

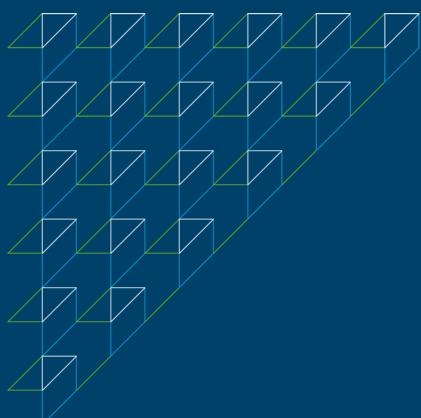
# Weekly Stock Market Ownership and Trading Activity Report

**Market Reports** 

Week Ending 06 December 2018



# Definitions



# Weekly Stock Market Ownership and Trading Activity Report



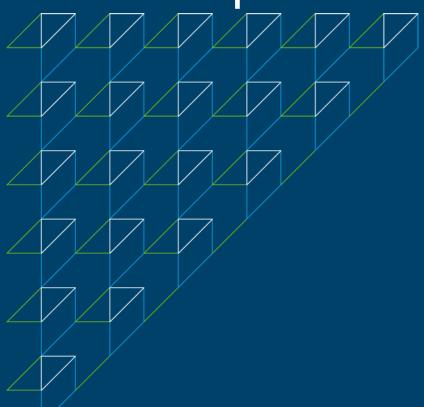
#### **Definitions**

Nationality	Investor Type	Description					
	Individuals*	Saudi Individuals have been classified under 4 categories as defined below:					
	- Retail	Retail investors are Saudi individuals , excluding IPIs (see below) and HNWIs.					
	- High Net Worth Investors (HNWIs)	HNWIs are Saudi individuals who have had an average portfolio size of SAR 1m (and above) for the preceding 12 months, excluding IPIs (see below).					
Saudi	- Individual Professional Investors (IPIs)	IPIs are Saudi individuals who have had an average portfolio size of SAR 50m (and above) for the preceding 12 months and a portfolio turnover ratio of not more than 4 times annually.					
	- Individual DPMs	Saudi individual investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).					
	Institutions	Saudi Institutions have been classified under 4 categories as defined below:					
	- Corporates	Saudi company with a commercial registration permitting its investment in the stock market .					
	- Mutual Funds	A mutual fund managed by an Authorized Person and licensed by the CMA.					
	- Government Related Entities (GREs)	A Government entity or a supranational authority recognized by the CMA.					
	- Institutional DPMs	Saudi institutional investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).					
	Individuals*	GCC natural person					
GCC	Institutions	GCC legal person with a commercial registration certificate (corporate or mutual fund), in addition to GCC government entities.					
	GCC DPMs	GCC investment account (institutional or individual) in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).					
	SWAP Holders	Authorized Person with the permission to enter into SWAP agreements to transfer the economic benefits of its ownership of shares in Saudi companies listed on the Saudi Stock Exchange to its non-resident foreign clients.					
	Foreign Residents*	Foreign investors domiciled in the Kingdom with a valid residency permit (Iqama) (excluding GCC nationals).					
Foreign	QFIs	Qualified Foreign Institutional investors registered with the CMA in accordance with the QFI Rules, to invest in shares listed on the Saudi Stock Exchange.					
	Foreign DPMs	Foreign resident investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).					
	Strategic Partners	Foreign investors considered founders and partners of Saudi listed companies.					

