

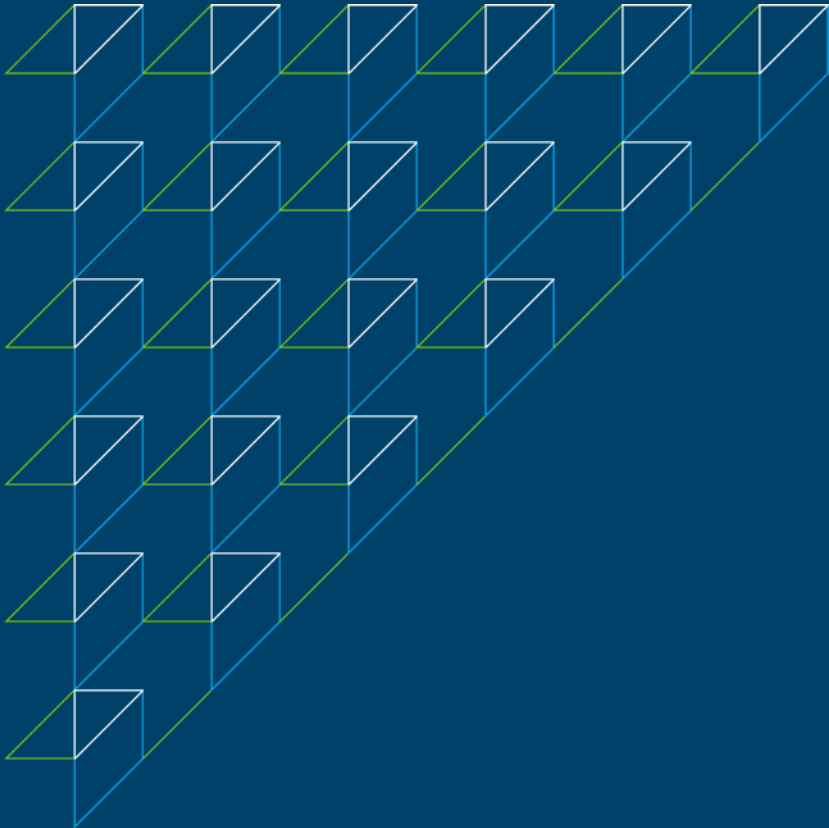
Weekly Stock Market Ownership and Trading Activity Report

Market Reports

Week Ending 19 September 2019



Definitions



Weekly Stock Market Ownership and Trading Activity Report

Definitions

Nationality	Investor Type	Description
Saudi	Individuals*	Saudi Individuals have been classified under 4 categories as defined below:
	- Retail	Retail investors are Saudi individuals , excluding IPIs (see below) and HNWIs.
	- High Net Worth Investors (HNWIs)	HNWIs are Saudi individuals who have had an average portfolio size of SAR 1m (and above) for the preceding 12 months, excluding IPIs (see below).
	- Individual Professional Investors (IPIs)	IPIs are Saudi individuals who have had an average portfolio size of SAR 50m (and above) for the preceding 12 months and a portfolio turnover ratio of not more than 4 times annually.
	- Individual DPMs	Saudi individual investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	Institutions	Saudi Institutions have been classified under 4 categories as defined below:
	- Corporates	Saudi company with a commercial registration permitting its investment in the stock market .
	- Mutual Funds	A mutual fund managed by an Authorized Person and licensed by the CMA.
- Government Related Entities (GREs)	A Government entity or a supranational authority recognized by the CMA.	
- Institutional DPMs	Saudi institutional investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).	
GCC	Individuals*	GCC natural person
	Institutions	GCC legal person with a commercial registration certificate (corporate or mutual fund), in addition to GCC government entities .
	GCC DPMs	GCC investment account (institutional or individual) in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
Foreign	SWAP Holders	Authorized Person with the permission to enter into SWAP agreements to transfer the economic benefits of its ownership of shares in Saudi companies listed on the Saudi Stock Exchange to its non-resident foreign clients.
	Foreign Residents*	Foreign investors domiciled in the Kingdom with a valid residency permit (Iqama) (excluding GCC nationals).
	QFIs	Qualified Foreign Institutional investors registered with the CMA in accordance with the QFI Rules, to invest in shares listed on the Saudi Stock Exchange.
	Foreign DPMs	Foreign resident investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	Strategic Partners	Foreign investors considered founders and partners of Saudi listed companies.
	NRFI	Non-Resident Foreign Investors in accordance with the Guidance Note for the investment of Non- Resident Foreigners in Parallel Market

*Nomu-Parallel Market Individual Investors

Individuals who fulfill any of the following:

