

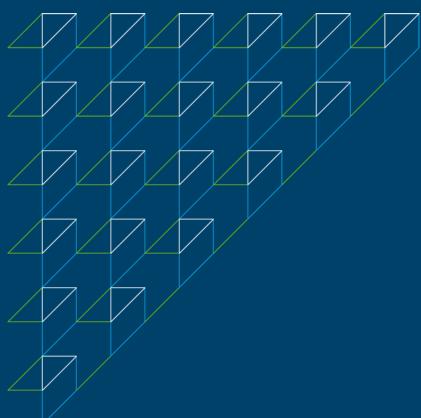
# Weekly Stock Market Ownership and Trading Activity Report

**Market Reports** 

Week Ending 09 August 2018



# Definitions



## Weekly Stock Market Ownership and Trading Activity Report



#### **Definitions**

Nationality	Investor Type	Description					
	Individuals*	Saudi Individuals have been classified under 4 categories as defined below:					
	- Retail	Retail investors are Saudi individuals, excluding IPIs (see below) and HNWIs.					
	- High Net Worth Investors (HNWIs)	<b>HNWIs</b> are Saudi individuals who have had an average portfolio size of SAR 1m (and above) for the preceding 12 months, excluding <b>IPIs</b> (see below).					
	- Individual Professional Investors (IPIs)	<b>IPIs</b> are Saudi individuals who have had an average portfolio size of SAR 50m (and above) for the preceding 12 months and a portfolio turnover ratio of not more than 4 times annually.					
Saudi	- Individual DPMs	Saudi individual investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).					
	Institutions	Saudi Institutions have been classified under 4 categories as defined below:					
	- Corporates	Saudi company with a commercial registration permitting its investment in the stock market .					
	- Mutual Funds	A mutual fund managed by an Authorized Person and licensed by the CMA.					
	- Government Related Entities (GREs)	A Government entity or a supranational authority recognized by the CMA.					
	- Institutional DPMs	Saudi institutional investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).					
	Individuals*	GCC natural person					
GCC	Institutions	GCC legal person with a commercial registration certificate (corporate or mutual fund), in addition to GCC government entities.					
	GCC DPMs	GCC investment account (institutional or individual) in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).					
	SWAP Holders	Authorized Person with the permission to enter into SWAP agreements to transfer the economic benefits of its ownership of shares in Saudi companies listed on the Saudi Stock Exchange to its non-resident foreign clients.					
Foreign	Foreign Residents*	Foreign investors domiciled in the Kingdom with a valid residency permit (Iqama) (excluding GCC nationals).					
	QFls	Qualified Foreign Institutional investors registered with the CMA in accordance with the QFI Rules, to invest in shares listed on the Saudi Stock Exchange.					
	Foreign DPMs	Foreign resident investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).					
	Strategic Partners	Foreign investors considered founders and partners of Saudi listed companies.					

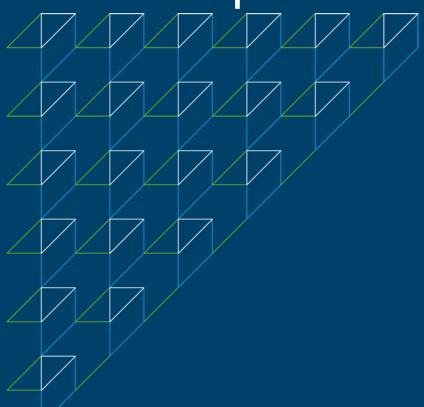
\*Nomu-Parallel Market Individual Investors

Individuals who fulfill any of the following:

- Conducted transactions not less than SAR 40m, and not less than 10 transaction in each quarter during last 12 months.

- Have an average portfolio size of SAR 10m for the preceding 12 months
- Hold General Securities Qualification Certificate which is recognized by the Authority.