\*Nomu-Parallel Market Individual Investors Individuals who fulfill any of the following:

- Conducted transactions not less than SAR 40m, and not less than 10 transaction in each quarter during last 12 months.
- Have an average portfolio size of SAR 5m for the preceding 12 months
- Worked for at least 3 years in financial sectors.
- Hold General Securities Qualification Certificate which is recognized by the Authority.
- Holds professional certificate that is related to securities business and accredited by an internationally recognized entity

# Main Market - Value Traded & Ownership Breakdown



#### تــداوك Tadawul

# Value Traded (by Nationality and Investor Type)

#### Main Market Value Traded Breakdown - By Nationality and Investor Type Buy Sell **Net Value Traded Nationality Investor Type** Difference SAR SAR SAR % of Total Sells % of Total Buys (Buy - Sell) (% Buy - % Sell) Individuals: Retail 7,646,996,436 42.76% 9,228,271,156 51.60% -1,581,274,720 -8.84% **HNWIs** 30.35% 5,482,742,274 30.66% -54,541,228 -0.30% 5,428,201,046 **IPIs** 465.329.909 2.60% 514.343.891 2.88% -49.013.982 -0.27% Individual DPMs 193.081.504 1.08% 220.993.560 1.24% -27.912.056 -0.16% Sub Total (Individuals) 13,733,608,896 76.79% 15,446,350,881 86.37% -1,712,741,985 -9.58% Institutions: Saudi 10.64% 3.76% 1.231.601.112 6.89% Corporates 1.903.535.476 671.934.364 Mutual Funds 300.800.709 1.68% 311.034.460 1.74% -10.233.751 -0.06% GRE's 0 0.00% 1,115,000 0.01% -1,115,000 -0.01% Institution DPMs 541.538.103 3.03% 397.534.666 2.22% 144.003.436 0.81% Sub Total (Institutions) 2,745,874,288 15.35% 1,381,618,491 7.73% 1,364,255,797 7.63% Total Saudi Investors 16,479,483,184 92.14% 16,827,969,372 94.09% -348,486,188 -1.95% Individuals 40.750.909 0.23% 70.993.336 0.40% -30.242.427 -0.17% Institutions 287.284.370 1.61% 244.045.836 1.36% 43.238.534 0.24% **GCC** GCC DPMs 0.02% 0.01% 2,115,205 0.01% 4,251,326 2,136,121 Total GCC Investors 332.286.605 1.86% 317,175,293 1.77% 15.111.312 0.08% **SWAP Holders** 349,023,602 1.95% 150,611,605 0.84% 198,411,997 1.11% Foreign Residents 224.953.210 1.26% 255.975.244 1.43% -31.022.034 -0.17% **QFIs** 498,562,128 2.79% 332,736,275 1.86% 165,825,853 0.93% **Foreign** Foreign DPMs 159.060 0.00% 0 0.00% 159.060 0.00% 0 0 Strategic Partners 0 0.00% 0.00% 0.00% Total Foreign Investors 1,072,698,000 6.00% 739,323,124 4.13% 333,374,876 1.86% **Grand Total** 17,884,467,789 100.00% 17,884,467,789 100.00%



Value Traded (by Investor Classification)

Main Market Value Traded Breakdown - By Investor Classification										
	Buy		Sell		Net Value Traded					
Investor Classification	SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)				
Institutional	4,543,566,187	25.41%	2,846,485,780	15.92%	1,697,080,408	9.49%				
Non-Institutional	13,340,901,602	74.59%	15,037,982,010	84.08%	-1,697,080,408	-9.49%				
Grand Total	17,884,467,789	100.00%	17,884,467,789	100.00%						

#### **Definitions**

#### Institutional:

Refers to investors with institutional behavior including GREs, Saudi Corporates, Saudi Mutual Funds, Saudi and Non-Saudi DPMs, Saudi Individual Professional Investors (IPI), SWAP Holders, Foreign Strategic Partners and Qualified Foreign Investors (QFI).

#### Non Institutional:

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.

