

Weekly Stock Market Ownership and Trading Activity Report

Market Reports

Week Ending 16 November 2017



Definitions



Weekly Stock Market Ownership and Trading Activity Report

Definitions

Nationality	Investor Type	Description
Saudi	Individuals*	Saudi Individuals have been classified under 4 categories as defined below:
	- Retail	Retail investors are Saudi individuals , excluding IPIs (see below) and HNWIs .
	- High Net Worth Investors (HNWIs)	HNWIs are Saudi individuals who have had an average portfolio size of SAR 1m (and above) for the preceding 12 months, excluding IPIs (see below).
	- Individual Professional Investors (IPIs)	IPIs are Saudi individuals who have had an average portfolio size of SAR 50m (and above) for the preceding 12 months and a portfolio turnover ratio of not more than 4 times annually.
	- Individual DPMs	Saudi individual investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	Institutions	Saudi Institutions have been classified under 4 categories as defined below:
	- Corporates	Saudi company with a commercial registration permitting its investment in the stock market .
	- Mutual Funds	A mutual fund managed by an Authorized Person and licensed by the CMA.
	- Government Related Entities (GREs)	A Government entity or a supranational authority recognized by the CMA.
	- Institutional DPMs	Saudi institutional investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
GCC	Individuals*	GCC natural person
	Institutions	GCC legal person with a commercial registration certificate (corporate or mutual fund), in addition to GCC government entities.
	GCC DPMs	GCC investment account (institutional or individual) in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
Foreign	SWAP Holders	Authorized Person with the permission to enter into SWAP agreements to transfer the economic benefits of its ownership of shares in Saudi companies listed on the Saudi Stock Exchange to its non-resident foreign clients.
	Foreign Residents*	Foreign investors domiciled in the Kingdom with a valid residency permit (Iqama) (excluding GCC nationals).
	QFIs	Qualified Foreign Institutional investors registered with the CMA in accordance with the QFI Rules, to invest in shares listed on the Saudi Stock Exchange.
	Foreign DPMs	Foreign resident investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	Strategic Partners	Foreign investors considered founders and partners of Saudi listed companies.

*Nomu-Parallel
Market Individual
Investors

Individuals who fulfill any of the following:

- Conducted transactions not less than SAR 40m, and not less than 10 transaction in each quarter during last 12 months.
- Have an average portfolio size of SAR 10m for the preceding 12 months
- Hold General Securities Qualification Certificate which is recognized by the Authority.

Main Market - Value Traded & Ownership Breakdown



Weekly Report - Main Market

Value Traded (by Nationality and Investor Type)

Main Market Value Traded Breakdown - By Nationality and Investor Type

Nationality	Investor Type	Buy		Sell		Net Value Traded	
		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Saudi	Individuals:						
	Retail	6,435,946,324	38.81%	7,688,669,000	46.36%	-1,252,722,676	-7.55%
	HNWIs	4,930,089,235	29.73%	5,223,098,473	31.50%	-293,009,238	-1.77%
	IPIs	388,980,827	2.35%	1,171,005,335	7.06%	-782,024,508	-4.72%
	Individual DPMs	139,071,814	0.84%	209,065,012	1.26%	-69,993,198	-0.42%
	Sub Total (Individuals)	11,894,088,199	71.72%	14,291,837,820	86.18%	-2,397,749,620	-14.46%
	Institutions:						
	Corporates	1,480,459,468	8.93%	567,460,950	3.42%	912,998,518	5.51%
	Mutual Funds	2,433,064,373	14.67%	425,783,083	2.57%	2,007,281,291	12.10%
	GRE's	0	0.00%	0	0.00%	0	0.00%
	Institution DPMs	124,152,239	0.75%	177,067,927	1.07%	-52,915,688	-0.32%
	Sub Total (Institutions)	4,037,676,081	24.35%	1,170,311,960	7.06%	2,867,364,121	17.29%
Total Saudi Investors	15,931,764,280	96.07%	15,462,149,780	93.24%	469,614,501	2.83%	
GCC	Individuals	29,567,927	0.18%	45,431,605	0.27%	-15,863,677	-0.10%
	Institutions	182,455,639	1.10%	326,637,739	1.97%	-144,182,100	-0.87%
	GCC DPMs	641,532	0.00%	1,146,870	0.01%	-505,338	0.00%
	Total GCC Investors	212,665,098	1.28%	373,216,213	2.25%	-160,551,115	-0.97%
Foreign	SWAP Holders	59,736,848	0.36%	313,065,275	1.89%	-253,328,427	-1.53%
	Foreign Residents	226,074,087	1.36%	248,356,306	1.50%	-22,282,219	-0.13%
	QFIs	95,005,242	0.57%	186,375,538	1.12%	-91,370,297	-0.55%
	Foreign DPMs	57,917,557	0.35%	0	0.00%	57,917,557	0.35%
	Strategic Partners	0	0.00%	0	0.00%	0	0.00%
	Total Foreign Investors	438,733,734	2.65%	747,797,119	4.51%	-309,063,385	-1.86%
Grand Total		16,583,163,112	100.00%	16,583,163,112	100.00%		

