

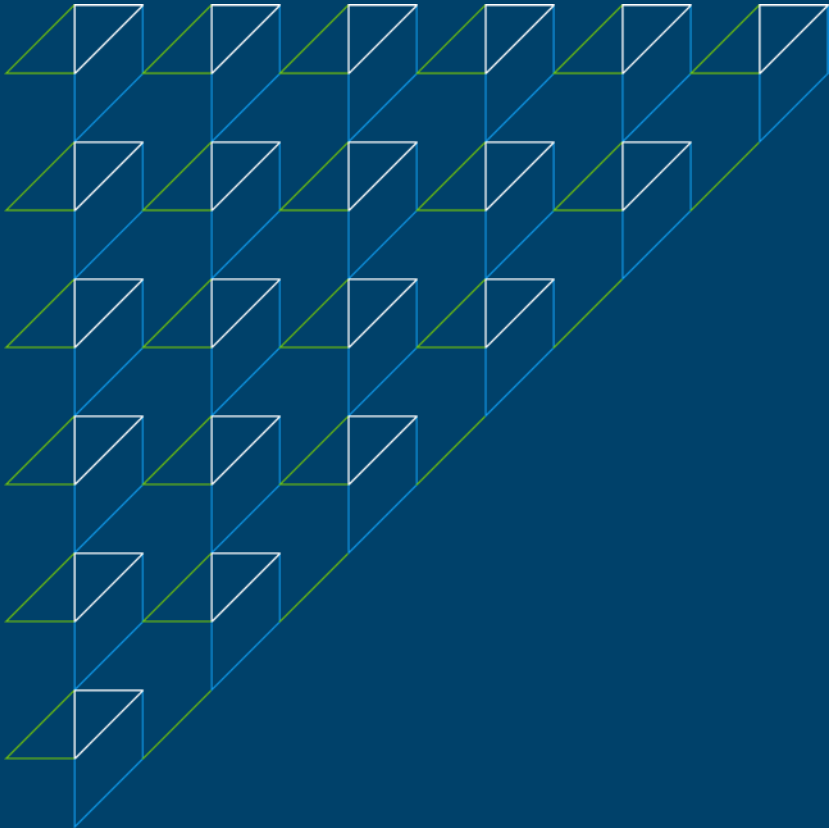
Weekly Stock Market Ownership and Trading Activity Report

Market Reports

Week Ending 19 October 2017



Definitions



Weekly Stock Market Ownership and Trading Activity Report

Definitions

Nationality	Investor Type	Description
Saudi	Individuals*	Saudi Individuals have been classified under 4 categories as defined below:
	- Retail	Retail investors are Saudi individuals , excluding IPIs (see below) and HNWIs .
	- High Net Worth Investors (HNWIs)	HNWIs are Saudi individuals who have had an average portfolio size of SAR 1m (and above) for the preceding 12 months, excluding IPIs (see below).
	- Individual Professional Investors (IPIs)	IPIs are Saudi individuals who have had an average portfolio size of SAR 50m (and above) for the preceding 12 months and a portfolio turnover ratio of not more than 4 times annually.
	- Individual DPMs	Saudi individual investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	Institutions	Saudi Institutions have been classified under 4 categories as defined below:
	- Corporates	Saudi company with a commercial registration permitting its investment in the stock market .
	- Mutual Funds	A mutual fund managed by an Authorized Person and licensed by the CMA.
	- Government Related Entities (GREs)	A Government entity or a supranational authority recognized by the CMA.
	- Institutional DPMs	Saudi institutional investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
GCC	Individuals*	GCC natural person
	Institutions	GCC legal person with a commercial registration certificate (corporate or mutual fund), in addition to GCC government entities.
	GCC DPMs	GCC investment account (institutional or individual) in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
Foreign	SWAP Holders	Authorized Person with the permission to enter into SWAP agreements to transfer the economic benefits of its ownership of shares in Saudi companies listed on the Saudi Stock Exchange to its non-resident foreign clients.
	Foreign Residents*	Foreign investors domiciled in the Kingdom with a valid residency permit (Iqama) (excluding GCC nationals).
	QFIs	Qualified Foreign Institutional investors registered with the CMA in accordance with the QFI Rules, to invest in shares listed on the Saudi Stock Exchange.
	Foreign DPMs	Foreign resident investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	Strategic Partners	Foreign investors considered founders and partners of Saudi listed companies.

