,160,666,164	2.11%	11.07%	160,942,572,726	2.12%	11.12%	-9,218,093,438	0.01%	0.05%
	GRE's	6,859,012,870,096	85.04%	7.54%	6,431,328,314,970	84.85%	7.58%	-427,684,555,127	-0.19%	0.04%
	Institution DPMs	31,399,019,853	0.39%	3.07%	29,839,210,067	0.39%	3.07%	-1,559,809,786	0.00%	0.01%
	Sub Total (Institutions)	7,389,319,058,067	91.61%	44.80%	6,931,441,825,063	91.45%	44.76%	-457,877,233,005	-0.16%	-0.04%
	Total Saudi Investors	7,870,351,425,107	97.58%	85.57%	7,394,408,493,177	97.56%	86.04%	-475,942,931,929	-0.02%	0.47%
GCC	Individuals	2,072,639,753	0.03%	0.20%	1,992,180,186	0.03%	0.20%	-80,459,567	0.00%	0.00%
	Institutions	32,819,346,619	0.41%	1.96%	31,531,945,846	0.42%	1.95%	-1,287,400,773	0.01%	-0.02%
	GCC DPMs	745,127,450	0.01%	0.07%	694,867,843	0.01%	0.07%	-50,259,607	0.00%	0.00%
	Total GCC Investors	35,637,113,822	0.44%	2.24%	34,218,993,875	0.45%	2.22%	-1,418,119,948	0.01%	-0.02%
Foreign	SWAP Holders	2,236,458,043	0.03%	0.22%	2,124,744,423	0.03%	0.22%	-111,713,620	0.00%	0.00%
	Foreign Residents & Others	12,963,872,402	0.16%	1.01%	7,575,240,215	0.10%	0.53%	-5,388,632,186	-0.06%	-0.48%
	QFIs	111,365,971,282	1.38%	10.80%	106,296,952,911	1.40%	10.82%	-5,069,018,371	0.02%	0.02%
	Foreign DPMs	1,716,413,843	0.02%	0.17%	1,667,693,850	0.02%	0.17%	-48,719,993	0.00%	0.00%
	Strategic Investors	31,559,039,745	0.39%	0.00%	33,323,747,212	0.44%	0.00%	1,764,707,467	0.05%	0.00%
Total Foreign Investors	159,841,755,315	1.98%	12.20%	150,988,378,611	1.99%	11.74%	-8,853,376,704	0.01%	-0.46%	
Grand Total		8,065,830,294,244	100.00%	100.00%	7,579,615,865,663	100.00%	100.00%	-486,214,428,581		

Weekly Report - Main Market

Ownership Value (by Investor Classification)

Main Market Ownership Breakdown - By Investor Classification									
Investor Classification	As of 09 April 2020			As of 16 April 2020			Weekly Change		
	Holding Value (SAR)	Ownership		Holding Value (SAR)	Ownership		Δ Holding Value (SAR)	Ownership	
		Issued (%)	FreeFloat (%)		Issued (%)	FreeFloat (%)		Δ Issued (%)	Δ FreeFloat (%)
Institutional	7,820,544,997,135	96.87%	75.79%	7,341,988,474,165	96.83%	75.77%	-478,556,522,970	-0.03%	-0.02%
Non-Institutional	245,285,297,109	3.13%	24.21%	237,627,391,498	3.17%	24.23%	-7,657,905,611	0.03%	0.02%
Grand Total	8,065,830,294,244	100.00%	100.00%	7,579,615,865,663	100.00%	100.00%	-486,214,428,581		

Definitions

Institutional:

Refers to investors with institutional behavior including GREs, Saudi Corporates, Saudi Mutual Funds, Saudi and Non-Saudi DPMs, Saudi Individual Professional Investors (IPI), SWAP Holders, Foreign Strategic Partners and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.

