

# Weekly Stock Market Ownership and Trading Activity Report

Market Reports

Week Ending 24 May 2018



# Definitions



# Weekly Stock Market Ownership and Trading Activity Report

## Definitions

Nationality	Investor Type	Description
Saudi	<b>Individuals*</b>	<b>Saudi Individuals</b> have been classified under 4 categories as defined below:
	- Retail	<b>Retail</b> investors are Saudi individuals , excluding <b>IPIs</b> (see below) and <b>HNWIs</b> .
	- High Net Worth Investors ( <b>HNWIs</b> )	<b>HNWIs</b> are Saudi individuals who have had an average portfolio size of SAR 1m (and above) for the preceding 12 months, excluding <b>IPIs</b> (see below).
	- Individual Professional Investors ( <b>IPIs</b> )	<b>IPIs</b> are Saudi individuals who have had an average portfolio size of SAR 50m (and above) for the preceding 12 months and a portfolio turnover ratio of not more than 4 times annually.
	- Individual DPMs	Saudi individual investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	<b>Institutions</b>	<b>Saudi Institutions</b> have been classified under 4 categories as defined below:
	- Corporates	Saudi company with a commercial registration permitting its investment in the stock market .
	- Mutual Funds	A mutual fund managed by an Authorized Person and licensed by the CMA.
- Government Related Entities ( <b>GREs</b> )	A Government entity or a supranational authority recognized by the CMA.	
- Institutional DPMs	Saudi institutional investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).	
GCC	<b>Individuals*</b>	<b>GCC natural person</b>
	Institutions	GCC legal person with a commercial registration certificate (corporate or mutual fund), in addition to GCC government entities.
	<b>GCC DPMs</b>	<b>GCC investment account (institutional or individual) in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).</b>
Foreign	SWAP Holders	Authorized Person with the permission to enter into SWAP agreements to transfer the economic benefits of its ownership of shares in Saudi companies listed on the Saudi Stock Exchange to its non-resident foreign clients.
	<b>Foreign Residents*</b>	<b>Foreign investors domiciled in the Kingdom with a valid residency permit (Iqama) (excluding GCC nationals).</b>
	QFIs	Qualified Foreign Institutional investors registered with the CMA in accordance with the QFI Rules, to invest in shares listed on the Saudi Stock Exchange.
	<b>Foreign DPMs</b>	<b>Foreign resident investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).</b>
	Strategic Partners	Foreign investors considered founders and partners of Saudi listed companies.

\*Nomu-Parallel  
Market Individual  
Investors

Individuals who fulfill any of the following:

- Conducted transactions not less than SAR 40m, and not less than 10 transaction in each quarter during last 12 months.
- Have an average portfolio size of SAR 10m for the preceding 12 months
- Hold General Securities Qualification Certificate which is recognized by the Authority.