*Nomu-Parallel
Market Individual
Investors

Individuals who fulfill any of the following:

- Conducted transactions not less than SAR 40m, and not less than 10 transaction in each quarter during last 12 months.
- Have an average portfolio size of SAR 10m for the preceding 12 months
- Hold General Securities Qualification Certificate which is recognized by the Authority.

Main Market - Value Traded & Ownership Breakdown



Weekly Report - Main Market

Value Traded (by Nationality and Investor Type)

Main Market Value Traded Breakdown - By Nationality and Investor Type

Nationality	Investor Type	Buy		Sell		Net Value Traded	
		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Saudi	Individuals:						
	Retail	5,095,557,239	39.71%	6,202,317,342	48.33%	-1,106,760,103	-8.62%
	HNWs	4,050,031,881	31.56%	4,062,403,942	31.66%	-12,372,061	-0.10%
	IPIs	353,475,747	2.75%	362,968,141	2.83%	-9,492,394	-0.07%
	Individual DPMs	98,453,776	0.77%	78,094,298	0.61%	20,359,478	0.16%
	Sub Total (Individuals)	9,597,518,643	74.79%	10,705,783,723	83.43%	-1,108,265,080	-8.64%
	Institutions:						
	Corporates	1,344,364,383	10.48%	294,380,887	2.29%	1,049,983,496	8.18%
	Mutual Funds	618,560,963	4.82%	392,113,533	3.06%	226,447,431	1.76%
	GRE's	14,903	0.00%	5,213,482	0.04%	-5,198,579	-0.04%
	Institution DPMs	318,316,622	2.48%	278,392,352	2.17%	39,924,271	0.31%
	Sub Total (Institutions)	2,281,256,871	17.78%	970,100,253	7.56%	1,311,156,618	10.22%
Total Saudi Investors	11,878,775,514	92.57%	11,675,883,976	90.99%	202,891,538	1.58%	
GCC	Individuals	71,380,545	0.56%	62,060,445	0.48%	9,320,100	0.07%
	Institutions	283,526,985	2.21%	321,052,671	2.50%	-37,525,686	-0.29%
	GCC DPMs	365,765	0.00%	156,558,711	1.22%	-156,192,946	-1.22%
	Total GCC Investors	355,273,295	2.77%	539,671,827	4.21%	-184,398,533	-1.44%
Foreign	SWAP Holders	93,588,639	0.73%	185,600,950	1.45%	-92,012,311	-0.72%
	Foreign Residents	149,973,572	1.17%	162,727,520	1.27%	-12,753,948	-0.10%
	QFIs	156,137,378	1.22%	200,908,482	1.57%	-44,771,104	-0.35%
	Foreign DPMs	198,528,485	1.55%	67,484,128	0.53%	131,044,357	1.02%
	Strategic Partners	0	0.00%	0	0.00%	0	0.00%
	Total Foreign Investors	598,228,074	4.66%	616,721,080	4.81%	-18,493,006	-0.14%
Grand Total		12,832,276,883	100.00%	12,832,276,883	100.00%		

Weekly Report - Main Market

Value Traded (by Investor Classification)

Main Market Value Traded Breakdown - By Investor Classification

Investor Classification	Buy		Sell		Net Value Traded	
	SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Institutional	3,465,333,646	27.00%	2,342,767,633	18.26%	1,122,566,013	8.75%
Non-Institutional	9,366,943,237	73.00%	10,489,509,250	81.74%	-1,122,566,013	-8.75%
Grand Total	12,832,276,883	100.00%	12,832,276,883	100.00%		

Definitions

Institutional:

Refers to investors with institutional behavior including GREs, Saudi Corporates, Saudi Mutual Funds, Saudi and Non-Saudi DPMs, Saudi Individual Professional Investors (IPI), SWAP Holders, Foreign Strategic Partners and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.