Nomu-Parallel Market - Value Traded & Ownership Breakdown



Weekly Report – Nomu-Parallel Market

Value Traded (by Nationality, Investor Type, & Classification)

Nomu-Parallel Market Value Traded Breakdown - By Nationality and Investor Type

Nationality	Investor Type	Buy		Sell		Net Value Traded	
		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Saudi	Individuals	144,303,777	97.42%	144,083,710	97.27%	220,067	0.15%
	Corporates	499,637	0.34%	324,119	0.22%	175,518	0.12%
	Institution	0	0.00%	0	0.00%	0	0.00%
	Total Saudi Investors	144,803,414	97.76%	144,407,829	97.49%	395,585	0.27%
GCC	Individuals	0	0.00%	0	0.00%	0	0.00%
	Institutions	0	0.00%	0	0.00%	0	0.00%
	Total GCC Investors	0	0.00%	0	0.00%	0	0.00%
Foreign	SWAP Holders	0	0.00%	0	0.00%	0	0.00%
	Foreign Residents	3,325,086	2.24%	3,720,671	2.51%	-395,585	-0.27%
	QFIs	0	0.00%	0	0.00%	0	0.00%
	Total Foreign Investors	3,325,086	2.24%	3,720,671	2.51%	-395,585	-0.27%
Grand Total		148,128,500	100.00%	148,128,500	100.00%		

Nomu-Parallel Market Value Traded Breakdown - By Investor Classification

Investor Classification	Buy		Sell		Net Value Traded	
	SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Institutional	499,637	0.34%	324,119	0.22%	175,518	0.12%
Non-Institutional	147,628,863	99.66%	147,804,381	99.78%	-175,518	-0.12%
Grand Total	148,128,500	100.00%	148,128,500	100.00%		

Institutional:

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.

Weekly Report – Nomu-Parallel Market

Ownership Value (by Nationality, Investor Type, & Classification)

Nomu-Parallel Market Ownership Breakdown - By Nationality and Investor Type										
Nationality	Investor Type	As of 09 April 2020			As of 16 April 2020			Weekly Change		
		Holding Value (SAR)	Ownership		Holding Value (SAR)	Ownership		Δ Holding Value (SAR)	Δ Ownership (%)	
			Issued (%)	FreeFloat (%)		Issued (%)	FreeFloat (%)		Δ Issued (%)	Δ FreeFloat (%)
Saudi	Individuals	1,984,612,777	96.72%	96.52%	1,871,956,615	98.93%	96.70%	-112,656,162	2.21%	0.18%
	Corporates	61,517,567	3.00%	2.66%	16,075,504	0.85%	2.62%	-45,442,064	-2.15%	-0.04%
	Institution	1,894,834	0.09%	0.27%	1,236,094	0.07%	0.20%	-658,740	-0.03%	-0.07%
	Total Saudi Investors	2,048,025,179	99.81%	99.46%	1,889,268,212	99.84%	99.52%	-158,756,967	0.04%	0.06%
GCC	Individuals	0	0.00%	0.00%	0	0.00%	0.00%	0	0.00%	0.00%
	Institutions	0	0.00%	0.00%	0	0.00%	0.00%	0	0.00%	0.00%
	Total GCC Investors	0	0.00%	0.00%	0	0.00%	0.00%	0	0.00%	0.00%
Foreign	SWAP Holders	12,932	0.00%	0.00%	14,396	0.00%	0.00%	1,464	0.00%	0.00%
	Foreign Residents	3,961,889	0.19%	0.54%	2,967,392	0.16%	0.47%	-994,497	-0.04%	-0.06%
	QFIs	0	0.00%	0.00%	0	0.00%	0.00%	0	0.00%	0.00%
	Total Foreign Investors	3,974,821	0.19%	0.54%	2,981,788	0.16%	0.48%	-993,033	-0.04%	-0.06%
Grand Total		2,052,000,000	100.00%	100.00%	1,892,250,000	100.00%	100.00%	-159,750,000		

Nomu-Parallel Market Ownership Breakdown - By Investor Classification									
Investor Classification	As of 09 April 2020			As of 16 April 2020			Weekly Change		
	Holding Value (SAR)	Ownership (%)		Holding Value (SAR)	Ownership (%)		Δ Holding Value (SAR)	Ownership	
		Issued (%)	FreeFloat (%)		Issued (%)	FreeFloat (%)		Δ Issued (%)	Δ FreeFloat (%)
Institutional	63,425,333	3.09%	2.94%	17,325,993	0.92%	2.83%	-46,099,340	-2.18%	-0.11%
Non-Institutional	1,988,574,667	96.91%	97.06%	1,874,924,007	99.08%	97.17%	-113,650,660	2.18%	0.11%
Grand Total	2,052,000,000	100%	100%	1,892,250,000	100%	100%	-159,750,000		

Institutional:

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.

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Thank you