#### تــداوك Tadawul

# Ownership Value (by Nationality and Investor Type)

#### Main Market Ownership Breakdown - By Nationality and Investor Type **Weekly Change** As of 29 November 2018 As of 6 December 2018 **Nationality** Investor Type Δ Ownership **Holding Value** Ownership **Holding Value** Ownership Δ Holding Value (%) (SAR) (%) (SAR) (SAR) (%) Individuals: Retail 73,922,236,065 4.06% 73,045,654,371 3.92% -876,581,694 -0.13% **HNWIs** 142,030,427,556 7.79% 145,347,720,158 7.80% 3,317,292,602 0.01% **IPIs** 247.837.505.897 13.60% 253.168.407.721 13.59% 5.330.901.824 -0.01% Individual DPMs 4.997.020.414 0.27% 5.025.146.298 0.27% 28.125.883 0.00% Sub Total (Individuals) 468,787,189,933 25.73% 476,586,928,548 25.59% 7,799,738,615 -0.13% Institutions: Saudi 3.947.302.798 Corporates 283.899.426.933 15.58% 287.846.729.731 15.46% -0.12% Mutual Funds 88.344.811.234 4.85% 91.755.260.843 4.93% 3.410.449.609 0.08% GRE's 730,934,622,691 40.11% 40.25% 18,720,723,983 0.14% 749,655,346,674 Institution DPMs 128.718.532.498 7.06% 131.986.116.041 7.09% 3.267.583.543 0.02% Sub Total (Institutions) 1.231.897.393.356 67.60% 1,261,243,453,289 67.73% 29.346.059.933 0.12% Total Saudi Investors 1,700,684,583,289 93.33% 1,737,830,381,837 93.32% 37,145,798,548 -0.01% Individuals 2.816.625.718 0.15% 2.827.114.954 0.15% 10.489.236 0.00% Institutions 32.850.166.491 1.80% 33.590.555.082 1.80% 740.388.590 0.00% **GCC** GCC DPMs 0.04% 0.05% 22,107,043 0.00% 817,754,689 839,861,732 Total GCC Investors 36.484.546.898 2.00% 37.257.531.767 2.00% 772.984.869 0.00% **SWAP Holders** 9,105,637,846 0.50% 9,515,546,558 0.51% 409,908,711 0.01% Foreign Residents 3.251.249.649 0.18% 3.301.468.812 0.18% 50.219.163 0.00% **QFIs** 12,864,465,981 0.71% 13,345,648,289 0.72% 481,182,308 0.01% **Foreign** Foreign DPMs 3.859.301.545 0.21% 3.971.750.908 0.21% 112.449.362 0.00% 3.07% Strategic Partners 55.961.283.548 57.066.183.828 3.06% 1,104,900,281 -0.01% Total Foreign Investors 85,041,938,569 4.67% 87,200,598,394 4.68% 2,158,659,825 0.02% **Grand Total** 1,822,211,068,756 100.00% 1,862,288,511,998 100.00% 40,077,443,242



#### Ownership Value (by Investor Classification)

Main Market Ownership Breakdown - By Investor Classification										
	As of 29 November 2018		As of 6 December 2018		Weekly Change					
Investor Classification	Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Ī	∆ Holding Value (SAR)	∆ Ownership (%)			
Institutional	1,600,190,529,768	87.82%	1,637,766,553,704	87.94%		37,576,023,936	0.13%			
Non-Institutional	222,020,538,988	12.18%	224,521,958,294	12.06%		2,501,419,307	-0.13%			
Grand Total	1,822,211,068,756	100.00%	1,862,288,511,998	100.00%		40,077,443,242				

#### **Definitions**

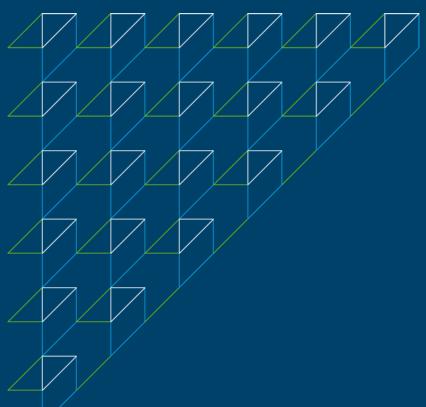
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#### Non Institutional:

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.

