

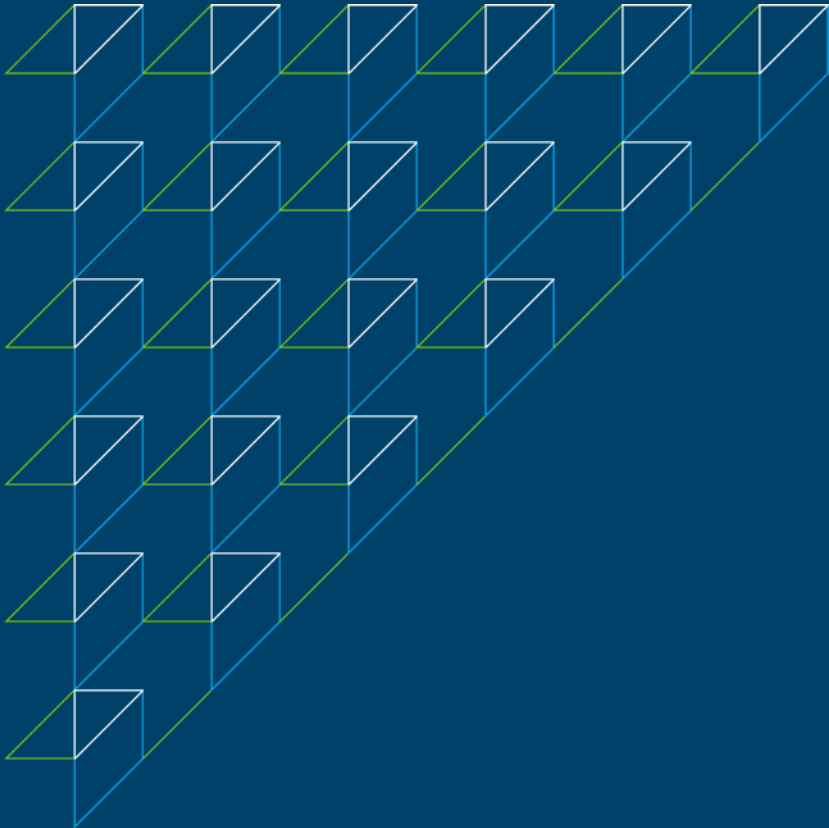
Weekly Stock Market Ownership and Trading Activity Report

Market Reports

Week Ending 10 January 2019



Definitions



Weekly Stock Market Ownership and Trading Activity Report

Definitions

Nationality	Investor Type	Description
Saudi	Individuals*	Saudi Individuals have been classified under 4 categories as defined below:
	- Retail	Retail investors are Saudi individuals , excluding IPIs (see below) and HNWIs.
	- High Net Worth Investors (HNWIs)	HNWIs are Saudi individuals who have had an average portfolio size of SAR 1m (and above) for the preceding 12 months, excluding IPIs (see below).
	- Individual Professional Investors (IPIs)	IPIs are Saudi individuals who have had an average portfolio size of SAR 50m (and above) for the preceding 12 months and a portfolio turnover ratio of not more than 4 times annually.
	- Individual DPMs	Saudi individual investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	Institutions	Saudi Institutions have been classified under 4 categories as defined below:
	- Corporates	Saudi company with a commercial registration permitting its investment in the stock market .
	- Mutual Funds	A mutual fund managed by an Authorized Person and licensed by the CMA.
GCC	- Government Related Entities (GRES)	A Government entity or a supranational authority recognized by the CMA.
	- Institutional DPMs	Saudi institutional investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	Individuals*	GCC natural person
GCC	Institutions	GCC legal person with a commercial registration certificate (corporate or mutual fund), in addition to GCC government entities.
	GCC DPMs	GCC investment account (institutional or individual) in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
Foreign	SWAP Holders	Authorized Person with the permission to enter into SWAP agreements to transfer the economic benefits of its ownership of shares in Saudi companies listed on the Saudi Stock Exchange to its non-resident foreign clients.
	Foreign Residents*	Foreign investors domiciled in the Kingdom with a valid residency permit (Iqama) (excluding GCC nationals).
	QFIs	Qualified Foreign Institutional investors registered with the CMA in accordance with the QFI Rules, to invest in shares listed on the Saudi Stock Exchange.
	Foreign DPMs	Foreign resident investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	Strategic Partners	Foreign investors considered founders and partners of Saudi listed companies.
	NRFI	Non-Resident Foreign Investors in accordance with the Guidance Note for the investment of Non- Resident Foreigners in Parallel Market

