

Weekly Stock Market Ownership and Trading Activity Report

Market Reports

Week Ending 24 December 2020



Definitions



Weekly Stock Market Ownership and Trading Activity Report

Definitions

Nationality	Investor Type	Description
Saudi	Individuals*	Saudi Individuals have been classified under 4 categories as defined below:
	- Retail	Retail investors are Saudi individuals , excluding IPIs (see below) and HNWIs.
	- High Net Worth Investors (HNWIs)	HNWIs are Saudi individuals who have had an average portfolio size of SAR 1m (and above) for the preceding 12 months, excluding IPIs (see below).
	- Individual Professional Investors (IPIs)	IPIs are Saudi individuals who have had an average portfolio size of SAR 50m (and above) for the preceding 12 months and a portfolio turnover ratio of not more than 4 times annually.
	- Individual DPMs	Saudi individual investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	Institutions	Saudi Institutions have been classified under 4 categories as defined below:
	- Corporates	Saudi company with a commercial registration permitting its investment in the stock market .
	- Mutual Funds	A mutual fund managed by an Authorized Person and licensed by the CMA.
	- Government Related Entities (GREs)	A Government entity or a supranational authority recognized by the CMA.
- Institutional DPMs	Saudi institutional investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).	
GCC	Individuals*	GCC natural person
	Institutions	GCC legal person with a commercial registration certificate (corporate or mutual fund), in addition to GCC government entities.
	GCC DPMs	GCC investment account (institutional or individual) in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
Foreign	SWAP Holders	Authorized Person with the permission to enter into SWAP agreements to transfer the economic benefits of its ownership of shares in Saudi companies listed on the Saudi Stock Exchange to its non-resident foreign clients.
	Foreign Residents & Others	Investors with a valid residency permit (Iqama) and others (excluding SWAP, QFIs, DPM & Strategic Investors).
	QFIs	Qualified Foreign Institutional investors registered with the CMA in accordance with the QFI Rules, to invest in shares listed on the Saudi Stock Exchange.
	Foreign DPMs	Foreign resident investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	Strategic Investors	A foreign legal entity that aims to own a strategic shareholding in listed companies.

*Nomu-Parallel Market Individual Investors

Individuals who fulfill any of the following:

- Conducted transactions not less than SAR 40m, and not less than 10 transaction in each quarter during last 12 months.
- Have an average portfolio size of SAR 5m for the preceding 12 months
- Worked for at least 3 years in financial sectors.
- Hold General Securities Qualification Certificate which is recognized by the Authority.
- Holds professional certificate that is related to securities business and accredited by an internationally recognized entity

Main Market - Value Traded & Ownership Breakdown



Weekly Report - Main Market

Value Traded (by Nationality and Investor Type)

Main Market Value Traded Breakdown - By Nationality and Investor Type

Nationality	Investor Type	Buy		Sell		Net Value Traded	
		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Saudi	Individuals:						
	Retail	37,608,401,122	65.82%	38,817,485,910	67.94%	-1,209,084,788	-2.12%
	HNWIs	13,408,421,953	23.47%	13,780,773,705	24.12%	-372,351,752	-0.65%
	IPIs	712,962,508	1.25%	560,915,434	0.98%	152,047,074	0.27%
	Individual DPMs	393,418,508	0.69%	339,351,060	0.59%	54,067,448	0.09%
	Sub Total (Individuals)	52,123,204,091	91.22%	53,498,526,109	93.63%	-1,375,322,018	-2.41%
	Institutions:						
	Corporates	953,820,719	1.67%	343,168,943	0.60%	610,651,775	1.07%
	Mutual Funds	824,667,238	1.44%	610,305,552	1.07%	214,361,686	0.38%
	GRE's	114,142,649	0.20%	87,258,507	0.15%	26,884,142	0.05%
	Institution DPMs	284,808,283	0.50%	204,302,716	0.36%	80,505,567	0.14%
	Sub Total (Institutions)	2,177,438,889	3.81%	1,245,035,718	2.18%	932,403,171	1.63%
	Total Saudi Investors	54,300,642,980	95.03%	54,743,561,827	95.81%	-442,918,847	-0.78%
GCC	Individuals	122,497,862	0.21%	142,992,517	0.25%	-20,494,654	-0.04%
	Institutions	188,952,851	0.33%	195,687,741	0.34%	-6,734,891	-0.01%
	GCC DPMs	2,084,285	0.00%	237,064	0.00%	1,847,222	0.00%
	Total GCC Investors	313,534,998	0.55%	338,917,322	0.59%	-25,382,324	-0.04%
Foreign	SWAP Holders	3,836,697	0.01%	91,978,268	0.16%	-88,141,571	-0.15%
	Foreign Residents & Others	1,045,882,146	1.83%	1,070,646,935	1.87%	-24,764,789	-0.04%
	QFIs	1,469,255,725	2.57%	889,779,826	1.56%	579,475,900	1.01%
	Foreign DPMs	4,571,708	0.01%	2,840,077	0.00%	1,731,631	0.00%
	Strategic Investor	0	0.00%	0	0.00%	0	0.00%
	Total Foreign Investors	2,523,546,277	4.42%	2,055,245,106	3.60%	468,301,171	0.82%
Grand Total		57,137,724,255	100.00%	57,137,724,255	100.00%		

