

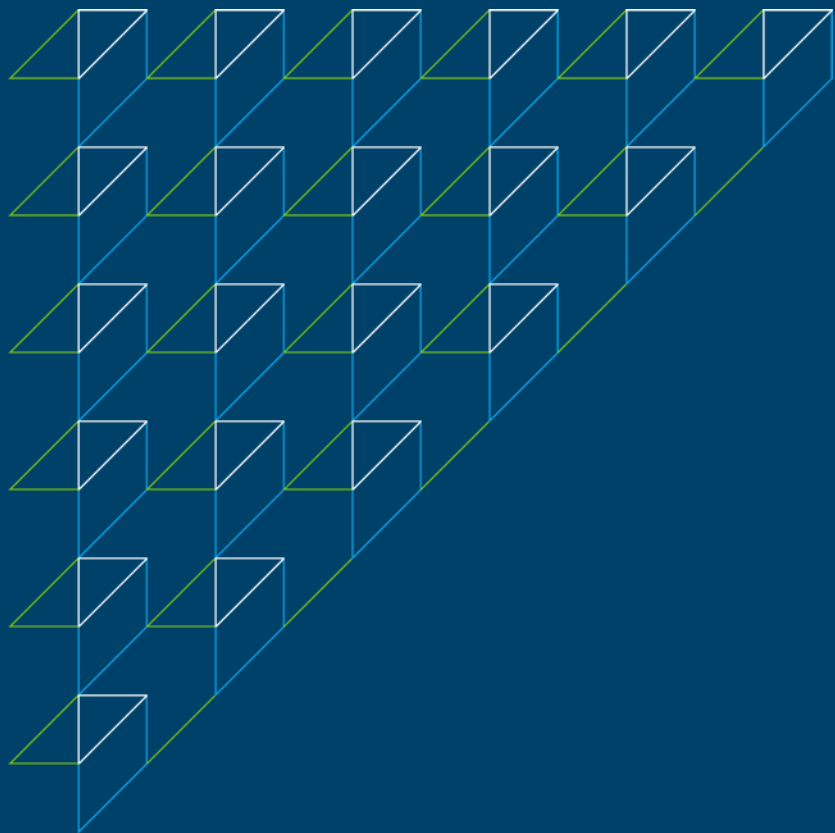
Weekly Stock Market Ownership and Trading Activity Report

Market Reports

Week Ending 29 August 2019



Definitions



Weekly Stock Market Ownership and Trading Activity Report

Definitions

Nationality	Investor Type	Description
Saudi	Individuals*	Saudi Individuals have been classified under 4 categories as defined below:
	- Retail	Retail investors are Saudi individuals , excluding IPIs (see below) and HNWIs.
	- High Net Worth Investors (HNWIs)	HNWIs are Saudi individuals who have had an average portfolio size of SAR 1m (and above) for the preceding 12 months, excluding IPIs (see below).
	- Individual Professional Investors (IPIs)	IPIs are Saudi individuals who have had an average portfolio size of SAR 50m (and above) for the preceding 12 months and a portfolio turnover ratio of not more than 4 times annually.
	- Individual DPMs	Saudi individual investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	Institutions	Saudi Institutions have been classified under 4 categories as defined below:
	- Corporates	Saudi company with a commercial registration permitting its investment in the stock market .
	- Mutual Funds	A mutual fund managed by an Authorized Person and licensed by the CMA.
	- Government Related Entities (GREs)	A Government entity or a supranational authority recognized by the CMA.
	- Institutional DPMs	Saudi institutional investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
GCC	Individuals*	GCC natural person
	Institutions	GCC legal person with a commercial registration certificate (corporate or mutual fund), in addition to GCC government entities .
	GCC DPMs	GCC investment account (institutional or individual) in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
Foreign	SWAP Holders	Authorized Person with the permission to enter into SWAP agreements to transfer the economic benefits of its ownership of shares in Saudi companies listed on the Saudi Stock Exchange to its non-resident foreign clients.
	Foreign Residents*	Foreign investors domiciled in the Kingdom with a valid residency permit (Iqama) (excluding GCC nationals).
	QFIs	Qualified Foreign Institutional investors registered with the CMA in accordance with the QFI Rules, to invest in shares listed on the Saudi Stock Exchange.
	Foreign DPMs	Foreign resident investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	Strategic Partners	Foreign investors considered founders and partners of Saudi listed companies.
	NRFI	Non-Resident Foreign Investors in accordance with the Guidance Note for the investment of Non- Resident Foreigners in Parallel Market

