

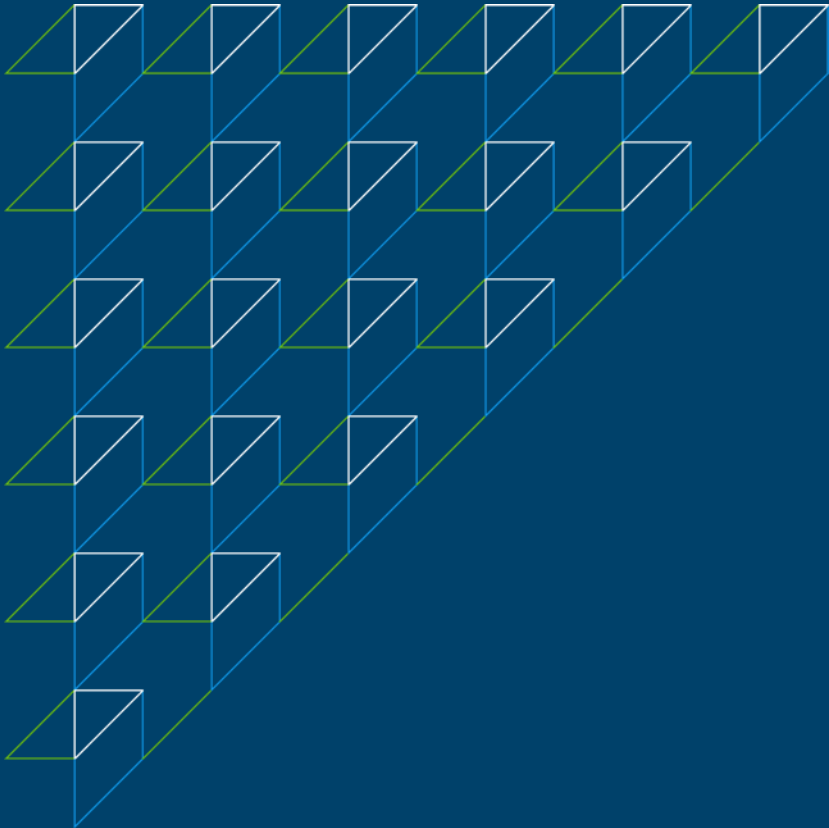
Weekly Stock Market Ownership and Trading Activity Report

Market Reports

Week Ending 14 September 2017



Definitions



Weekly Stock Market Ownership and Trading Activity Report

Definitions

Nationality	Investor Type	Description
Saudi	Individuals*	Saudi Individuals have been classified under 4 categories as defined below:
	- Retail	Retail investors are Saudi individuals , excluding IPIs (see below) and HNWIs .
	- High Net Worth Investors (HNWIs)	HNWIs are Saudi individuals who have had an average portfolio size of SAR 1m (and above) for the preceding 12 months, excluding IPIs (see below).
	- Individual Professional Investors (IPIs)	IPIs are Saudi individuals who have had an average portfolio size of SAR 50m (and above) for the preceding 12 months and a portfolio turnover ratio of not more than 4 times annually.
	- Individual DPMs	Saudi individual investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	Institutions	Saudi Institutions have been classified under 4 categories as defined below:
	- Corporates	Saudi company with a commercial registration permitting its investment in the stock market .
	- Mutual Funds	A mutual fund managed by an Authorized Person and licensed by the CMA.
	- Government Related Entities (GREs)	A Government entity or a supranational authority recognized by the CMA.
	- Institutional DPMs	Saudi institutional investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
GCC	Individuals*	GCC natural person
	Institutions	GCC legal person with a commercial registration certificate (corporate or mutual fund), in addition to GCC government entities.
	GCC DPMs	GCC investment account (institutional or individual) in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
Foreign	SWAP Holders	Authorized Person with the permission to enter into SWAP agreements to transfer the economic benefits of its ownership of shares in Saudi companies listed on the Saudi Stock Exchange to its non-resident foreign clients.
	Foreign Residents*	Foreign investors domiciled in the Kingdom with a valid residency permit (Iqama) (excluding GCC nationals).
	QFIs	Qualified Foreign Institutional investors registered with the CMA in accordance with the QFI Rules, to invest in shares listed on the Saudi Stock Exchange.
	Foreign DPMs	Foreign resident investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	Strategic Partners	Foreign investors considered founders and partners of Saudi listed companies.