# Main Market - Value Traded & Ownership Breakdown



## Value Traded (by Nationality and Investor Type)



#### Main Market Value Traded Breakdown - By Nationality and Investor Type Buy Sell **Net Value Traded Nationality Investor Type** SAR Difference SAR % of Total Buys SAR % of Total Sells (Buy - Sell) (% Buy - % Sell) Individuals: Retail 5,074,013,460 23.41% 10.250.015.935 47.29% -5,176,002,475 -23.88% **HNWIs** 8,392,448,661 38.72% 8,230,780,599 37.97% 161,668,061 0.75% **IPIs** 302,625,284 1.40% 398,588,504 1.84% -95,963,220 -0.44% Individual DPMs 0.42% 91.570.828 121.658.225 0.56% -30.087.397 -0.14% Sub Total (Individuals) 13.860.658.233 63.95% 19.001.043.264 -5.140.385.031 -23.72% 87.67% Institutions: Saudi Corporates 6,159,813,123 28.42% 901,466,628 4.16% 5,258,346,494 24.26% 0.96% **Mutual Funds** 207.847.749 225.034.341 1.04% -17.186.592 -0.08% GRE's 0 0.00% 682.800 0.00% -682.800 0.00% Institution DPMs 219.610.621 1.01% 739.533.379 3.41% -519.922.758 -2.40% Sub Total (Institutions) 6,587,271,493 30.39% 1,866,717,149 8.61% 4,720,554,344 21.78% Total Saudi Investors 20,447,929,725 94.34% 20,867,760,413 96.28% -419,830,688 -1.94% 0.27% Individuals 38.055.947 0.18% 58.375.784 -20.319.837 -0.09% 166,608,063 0.77% 226,668,356 1.05% -60,060,292 -0.28% Institutions GCC GCC DPMs 1.536.303 0.01% 1.780.764 0.01% -244.461 0.00% Total GCC Investors 206,200,313 0.95% 286,824,904 1.32% -80,624,591 -0.37% **SWAP Holders** 92,580,237 0.43% 158,073,936 0.73% -65,493,699 -0.30% Foreign Residents 161.728.708 0.75% 182.468.406 0.84% -20.739.698 -0.10% **QFIs** 365,890,954 1.69% 175,043,386 0.81% 190,847,568 0.88% **Foreign** Foreign DPMs 0 0.00% 0 0.00% 0 0.00% 1.83% Strategic Partners 1.85% 4,158,892 0.02% 395,841,108 400,000,000 Total Foreign Investors 519,744,621 500.455.278 1,020,199,899 4.71% 2.40% 2.31% **Grand Total** 21,674,329,937 100.00% 21,674,329,937 100.00%



Value Traded (by Investor Classification)

Main Market Value Traded Breakdown - By Investor Classification									
	Buy		Sell		Net Value Traded				
Investor Classification	SAR	% of Total Buys	SAR	% of Total Sells		SAR (Buy - Sell)	Difference (% Buy - % Sell)		
Institutional	8,008,083,161	36.95%	2,952,689,212	13.62%		5,055,393,949	23.32%		
Non-Institutional	13,666,246,776	63.05%	18,721,640,725	86.38%		-5,055,393,949	-23.32%		
<b>Grand Total</b>	21,674,329,937	100.00%	21,674,329,937	100.00%					

#### **Definitions**

#### Institutional:

Refers to investors with institutional behavior including GREs, Saudi Corporates, Saudi Mutual Funds, Saudi and Non-Saudi DPMs, Saudi Individual Professional Investors (IPI), SWAP Holders, Foreign Strategic Partners and Qualified Foreign Investors (QFI).

#### Non Institutional:

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.



