

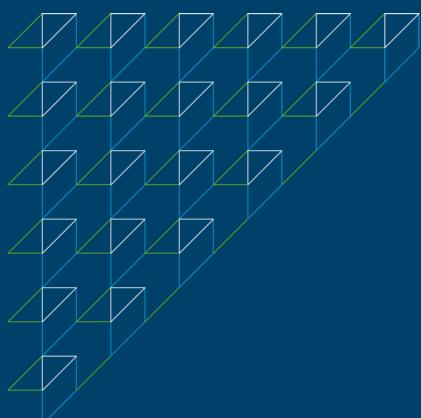
# Weekly Stock Market Ownership and Trading Activity Report

**Market Reports** 

Week Ending 21 June 2018



# Definitions



## Weekly Stock Market Ownership and Trading Activity Report



#### **Definitions**

Nationality	Investor Type	Description					
	Individuals*	Saudi Individuals have been classified under 4 categories as defined below:					
	- Retail	Retail investors are Saudi individuals, excluding IPIs (see below) and HNWIs.					
	- High Net Worth Investors (HNWIs)	<b>HNWIs</b> are Saudi individuals who have had an average portfolio size of SAR 1m (and above) for the preceding 12 months, excluding (see below).					
	- Individual Professional Investors (IPIs)	<b>IPIs</b> are Saudi individuals who have had an average portfolio size of SAR 50m (and above) for the preceding 12 months and a portfolio turnover ratio of not more than 4 times annually.					
Saudi	- Individual DPMs	Saudi individual investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).					
	Institutions	Saudi Institutions have been classified under 4 categories as defined below:					
	- Corporates	Saudi company with a commercial registration permitting its investment in the stock market .					
	- Mutual Funds	A mutual fund managed by an Authorized Person and licensed by the CMA.					
	- Government Related Entities (GREs)	A Government entity or a supranational authority recognized by the CMA.					
	- Institutional DPMs	Saudi institutional investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).					
	Individuals*	GCC natural person					
GCC	Institutions	GCC legal person with a commercial registration certificate (corporate or mutual fund), in addition to GCC government entities.					
	GCC DPMs	GCC investment account (institutional or individual) in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).					
	SWAP Holders	Authorized Person with the permission to enter into SWAP agreements to transfer the economic benefits of its ownership of shares in Saudi companies listed on the Saudi Stock Exchange to its non-resident foreign clients.					
	Foreign Residents*	Foreign investors domiciled in the Kingdom with a valid residency permit (Iqama) (excluding GCC nationals).					
Foreign	QFls	Qualified Foreign Institutional investors registered with the CMA in accordance with the QFI Rules, to invest in shares listed on the Saudi Stock Exchange.					
	Foreign DPMs	Foreign resident investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).					
	Strategic Partners	Foreign investors considered founders and partners of Saudi listed companies.					

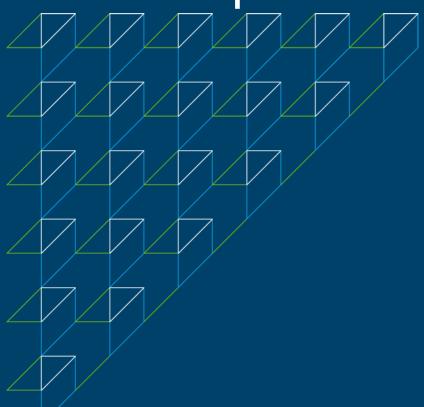
\*Nomu-Parallel Market Individual Investors

Individuals who fulfill any of the following:

- Conducted transactions not less than SAR 40m, and not less than 10 transaction in each quarter during last 12 months.

- Have an average portfolio size of SAR 10m for the preceding 12 months
- Hold General Securities Qualification Certificate which is recognized by the Authority.