Weekly Report - Main Market

Ownership Value (by Nationality and Investor Type)

Main Market Ownership Breakdown - By Nationality and Investor Type

Nationality	Investor Type	As of 12 October 2017		As of 19 October 2017*		Weekly Change	
		Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
Saudi	Individuals:						
	Retail	70,405,682,851	4.25%	71,551,676,988	4.31%	1,145,994,137	0.06%
	HNWIs	129,521,027,815	7.82%	130,453,941,475	7.87%	932,913,661	0.04%
	IPIs	247,018,307,462	14.92%	247,547,606,607	14.93%	529,299,146	0.01%
	Individual DPMs	4,712,097,319	0.28%	4,708,763,349	0.28%	-3,333,970	0.00%
	Sub Total (Individuals)	451,657,115,446	27.28%	454,261,988,419	27.39%	2,604,872,973	0.11%
	Institutions:						
	Corporates	272,701,694,478	16.47%	273,923,933,817	16.52%	1,222,239,339	0.05%
	Mutual Funds	162,326,042,352	9.80%	164,825,939,318	9.94%	2,499,896,966	0.13%
	GRE's	614,963,520,981	37.14%	610,832,387,148	36.83%	-4,131,133,833	-0.31%
	Institution DPMs	48,759,281,128	2.95%	48,725,225,940	2.94%	-34,055,188	-0.01%
	Sub Total (Institutions)	1,098,750,538,938	66.36%	1,098,307,486,223	66.23%	-443,052,715	-0.13%
Total Saudi Investors	1,550,407,654,384	93.64%	1,552,569,474,642	93.62%	2,161,820,258	-0.02%	
GCC	Individuals	2,820,130,704	0.17%	2,832,338,904	0.17%	12,208,201	0.00%
	Institutions	31,483,673,931	1.90%	31,622,231,848	1.91%	138,557,917	0.01%
	GCC DPMs	921,421,359	0.06%	659,601,788	0.04%	-261,819,571	-0.02%
	Total GCC Investors	35,225,225,993	2.13%	35,114,172,540	2.12%	-111,053,453	-0.01%
Foreign	SWAP Holders	10,202,593,903	0.62%	10,091,886,827	0.61%	-110,707,076	-0.01%
	Foreign Residents	4,122,753,503	0.25%	4,130,212,567	0.25%	7,459,064	0.00%
	QFIs	7,260,827,810	0.44%	7,290,615,843	0.44%	29,788,033	0.00%
	Foreign DPMs	2,592,706,890	0.16%	2,810,110,952	0.17%	217,404,062	0.01%
	Strategic Partners	45,824,380,226	2.77%	46,299,142,242	2.79%	474,762,016	0.02%
	Total Foreign Investors	70,003,262,333	4.23%	70,621,968,430	4.26%	618,706,098	0.03%
Grand Total		1,655,636,142,710	100.00%	1,658,305,615,612	100.00%	2,669,472,902	

* Non Deposited Tradable Rights amount of 593,180,000 SAR was not reflected as on 19 October holding value

Weekly Report - Main Market

Ownership Value (by Investor Classification)

Main Market Ownership Breakdown - By Investor Classification						
Investor Classification	As of 12 October 2017		As of 19 October 2017*		Weekly Change	
	Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
Institutional	1,448,766,547,838	87.51%	1,449,337,445,678	87.40%	570,897,840	-0.11%
Non-Institutional	206,869,594,872	12.49%	208,968,169,934	12.60%	2,098,575,062	0.11%
Grand Total	1,655,636,142,710	100.00%	1,658,305,615,612	100.00%	2,669,472,902	

Definitions

Institutional:

Refers to investors with institutional behavior including GREs, Saudi Corporates, Saudi Mutual Funds, Saudi and Non-Saudi DPMs, Saudi Individual Professional Investors (IPI), SWAP Holders, Foreign Strategic Partners and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.