*Nomu-Parallel
Market Individual
Investors

Individuals who fulfill any of the following:

- Conducted transactions not less than SAR 40m, and not less than 10 transaction in each quarter during last 12 months.
- Have an average portfolio size of SAR 10m for the preceding 12 months
- Hold General Securities Qualification Certificate which is recognized by the Authority.

Main Market - Value Traded & Ownership Breakdown



Weekly Report - Main Market

Value Traded (by Nationality and Investor Type)

Main Market Value Traded Breakdown - By Nationality and Investor Type

Nationality	Investor Type	Buy		Sell		Net Value Traded	
		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Saudi	Individuals:						
	Retail	6,059,079,364	39.03%	7,501,002,314	48.31%	-1,441,922,950	-9.29%
	HNWIs	4,442,546,447	28.61%	4,460,224,440	28.73%	-17,677,994	-0.11%
	IPIs	274,739,641	1.77%	425,282,755	2.74%	-150,543,114	-0.97%
	Individual DPMs	167,160,700	1.08%	117,271,172	0.76%	49,889,528	0.32%
	Sub Total (Individuals)	10,943,526,152	70.49%	12,503,780,682	80.54%	-1,560,254,530	-10.05%
	Institutions:						
	Corporates	1,749,002,919	11.27%	1,476,958,228	9.51%	272,044,691	1.75%
	Mutual Funds	590,998,795	3.81%	328,968,034	2.12%	262,030,761	1.69%
	GRE's	69,574,611	0.45%	11,361,951	0.07%	58,212,660	0.37%
	Institution DPMs	230,225,834	1.48%	205,366,710	1.32%	24,859,124	0.16%
	Sub Total (Institutions)	2,639,802,160	17.00%	2,022,654,924	13.03%	617,147,236	3.97%
	Total Saudi Investors	13,583,328,312	87.49%	14,526,435,606	93.56%	-943,107,294	-6.07%
GCC	Individuals	61,055,438	0.39%	46,306,213	0.30%	14,749,225	0.09%
	Institutions	397,435,973	2.56%	260,650,959	1.68%	136,785,015	0.88%
	GCC DPMs	3,668,982	0.02%	7,585,753	0.05%	-3,916,771	-0.03%
	Total GCC Investors	462,160,393	2.98%	314,542,925	2.03%	147,617,468	0.95%
Foreign	SWAP Holders	973,936,303	6.27%	218,487,341	1.41%	755,448,961	4.87%
	Foreign Residents	182,788,880	1.18%	213,355,755	1.37%	-30,566,876	-0.20%
	QFIs	271,937,540	1.75%	134,112,539	0.86%	137,825,001	0.89%
	Foreign DPMs	51,744,735	0.33%	117,956,414	0.76%	-66,211,679	-0.43%
	Strategic Partners	0	0.00%	1,005,582	0.01%	-1,005,582	-0.01%
	Total Foreign Investors	1,480,407,457	9.54%	684,917,632	4.41%	795,489,826	5.12%
Grand Total		15,525,896,162	100.00%	15,525,896,162	100.00%		

Weekly Report - Main Market

Value Traded (by Investor Classification)

Main Market Value Traded Breakdown - By Investor Classification						
Investor Classification	Buy		Sell		Net Value Traded	
	SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Institutional	4,780,426,034	30.79%	3,305,007,439	21.29%	1,475,418,595	9.50%
Non-Institutional	10,745,470,129	69.21%	12,220,888,723	78.71%	-1,475,418,595	-9.50%
Grand Total	15,525,896,162	100.00%	15,525,896,162	100.00%		

Definitions

Institutional:

Refers to investors with institutional behavior including GREs, Saudi Corporates, Saudi Mutual Funds, Saudi and Non-Saudi DPMs, Saudi Individual Professional Investors (IPI), SWAP Holders, Foreign Strategic Partners and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.

Weekly Report - Main Market

Ownership Value (by Nationality and Investor Type)

