

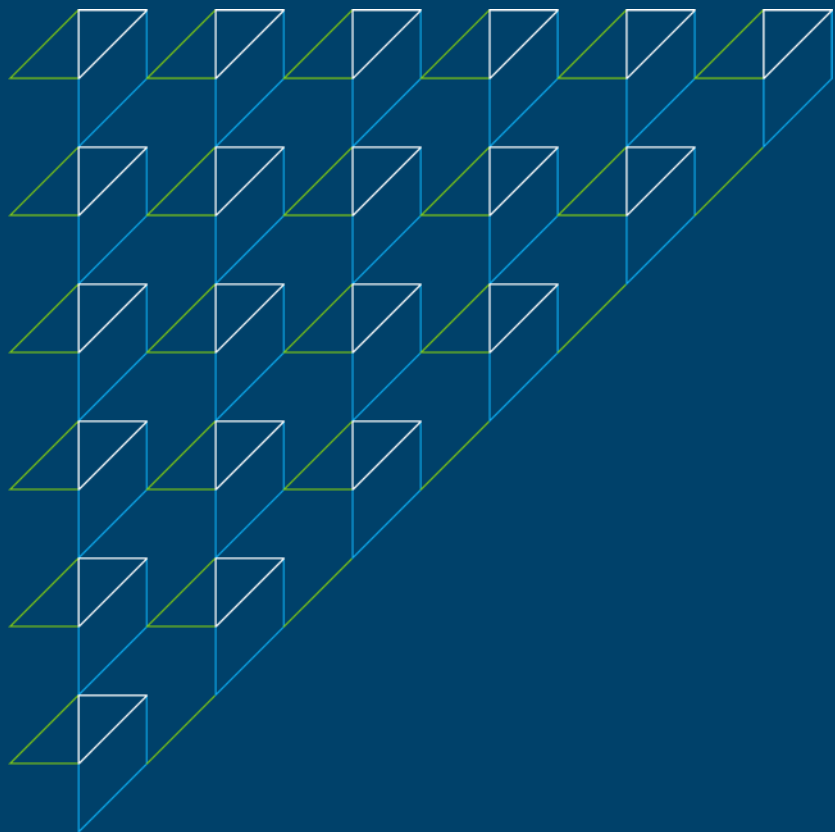
Weekly Stock Market Ownership and Trading Activity Report

Market Reports

Week Ending 20 September 2018



Definitions



Weekly Stock Market Ownership and Trading Activity Report

Definitions

Nationality	Investor Type	Description
Saudi	Individuals*	Saudi Individuals have been classified under 4 categories as defined below:
	- Retail	Retail investors are Saudi individuals , excluding IPIs (see below) and HNWIs .
	- High Net Worth Investors (HNWIs)	HNWIs are Saudi individuals who have had an average portfolio size of SAR 1m (and above) for the preceding 12 months, excluding IPIs (see below).
	- Individual Professional Investors (IPIs)	IPIs are Saudi individuals who have had an average portfolio size of SAR 50m (and above) for the preceding 12 months and a portfolio turnover ratio of not more than 4 times annually.
	- Individual DPMs	Saudi individual investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	Institutions	Saudi Institutions have been classified under 4 categories as defined below:
	- Corporates	Saudi company with a commercial registration permitting its investment in the stock market .
	- Mutual Funds	A mutual fund managed by an Authorized Person and licensed by the CMA.
GCC	- Government Related Entities (GREs)	A Government entity or a supranational authority recognized by the CMA.
	- Institutional DPMs	Saudi institutional investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	Individuals*	GCC natural person
Foreign	Institutions	GCC legal person with a commercial registration certificate (corporate or mutual fund), in addition to GCC government entities.
	GCC DPMs	GCC investment account (institutional or individual) in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	SWAP Holders	Authorized Person with the permission to enter into SWAP agreements to transfer the economic benefits of its ownership of shares in Saudi companies listed on the Saudi Stock Exchange to its non-resident foreign clients.
	Foreign Residents*	Foreign investors domiciled in the Kingdom with a valid residency permit (Iqama) (excluding GCC nationals).
	QFIs	Qualified Foreign Institutional investors registered with the CMA in accordance with the QFI Rules, to invest in shares listed on the Saudi Stock Exchange.
Foreign	Foreign DPMs	Foreign resident investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	Strategic Partners	Foreign investors considered founders and partners of Saudi listed companies.

*Nomu-Parallel
Market Individual
Investors

Individuals who fulfill any of the following:

- Conducted transactions not less than SAR 40m, and not less than 10 transaction in each quarter during last 12 months.
- Have an average portfolio size of SAR 10m for the preceding 12 months
- Hold General Securities Qualification Certificate which is recognized by the Authority.