*Nomu-Parallel Market Individual Investors

- Individuals who fulfill any of the following:
- Conducted transactions not less than SAR 40m, and not less than 10 transaction in each quarter during last 12 months.
 - Have an average portfolio size of SAR 5m for the preceding 12 months
 - Worked for at least 3 years in financial sectors.
 - Hold General Securities Qualification Certificate which is recognized by the Authority.
 - Holds professional certificate that is related to securities business and accredited by an internationally recognized entity

Main Market - Value Traded & Ownership Breakdown



Weekly Report - Main Market

Value Traded (by Nationality and Investor Type)

Main Market Value Traded Breakdown - By Nationality and Investor Type

Nationality	Investor Type	Buy		Sell		Net Value Traded	
		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Saudi	Individuals:						
	Retail	6,089,854,589	38.84%	6,622,996,492	42.24%	-533,141,903	-3.40%
	HNWIs	4,446,629,857	28.36%	5,145,773,193	32.82%	-699,143,336	-4.46%
	IPIs	516,868,124	3.30%	794,639,204	5.07%	-277,771,080	-1.77%
	Individual DPMs	276,789,092	1.77%	308,167,011	1.97%	-31,377,919	-0.20%
	Sub Total (Individuals)	11,330,141,663	72.26%	12,871,575,900	82.09%	-1,541,434,237	-9.83%
	Institutions:						
	Corporates	790,156,208	5.04%	514,523,190	3.28%	275,633,018	1.76%
	Mutual Funds	370,796,327	2.36%	385,014,930	2.46%	-14,218,603	-0.09%
	GRE's	0	0.00%	0	0.00%	0	0.00%
	Institution DPMs	526,932,731	3.36%	528,153,570	3.37%	-1,220,839	-0.01%
	Sub Total (Institutions)	1,687,885,266	10.76%	1,427,691,690	9.11%	260,193,576	1.66%
Total Saudi Investors	13,018,026,929	83.02%	14,299,267,591	91.19%	-1,281,240,662	-8.17%	
GCC	Individuals	102,123,804	0.65%	39,416,677	0.25%	62,707,127	0.40%
	Institutions	510,241,061	3.25%	320,077,874	2.04%	190,163,187	1.21%
	GCC DPMs	1,487,453	0.01%	670,986	0.00%	816,468	0.01%
	Total GCC Investors	613,852,319	3.91%	360,165,537	2.30%	253,686,782	1.62%
Foreign	SWAP Holders	769,483,744	4.91%	397,149,176	2.53%	372,334,568	2.37%
	Foreign Residents	156,022,288	1.00%	181,361,702	1.16%	-25,339,414	-0.16%
	QFIs	1,110,179,062	7.08%	430,696,064	2.75%	679,482,998	4.33%
	Foreign DPMs	12,556,985	0.08%	7,366,156	0.05%	5,190,829	0.03%
	Strategic Partners	0	0.00%	4,115,102	0.03%	-4,115,102	-0.03%
Total Foreign Investors	2,048,242,080	13.06%	1,020,688,200	6.51%	1,027,553,880	6.55%	
Grand Total		15,680,121,329	100.00%	15,680,121,329	100.00%		

Weekly Report - Main Market

Value Traded (by Investor Classification)

Main Market Value Traded Breakdown - By Investor Classification

Investor Classification	Buy		Sell		Net Value Traded	
	SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Institutional	4,885,490,789	31.16%	3,690,573,264	23.54%	1,194,917,526	7.62%
Non-Institutional	10,794,630,539	68.84%	11,989,548,065	76.46%	-1,194,917,526	-7.62%
Grand Total	15,680,121,329	100.00%	15,680,121,329	100.00%		

Definitions

Institutional:

Refers to investors with institutional behavior including GREs, Saudi Corporates, Saudi Mutual Funds, Saudi and Non-Saudi DPMs, Saudi Individual Professional Investors (IPI), SWAP Holders, Foreign Strategic Partners and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.

Weekly Report - Main Market

Ownership Value (by Nationality and Investor Type)