Main Market Ownership Breakdown - By Nationality and Investor Type

Nationality	Investor Type	As of 7 September 2017		As of 14 September 2017		Weekly Change	
		Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
Saudi	Individuals:						
	Retail	72,623,208,020	4.18%	73,208,493,654	4.21%	585,285,634	0.03%
	HNWIs	134,352,220,816	7.73%	135,068,620,089	7.77%	716,399,273	0.04%
	IPIs	262,334,184,313	15.09%	262,312,769,835	15.08%	-21,414,478	-0.01%
	Individual DPMs	4,975,881,364	0.29%	5,020,400,346	0.29%	44,518,982	0.00%
	Sub Total (Individuals)	474,285,494,513	27.28%	475,610,283,923	27.35%	1,324,789,411	0.07%
	Institutions:						
	Corporates	284,164,492,199	16.34%	283,062,798,749	16.27%	-1,101,693,450	-0.07%
	Mutual Funds	106,712,891,257	6.14%	105,567,054,721	6.07%	-1,145,836,536	-0.07%
	GRE's	705,942,310,073	40.60%	706,015,480,463	40.59%	73,170,390	-0.01%
	Institution DPMs	50,655,534,851	2.91%	50,940,305,821	2.93%	284,770,970	0.02%
	Sub Total (Institutions)	1,147,475,228,380	66.00%	1,145,585,639,754	65.87%	-1,889,588,626	-0.13%
Total Saudi Investors	1,621,760,722,893	93.28%	1,621,195,923,678	93.21%	-564,799,215	-0.07%	
GCC	Individuals	3,050,022,376	0.18%	3,099,459,893	0.18%	49,437,517	0.00%
	Institutions	33,411,154,681	1.92%	33,852,574,288	1.95%	441,419,607	0.02%
	GCC DPMs	744,023,626	0.04%	708,058,872	0.04%	-35,964,754	0.00%
	Total GCC Investors	37,205,200,683	2.14%	37,660,093,052	2.17%	454,892,370	0.03%
Foreign	SWAP Holders	10,351,788,396	0.60%	10,465,166,747	0.60%	113,378,350	0.01%
	Foreign Residents	4,377,408,930	0.25%	4,404,309,236	0.25%	26,900,306	0.00%
	QFIs	7,374,168,153	0.42%	7,411,135,566	0.43%	36,967,413	0.00%
	Foreign DPMs	2,729,689,756	0.16%	2,588,341,384	0.15%	-141,348,372	-0.01%
	Strategic Partners	54,850,486,760	3.15%	55,541,203,271	3.19%	690,716,510	0.04%
	Total Foreign Investors	79,683,541,995	4.58%	80,410,156,203	4.62%	726,614,208	0.04%
Grand Total		1,738,649,465,570	100.00%	1,739,266,172,934	100.00%	616,707,363	

Weekly Report - Main Market

Ownership Value (by Investor Classification)

Main Market Ownership Breakdown - By Investor Classification						
Investor Classification	As of 7 September 2017		As of 14 September 2017		Weekly Change	
	Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
Institutional	1,524,246,605,429	87.67%	1,523,485,290,061	87.59%	-761,315,367	-0.07%
Non-Institutional	214,402,860,142	12.33%	215,780,882,872	12.41%	1,378,022,731	0.07%
Grand Total	1,738,649,465,570	100.00%	1,739,266,172,934	100.00%	616,707,363	

Definitions

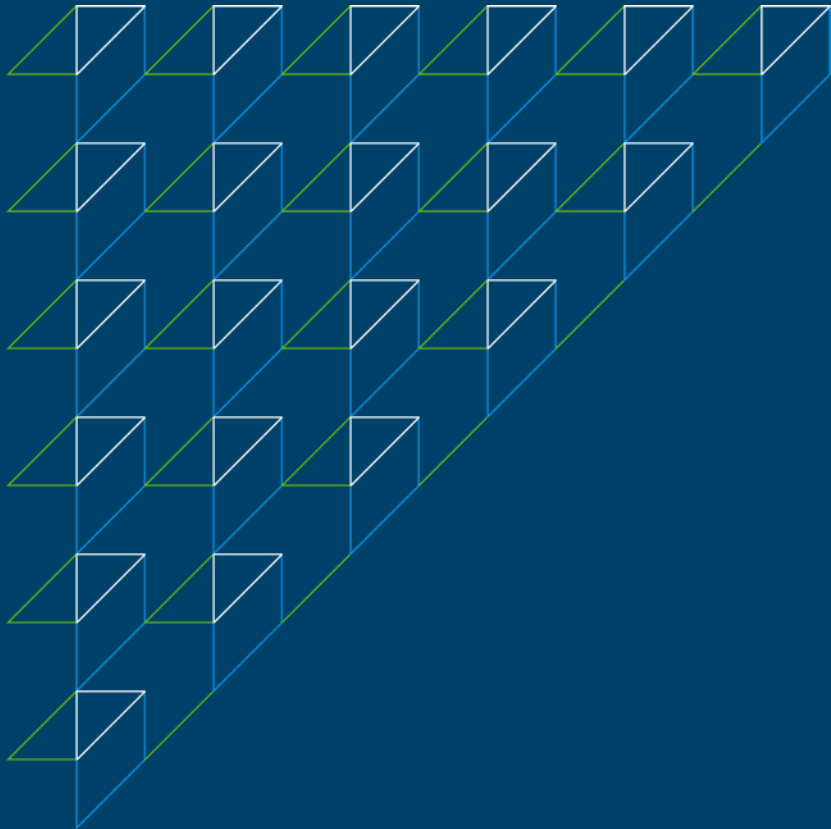
Institutional:

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Non Institutional:

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.