Weekly Report - Main Market

Value Traded (by Investor Classification)

Main Market Value Traded Breakdown - By Investor Classification

Investor Classification	Buy		Sell		Net Value Traded	
	SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Institutional	4,961,485,540	29.92%	3,377,607,729	20.37%	1,583,877,811	9.55%
Non-Institutional	11,621,677,572	70.08%	13,205,555,383	79.63%	-1,583,877,811	-9.55%
Grand Total	16,583,163,112	100.00%	16,583,163,112	100.00%		

Definitions

Institutional:

Refers to investors with institutional behavior including GREs, Saudi Corporates, Saudi Mutual Funds, Saudi and Non-Saudi DPMs, Saudi Individual Professional Investors (IPI), SWAP Holders, Foreign Strategic Partners and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.

Weekly Report - Main Market

Ownership Value (by Nationality and Investor Type)

Main Market Ownership Breakdown - By Nationality and Investor Type

Nationality	Investor Type	As of 9 November 2017		As of 16 November 2017		Weekly Change	
		Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
Saudi	Individuals:						
	Retail	69,161,744,667	4.18%	68,709,570,542	4.17%	-452,174,125	-0.01%
	HNWIs	128,762,695,201	7.79%	126,948,272,510	7.71%	-1,814,422,690	-0.08%
	IPIs	240,336,870,430	14.54%	237,135,449,626	14.40%	-3,201,420,805	-0.14%
	Individual DPMs	4,558,593,404	0.28%	4,358,073,821	0.26%	-200,519,583	-0.01%
	Sub Total (Individuals)	442,819,903,702	26.79%	437,151,366,498	26.54%	-5,668,537,204	-0.25%
	Institutions:						
	Corporates	268,133,213,312	16.22%	265,856,645,667	16.14%	-2,276,567,645	-0.08%
	Mutual Funds	168,012,987,243	10.16%	168,522,874,584	10.23%	509,887,341	0.07%
	GRE's	622,350,721,199	37.65%	626,388,991,851	38.03%	4,038,270,652	0.37%
	Institution DPMs	48,394,911,975	2.93%	47,678,631,068	2.89%	-716,280,907	-0.03%
	Sub Total (Institutions)	1,106,891,833,728	66.97%	1,108,447,143,169	67.29%	1,555,309,440	0.32%
Total Saudi Investors	1,549,711,737,431	93.76%	1,545,598,509,667	93.83%	-4,113,227,763	0.07%	
GCC	Individuals	2,744,777,973	0.17%	2,685,201,473	0.16%	-59,576,500	0.00%
	Institutions	29,822,470,719	1.80%	29,355,072,987	1.78%	-467,397,732	-0.02%
	GCC DPMs	540,139,129	0.03%	536,742,658	0.03%	-3,396,471	0.00%
	Total GCC Investors	33,107,387,821	2.00%	32,577,017,118	1.98%	-530,370,703	-0.03%
Foreign	SWAP Holders	9,267,725,674	0.56%	8,728,625,984	0.53%	-539,099,690	-0.03%
	Foreign Residents	4,106,270,125	0.25%	4,059,553,452	0.25%	-46,716,673	0.00%
	QFIs	6,809,230,910	0.41%	6,563,750,206	0.40%	-245,480,704	-0.01%
	Foreign DPMs	2,955,382,010	0.18%	3,014,665,561	0.18%	59,283,551	0.00%
	Strategic Partners	46,927,158,242	2.84%	46,737,446,568	2.84%	-189,711,674	0.00%
	Total Foreign Investors	70,065,766,961	4.24%	69,104,041,770	4.20%	-961,725,190	-0.04%
Grand Total		1,652,884,892,213	100.00%	1,647,279,568,556	100.00%	-5,605,323,657	

Weekly Report - Main Market

Ownership Value (by Investor Classification)

Main Market Ownership Breakdown - By Investor Classification						
Investor Classification	As of 9 November 2017		As of 16 November 2017		Weekly Change	
	Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
Institutional	1,448,109,404,246	87.61%	1,444,876,970,579	87.71%	-3,232,433,668	0.10%
Non-Institutional	204,775,487,966	12.39%	202,402,597,977	12.29%	-2,372,889,989	-0.10%
Grand Total	1,652,884,892,213	100.00%	1,647,279,568,556	100.00%	-5,605,323,657	

Definitions

Institutional:

Refers to investors with institutional behavior including GREs, Saudi Corporates, Saudi Mutual Funds, Saudi and Non-Saudi DPMs, Saudi Individual Professional Investors (IPI), SWAP Holders, Foreign Strategic Partners and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.