Main Market Ownership Breakdown - By Nationality and Investor Type

Nationality	Investor Type	As of 03 January 2019		As of 10 January 2019		Weekly Change	
		Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
Saudi	Individuals:						
	Retail	72,315,260,189	3.88%	74,976,651,471	3.84%	2,661,391,282	-0.03%
	HNWIs	146,121,834,137	7.83%	151,727,249,914	7.77%	5,605,415,776	-0.05%
	IPIs	253,201,137,822	13.57%	263,835,350,369	13.52%	10,634,212,548	-0.05%
	Individual DPMs	4,814,183,505	0.26%	5,387,055,153	0.28%	572,871,648	0.02%
	Sub Total (Individuals)	476,452,415,653	25.53%	495,926,306,908	25.41%	19,473,891,254	-0.12%
	Institutions:						
	Corporates	282,623,586,826	15.14%	296,449,182,378	15.19%	13,825,595,552	0.05%
	Mutual Funds	90,569,985,591	4.85%	92,457,526,456	4.74%	1,887,540,865	-0.12%
	GRE's	761,324,024,939	40.80%	795,711,988,388	40.77%	34,387,963,449	-0.02%
	Institution DPMs	131,320,954,518	7.04%	138,126,283,340	7.08%	6,805,328,822	0.04%
	Sub Total (Institutions)	1,265,838,551,875	67.83%	1,322,744,980,562	67.78%	56,906,428,688	-0.05%
Total Saudi Investors	1,742,290,967,528	93.36%	1,818,671,287,470	93.19%	76,380,319,942	-0.17%	
GCC	Individuals	2,727,462,975	0.15%	2,925,247,197	0.15%	197,784,222	0.00%
	Institutions	33,327,091,380	1.79%	35,165,104,451	1.80%	1,838,013,071	0.02%
	GCC DPMs	839,108,165	0.04%	882,918,941	0.05%	43,810,776	0.00%
	Total GCC Investors	36,893,662,520	1.98%	38,973,270,589	2.00%	2,079,608,069	0.02%
Foreign	SWAP Holders	9,042,270,553	0.48%	9,604,901,722	0.49%	562,631,169	0.01%
	Foreign Residents	3,325,891,765	0.18%	3,483,743,585	0.18%	157,851,821	0.00%
	QFIs	13,851,180,129	0.74%	15,092,741,452	0.77%	1,241,561,323	0.03%
	Foreign DPMs	3,918,446,888	0.21%	4,161,787,047	0.21%	243,340,159	0.00%
	Strategic Partners	56,873,581,688	3.05%	61,500,351,298	3.15%	4,626,769,610	0.10%
	Total Foreign Investors	87,011,370,039	4.66%	93,843,525,105	4.81%	6,832,155,066	0.15%
Grand Total		1,866,196,000,087	100.00%	1,951,488,083,163	100.00%	85,292,083,076	

Weekly Report - Main Market

Ownership Value (by Investor Classification)

Main Market Ownership Breakdown - By Investor Classification

Investor Classification	As of 03 January 2019		As of 10 January 2019		Weekly Change	
	Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
Institutional	1,641,705,552,005	87.97%	1,718,375,190,996	88.05%	76,669,638,991	0.08%
Non-Institutional	224,490,449,066	12.03%	233,112,892,167	11.95%	8,622,443,101	-0.08%
Grand Total	1,866,196,001,071	100.00%	1,951,488,083,163	100.00%	85,292,082,092	

Definitions

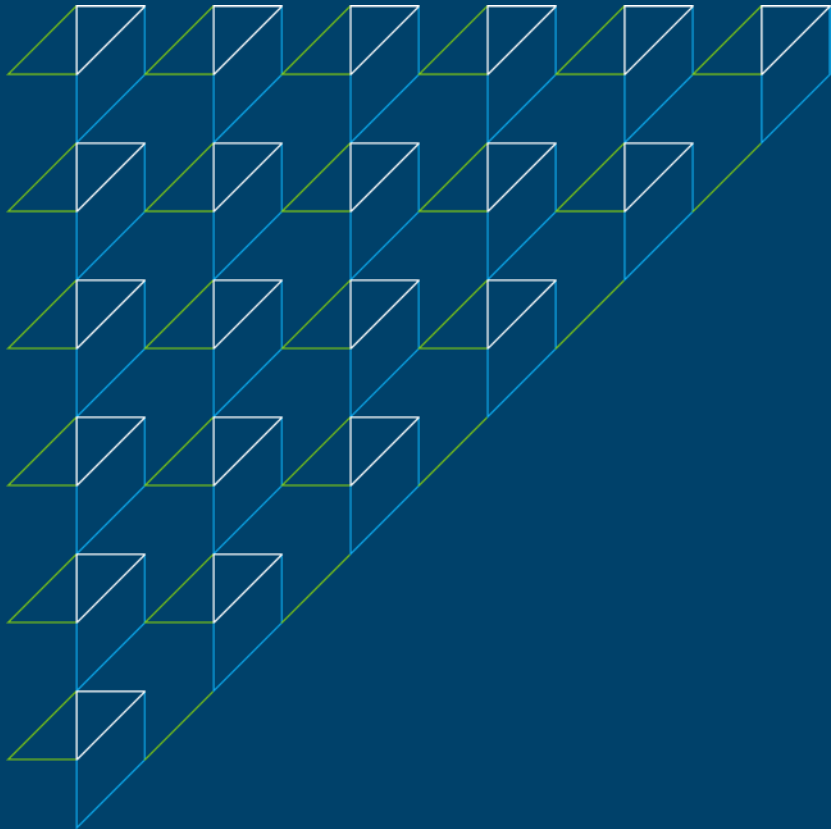
Institutional:

Refers to investors with institutional behavior including GREs, Saudi Corporates, Saudi Mutual Funds, Saudi and Non-Saudi DPMs, Saudi Individual Professional Investors (IPI), SWAP Holders, Foreign Strategic Partners and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.

