

# Weekly Stock Market Ownership and Trading Activity Report

Market Reports

Week Ending 31 October 2019



# Definitions



# Monthly Stock Market Ownership and Trading Activity Report

## Definitions

Nationality	Investor Type	Description
Saudi	<b>Individuals*</b>	Saudi Individuals have been classified under 4 categories as defined below:
	- Retail	Retail investors are Saudi individuals , excluding IPIs (see below) and HNWIs.
	- High Net Worth Investors (HNWIs)	HNWIs are Saudi individuals who have had an average portfolio size of SAR 1m (and above) for the preceding 12 months, excluding IPIs (see below).
	- Individual Professional Investors (IPIs)	IPIs are Saudi individuals who have had an average portfolio size of SAR 50m (and above) for the preceding 12 months and a portfolio turnover ratio of not more than 4 times annually.
	- Individual DPMs	Saudi individual investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	<b>Institutions</b>	Saudi Institutions have been classified under 4 categories as defined below:
	- Corporates	Saudi company with a commercial registration permitting its investment in the stock market .
	- Mutual Funds	A mutual fund managed by an Authorized Person and licensed by the CMA.
	- Government Related Entities (GREs)	A Government entity or a supranational authority recognized by the CMA.
- Institutional DPMs	Saudi institutional investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).	
GCC	<b>Individuals*</b>	GCC natural person
	<b>Institutions</b>	GCC legal person with a commercial registration certificate (corporate or mutual fund), in addition to GCC government entities.
	<b>GCC DPMs</b>	GCC investment account (institutional or individual) in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
Foreign	<b>SWAP Holders</b>	Authorized Person with the permission to enter into SWAP agreements to transfer the economic benefits of its ownership of shares in Saudi companies listed on the Saudi Stock Exchange to its non-resident foreign clients.
	<b>Foreign Residents &amp; Others</b>	Investors with a valid residency permit (Iqama) and others (excluding SWAP, QFIs, DPM & Strategic Investors).
	<b>QFIs</b>	Qualified Foreign Institutional investors registered with the CMA in accordance with the QFI Rules, to invest in shares listed on the Saudi Stock Exchange.
	<b>Foreign DPMs</b>	Foreign resident investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	<b>Strategic Investors</b>	A foreign legal entity that aims to own a strategic shareholding in listed companies.

\*Nomu-Parallel Market Individual Investors

Individuals who fulfill any of the following:

- Conducted transactions not less than SAR 40m, and not less than 10 transaction in each quarter during last 12 months.
- Have an average portfolio size of SAR 5m for the preceding 12 months
- Worked for at least 3 years in financial sectors.
- Hold General Securities Qualification Certificate which is recognized by the Authority.
- Holds professional certificate that is related to securities business and accredited by an internationally recognized entity