Main Market - Value Traded & Ownership Breakdown



Weekly Report - Main Market

Value Traded (by Nationality and Investor Type)

Main Market Value Traded Breakdown - By Nationality and Investor Type

Nationality	Investor Type	Buy		Sell		Net Value Traded	
		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Saudi	Individuals:						
	Retail	4,689,515,703	36.69%	5,685,605,175	44.48%	-996,089,472	-7.79%
	HNWIs	3,784,541,238	29.61%	3,885,767,227	30.40%	-101,225,989	-0.79%
	IPIs	555,320,078	4.34%	471,040,738	3.69%	84,279,339	0.66%
	Individual DPMs	386,121,973	3.02%	214,075,594	1.67%	172,046,379	1.35%
	Sub Total (Individuals)	9,415,498,991	73.67%	10,256,488,734	80.25%	-840,989,743	-6.58%
	Institutions:						
	Corporates	1,663,174,835	13.01%	374,169,964	2.93%	1,289,004,871	10.09%
	Mutual Funds	283,247,149	2.22%	247,999,698	1.94%	35,247,451	0.28%
	GRE's	0	0.00%	0	0.00%	0	0.00%
	Institution DPMs	225,621,402	1.77%	237,744,055	1.86%	-12,122,653	-0.09%
Sub Total (Institutions)	2,172,043,385	16.99%	859,913,716	6.73%	1,312,129,669	10.27%	
Total Saudi Investors	11,587,542,376	90.66%	11,116,402,450	86.98%	471,139,926	3.69%	
GCC	Individuals	25,122,903	0.20%	126,111,924	0.99%	-100,989,021	-0.79%
	Institutions	267,379,749	2.09%	335,905,639	2.63%	-68,525,890	-0.54%
	GCC DPMs	2,424,402	0.02%	2,381,988	0.02%	42,414	0.00%
	Total GCC Investors	294,927,054	2.31%	464,399,551	3.63%	-169,472,497	-1.33%
Foreign	SWAP Holders	275,089,955	2.15%	431,247,170	3.37%	-156,157,215	-1.22%
	Foreign Residents	163,273,017	1.28%	233,015,669	1.82%	-69,742,651	-0.55%
	QFIs	459,708,023	3.60%	535,599,580	4.19%	-75,891,557	-0.59%
	Foreign DPMs	558,357	0.00%	434,363	0.00%	123,994	0.00%
	Strategic Partners	0	0.00%	0	0.00%	0	0.00%
Total Foreign Investors	898,629,352	7.03%	1,200,296,782	9.39%	-301,667,430	-2.36%	
Grand Total		12,781,098,783	100.00%	12,781,098,783	100.00%		

Weekly Report - Main Market

Value Traded (by Investor Classification)

Main Market Value Traded Breakdown - By Investor Classification						
Investor Classification	Buy		Sell		Net Value Traded	
	SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Institutional	4,118,645,922	32.22%	2,850,598,789	22.30%	1,268,047,133	9.92%
Non-Institutional	8,662,452,861	67.78%	9,930,499,994	77.70%	-1,268,047,133	-9.92%
Grand Total	12,781,098,783	100.00%	12,781,098,783	100.00%		

Definitions

Institutional:

Refers to investors with institutional behavior including GREs, Saudi Corporates, Saudi Mutual Funds, Saudi and Non-Saudi DPMs, Saudi Individual Professional Investors (IPI), SWAP Holders, Foreign Strategic Partners and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.

Weekly Report - Main Market

Ownership Value (by Nationality and Investor Type)