# Main Market - Value Traded & Ownership Breakdown



# Weekly Report - Main Market

## Value Traded (by Nationality and Investor Type)

### Main Market Value Traded Breakdown - By Nationality and Investor Type

Nationality	Investor Type	Buy		Sell		Net Value Traded		
		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)	
Saudi	<b>Individuals:</b>							
	Retail	5,540,713,196	38.18%	6,318,536,685	43.54%	-777,823,490	-5.36%	
	HNWIs	5,037,193,204	34.71%	5,153,273,289	35.51%	-116,080,085	-0.80%	
	IPIs	438,789,008	3.02%	649,669,488	4.48%	-210,880,481	-1.45%	
	Individual DPMs	135,958,240	0.94%	150,942,217	1.04%	-14,983,977	-0.10%	
	<b>Sub Total (Individuals)</b>	<b>11,152,653,647</b>	<b>76.85%</b>	<b>12,272,421,680</b>	<b>84.57%</b>	<b>-1,119,768,032</b>	<b>-7.72%</b>	
	<b>Institutions:</b>							
	Corporates	1,548,895,426	10.67%	573,899,886	3.95%	974,995,541	6.72%	
	Mutual Funds	594,140,622	4.09%	375,717,464	2.59%	218,423,158	1.51%	
	GRE's	0	0.00%	0	0.00%	0	0.00%	
	Institution DPMs	218,655,453	1.51%	161,126,526	1.11%	57,528,926	0.40%	
	<b>Sub Total (Institutions)</b>	<b>2,361,691,501</b>	<b>16.27%</b>	<b>1,110,743,876</b>	<b>7.65%</b>	<b>1,250,947,625</b>	<b>8.62%</b>	
<b>Total Saudi Investors</b>	<b>13,514,345,148</b>	<b>93.13%</b>	<b>13,383,165,556</b>	<b>92.22%</b>	<b>131,179,592</b>	<b>0.90%</b>		
GCC	Individuals	31,008,109	0.21%	84,524,911	0.58%	-53,516,802	-0.37%	
	Institutions	245,924,671	1.69%	193,124,532	1.33%	52,800,139	0.36%	
	GCC DPMs	2,364,090	0.02%	45,541	0.00%	2,318,549	0.02%	
	<b>Total GCC Investors</b>	<b>279,296,870</b>	<b>1.92%</b>	<b>277,694,984</b>	<b>1.91%</b>	<b>1,601,886</b>	<b>0.01%</b>	
Foreign	SWAP Holders	219,914,272	1.52%	382,496,948	2.64%	-162,582,676	-1.12%	
	Foreign Residents	141,396,963	0.97%	196,532,925	1.35%	-55,135,962	-0.38%	
	QFIs	356,559,087	2.46%	271,621,927	1.87%	84,937,160	0.59%	
	Foreign DPMs	0	0.00%	0	0.00%	0	0.00%	
	Strategic Partners	0	0.00%	0	0.00%	0	0.00%	
	<b>Total Foreign Investors</b>	<b>717,870,323</b>	<b>4.95%</b>	<b>850,651,801</b>	<b>5.86%</b>	<b>-132,781,478</b>	<b>-0.92%</b>	
<b>Grand Total</b>		<b>14,511,512,340</b>	<b>100.00%</b>	<b>14,511,512,340</b>	<b>100.00%</b>			

# Weekly Report - Main Market

## Value Traded (by Investor Classification)

Main Market Value Traded Breakdown - By Investor Classification						
Investor Classification	Buy		Sell		Net Value Traded	
	SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Institutional	3,761,200,868	25.92%	2,758,644,530	19.01%	1,002,556,338	6.91%
Non-Institutional	10,750,311,472	74.08%	11,752,867,810	80.99%	-1,002,556,338	-6.91%
<b>Grand Total</b>	<b>14,511,512,340</b>	<b>100.00%</b>	<b>14,511,512,340</b>	<b>100.00%</b>		

## Definitions

### **Institutional:**

Refers to investors with institutional behavior including GREs, Saudi Corporates, Saudi Mutual Funds, Saudi and Non-Saudi DPMs, Saudi Individual Professional Investors (IPI), SWAP Holders, Foreign Strategic Partners and Qualified Foreign Investors (QFI).

### **Non Institutional:**

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.