# Main Market - Value Traded & Ownership Breakdown



# Weekly Report - Main Market

## Value Traded (by Nationality and Investor Type)

Main Market Value Traded Breakdown - By Nationality and Investor Type							
Nationality	Investor Type	Buy		Sell		Net Value Traded	
		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Saudi	<b>Individuals:</b>						
	Retail	5,662,177,595	44.90%	5,593,191,555	44.36%	68,986,040	0.55%
	HNWIs	2,947,469,473	23.37%	3,134,693,304	24.86%	-187,223,831	-1.48%
	IPIs	355,954,063	2.82%	439,973,730	3.49%	-84,019,666	-0.67%
	Individual DPMs	186,168,701	1.48%	149,465,566	1.19%	36,703,135	0.29%
	<b>Sub Total (Individuals)</b>	<b>9,151,769,833</b>	<b>72.58%</b>	<b>9,317,324,155</b>	<b>73.89%</b>	<b>-165,554,321</b>	<b>-1.31%</b>
	<b>Institutions:</b>						
	Corporates	1,145,751,030	9.09%	1,141,832,080	9.05%	3,918,950	0.03%
	Mutual Funds	266,965,274	2.12%	496,248,699	3.94%	-229,283,424	-1.82%
	GRE's	63,264	0.00%	22,089,116	0.18%	-22,025,852	-0.17%
	Institution DPMs	64,392,712	0.51%	314,617,594	2.49%	-250,224,882	-1.98%
	<b>Sub Total (Institutions)</b>	<b>1,477,172,280</b>	<b>11.71%</b>	<b>1,974,787,489</b>	<b>15.66%</b>	<b>-497,615,208</b>	<b>-3.95%</b>
	<b>Total Saudi Investors</b>	<b>10,628,942,114</b>	<b>84.29%</b>	<b>11,292,111,643</b>	<b>89.55%</b>	<b>-663,169,530</b>	<b>-5.26%</b>
GCC	Individuals	20,794,365	0.16%	15,732,335	0.12%	5,062,030	0.04%
	Institutions	247,045,464	1.96%	278,800,231	2.21%	-31,754,767	-0.25%
	GCC DPMs	1,327,561	0.01%	1,025,463	0.01%	302,098	0.00%
	<b>Total GCC Investors</b>	<b>269,167,389</b>	<b>2.13%</b>	<b>295,558,029</b>	<b>2.34%</b>	<b>-26,390,640</b>	<b>-0.21%</b>
Foreign	SWAP Holders	8,621,507	0.07%	33,697,751	0.27%	-25,076,244	-0.20%
	Foreign Residents & Others	151,998,751	1.21%	168,742,931	1.34%	-16,744,180	-0.13%
	QFIs	1,550,894,187	12.30%	819,798,551	6.50%	731,095,636	5.80%
	Foreign DPMs	398,389	0.00%	113,431	0.00%	284,958	0.00%
	Strategic Investor	0	0.00%	0	0.00%	0	0.00%
	<b>Total Foreign Investors</b>	<b>1,711,912,835</b>	<b>13.58%</b>	<b>1,022,352,665</b>	<b>8.11%</b>	<b>689,560,169</b>	<b>5.47%</b>
<b>Grand Total</b>		<b>12,610,022,338</b>	<b>100.00%</b>	<b>12,610,022,338</b>	<b>100.00%</b>		

# Weekly Report - Main Market

## Value Traded (by Investor Classification)

Main Market Value Traded Breakdown - By Investor Classification						
Investor Classification	Buy		Sell		Net Value Traded	
	SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Institutional	3,827,582,153	30.35%	3,697,662,213	29.32%	129,919,941	1.03%
Non-Institutional	8,782,440,184	69.65%	8,912,360,125	70.68%	-129,919,941	-1.03%
<b>Grand Total</b>	<b>12,610,022,338</b>	<b>100.00%</b>	<b>12,610,022,338</b>	<b>100.00%</b>		

## Definitions

### **Institutional:**

Refers to investors with institutional behavior including GREs, Saudi Corporates, Saudi Mutual Funds, Saudi and Non-Saudi DPMs, Saudi Individual Professional Investors (IPI), SWAP Holders, Foreign Strategic Partners and Qualified Foreign Investors (QFI).

### **Non Institutional:**

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.