Nomu-Parallel Market - Value Traded & Ownership Breakdown



Weekly Report – Nomu-Parallel Market

Value Traded (by Nationality, Investor Type, & Classification)

Nomu-Parallel Market Value Traded Breakdown - By Nationality and Investor Type							
Nationality	Investor Type	Buy		Sell		Net Value Traded	
		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Saudi	Individuals	9,444,984	82.96%	8,511,590	74.76%	933,394	8.20%
	Corporates	0	0.00%	247,842	2.18%	-247,842	-2.18%
	Institution	601,729	5.29%	2,097,362	18.42%	-1,495,633	-13.14%
	Total Saudi Investors	10,046,713	88.24%	10,856,795	95.36%	-810,081	-7.12%
GCC	Individuals	0	0.00%	0	0.00%	0	0.00%
	Institutions	604,443	5.31%	0	0.00%	604,443	5.31%
	Total GCC Investors	604,443	5.31%	0	0.00%	604,443	5.31%
Foreign	SWAP Holders	0	0.00%	0	0.00%	0	0.00%
	Foreign Residents	514,894	4.52%	528,381	4.64%	-13,487	-0.12%
	QFIs	219,125	1.92%	0	0.00%	219,125	1.92%
	Total Foreign Investors	734,019	6.45%	528,381	4.64%	205,638	1.81%
Grand Total		11,385,176	100.00%	11,385,176	100.00%		

Nomu-Parallel Market Value Traded Breakdown - By Investor Classification						
Investor Classification	Buy		Sell		Net Value Traded	
	SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Institutional	1,425,298	12.52%	2,345,204	20.60%	-919,907	-8.08%
Non-Institutional	9,959,879	87.48%	9,039,972	79.40%	919,907	8.08%
Grand Total	11,385,176	100.00%	11,385,176	100.00%		

Institutional:

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.

Weekly Report – Nomu-Parallel Market

Ownership Value (by Nationality, Investor Type, & Classification)

Nomu-Parallel Market Ownership Breakdown - By Nationality and Investor Type							
Nationality	Investor Type	As of 7 September 2017		As of 14 September 2017		Weekly Change	
		Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
Saudi	Individuals	2,124,613,580	88.06%	2,083,986,716	88.22%	-40,626,864	0.16%
	Corporates	138,716,663	5.75%	134,633,337	5.70%	-4,083,326	-0.05%
	Institution	129,763,766	5.38%	125,052,365	5.29%	-4,711,402	-0.08%
	Total Saudi Investors	2,393,094,009	99.19%	2,343,672,418	99.21%	-49,421,592	0.02%
GCC	Individuals	30,699	0.00%	30,420	0.00%	-279	0.00%
	Institutions	13,026,968	0.54%	12,285,147	0.52%	-741,820	-0.02%
	Total GCC Investors	13,057,667	0.54%	12,315,567	0.52%	-742,099	-0.02%
Foreign	SWAP Holders	206,780	0.01%	206,153	0.01%	-627	0.00%
	Foreign Residents	4,666,131	0.19%	4,498,746	0.19%	-167,385	0.00%
	QFIs	1,631,913	0.07%	1,596,116	0.07%	-35,797	0.00%
	Total Foreign Investors	6,504,824	0.27%	6,301,015	0.27%	-203,809	0.00%
Grand Total		2,412,656,500	100.00%	2,362,289,000	100.00%	-50,367,500	

Nomu-Parallel Market Ownership Breakdown - By Investor Classification						
Investor Classification	As of 7 September 2017		As of 14 September 2017		Weekly Change	
	Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
Institutional	283,346,090	11.74%	273,773,118	11.59%	-9,572,972	-0.15%
Non-Institutional	2,129,310,410	88.26%	2,088,515,882	88.41%	-40,794,528	0.15%
Grand Total	2,412,656,500	100.00%	2,362,289,000	100.00%	-50,367,500	

Institutional:

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.

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Saudi Stock Exchange

تداول
Tadawul

Thank you