Main Market Ownership Breakdown - By Nationality and Investor Type

Nationality	Investor Type	As of 13 September 2018		As of 20 September 2018		Weekly Change		
		Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)	
Saudi	Individuals:							
	Retail	73,600,052,051	4.07%	75,611,545,899	4.10%	2,011,493,848	0.02%	
	HNWIs	142,432,953,422	7.88%	145,150,391,744	7.86%	2,717,438,322	-0.02%	
	IPIs	247,779,448,852	13.72%	252,170,953,079	13.66%	4,391,504,227	-0.06%	
	Individual DPMs	5,418,623,699	0.30%	5,570,897,119	0.30%	152,273,420	0.00%	
	Sub Total (Individuals)	469,231,078,024	25.98%	478,503,787,842	25.92%	9,272,709,818	-0.06%	
	Institutions:							
	Corporates	285,392,885,637	15.80%	290,109,113,103	15.71%	4,716,227,466	-0.08%	
	Mutual Funds	92,174,213,687	5.10%	93,484,547,404	5.06%	1,310,333,717	-0.04%	
	GRE's	706,059,001,865	39.09%	728,041,015,735	39.44%	21,982,013,870	0.35%	
	Institution DPMs	124,389,716,802	6.89%	125,348,963,188	6.79%	959,246,386	-0.10%	
Sub Total (Institutions)	1,208,015,817,991	66.87%	1,236,983,639,430	67.00%	28,967,821,440	0.13%		
Total Saudi Investors	1,677,246,896,014	92.85%	1,715,487,427,272	92.92%	38,240,531,258	0.07%		
GCC	Individuals	2,988,348,495	0.17%	2,947,272,330	0.16%	-41,076,165	-0.01%	
	Institutions	33,758,764,308	1.87%	34,528,585,305	1.87%	769,820,998	0.00%	
	GCC DPMs	800,012,405	0.04%	811,655,651	0.04%	11,643,246	0.00%	
	Total GCC Investors	37,547,125,208	2.08%	38,287,513,287	2.07%	740,388,079	0.00%	
Foreign	SWAP Holders	13,110,711,536	0.73%	13,027,923,909	0.71%	-82,787,627	-0.02%	
	Foreign Residents	3,494,063,563	0.19%	3,533,750,837	0.19%	39,687,274	0.00%	
	QFIs	15,248,636,401	0.84%	15,453,206,455	0.84%	204,570,054	-0.01%	
	Foreign DPMs	2,975,086,596	0.16%	3,102,569,271	0.17%	127,482,675	0.00%	
	Strategic Partners	56,789,532,619	3.14%	57,252,954,251	3.10%	463,421,632	-0.04%	
Total Foreign Investors	91,618,030,716	5.07%	92,370,404,723	5.00%	752,374,008	-0.07%		
Grand Total		1,806,412,051,938	100.00%	1,846,145,345,282	100.00%	39,733,293,344		

* Non deposited amount of 560,000,000 SAR was not reflected as on 20 September holding value (The Mediterranean and Gulf Insurance and Reinsurance Co. 8030 - Corporate Action -Tradable Rights)

Weekly Report - Main Market

Ownership Value (by Investor Classification)

Main Market Ownership Breakdown - By Investor Classification						
Investor Classification	As of 13 September 2018		As of 20 September 2018		Weekly Change	
	Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
Institutional	1,583,896,634,406	87.68%	1,618,902,384,471	87.69%	35,005,750,065	0.01%
Non-Institutional	222,515,417,532	12.32%	227,242,960,811	12.31%	4,727,543,279	-0.01%
Grand Total	1,806,412,051,938	100.00%	1,846,145,345,282	100.00%	39,733,293,344	

Definitions

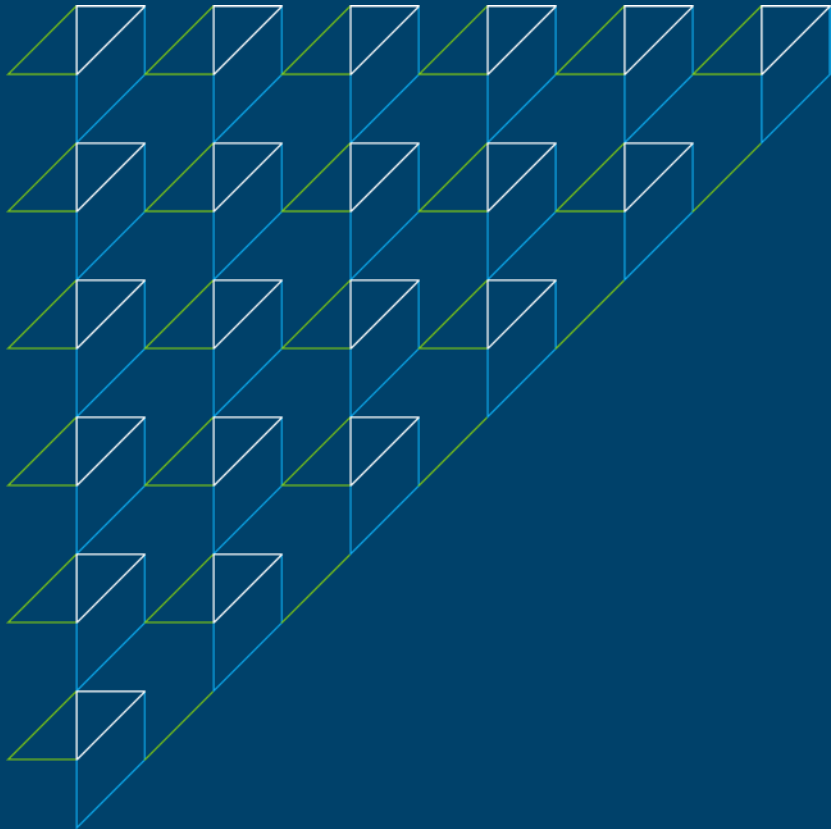
Institutional:

Refers to investors with institutional behavior including GREs, Saudi Corporates, Saudi Mutual Funds, Saudi and Non-Saudi DPMs, Saudi Individual Professional Investors (IPI), SWAP Holders, Foreign Strategic Partners and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.