# Weekly Report - Main Market

## Ownership Value (by Nationality and Investor Type)

Main Market Ownership Breakdown - By Nationality and Investor Type							
Nationality	Investor Type	As of 24 October 2019		As of 31 October 2019		Weekly Change	
		Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
Saudi	<b>Individuals:</b>						
	Retail	71,542,106,792	3.85%	68,764,353,933	3.78%	-2,777,752,859	-0.07%
	HNWIs	143,852,014,209	7.74%	140,715,009,536	7.74%	-3,137,004,672	0.00%
	IPIs	259,772,364,647	13.98%	255,453,102,057	14.05%	-4,319,262,589	0.07%
	Individual DPMs	4,396,029,013	0.24%	4,352,254,135	0.24%	-43,774,879	0.00%
	<b>Sub Total (Individuals)</b>	<b>479,562,514,661</b>	<b>25.80%</b>	<b>469,284,719,661</b>	<b>25.80%</b>	<b>-10,277,795,000</b>	<b>0.00%</b>
	<b>Institutions:</b>						
	Corporates	330,774,609,366	17.80%	323,954,667,577	17.81%	-6,819,941,790	0.02%
	Mutual Funds	188,097,412,992	10.12%	185,315,023,900	10.19%	-2,782,389,092	0.07%
	GRE's	628,254,690,081	33.80%	611,827,949,789	33.64%	-16,426,740,292	-0.16%
	Institution DPMs	30,690,847,877	1.65%	29,986,510,532	1.65%	-704,337,345	0.00%
	<b>Sub Total (Institutions)</b>	<b>1,177,817,560,316</b>	<b>63.37%</b>	<b>1,151,084,151,797</b>	<b>63.29%</b>	<b>-26,733,408,518</b>	<b>-0.08%</b>
	<b>Total Saudi Investors</b>	<b>1,657,380,074,977</b>	<b>89.17%</b>	<b>1,620,368,871,459</b>	<b>89.09%</b>	<b>-37,011,203,518</b>	<b>-0.08%</b>
GCC	Individuals	2,295,162,413	0.12%	2,288,921,442	0.13%	-6,240,971	0.00%
	Institutions	32,093,436,164	1.73%	31,162,479,879	1.71%	-930,956,285	-0.01%
	GCC DPMs	564,481,341	0.03%	550,395,020	0.03%	-14,086,321	0.00%
	<b>Total GCC Investors</b>	<b>34,953,079,918</b>	<b>1.88%</b>	<b>34,001,796,341</b>	<b>1.87%</b>	<b>-951,283,577</b>	<b>-0.01%</b>
Foreign	SWAP Holders	3,091,508,308	0.17%	3,036,302,814	0.17%	-55,205,493	0.00%
	Foreign Residents & Others	15,864,023,088	0.85%	15,783,337,536	0.87%	-80,685,551	0.01%
	QFIs	106,623,747,261	5.74%	105,217,890,542	5.79%	-1,405,856,719	0.05%
	Foreign DPMs	16,548,708	0.00%	16,119,306	0.00%	-429,402	0.00%
	Strategic Investor	40,719,984,240	2.19%	40,341,270,636	2.22%	-378,713,604	0.03%
<b>Total Foreign Investors</b>	<b>166,315,811,605</b>	<b>8.95%</b>	<b>164,394,920,835</b>	<b>9.04%</b>	<b>-1,920,890,769</b>	<b>0.09%</b>	
<b>Grand Total</b>		<b>1,858,648,966,500</b>	<b>100.00%</b>	<b>1,818,765,588,635</b>	<b>100.00%</b>	<b>-39,883,377,865</b>	

# Weekly Report - Main Market

## Ownership Value (by Investor Classification)

Main Market Ownership Breakdown - By Investor Classification						
Investor Classification	As of 24 October 2019		As of 31 October 2019		Weekly Change	
	Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
Institutional	1,635,313,726,706	87.98%	1,601,414,226,695	88.05%	-33,899,500,011	0.07%
Non-Institutional	223,335,239,794	12.02%	217,351,361,941	11.95%	-5,983,877,853	-0.07%
<b>Grand Total</b>	<b>1,858,648,966,500</b>	<b>100.00%</b>	<b>1,818,765,588,635</b>	<b>100.00%</b>	<b>-39,883,377,865</b>	

## Definitions

### **Institutional:**

Refers to investors with institutional behavior including GREs, Saudi Corporates, Saudi Mutual Funds, Saudi and Non-Saudi DPMs, Saudi Individual Professional Investors (IPI), SWAP Holders, Foreign Strategic Partners and Qualified Foreign Investors (QFI).

### **Non Institutional:**

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.