Weekly Report - Main Market

Value Traded (by Investor Classification)

Main Market Value Traded Breakdown - By Investor Classification						
Investor Classification	Buy		Sell		Net Value Traded	
	SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Institutional	4,952,521,171	8.67%	3,325,825,188	5.82%	1,626,695,983	2.85%
Non-Institutional	52,185,203,084	91.33%	53,811,899,067	94.18%	-1,626,695,983	-2.85%
Grand Total	57,137,724,255	100.00%	57,137,724,255	100.00%		

Definitions

Institutional:

Refers to investors with institutional behavior including GREs, Saudi Corporates, Saudi Mutual Funds, Saudi and Non-Saudi DPMs, Saudi Individual Professional Investors (IPI), SWAP Holders, Foreign Strategic Partners and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.

Weekly Report - Main Market

Ownership Value (by Nationality and Investor Type)

Main Market Ownership Breakdown - By Nationality and Investor Type

Nationality	Investor Type	As of 17 December 2020			As of 24 December 2020			Weekly Change		
		Holding Value (SAR)	Ownership		Holding Value (SAR)	Ownership		Δ Holding Value (SAR)	Ownership	
			Issued (%)	Free Float (%)		Issued (%)	Free Float (%)		Δ Issued (%)	Δ Free Float (%)
Saudi	Individuals:									
	Retail	127,913,069,485	1.40%	9.83%	126,354,523,006	1.39%	9.73%	-1,558,546,479	-0.01%	-0.11%
	HNWIs	186,601,911,514	2.04%	14.13%	185,417,563,046	2.04%	14.05%	-1,184,348,468	0.00%	-0.07%
	IPIs	300,012,064,105	3.28%	16.69%	298,599,184,375	3.28%	16.69%	-1,412,879,730	0.00%	0.00%
	Individual DPMs	6,316,980,843	0.07%	0.48%	6,375,710,978	0.07%	0.49%	58,730,135	0.00%	0.01%
	Sub Total (Individuals)	620,844,025,948	6.79%	41.12%	616,746,981,405	6.78%	40.96%	-4,097,044,543	-0.01%	-0.17%
	Institutions:									
	Corporates	632,155,287,584	6.91%	22.10%	631,302,657,875	6.94%	22.13%	-852,629,709	0.02%	0.03%
	Mutual Funds	209,715,374,350	2.29%	11.00%	210,354,624,312	2.31%	11.06%	639,249,962	0.02%	0.06%
	GRE's	7,375,156,964,503	80.67%	6.57%	7,336,366,701,867	80.61%	6.56%	-38,790,262,636	-0.05%	-0.01%
	Institution DPMs	51,977,418,428	0.57%	3.98%	52,320,721,918	0.57%	4.02%	343,303,490	0.01%	0.03%
Sub Total (Institutions)	8,269,005,044,865	90.44%	43.66%	8,230,344,705,972	90.44%	43.77%	-38,660,338,893	-0.01%	0.11%	
Total Saudi Investors	8,889,849,070,812	97.23%	84.78%	8,847,091,687,377	97.21%	84.72%	-42,757,383,435	-0.02%	-0.06%	
GCC	Individuals	2,598,108,743	0.03%	0.20%	2,585,514,520	0.03%	0.20%	-12,594,223	0.00%	0.00%
	Institutions	42,766,566,906	0.47%	2.29%	42,696,898,279	0.47%	2.29%	-69,668,627	0.00%	0.00%
	GCC DPMs	252,410,308	0.00%	0.02%	242,386,527	0.00%	0.02%	-10,023,781	0.00%	0.00%
	Total GCC Investors	45,617,085,957	0.50%	2.51%	45,524,799,327	0.50%	2.51%	-92,286,630	0.00%	0.00%
Foreign	SWAP Holders	2,029,998,063	0.02%	0.16%	1,971,393,814	0.02%	0.15%	-58,604,249	0.00%	0.00%
	Foreign Residents & Others	6,406,353,418	0.07%	0.36%	6,365,821,523	0.07%	0.35%	-40,531,895	0.00%	0.00%
	QFIs	158,632,514,536	1.74%	12.13%	159,399,263,284	1.75%	12.20%	766,748,749	0.02%	0.07%
	Foreign DPMs	811,551,949	0.01%	0.06%	766,337,608	0.01%	0.06%	-45,214,341	0.00%	0.00%
	Strategic Investors	39,356,470,582	0.43%	0.00%	39,564,580,526	0.43%	0.00%	208,109,945	0.00%	0.00%
Total Foreign Investors	207,236,888,547	2.27%	12.71%	208,067,396,756	2.29%	12.77%	830,508,209	0.02%	0.06%	
Grand Total		9,142,703,045,316	100.00%	100.00%	9,100,683,883,459	100.00%	100.00%	-42,019,161,857		