# Main Market - Value Traded & Ownership Breakdown



#### تــداوك Tadawul

## Value Traded (by Nationality and Investor Type)

#### Main Market Value Traded Breakdown - By Nationality and Investor Type Buy Sell **Net Value Traded Nationality Investor Type** Difference SAR SAR % of Total Buys SAR % of Total Sells (% Buy - % Sell) (Buy - Sell) Individuals: Retail 1,580,142,649 40.76% 1,346,528,729 34.74% 233,613,919 6.03% **HNWIs** 1,268,561,527 32.72% 28.83% 150,950,126 3.89% 1,117,611,401 **IPIs** 85.234.761 2.20% 128.503.725 3.31% -43.268.964 -1.12% Individual DPMs 28.933.071 0.75% 41.351.823 1.07% -12.418.751 -0.32% Sub Total (Individuals) 2,962,872,008 76.43% 2,633,995,678 67.95% 328,876,330 8.48% Institutions: Saudi Corporates 4.65% 286.206.565 7.38% -105.961.490 -2.73% 180.245.075 **Mutual Funds** 34.312.089 0.89% 66.912.358 1.73% -32.600.269 -0.84% GRE's 0 0.00% 0 0.00% 0 0.00% Institution DPMs 24.358.104 0.63% 24.169.452 0.62% 188.652 0.00% Sub Total (Institutions) 238,915,267 6.16% 377,288,375 9.73% -138,373,107 -3.57% Total Saudi Investors 3,201,787,276 82.59% 3,011,284,052 77.68% 190,503,223 4.91% Individuals 6,856,020 0.18% 22.837.858 0.59% -15.981.838 -0.41% Institutions 82.911.244 2.14% 132.570.487 3.42% -49.659.243 -1.28% GCC GCC DPMs 0.00% 122,901 0.00% -122,901 0.00% 0 Total GCC Investors 89.767.264 2.32% 155.531.246 4.01% -65.763.983 -1.70% **SWAP Holders** 174,842,247 4.51% 355,021,117 9.16% -180,178,870 -4.65% Foreign Residents 44.577.190 1.15% 54.220.216 1.40% -9.643.026 -0.25% QFIs 365,593,889 9.43% 300,511,234 7.75% 65,082,655 1.68% Foreign Foreign DPMs 0.00% 0.00% 0 0.00% 0 Strategic Partners 0.00% 0.00% 0.00% Total Foreign Investors 585,013,326 15.09% 709,752,567 18.31% -124,739,241 -3.22% **Grand Total** 3,876,567,866 100.00% 3,876,567,866 100.00%



Value Traded (by Investor Classification)

Main Market Value Traded Breakdown - By Investor Classification										
	Buy		Sell		Net Value Traded					
Investor Classification	SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)				
Institutional	976,430,480	25.19%	1,335,369,661	34.45%	-358,939,18	-9.26%				
Non-Institutional	2,900,137,386	74.81%	2,541,198,205	65.55%	358,939,18	9.26%				
Grand Total	3,876,567,866	100.00%	3,876,567,866	100.00%						

#### **Definitions**

#### Institutional:

Refers to investors with institutional behavior including GREs, Saudi Corporates, Saudi Mutual Funds, Saudi and Non-Saudi DPMs, Saudi Individual Professional Investors (IPI), SWAP Holders, Foreign Strategic Partners and Qualified Foreign Investors (QFI).

#### Non Institutional:

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.