# Weekly Report - Main Market

## Ownership Value (by Nationality and Investor Type)

### Main Market Ownership Breakdown - By Nationality and Investor Type

Nationality	Investor Type	As of 17 May 2018		As of 24 May 2018		Weekly Change	
		Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
Saudi	<b>Individuals:</b>						
	Retail	78,822,170,073	4.14%	80,056,337,582	4.18%	1,234,167,509	0.04%
	HNWIs	149,279,889,958	7.84%	150,106,241,934	7.84%	826,351,976	0.00%
	IPIs	264,369,643,236	13.89%	262,692,515,929	13.72%	-1,677,127,307	-0.17%
	Individual DPMs	5,113,319,086	0.27%	5,143,405,886	0.27%	30,086,800	0.00%
	<b>Sub Total (Individuals)</b>	<b>497,585,022,354</b>	<b>26.13%</b>	<b>497,998,501,331</b>	<b>26.01%</b>	<b>413,478,977</b>	<b>-0.13%</b>
	<b>Institutions:</b>						
	Corporates	298,113,724,002	15.66%	296,248,048,769	15.47%	-1,865,675,233	-0.19%
	Mutual Funds	175,483,242,533	9.22%	177,153,544,157	9.25%	1,670,301,624	0.03%
	GRE's	738,381,544,242	38.78%	749,576,404,906	39.14%	11,194,860,663	0.36%
	Institution DPMs	58,672,461,700	3.08%	58,901,554,052	3.08%	229,092,351	-0.01%
	<b>Sub Total (Institutions)</b>	<b>1,270,650,972,478</b>	<b>66.74%</b>	<b>1,281,879,551,884</b>	<b>66.94%</b>	<b>11,228,579,406</b>	<b>0.20%</b>
<b>Total Saudi Investors</b>	<b>1,768,235,994,832</b>	<b>92.87%</b>	<b>1,779,878,053,215</b>	<b>92.95%</b>	<b>11,642,058,383</b>	<b>0.07%</b>	
GCC	Individuals	3,187,988,216	0.17%	3,197,740,817	0.17%	9,752,602	0.00%
	Institutions	36,538,390,440	1.92%	36,889,214,307	1.93%	350,823,867	0.01%
	GCC DPMs	653,587,025	0.03%	658,477,879	0.03%	4,890,854	0.00%
	<b>Total GCC Investors</b>	<b>40,379,965,681</b>	<b>2.12%</b>	<b>40,745,433,004</b>	<b>2.13%</b>	<b>365,467,323</b>	<b>0.01%</b>
Foreign	SWAP Holders	15,788,532,901	0.83%	15,597,715,914	0.81%	-190,816,988	-0.01%
	Foreign Residents	4,185,684,843	0.22%	4,153,520,152	0.22%	-32,164,691	0.00%
	QFIs	17,816,860,366	0.94%	17,997,112,271	0.94%	180,251,905	0.00%
	Foreign DPMs	8,403,002	0.00%	8,530,374	0.00%	127,372	0.00%
	Strategic Partners	57,552,243,696	3.02%	56,595,289,421	2.96%	-956,954,276	-0.07%
	<b>Total Foreign Investors</b>	<b>95,351,724,809</b>	<b>5.01%</b>	<b>94,352,168,132</b>	<b>4.93%</b>	<b>-999,556,677</b>	<b>-0.08%</b>
<b>Grand Total</b>		<b>1,903,967,685,321</b>	<b>100.00%</b>	<b>1,914,975,654,350</b>	<b>100.00%</b>	<b>11,007,969,029</b>	

# Weekly Report - Main Market

## Ownership Value (by Investor Classification)

Main Market Ownership Breakdown - By Investor Classification						
Investor Classification	As of 17 May 2018		As of 24 May 2018		Weekly Change	
	Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
Institutional	1,668,491,952,231	87.63%	1,677,461,813,865	87.60%	8,969,861,633	-0.04%
Non-Institutional	235,475,733,090	12.37%	237,513,840,485	12.40%	2,038,107,395	0.04%
<b>Grand Total</b>	<b>1,903,967,685,321</b>	<b>100.00%</b>	<b>1,914,975,654,350</b>	<b>100.00%</b>	<b>11,007,969,029</b>	

## Definitions

### **Institutional:**

Refers to investors with institutional behavior including GREs, Saudi Corporates, Saudi Mutual Funds, Saudi and Non-Saudi DPMs, Saudi Individual Professional Investors (IPI), SWAP Holders, Foreign Strategic Partners and Qualified Foreign Investors (QFI).

### **Non Institutional:**

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.