Nomu-Parallel Market - Value Traded & Ownership Breakdown



Weekly Report – Nomu-Parallel Market

Value Traded (by Nationality, Investor Type, & Classification)

Nomu-Parallel Market Value Traded Breakdown - By Nationality and Investor Type							
Nationality	Investor Type	Buy		Sell		Net Value Traded	
		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Saudi	Individuals	4,410,609	79.81%	3,840,496	69.50%	570,114	10.32%
	Corporates	1,056,907	19.13%	13,915	0.25%	1,042,992	18.87%
	Institution	13,797	0.25%	1,551,875	28.08%	-1,538,078	-27.83%
	Total Saudi Investors	5,481,314	99.19%	5,406,286	97.83%	75,027	1.36%
GCC	Individuals	0	0.00%	0	0.00%	0	0.00%
	Institutions	0	0.00%	0	0.00%	0	0.00%
	Total GCC Investors	0	0.00%	0	0.00%	0	0.00%
Foreign	SWAP Holders	0	0.00%	0	0.00%	0	0.00%
	Foreign Residents	44,806	0.81%	119,834	2.17%	-75,028	-1.36%
	QFIs	0	0.00%	0	0.00%	0	0.00%
	Total Foreign Investors	44,806	0.81%	119,834	2.17%	-75,028	-1.36%
Grand Total		5,526,120	100.00%	5,526,120	100.00%		

Nomu-Parallel Market Value Traded Breakdown - By Investor Classification							
Investor Classification	Buy		Sell		Net Value Traded		
	SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)	
Institutional	1,070,704	19.38%	1,565,790	28.33%	-495,086	-8.96%	
Non-Institutional	4,455,416	80.62%	3,960,330	71.67%	495,086	8.96%	
Grand Total	5,526,120	100.00%	5,526,120	100.00%			

Institutional:

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.

Weekly Report – Nomu-Parallel Market

Ownership Value (by Nationality, Investor Type, & Classification)

Nomu-Parallel Market Ownership Breakdown - By Nationality and Investor Type							
Nationality	Investor Type	As of 9 November 2017		As of 16 November 2017		Weekly Change	
		Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
Saudi	Individuals	1,867,564,556	88.53%	113,453,373	5.44%	-1,754,111,184	-83.09%
	Corporates	115,256,544	5.46%	1,843,529,235	88.38%	1,728,272,691	82.92%
	Institution	107,321,275	5.09%	109,976,200	5.27%	2,654,926	0.18%
	Total Saudi Investors	2,090,142,375	99.08%	2,066,958,808	99.09%	-23,183,568	0.01%
GCC	Individuals	26,770	0.00%	26,972	0.00%	203	0.00%
	Institutions	12,908,865	0.61%	12,625,376	0.61%	-283,489	-0.01%
	Total GCC Investors	12,935,635	0.61%	12,652,349	0.61%	-283,286	-0.01%
Foreign	SWAP Holders	191,436	0.01%	194,060	0.01%	2,624	0.00%
	Foreign Residents	3,236,586	0.15%	3,118,399	0.15%	-118,187	0.00%
	QFIs	2,993,563	0.14%	2,984,575	0.14%	-8,988	0.00%
	Total Foreign Investors	6,421,585	0.30%	6,297,034	0.30%	-124,551	0.00%
Grand Total		2,109,499,595	100.00%	2,085,908,190	100.00%	-23,591,405	

Nomu-Parallel Market Ownership Breakdown - By Investor Classification						
Investor Classification	As of 9 November 2017		As of 16 November 2017		Weekly Change	
	Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
Institutional	238,671,683	11.31%	1,969,309,446	94.41%	1,730,637,763	83.10%
Non-Institutional	1,870,827,912	88.69%	116,598,744	5.59%	-1,754,229,168	-83.10%
Grand Total	2,109,499,595	100.00%	2,085,908,190	100.00%	-23,591,405	

Institutional:

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.

السوق المالية السعودية
Saudi Stock Exchange

تداول
Tadawul

Thank you