* Non deposited shares was not reflected on 24 December holding value (Paper Manufacturing Co . 2300 - Corporate Action -Right Issue)

Weekly Report - Main Market

Ownership Value (by Investor Classification)

Main Market Ownership Breakdown - By Investor Classification									
Investor Classification	As of 17 December 2020			As of 24 December 2020			Weekly Change		
	Holding Value (SAR)	Ownership		Holding Value (SAR)	Ownership		Δ Holding Value (SAR)	Ownership	
		Issued (%)	FreeFloat (%)		Issued (%)	FreeFloat (%)		Δ Issued (%)	Δ FreeFloat (%)
Institutional	8,820,887,951,858	96.46%	75.49%	8,781,663,995,481	96.48%	75.67%	-39,223,956,377	0.01%	0.18%
Non-Institutional	321,815,093,458	3.54%	24.51%	319,019,887,978	3.52%	24.33%	-2,795,205,480	-0.01%	-0.18%
Grand Total	9,142,703,045,316	100.00%	100.00%	9,100,683,883,459	100.00%	100.00%	-42,019,161,857		

Definitions

Institutional:

Refers to investors with institutional behavior including GREs, Saudi Corporates, Saudi Mutual Funds, Saudi and Non-Saudi DPMs, Saudi Individual Professional Investors (IPI), SWAP Holders, Foreign Strategic Partners and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.

Nomu-Parallel Market - Value Traded & Ownership Breakdown



Weekly Report – Nomu-Parallel Market

Value Traded (by Nationality, Investor Type, & Classification)

Nomu-Parallel Market Value Traded Breakdown - By Nationality and Investor Type

Nationality	Investor Type	Buy		Sell		Net Value Traded	
		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Saudi	Individuals	193,226,153	98.43%	191,308,230	97.46%	1,917,923	0.98%
	Corporates	397,604	0.20%	1,811,192	0.92%	-1,413,588	-0.72%
	Institution	49,150	0.03%	47,500	0.02%	1,650	0.00%
	Total Saudi Investors	193,672,906	98.66%	193,166,921	98.40%	505,985	0.26%
GCC	Individuals	0	0.00%	17,295	0.01%	-17,295	-0.01%
	Institutions	0	0.00%	0	0.00%	0	0.00%
	Total GCC Investors	0	0.00%	17,295	0.01%	-17,295	-0.01%
Foreign	SWAP Holders	0	0.00%	0	0.00%	0	0.00%
	Foreign Residents	1,557,629	0.79%	2,126,801	1.08%	-569,172	-0.29%
	QFIs	1,070,109	0.55%	989,627	0.50%	80,482	0.04%
	Total Foreign Investors	2,627,737	1.34%	3,116,427	1.59%	-488,690	-0.25%
Grand Total		196,300,643	100.00%	196,300,643	100.00%		