#### تــداوك Tadawul

## Ownership Value (by Nationality and Investor Type)

#### Main Market Ownership Breakdown - By Nationality and Investor Type **Weekly Change** As of 12 June 2018 As of 21 June 2018 **Nationality** Investor Type Δ Ownership **Holding Value** Ownership **Holding Value** Ownership Δ Holding Value (%) (SAR) (%) (SAR) (%) (SAR) Individuals: Retail 79,583,619,543 4.03% 79,446,179,019 4.05% -137,440,524 0.02% **HNWIs** 7.91% 155,158,339,054 7.91% -868,399,770 0.01% 156,026,738,823 **IPIs** 269.975.186.295 13.68% 267.960.157.442 13.67% -2.015.028.854 -0.02% Individual DPMs 4.999.602.950 0.25% 4.936.113.546 0.25% -63.489.405 0.00% Sub Total (Individuals) 510,585,147,612 25.88% 507,500,789,060 25.89% -3,084,358,552 0.01% Institutions: Saudi -2.507.217.521 301.857.984.490 15.30% 299.350.766.969 15.27% -0.03% Corporates Mutual Funds 182.235.416.025 9.24% 180.659.874.293 9.22% -1.575.541.733 -0.02% GRE's 777,623,037,356 39.42% 774,289,318,136 39.50% -3,333,719,220 0.08% Institution DPMs 60.946.250.947 3.09% 60.429.920.884 3.08% -516.330.063 -0.01% Sub Total (Institutions) 1,322,662,688,818 67.04% 1,314,729,880,282 67.06% -7.932.808.536 0.02% Total Saudi Investors 1,833,247,836,430 92.92% 1,822,230,669,342 92.95% -11,017,167,088 0.03% Individuals 3.204.823.494 0.16% 3.184.629.440 0.16% -20.194.054 0.00% Institutions 36.540.900.956 1.85% 36.652.190.603 1.87% 111.289.647 0.02% **GCC** GCC DPMs 675,586,394 0.03% 0.03% -6,229,027 0.00% 669,357,367 Total GCC Investors 40.421.310.844 2.05% 40.506.177.411 2.07% 84.866.567 0.02% **SWAP Holders** 16,911,061,506 0.86% 16,674,914,704 0.85% -236,146,802 -0.01% Foreign Residents 4.160.668.790 0.21% 4.147.982.869 0.21% -12.685.921 0.00% **QFIs** 18,671,092,930 0.95% 18,332,448,145 0.94% -338,644,785 -0.01% **Foreign** Foreign DPMs 8.689.538 0.00% 8.574.281 0.00% -115.257 0.00% 59.433.569.909 3.01% 2.99% -892,264,997 -0.03% Strategic Partners 58.541.304.912 -1,479,857,762 Total Foreign Investors 99,185,082,672 5.03% 97,705,224,910 4.98% -0.04% **Grand Total** 1,972,854,229,946 1,960,442,071,662 100.00% -12,412,158,284 100.00%



### Ownership Value (by Investor Classification)

Main Market Ownership Breakdown - By Investor Classification									
	As of 12 June 2018		As of 21 June 2018		Weekly Change				
Investor Classification	Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	∆ Holding Value (SAR)	∆ Ownership (%)			
Institutional	1,729,878,379,296	87.68%	1,718,504,941,281	87.66%	-11,373,438,015	-0.02%			
Non-Institutional	242,975,850,650	12.32%	241,937,130,381	12.34%	-1,038,720,269	0.02%			
<b>Grand Total</b>	1,972,854,229,946	100.00%	1,960,442,071,662	100.00%	-12,412,158,284				

#### **Definitions**

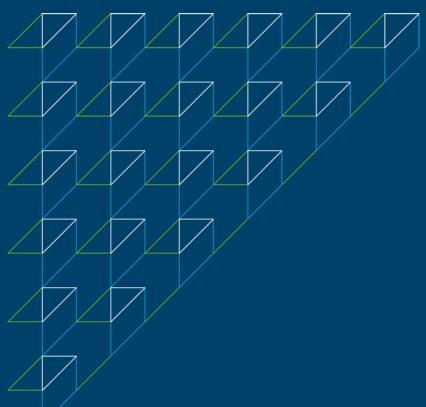
#### Institutional:

Refers to investors with institutional behavior including GREs, Saudi Corporates, Saudi Mutual Funds, Saudi and Non-Saudi DPMs, Saudi Individual Professional Investors (IPI), SWAP Holders, Foreign Strategic Partners and Qualified Foreign Investors (QFI).