# Nomu-Parallel Market - Value Traded & Ownership Breakdown



# Weekly Report – Nomu-Parallel Market



Difference

(% Buy - % Sell)

-3.71%

3.71%

SAR

(Buy - Sell)

-348,215

348,215

# Value Traded (by Nationality, Investor Type, & Classification)

Nationality		Buy		Sell		Net Value Traded	
	Investor Type	SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell
	Individuals	8,864,709	94.44%	8,481,299	90.36%	383,410	4.08%
01	Corporates	363,096	3.87%	22,194	0.24%	340,901	3.63%
Saudi	Institution	0	0.00%	88,538	0.94%	-88,538	-0.94%
	Total Saudi Investors	9,227,804	98.31%	8,592,032	91.54%	635,773	6.77%
	Individuals	0	0.00%	0	0.00%	0	0.00%
GCC	Institutions	0	0.00%	677,640	7.22%	-677,640	-7.22%
	Total GCC Investors	0	0.00%	677,640	7.22%	-677,640	-7.22%
	SWAP Holders	0	0.00%	0	0.00%	0	0.00%
Fausieus	Foreign Residents	81,376	0.87%	116,571	1.24%	-35,194	-0.37%
Foreign	QFIs	77,062	0.82%	0	0.00%	77,062	0.82%
	Total Foreign Investors	158,438	1.69%	116,571	1.24%	41,867	0.45%
Frand T	otal	9,386,242	100.00%	9,386,242	100.00%		

#### Institutional:

Institutional

Non-Institutional

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

4.69%

95.31%

100.00%

SAR

788,372

8,597,870

9,386,242

% of Total Sells

8.40%

91.60%

100.00%

% of Total Buys

#### Non Institutional:

**Investor Classification** 

**Grand Total** 

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.

SAR

440,157

8,946,085

9,386,242

# Weekly Report – Nomu-Parallel Market



### Ownership Value (by Nationality, Investor Type, & Classification)

Nationality	Investor Type	As of 29 November 2018		As of 06 December 2018		Weekly Change	
		Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
Saudi	Individuals	1,904,875,344	91.74%	1,943,676,688	91.73%	38,801,344	-0.01%
	Corporates	109,571,651	5.28%	113,371,780	5.35%	3,800,129	0.07%
	Institution	33,411,085	1.61%	33,448,377	1.58%	37,292	-0.03%
	Total Saudi Investors	2,047,858,081	98.63%	2,090,496,846	98.66%	42,638,765	0.04%
	Individuals	0	0.00%	0	0.00%	0	0.00%
GCC	Institutions	14,063,949	0.68%	13,676,306	0.65%	-387,644	-0.03%
	Total GCC Investors	14,063,949	0.68%	13,676,306	0.65%	-387,644	-0.03%
	SWAP Holders	169,970	0.01%	169,983	0.01%	13	0.00%
F	Foreign Residents	2,329,162	0.11%	2,338,156	0.11%	8,994	0.00%
Foreign	QFIs	11,940,038	0.58%	12,115,470	0.57%	175,432	0.00%
	Total Foreign Investors	14,439,170	0.70%	14,623,609	0.69%	184,439	-0.01%
Frand T	otal	2,076,361,200	100.00%	2,118,796,760	100.00%	42,435,560	

Nomu-Parallel Market Ownership Breakdown - By Investor Classification									
	As of 29 November 2018		As of 06 December 2018		Weekly Change				
Investor Classification	Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)			
Institutional	169,156,694	8.15%	172,781,916	8.15%	3,625,223	0.01%			
Non-Institutional	1,907,204,506	91.85%	1,946,014,844	91.85%	38,810,337	-0.01%			
Grand Total	2,076,361,200	100.00%	2,118,796,760	100.00%	42,435,560				

#### Institutional:

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

#### Non Institutional:

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.