*Nomu-Parallel Market Individual Investors

Individuals who fulfill any of the following:

- Conducted transactions not less than SAR 40m, and not less than 10 transaction in each quarter during last 12 months.
- Have an average portfolio size of SAR 5m for the preceding 12 months
- Worked for at least 3 years in financial sectors.
- Hold General Securities Qualification Certificate which is recognized by the Authority.
- Holds professional certificate that is related to securities business and accredited by an internationally recognized entity

Main Market - Value Traded & Ownership Breakdown



Weekly Report - Main Market

Value Traded (by Nationality and Investor Type)

Main Market Value Traded Breakdown - By Nationality and Investor Type

Nationality	Investor Type	Buy		Sell		Net Value Traded	
		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Saudi	Individuals:						
	Retail	5,844,083,710	13.53%	5,730,216,942	13.27%	113,866,768	0.26%
	HNWIs	4,990,113,737	11.55%	4,604,958,945	10.66%	385,154,792	0.89%
	IPIs	482,174,939	1.12%	1,183,005,106	2.74%	-700,830,167	-1.62%
	Individual DPMs	105,375,354	0.24%	895,110,802	2.07%	-789,735,448	-1.83%
	Sub Total (Individuals)	11,421,747,739	26.45%	12,413,291,795	28.74%	-991,544,055	-2.30%
	Institutions:						
	Corporates	916,682,144	2.12%	3,117,913,880	7.22%	-2,201,231,736	-5.10%
	Mutual Funds	438,967,768	1.02%	1,015,688,321	2.35%	-576,720,553	-1.34%
	GRE's	2,000,000	0.00%	106,105,370	0.25%	-104,105,370	-0.24%
	Institution DPMs	123,795,788	0.29%	2,493,723,252	5.77%	-2,369,927,464	-5.49%
	Sub Total (Institutions)	1,481,445,700	3.43%	6,733,430,823	15.59%	-5,251,985,123	-12.16%
Total Saudi Investors	12,903,193,439	29.88%	19,146,722,618	44.33%	-6,243,529,178	-14.46%	
GCC	Individuals	24,136,097	0.06%	84,526,269	0.20%	-60,390,171	-0.14%
	Institutions	209,193,610	0.48%	766,791,818	1.78%	-557,598,208	-1.29%
	GCC DPMs	62,217	0.00%	4,128,050	0.01%	-4,065,833	-0.01%
	Total GCC Investors	233,391,925	0.54%	855,446,137	1.98%	-622,054,212	-1.44%
Foreign	SWAP Holders	136,337,905	0.32%	925,840,851	2.14%	-789,502,946	-1.83%
	Foreign Residents	220,269,213	0.51%	203,001,623	0.47%	17,267,589	0.04%
	QFIs	29,693,690,534	68.76%	22,054,872,313	51.07%	7,638,818,220	17.69%
	Foreign DPMs	155,449	0.00%	1,154,923	0.00%	-999,474	0.00%
	Strategic Partners	0	0.00%	0	0.00%	0	0.00%
	Total Foreign Investors	30,050,453,100	69.58%	23,184,869,710	53.68%	6,865,583,390	15.90%
Grand Total		43,187,038,464	100.00%	43,187,038,464	100.00%		

Weekly Report - Main Market

Value Traded (by Investor Classification)

Main Market Value Traded Breakdown - By Investor Classification

Investor Classification	Buy		Sell		Net Value Traded	
	SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Institutional	32,108,435,707	74.35%	32,564,334,685	75.40%	-455,898,977	-1.06%
Non-Institutional	11,078,602,757	25.65%	10,622,703,779	24.60%	455,898,977	1.06%
Grand Total	43,187,038,464	100.00%	43,187,038,464	100.00%		

Definitions

Institutional:

Refers to investors with institutional behavior including GREs, Saudi Corporates, Saudi Mutual Funds, Saudi and Non-Saudi DPMs, Saudi Individual Professional Investors (IPI), SWAP Holders, Foreign Strategic Partners and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.