#### Non Institutional:

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.

## Nomu-Parallel Market - Value Traded & Ownership Breakdown



## Weekly Report – Nomu-Parallel Market



## Value Traded (by Nationality, Investor Type, & Classification)

Nomu-	Parallel Market Va	alue Traded I	Breakdown	- By Nationa	lity and Inve	stor Type		
Nationality		Buy		Sel	I	Net Value Traded		
	Investor Type	SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sel	
	Individuals	756,541	100.00%	538,912	71.23%	217,629	28.77%	
Caudi	Corporates	0	0.00%	0	0.00%	0	0.00%	
Saudi	Institution	0	0.00%	210,688	27.85%	-210,688	-27.85%	
	Total Saudi Investors	756,541	100.00%	749,600	99.08%	6,941	0.92%	
	Individuals	0	0.00%	0	0.00%	0	0.00%	
GCC	Institutions	0	0.00%	0	0.00%	0	0.00%	
	Total GCC Investors	0	0.00%	0	0.00%	О	0.00%	
	SWAP Holders	0	0.00%	0	0.00%	0	0.00%	
	Foreign Residents	0	0.00%	6,941	0.92%	-6,941	-0.92%	
Foreign	QFls	0	0.00%	0	0.00%	0	0.00%	
	Total Foreign Investors	0	0.00%	6,941	0.92%	-6,941	-0.92%	
Grand '	Total	756,541	100.00%	756,541	100.00%			
Nomu-P	arallel Market Value	Traded Break	down - By Inv	estor Classific	cation			
Investor Classification		Bu	у	Sell		Net Value Traded		
		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Se	

#### Institutional:

Non-Institutional

**Grand Total** 

Institutional

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

210,688

545,853

756,541

27.85%

72.15%

100.00%

-210,688

-27.85%

27.85%

0.00%

100.00%

100.00%

#### Non Institutional:

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.

756,541

756,541

## Weekly Report – Nomu-Parallel Market



## Ownership Value (by Nationality, Investor Type, & Classification)

Nationality	Investor Type	As of 12 June 2018		As of 21 June 2018		Weekly Change		
		Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)	
<b>.</b>	Individuals	2,256,896,836	90.56%	2,242,286,234	90.55%	-14,610,602	-0.01%	
	Corporates	117,875,003	4.73%	117,163,501	4.73%	-711,502	0.009	
Saudi	Institution	94,493,885	3.79%	93,979,849	3.80%	-514,037	0.00%	
	Total Saudi Investors	2,469,265,724	99.08%	2,453,429,583	99.07%	-15,836,140	-0.01%	
GCC	Individuals	28,696	0.00%	28,848	0.00%	152	0.009	
	Institutions	14,478,324	0.58%	14,582,204	0.59%	103,880	0.019	
	Total GCC Investors	14,507,020	0.58%	14,611,052	0.59%	104,032	0.019	
	SWAP Holders	880,565	0.04%	879,973	0.04%	-592	0.009	
	Foreign Residents	2,346,049	0.09%	2,333,338	0.09%	-12,711	0.00	
Foreign	QFIs	5,112,618	0.21%	5,118,029	0.21%	5,411	0.009	
	Total Foreign Investors	8,339,231	0.33%	8,331,339	0.34%	-7,892	0.009	
Frand T	otal	2,492,111,975	100.00%	2,476,371,975	100.00%	-15,740,000		

		<b>_,</b>						
	As of 12 Ju	As of 12 June 2018		As of 21 June 2018		Weekly Change		
Investor Classification	Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)		Δ Ownership (%)	
Institutional	232,840,394	9.34%	231,723,555	9.36%		-1,116,839	0.01%	
Non-Institutional	2,259,271,581	90.66%	2,244,648,420	90.64%		-14,623,161	-0.01%	
Grand Total	2.492.111.975	100.00%	2.476.371.975	100.00%		-15.740.000		

#### Institutional:

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

#### Non Institutional:

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.