Nomu-Parallel Market - Value Traded & Ownership Breakdown



Weekly Report – Nomu-Parallel Market

Value Traded (by Nationality, Investor Type, & Classification)

Nomu-Parallel Market Value Traded Breakdown - By Nationality and Investor Type							
Nationality	Investor Type	Buy		Sell		Net Value Traded	
		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Saudi	Individuals	3,714,608	49.70%	3,754,303	50.23%	-39,695	-0.53%
	Corporates	2,385,329	31.91%	2,663,491	35.63%	-278,162	-3.72%
	Institution	1,250,468	16.73%	607,824	8.13%	642,644	8.60%
	Total Saudi Investors	7,350,405	98.34%	7,025,619	93.99%	324,787	4.35%
GCC	Individuals	0	0.00%	0	0.00%	0	0.00%
	Institutions	0	0.00%	0	0.00%	0	0.00%
	Total GCC Investors	0	0.00%	0	0.00%	0	0.00%
Foreign	SWAP Holders	0	0.00%	0	0.00%	0	0.00%
	Foreign Residents	124,087	1.66%	448,873	6.01%	-324,787	-4.35%
	QFIs	0	0.00%	0	0.00%	0	0.00%
	Total Foreign Investors	124,087	1.66%	448,873	6.01%	-324,787	-4.35%
Grand Total		7,474,492	100.00%	7,474,492	100.00%		

Nomu-Parallel Market Value Traded Breakdown - By Investor Classification						
Investor Classification	Buy		Sell		Net Value Traded	
	SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Institutional	3,635,797	48.64%	3,271,316	43.77%	364,481	4.88%
Non-Institutional	3,838,695	51.36%	4,203,176	56.23%	-364,481	-4.88%
Grand Total	7,474,492	100.00%	7,474,492	100.00%		

Institutional:

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.

Weekly Report – Nomu-Parallel Market

Ownership Value (by Nationality, Investor Type, & Classification)

Nomu-Parallel Market Ownership Breakdown - By Nationality and Investor Type							
Nationality	Investor Type	As of 12 October 2017		As of 19 October 2017		Weekly Change	
		Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
Saudi	Individuals	1,926,701,340	88.90%	1,907,225,369	89.01%	-19,475,971	0.10%
	Corporates	112,786,008	5.20%	107,968,642	5.04%	-4,817,365	-0.17%
	Institution	109,570,275	5.06%	109,959,449	5.13%	389,174	0.08%
	Total Saudi Investors	2,149,057,622	99.16%	2,125,153,460	99.18%	-23,904,162	0.01%
GCC	Individuals	28,341	0.00%	28,139	0.00%	-203	0.00%
	Institutions	11,643,290	0.54%	11,542,044	0.54%	-101,246	0.00%
	Total GCC Investors	11,671,631	0.54%	11,570,183	0.54%	-101,449	0.00%
Foreign	SWAP Holders	191,772	0.01%	186,618	0.01%	-5,154	0.00%
	Foreign Residents	3,669,619	0.17%	3,295,246	0.15%	-374,373	-0.02%
	QFIs	2,585,505	0.12%	2,568,978	0.12%	-16,527	0.00%
	Total Foreign Investors	6,446,896	0.30%	6,050,843	0.28%	-396,054	-0.02%
Grand Total		2,167,176,150	100.00%	2,142,774,485	100.00%	-24,401,665	

Nomu-Parallel Market Ownership Breakdown - By Investor Classification							
Investor Classification	As of 12 October 2017		As of 19 October 2017		Weekly Change		
	Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)	
Institutional	236,776,850	10.93%	232,225,732	10.84%	-4,551,118	-0.09%	
Non-Institutional	1,930,399,300	89.07%	1,910,548,753	89.16%	-19,850,547	0.09%	
Grand Total	2,167,176,150	100.00%	2,142,774,485	100.00%	-24,401,665		

Institutional:

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.

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Saudi Stock Exchange

تداول
Tadawul

Thank you