Weekly Report - Main Market

Ownership Value (by Nationality and Investor Type)

Main Market Ownership Breakdown - By Nationality and Investor Type

Nationality	Investor Type	As of 22 August 2019		As of 29 August 2019		Weekly Change		
		Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)	
Saudi	Individuals:							
	Retail	73,299,852,397	3.67%	71,733,831,600	3.77%	-1,566,020,797	0.10%	
	HNWIs	152,343,415,966	7.63%	146,451,977,414	7.70%	-5,891,438,552	0.07%	
	IPIs	275,996,869,019	13.83%	263,248,104,075	13.84%	-12,748,764,944	0.01%	
	Individual DPMs	4,817,146,603	0.24%	4,243,301,651	0.22%	-573,844,952	-0.02%	
	Sub Total (Individuals)	506,457,283,986	25.37%	485,677,214,740	25.53%	-20,780,069,246	0.16%	
	Institutions:							
	Corporates	356,212,775,209	17.84%	335,199,956,000	17.62%	-21,012,819,210	-0.23%	
	Mutual Funds	197,320,510,184	9.89%	189,614,977,662	9.97%	-7,705,532,522	0.08%	
	GRE's	697,186,602,549	34.93%	659,664,714,353	34.68%	-37,521,888,196	-0.25%	
	Institution DPMs	42,515,117,619	2.13%	37,566,390,875	1.97%	-4,948,726,744	-0.16%	
Sub Total (Institutions)	1,293,235,005,562	64.79%	1,222,046,038,890	64.24%	-71,188,966,672	-0.55%		
Total Saudi Investors	1,799,692,289,548	90.16%	1,707,723,253,630	89.77%	-91,969,035,918	-0.39%		
GCC	Individuals	2,827,818,076	0.14%	2,623,424,020	0.14%	-204,394,055	0.00%	
	Institutions	34,814,801,222	1.74%	32,968,732,478	1.73%	-1,846,068,744	-0.01%	
	GCC DPMs	620,668,906	0.03%	565,111,794	0.03%	-55,557,112	0.00%	
	Total GCC Investors	38,263,288,204	1.92%	36,157,268,292	1.90%	-2,106,019,912	-0.02%	
Foreign	SWAP Holders	4,299,731,611	0.22%	3,293,033,065	0.17%	-1,006,698,545	-0.04%	
	Foreign Residents	6,034,227,905	0.30%	5,582,204,256	0.29%	-452,023,649	-0.01%	
	QFIs	93,355,980,275	4.68%	99,041,831,352	5.21%	5,685,851,077	0.53%	
	Foreign DPMs	15,694,468	0.00%	13,685,477	0.00%	-2,008,992	0.00%	
	Strategic Partners	54,488,478,203	2.73%	50,597,427,548	2.66%	-3,891,050,655	-0.07%	
	Total Foreign Investors	158,194,112,462	7.92%	158,528,181,698	8.33%	334,069,236	0.41%	
Grand Total		1,996,149,690,214	100.00%	1,902,408,703,621	100.00%	-93,740,986,594		

* Non deducted shares for (Al Sorayai Trading and Industrial Group 12 B - Corporate Action - Capital Reduction) was not reflected on 29 August.

Weekly Report - Main Market

Ownership Value (by Investor Classification)

Main Market Ownership Breakdown - By Investor Classification						
Investor Classification	As of 22 August 2019		As of 29 August 2019		Weekly Change	
	Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
Institutional	1,761,644,375,870	88.25%	1,676,017,266,330	88.10%	-85,627,109,540	-0.15%
Non-Institutional	234,505,314,344	11.75%	226,391,437,290	11.90%	-8,113,877,053	0.15%
Grand Total	1,996,149,690,214	100.00%	1,902,408,703,621	100.00%	-93,740,986,594	

Definitions

Institutional:

Refers to investors with institutional behavior including GREs, Saudi Corporates, Saudi Mutual Funds, Saudi and Non-Saudi DPMs, Saudi Individual Professional Investors (IPI), SWAP Holders, Foreign Strategic Partners and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.