## Ownership Value (by Nationality and Investor Type)

#### Main Market Ownership Breakdown - By Nationality and Investor Type As of 02 August 2018 As of 09 August 2018 **Weekly Change Nationality** Investor Type Δ Ownership **Holding Value** Ownership **Holding Value** Ownership Δ Holding Value (SAR) (%) (SAR) (%) (SAR) (%) Individuals: Retail 77,266,725,107 3.93% 77,297,716,292 3.98% 30,991,184 0.05% **HNWIs** 155,525,695,333 7.92% 154,089,920,517 7.94% -1.435,774,816 0.02% **IPIs** 270.870.503.310 13.79% 268.739.355.310 13.85% -2.131.148.000 0.06% Individual DPMs 4.823.882.117 0.25% 4.727.637.846 0.24% -96.244.271 0.00% Sub Total (Individuals) 508,486,805,867 25.89% 504,854,629,965 26.02% -3,632,175,903 0.13% Institutions: Saudi -2.544.609.654 15.42% 300.393.378.907 15.48% 0.06% Corporates 302.937.988.560 Mutual Funds 173.100.602.533 8.81% 169.619.313.059 8.74% -3.481.289.474 -0.07% GRE's 774,836,401,679 39.45% 762,858,604,577 39.32% -11,977,797,102 -0.13% Institution DPMs 63,702,959,412 3.24% 62 741 074 832 3.23% -961.884.580 -0.01% Sub Total (Institutions) 1,314,577,952,185 66.93% 1,295,612,371,375 66.79% -18.965.580.810 -0.15% Total Saudi Investors -22,597,756,712 1,823,064,758,052 92.82% 1,800,467,001,339 92.81% -0.01% Individuals 3.187.254.371 0.16% 3.144.615.642 0.16% -42.638.728 0.00% Institutions 36.833.011.196 1.88% 36.620.140.843 1.89% -212.870.354 0.01% **GCC** GCC DPMs 0.03% 0.04% -7,969,278 0.00% 687,008,732 679,039,454 Total GCC Investors 40.707.274.299 2.07% 40.443.795.939 2.08% -263,478,360 0.01% **SWAP Holders** 15,302,904,360 0.78% 15,143,024,785 0.78% -159,879,576 0.00% Foreign Residents 3.978.485.717 0.20% 3.948.546.090 0.20% -29.939.627 0.00% **QFIs** 19,366,701,878 0.99% 19,370,535,120 1.00% 3,833,242 0.01% **Foreign** Foreign DPMs 8.872.709 0.00% 8.809.636 0.00% -63.073 0.00% 3.14% 3.12% -0.02% Strategic Partners 61.620.672.175 60.551.960.364 -1,068,711,811 Total Foreign Investors 100,277,636,838 5.11% 99,022,875,993 5.10% -1,254,760,845 0.00% **Grand Total** 1,964,049,669,189 100.00% 1,939,933,673,272 -24,115,995,917 100.00%



## Ownership Value (by Investor Classification)

Main Market Ownership Breakdown - By Investor Classification										
	As of 02 Aug	gust 2018	st 2018 As of 09 Augu		Weekly Change					
Investor Classification	Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	∆ Holding Value (SAR)	∆ Ownership (%)				
Institutional	1,724,091,508,661	87.78%	1,701,452,874,731	87.71%	-22,638,633,930	-0.08%				
Non-Institutional	239,958,160,528	12.22%	238,480,798,541	12.29%	-1,477,361,987	0.08%				
Grand Total	1,964,049,669,189	100.00%	1,939,933,673,272	100.00%	-24,115,995,917					

#### **Definitions**

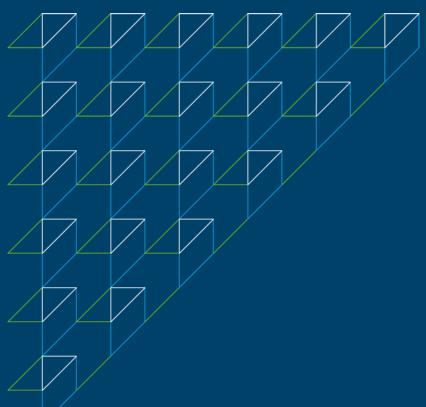
#### Institutional:

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#### Non Institutional:

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.