Nomu-Parallel Market - Value Traded & Ownership Breakdown



Weekly Report – Nomu-Parallel Market

Value Traded (by Nationality, Investor Type, & Classification)

Nomu-Parallel Market Value Traded Breakdown - By Nationality and Investor Type							
Nationality	Investor Type	Buy		Sell		Net Value Traded	
		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Saudi	Individuals	2,431,297	58.89%	2,067,021	50.06%	364,276	8.82%
	Corporates	962,027	23.30%	290,131	7.03%	671,896	16.27%
	Institution	0	0.00%	1,148,068	27.81%	-1,148,068	-27.81%
	Total Saudi Investors	3,393,323	82.18%	3,505,220	84.90%	-111,896	-2.71%
GCC	Individuals	0	0.00%	0	0.00%	0	0.00%
	Institutions	0	0.00%	0	0.00%	0	0.00%
	Total GCC Investors	0	0.00%	0	0.00%	0	0.00%
Foreign	SWAP Holders	0	0.00%	556,928	13.49%	-556,928	-13.49%
	Foreign Residents	64,084	1.55%	55,241	1.34%	8,843	0.21%
	QFIs	671,477	16.26%	11,496	0.28%	659,981	15.98%
	Total Foreign Investors	735,561	17.82%	623,665	15.10%	111,896	2.71%
Grand Total		4,128,885	100.00%	4,128,885	100.00%		

Nomu-Parallel Market Value Traded Breakdown - By Investor Classification						
Investor Classification	Buy		Sell		Net Value Traded	
	SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Institutional	1,633,504	39.56%	2,006,623	48.60%	-373,119	-9.04%
Non-Institutional	2,495,381	60.44%	2,122,262	51.40%	373,119	9.04%
Grand Total	4,128,885	100.00%	4,128,885	100.00%		

Institutional:

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.

Weekly Report – Nomu-Parallel Market

Ownership Value (by Nationality, Investor Type, & Classification)

Nomu-Parallel Market Ownership Breakdown - By Nationality and Investor Type							
Nationality	Investor Type	As of 13 September 2018		As of 20 September 2018		Weekly Change	
		Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
Saudi	Individuals	1,985,860,376	91.48%	1,972,818,143	91.59%	-13,042,233	0.11%
	Corporates	115,717,918	5.33%	112,703,959	5.23%	-3,013,959	-0.10%
	Institution	43,771,557	2.02%	43,358,816	2.01%	-412,741	0.00%
	Total Saudi Investors	2,145,349,851	98.82%	2,128,880,918	98.83%	-16,468,933	0.01%
GCC	Individuals	25,223	0.00%	23,829	0.00%	-1,394	0.00%
	Institutions	13,605,462	0.63%	13,343,819	0.62%	-261,644	-0.01%
	Total GCC Investors	13,630,685	0.63%	13,367,648	0.62%	-263,038	-0.01%
Foreign	SWAP Holders	915,107	0.04%	911,999	0.04%	-3,108	0.00%
	Foreign Residents	2,052,333	0.09%	2,040,739	0.09%	-11,594	0.00%
	QFIs	8,955,524	0.41%	8,828,066	0.41%	-127,458	0.00%
	Total Foreign Investors	11,922,964	0.55%	11,780,804	0.55%	-142,160	0.00%
Grand Total		2,170,903,500	100.00%	2,154,029,370	100.00%	-16,874,130	

Nomu-Parallel Market Ownership Breakdown - By Investor Classification						
Investor Classification	As of 13 September 2018		As of 20 September 2018		Weekly Change	
	Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
Institutional	182,965,567	8.43%	179,146,658	8.32%	-3,818,909	-0.11%
Non-Institutional	1,987,937,933	91.57%	1,974,882,712	91.68%	-13,055,221	0.11%
Grand Total	2,170,903,500	100.00%	2,154,029,370	100.00%	-16,874,130	

Institutional:

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.

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Saudi Stock Exchange

تداول
Tadawul

Thank you