Nomu-Parallel Market Value Traded Breakdown - By Investor Classification

Investor Classification	Buy		Sell		Net Value Traded	
	SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Institutional	1,516,862	0.77%	2,848,318	1.45%	-1,331,456	-0.68%
Non-Institutional	194,783,781	99.23%	193,452,325	98.55%	1,331,456	0.68%
Grand Total	196,300,643	100.00%	196,300,643	100.00%		

Institutional:

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.

Weekly Report – Nomu-Parallel Market

Ownership Value (by Nationality, Investor Type, & Classification)

Nomu-Parallel Market Ownership Breakdown - By Nationality and Investor Type										
Nationality	Investor Type	As of 17 December 2020			As of 24 December 2020			Weekly Change		
		Holding Value (SAR)	Ownership (%)		Holding Value (SAR)	Ownership (%)		Δ Holding Value (SAR)	Δ Ownership (%)	
			Issued (%)	FreeFloat (%)		Issued (%)	FreeFloat (%)		Δ Issued (%)	Δ FreeFloat (%)
Saudi	Individuals	5,891,433,636	62.04%	66.44%	6,061,384,323	60.22%	66.65%	169,950,686	-1.83%	0.21%
	Corporates	3,481,718,622	36.67%	29.87%	3,880,811,413	38.55%	29.72%	399,092,791	1.89%	-0.15%
	Institution	13,825,453	0.15%	0.28%	14,280,300	0.14%	0.28%	454,847	0.00%	0.00%
	Total Saudi Investors	9,386,977,711	98.85%	96.59%	9,956,476,036	98.91%	96.66%	569,498,325	0.06%	0.06%
GCC	Individuals	3,394,558	0.04%	0.11%	3,428,429	0.03%	0.10%	33,872	0.00%	0.00%
	Institutions	97,945,939	1.03%	3.06%	99,405,398	0.99%	3.03%	1,459,459	-0.04%	-0.03%
	Total GCC Investors	101,340,496	1.07%	3.17%	102,833,827	1.02%	3.13%	1,493,331	-0.05%	-0.03%
Foreign	SWAP Holders	0	0.00%	0.00%	0	0.00%	0.00%	0	0.00%	0.00%
	Foreign Residents	7,665,367	0.08%	0.24%	6,870,617	0.07%	0.21%	-794,750	-0.01%	-0.03%
	QFIs	16,426	0.00%	0.00%	19,520	0.00%	0.00%	3,094	0.00%	0.00%
	Total Foreign Investors	7,681,793	0.08%	0.24%	6,890,137	0.07%	0.21%	-791,656	-0.01%	-0.03%
Grand Total		9,496,000,000	100.00%	100.00%	10,066,200,000	100.00%	100.00%	570,200,000		

Nomu-Parallel Market Ownership Breakdown - By Investor Classification									
Investor Classification	As of 17 December 2020			As of 24 December 2020			Weekly Change		
	Holding Value (SAR)	Ownership (%)		Holding Value (SAR)	Ownership (%)		Δ Holding Value (SAR)	Ownership	
		Issued (%)	FreeFloat (%)		Issued (%)	FreeFloat (%)		Δ Issued (%)	Δ FreeFloat (%)
Institutional	3,593,506,439	37.84%	33.21%	3,994,516,631	39.68%	33.03%	401,010,192	1.84%	-0.18%
Non-Institutional	5,902,493,561	62.16%	66.79%	6,071,683,369	60.32%	66.97%	169,189,808	-1.84%	0.18%
Grand Total	9,496,000,000	100%	100%	10,066,200,000	100%	100%	570,200,000		

Institutional:

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.

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Saudi Stock Exchange

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Thank you