## Nomu-Parallel Market - Value Traded & Ownership Breakdown



#### Weekly Report – Nomu-Parallel Market



Difference

(% Buy - % Sell)

-13.52%

13.52%

SAR

(Buy - Sell)

-734,718

734,718

#### Value Traded (by Nationality, Investor Type, & Classification)

SAR

126,519

5,309,633

5,436,152

Nationality		Buy		Sell		Net Value Traded		
	Investor Type	SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sel	
	Individuals	5,286,742	97.25%	4,555,628	83.80%	731,113	13.45%	
Coudi	Corporates	50,894	0.94%	689,364	12.68%	-638,470	-11.74%	
Saudi	Institution	0	0.00%	7,371	0.14%	-7,371	-0.149	
	Total Saudi Investors	5,337,636	98.19%	5,252,363	96.62%	85,273	1.57%	
GCC	Individuals	0	0.00%	0	0.00%	0	0.009	
	Institutions	75,625	1.39%	131,676	2.42%	-56,051	-1.039	
	Total GCC Investors	75,625	1.39%	131,676	2.42%	-56,051	-1.039	
	SWAP Holders	0	0.00%	0	0.00%	0	0.00	
Fausium	Foreign Residents	22,891	0.42%	19,287	0.35%	3,605	0.079	
Foreign	QFIs	0	0.00%	32,827	0.60%	-32,827	-0.60	
	Total Foreign Investors	22,891	0.42%	52,114	0.96%	-29,222	-0.549	
rand T	otal	5,436,152	100.00%	5,436,152	100.00%			
Nomu-Pa	rallel Market Value T	raded Break	down - By Inve	stor Classific	cation			
		Bu	y	Sel	ı	Net Value	Traded	
nvestor Clas	sification					CAD	Difference	

#### Institutional:

Non-Institutional

**Grand Total** 

Institutional

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

SAR

861,238

4,574,915

5,436,152

% of Total Sells

15.84%

84.16%

100.00%

#### Non Institutional:

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.

% of Total Buys

2.33%

97.67%

100.00%

## Weekly Report – Nomu-Parallel Market



## Ownership Value (by Nationality, Investor Type, & Classification)

Nationality	Investor Type	As of 02 August 2018		As of 09 August 2018		Weekly Change		
		Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)	
Saudi	Individuals	2,077,786,903	91.37%	2,088,310,342	91.59%	10,523,439	0.22%	
	Corporates	105,707,324	4.65%	103,751,123	4.55%	-1,956,200	-0.10%	
	Institution	65,022,197	2.86%	64,008,676	2.81%	-1,013,521	-0.05%	
	Total Saudi Investors	2,248,516,424	98.88%	2,256,070,141	98.95%	7,553,718	0.07%	
	Individuals	27,885	0.00%	26,567	0.00%	-1,318	0.00%	
GCC	Institutions	13,560,043	0.60%	12,545,546	0.55%	-1,014,497	-0.05%	
	Total GCC Investors	13,587,928	0.60%	12,572,113	0.55%	-1,015,815	-0.05%	
Foreign	SWAP Holders	772,348	0.03%	781,390	0.03%	9,042	0.00%	
	Foreign Residents	2,313,730	0.10%	2,259,549	0.10%	-54,181	0.00%	
	QFIs	8,900,770	0.39%	8,424,206	0.37%	-476,564	-0.02%	
	Total Foreign Investors	11,986,849	0.53%	11,465,146	0.50%	-521,703	-0.02%	
Grand Total		2.274.091.200	100.00%	2.280.107.400	100.00%	6.016.200		

Nomu-Parallel Market Ownership Breakdown - By Investor Classification									
	As of 02 Aug	gust 2018	As of 09 Aug	gust 2018 Weekly Chang		nange			
Investor Classification	Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)		Δ Holding Value (SAR)	Δ Ownership (%)		
Institutional	193,962,682	8.53%	189,510,942	8.31%		-4,451,740	-0.22%		
Non-Institutional	2,080,128,518	91.47%	2,090,596,458	91.69%		10,467,940	0.22%		
Grand Total	2,274,091,200	100.00%	2,280,107,400	100.00%		6,016,200			

#### Institutional:

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

#### **Non Institutional:**

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.