# Nomu-Parallel Market - Value Traded & Ownership Breakdown



# Weekly Report – Nomu-Parallel Market

## Value Traded (by Nationality, Investor Type, & Classification)

### Nomu-Parallel Market Value Traded Breakdown - By Nationality and Investor Type

Nationality	Investor Type	Buy		Sell		Net Value Traded	
		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Saudi	Individuals	79,947,939	97.18%	65,499,567	79.62%	14,448,371	17.56%
	Corporates	694,420	0.84%	9,247,865	11.24%	-8,553,446	-10.40%
	Institution	182,620	0.22%	1,784,277	2.17%	-1,601,657	-1.95%
	<b>Total Saudi Investors</b>	<b>80,824,978</b>	<b>98.25%</b>	<b>76,531,710</b>	<b>93.03%</b>	<b>4,293,268</b>	<b>5.22%</b>
GCC	Individuals	0	0.00%	0	0.00%	0	0.00%
	Institutions	0	0.00%	1,480,876	1.80%	-1,480,876	-1.80%
	<b>Total GCC Investors</b>	<b>0</b>	<b>0.00%</b>	<b>1,480,876</b>	<b>1.80%</b>	<b>-1,480,876</b>	<b>-1.80%</b>
Foreign	SWAP Holders	0	0.00%	0	0.00%	0	0.00%
	Foreign Residents	1,440,869	1.75%	1,139,219	1.38%	301,650	0.37%
	QFIs	0	0.00%	3,114,043	3.79%	-3,114,043	-3.79%
	<b>Total Foreign Investors</b>	<b>1,440,869</b>	<b>1.75%</b>	<b>4,253,262</b>	<b>5.17%</b>	<b>-2,812,392</b>	<b>-3.42%</b>
<b>Grand Total</b>		<b>82,265,848</b>	<b>100.00%</b>	<b>82,265,848</b>	<b>100.00%</b>		

### Nomu-Parallel Market Value Traded Breakdown - By Investor Classification

Investor Classification	Buy		Sell		Net Value Traded	
	SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
<b>Institutional</b>	877,040	1.07%	15,627,061	19.00%	-14,750,022	-17.93%
<b>Non-Institutional</b>	81,388,808	98.93%	66,638,787	81.00%	14,750,022	17.93%
<b>Grand Total</b>	<b>82,265,848</b>	<b>100.00%</b>	<b>82,265,848</b>	<b>100.00%</b>		

#### Institutional:

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

#### Non Institutional:

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.

# Weekly Report – Nomu-Parallel Market

## Ownership Value (by Nationality, Investor Type, & Classification)

Nomu-Parallel Market Ownership Breakdown - By Nationality and Investor Type							
Nationality	Investor Type	As of 24 October 2019		As of 31 October 2019		Weekly Change	
		Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
Saudi	Individuals	3,415,416,102	93.74%	3,378,385,470	92.99%	-37,030,632	-0.75%
	Corporates	142,521,780	3.91%	170,494,709	4.69%	27,972,930	0.78%
	Institution	49,589,625	1.36%	50,780,845	1.40%	1,191,219	0.04%
	<b>Total Saudi Investors</b>	<b>3,607,527,507</b>	<b>99.01%</b>	<b>3,599,661,024</b>	<b>99.08%</b>	<b>-7,866,483</b>	<b>0.07%</b>
GCC	Individuals	0	0.00%	0	0.00%	0	0.00%
	Institutions	12,621,280	0.35%	12,106,931	0.33%	-514,349	-0.01%
	<b>Total GCC Investors</b>	<b>12,621,280</b>	<b>0.35%</b>	<b>12,106,931</b>	<b>0.33%</b>	<b>-514,349</b>	<b>-0.01%</b>
Foreign	SWAP Holders	184,687	0.01%	182,450	0.01%	-2,237	0.00%
	Foreign Residents	7,531,686	0.21%	7,744,224	0.21%	212,538	0.01%
	QFIs	15,599,352	0.43%	13,396,090	0.37%	-2,203,262	-0.06%
	<b>Total Foreign Investors</b>	<b>23,315,725</b>	<b>0.64%</b>	<b>21,322,765</b>	<b>0.59%</b>	<b>-1,992,960</b>	<b>-0.05%</b>
<b>Grand Total</b>		<b>3,643,464,512</b>	<b>100.00%</b>	<b>3,633,090,720</b>	<b>100.00%</b>	<b>-10,373,792</b>	

Nomu-Parallel Market Ownership Breakdown - By Investor Classification						
Investor Classification	As of 24 October 2019		As of 31 October 2019		Weekly Change	
	Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
Institutional	220,516,723	6.05%	246,961,025	6.80%	26,444,302	0.75%
Non-Institutional	3,422,947,789	93.95%	3,386,129,695	93.20%	-36,818,094	-0.75%
<b>Grand Total</b>	<b>3,643,464,512</b>	<b>100.00%</b>	<b>3,633,090,720</b>	<b>100.00%</b>	<b>-10,373,792</b>	

### Institutional:

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

### Non Institutional:

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.

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Tadawul

Thank you