# Nomu-Parallel Market - Value Traded & Ownership Breakdown



# Weekly Report – Nomu-Parallel Market

## Value Traded (by Nationality, Investor Type, & Classification)

Nomu-Parallel Market Value Traded Breakdown - By Nationality and Investor Type							
Nationality	Investor Type	Buy		Sell		Net Value Traded	
		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Saudi	Individuals	13,250,151	96.55%	6,668,419	48.59%	6,581,733	47.96%
	Corporates	67,360	0.49%	6,972,561	50.80%	-6,905,202	-50.31%
	Institution	343,240	2.50%	16,322	0.12%	326,918	2.38%
	<b>Total Saudi Investors</b>	<b>13,660,751</b>	<b>99.54%</b>	<b>13,657,302</b>	<b>99.51%</b>	<b>3,449</b>	<b>0.03%</b>
GCC	Individuals	0	0.00%	0	0.00%	0	0.00%
	Institutions	0	0.00%	0	0.00%	0	0.00%
	<b>Total GCC Investors</b>	<b>0</b>	<b>0.00%</b>	<b>0</b>	<b>0.00%</b>	<b>0</b>	<b>0.00%</b>
Foreign	SWAP Holders	0	0.00%	0	0.00%	0	0.00%
	Foreign Residents	63,531	0.46%	66,980	0.49%	-3,449	-0.03%
	QFIs	0	0.00%	0	0.00%	0	0.00%
	<b>Total Foreign Investors</b>	<b>63,531</b>	<b>0.46%</b>	<b>66,980</b>	<b>0.49%</b>	<b>-3,449</b>	<b>-0.03%</b>
<b>Grand Total</b>		<b>13,724,282</b>	<b>100.00%</b>	<b>13,724,282</b>	<b>100.00%</b>		

Nomu-Parallel Market Value Traded Breakdown - By Investor Classification						
Investor Classification	Buy		Sell		Net Value Traded	
	SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Institutional	410,600	2.99%	6,988,883	50.92%	-6,578,283	-47.93%
Non-Institutional	13,313,682	97.01%	6,735,399	49.08%	6,578,283	47.93%
<b>Grand Total</b>	<b>13,724,282</b>	<b>100.00%</b>	<b>13,724,282</b>	<b>100.00%</b>		

### Institutional:

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

### Non Institutional:

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.

## Ownership Value (by Nationality, Investor Type, & Classification)

Nomu-Parallel Market Ownership Breakdown - By Nationality and Investor Type							
Nationality	Investor Type	As of 17 May 2018		As of 24 May 2018		Weekly Change	
		Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
Saudi	Individuals	2,211,317,850	90.29%	2,197,140,219	90.44%	-14,177,631	0.15%
	Corporates	125,416,507	5.12%	121,572,470	5.00%	-3,844,037	-0.12%
	Institution	90,871,086	3.71%	90,550,304	3.73%	-320,783	0.02%
	<b>Total Saudi Investors</b>	<b>2,427,605,443</b>	<b>99.12%</b>	<b>2,409,262,993</b>	<b>99.17%</b>	<b>-18,342,450</b>	<b>0.05%</b>
GCC	Individuals	30,293	0.00%	27,023	0.00%	-3,270	0.00%
	Institutions	13,288,538	0.54%	12,149,520	0.50%	-1,139,018	-0.04%
	<b>Total GCC Investors</b>	<b>13,318,831</b>	<b>0.54%</b>	<b>12,176,543</b>	<b>0.50%</b>	<b>-1,142,288</b>	<b>-0.04%</b>
Foreign	SWAP Holders	848,002	0.03%	872,051	0.04%	24,049	0.00%
	Foreign Residents	2,466,739	0.10%	2,391,299	0.10%	-75,440	0.00%
	QFIs	4,945,025	0.20%	4,714,139	0.19%	-230,886	-0.01%
	<b>Total Foreign Investors</b>	<b>8,259,767</b>	<b>0.34%</b>	<b>7,977,489</b>	<b>0.33%</b>	<b>-282,277</b>	<b>-0.01%</b>
<b>Grand Total</b>		<b>2,449,184,040</b>	<b>100.00%</b>	<b>2,429,417,025</b>	<b>100.00%</b>	<b>-19,767,015</b>	

Nomu-Parallel Market Ownership Breakdown - By Investor Classification						
Investor Classification	As of 17 May 2018		As of 24 May 2018		Weekly Change	
	Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
Institutional	235,369,158	9.61%	229,858,484	9.46%	-5,510,674	-0.15%
Non-Institutional	2,213,814,882	90.39%	2,199,558,541	90.54%	-14,256,341	0.15%
<b>Grand Total</b>	<b>2,449,184,040</b>	<b>100.00%</b>	<b>2,429,417,025</b>	<b>100.00%</b>	<b>-19,767,015</b>	

### Institutional:

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

### Non Institutional:

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.

السوق المالية السعودية  
Saudi Stock Exchange

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Thank you