Nomu-Parallel Market - Value Traded & Ownership Breakdown



Weekly Report – Nomu-Parallel Market

Value Traded (by Nationality, Investor Type, & Classification)

Nomu-Parallel Market Value Traded Breakdown - By Nationality and Investor Type

Nationality	Investor Type	Buy		Sell		Net Value Traded	
		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Saudi	Individuals	6,642,356	98.30%	6,309,549	93.37%	332,807	4.93%
	Corporates	109,452	1.62%	282,569	4.18%	-173,118	-2.56%
	Institution	0	0.00%	59,706	0.88%	-59,706	-0.88%
	Total Saudi Investors	6,751,807	99.92%	6,651,824	98.44%	99,983	1.48%
GCC	Individuals	0	0.00%	0	0.00%	0	0.00%
	Institutions	0	0.00%	83,147	1.23%	-83,147	-1.23%
	Total GCC Investors	0	0.00%	83,147	1.23%	-83,147	-1.23%
Foreign	SWAP Holders	0	0.00%	0	0.00%	0	0.00%
	Foreign Residents	5,650	0.08%	11,962	0.18%	-6,312	-0.09%
	QFIs	0	0.00%	10,525	0.16%	-10,525	-0.16%
	Total Foreign Investors	5,650	0.08%	22,486	0.33%	-16,836	-0.25%
Grand Total		6,757,457	100.00%	6,757,457	100.00%		

Nomu-Parallel Market Value Traded Breakdown - By Investor Classification

Investor Classification	Buy		Sell		Net Value Traded	
	SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Institutional	109,452	1.62%	435,947	6.45%	-326,495	-4.83%
Non-Institutional	6,648,006	98.38%	6,321,510	93.55%	326,495	4.83%
Grand Total	6,757,457	100.00%	6,757,457	100.00%		

Institutional:

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.

Weekly Report – Nomu-Parallel Market

Ownership Value (by Nationality, Investor Type, & Classification)

Nomu-Parallel Market Ownership Breakdown - By Nationality and Investor Type							
Nationality	Investor Type	As of 3 January 2019		As of 10 January 2019		Weekly Change	
		Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
Saudi	Individuals	2,160,140,488	91.63%	2,174,389,490	91.76%	14,249,002	0.13%
	Corporates	133,649,899	5.67%	131,324,128	5.54%	-2,325,771	-0.13%
	Institution	34,344,646	1.46%	34,330,436	1.45%	-14,210	-0.01%
	Total Saudi Investors	2,328,135,032	98.76%	2,340,044,053	98.76%	11,909,021	0.00%
GCC	Individuals	0	0.00%	0	0.00%	0	0.00%
	Institutions	13,060,324	0.55%	13,223,552	0.56%	163,228	0.00%
	Total GCC Investors	13,060,324	0.55%	13,223,552	0.56%	163,228	0.00%
Foreign	SWAP Holders	169,484	0.01%	163,802	0.01%	-5,682	0.00%
	Foreign Residents	2,470,411	0.10%	2,444,687	0.10%	-25,724	0.00%
	QFIs	13,556,549	0.58%	13,653,206	0.58%	96,657	0.00%
	Total Foreign Investors	16,196,444	0.69%	16,261,695	0.69%	65,251	0.00%
Grand Total		2,357,391,800	100.00%	2,369,529,300	100.00%	12,137,500	

Nomu-Parallel Market Ownership Breakdown - By Investor Classification						
Investor Classification	As of 3 January 2019		As of 10 January 2019		Weekly Change	
	Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
Institutional	194,780,901	8.26%	192,695,124	8.13%	-2,085,778	-0.13%
Non-Institutional	2,162,610,899	91.74%	2,176,834,176	91.87%	14,223,278	0.13%
Grand Total	2,357,391,800	100.00%	2,369,529,300	100.00%	12,137,500	

Institutional:

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.

السوق المالية السعودية
Saudi Stock Exchange

تداول
Tadawul

Thank you