Nomu-Parallel Market - Value Traded & Ownership Breakdown



Weekly Report – Nomu-Parallel Market

Value Traded (by Nationality, Investor Type, & Classification)

Nomu-Parallel Market Value Traded Breakdown - By Nationality and Investor Type

Nationality	Investor Type	Buy		Sell		Net Value Traded	
		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Saudi	Individuals	4,880,560	83.57%	5,604,831	95.97%	-724,271	-12.40%
	Corporates	89,615	1.53%	130,433	2.23%	-40,818	-0.70%
	Institution	140,034	2.40%	0	0.00%	140,034	2.40%
	Total Saudi Investors	5,110,209	87.50%	5,735,264	98.20%	-625,055	-10.70%
GCC	Individuals	0	0.00%	0	0.00%	0	0.00%
	Institutions	440,856	7.55%	0	0.00%	440,856	7.55%
	Total GCC Investors	440,856	7.55%	0	0.00%	440,856	7.55%
Foreign	SWAP Holders	0	0.00%	0	0.00%	0	0.00%
	Foreign Residents	289,101	4.95%	104,902	1.80%	184,199	3.15%
	QFIs	0	0.00%	0	0.00%	0	0.00%
	Total Foreign Investors	289,101	4.95%	104,902	1.80%	184,199	3.15%
Grand Total		5,840,166	100.00%	5,840,166	100.00%		

Nomu-Parallel Market Value Traded Breakdown - By Investor Classification

Investor Classification	Buy		Sell		Net Value Traded	
	SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Institutional	670,505	11.48%	130,433	2.23%	540,072	9.25%
Non-Institutional	5,169,661	88.52%	5,709,733	97.77%	-540,072	-9.25%
Grand Total	5,840,166	100.00%	5,840,166	100.00%		

Institutional:

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.

Weekly Report – Nomu-Parallel Market

Ownership Value (by Nationality, Investor Type, & Classification)

Nomu-Parallel Market Ownership Breakdown - By Nationality and Investor Type							
Nationality	Investor Type	As of 22 August 2019		As of 29 August 2019		Weekly Change	
		Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
Saudi	Individuals	4,341,744,513	95.41%	4,448,362,745	95.46%	106,618,231	0.06%
	Corporates	137,405,993	3.02%	135,592,230	2.91%	-1,813,763	-0.11%
	Institution	39,542,041	0.87%	42,387,144	0.91%	2,845,103	0.04%
	Total Saudi Investors	4,518,692,547	99.30%	4,626,342,118	99.28%	107,649,571	-0.01%
GCC	Individuals	0	0.00%	0	0.00%	0	0.00%
	Institutions	11,787,282	0.26%	12,303,120	0.26%	515,838	0.01%
	Total GCC Investors	11,787,282	0.26%	12,303,120	0.26%	515,838	0.01%
Foreign	SWAP Holders	197,802	0.00%	201,358	0.00%	3,556	0.00%
	Foreign Residents	5,542,236	0.12%	6,231,597	0.13%	689,361	0.01%
	QFIs	14,439,732	0.32%	14,622,942	0.31%	183,210	0.00%
	Total Foreign Investors	20,179,771	0.44%	21,055,898	0.45%	876,127	0.01%
Grand Total		4,550,659,600	100.00%	4,659,701,136	100.00%	109,041,536	

Nomu-Parallel Market Ownership Breakdown - By Investor Classification						
Investor Classification	As of 22 August 2019		As of 29 August 2019		Weekly Change	
	Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
Institutional	203,372,851	4.47%	205,106,794	4.40%	1,733,944	-0.07%
Non-Institutional	4,347,286,749	95.53%	4,454,594,342	95.60%	107,307,592	0.07%
Grand Total	4,550,659,600	100.00%	4,659,701,136	100.00%	109,041,536	

Institutional:

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.

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Thank you