- Conducted transactions not less than SAR 40m, and not less than 10 transaction in each quarter during last 12 months.
- Have an average portfolio size of SAR 5m for the preceding 12 months
- Worked for at least 3 years in financial sectors.
- Hold General Securities Qualification Certificate which is recognized by the Authority.
- Holds professional certificate that is related to securities business and accredited by an internationally recognized entity

Main Market - Value Traded & Ownership Breakdown



Weekly Report - Main Market

Value Traded (by Nationality and Investor Type)

Main Market Value Traded Breakdown - By Nationality and Investor Type

Nationality	Investor Type	Buy		Sell		Net Value Traded	
		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Saudi	Individuals:						
	Retail	4,979,551,946	24.79%	6,291,117,895	31.32%	-1,311,565,949	-6.53%
	HNWIs	3,092,542,999	15.39%	4,096,125,368	20.39%	-1,003,582,369	-5.00%
	IPIs	271,316,181	1.35%	745,613,022	3.71%	-474,296,841	-2.36%
	Individual DPMs	204,472,480	1.02%	275,870,038	1.37%	-71,397,558	-0.36%
	Sub Total (Individuals)	8,547,883,605	42.55%	11,408,726,323	56.79%	-2,860,842,717	-14.24%
	Institutions:						
	Corporates	1,363,619,480	6.79%	467,169,687	2.33%	896,449,793	4.46%
	Mutual Funds	544,276,271	2.71%	689,116,517	3.43%	-144,840,246	-0.72%
	GRE's	51,893,132	0.26%	1,551,414	0.01%	50,341,717	0.25%
	Institution DPMs	321,056,977	1.60%	556,303,079	2.77%	-235,246,102	-1.17%
	Sub Total (Institutions)	2,280,845,859	11.35%	1,714,140,697	8.53%	566,705,162	2.82%
Total Saudi Investors	10,828,729,464	53.91%	13,122,867,020	65.33%	-2,294,137,555	-11.42%	
GCC	Individuals	15,803,357	0.08%	137,067,525	0.68%	-121,264,168	-0.60%
	Institutions	103,701,815	0.52%	509,442,455	2.54%	-405,740,640	-2.02%
	GCC DPMs	2,419,393	0.01%	144,860	0.00%	2,274,534	0.01%
	Total GCC Investors	121,924,566	0.61%	646,654,840	3.22%	-524,730,274	-2.61%
Foreign	SWAP Holders	66,346,928	0.33%	186,538,809	0.93%	-120,191,882	-0.60%
	Foreign Residents	158,003,118	0.79%	180,203,290	0.90%	-22,200,172	-0.11%
	QFIs	8,913,391,091	44.37%	5,950,966,116	29.62%	2,962,424,975	14.75%
	Foreign DPMs	0	0.00%	1,165,092	0.01%	-1,165,092	-0.01%
	Strategic Partners	0	0.00%	0	0.00%	0	0.00%
	Total Foreign Investors	9,137,741,136	45.49%	6,318,873,307	31.46%	2,818,867,829	14.03%
Grand Total		20,088,395,166	100.00%	20,088,395,166	100.00%		

Weekly Report - Main Market

Value Traded (by Investor Classification)

Main Market Value Traded Breakdown - By Investor Classification

Investor Classification	Buy		Sell		Net Value Traded	
	SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Institutional	11,842,493,746	58.95%	9,383,881,089	46.71%	2,458,612,657	12.24%
Non-Institutional	8,245,901,420	41.05%	10,704,514,077	53.29%	-2,458,612,657	-12.24%
Grand Total	20,088,395,166	100.00%	20,088,395,166	100.00%		

Definitions

Institutional:

Refers to investors with institutional behavior including GREs, Saudi Corporates, Saudi Mutual Funds, Saudi and Non-Saudi DPMs, Saudi Individual Professional Investors (IPI), SWAP Holders, Foreign Strategic Partners and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.

Weekly Report - Main Market

Ownership Value (by Nationality and Investor Type)

Main Market Ownership Breakdown - By Nationality and Investor Type

Nationality	Investor Type	As of 12 September 2019		As of 19 September 2019		Weekly Change	
		Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
Saudi	Individuals:						
	Retail	70,825,707,807	3.82%	71,662,352,436	3.82%	836,644,629	0.00%
	HNWIs	142,659,461,906	7.69%	143,486,785,955	7.65%	827,324,049	-0.04%
	IPIs	258,417,879,618	13.93%	261,368,316,570	13.93%	2,950,436,952	0.00%
	Individual DPMs	4,295,628,398	0.23%	4,256,591,283	0.23%	-39,037,115	0.00%
	Sub Total (Individuals)	476,198,677,729	25.68%	480,774,046,243	25.63%	4,575,368,514	-0.05%
	Institutions:						
	Corporates	328,474,158,419	17.71%	332,214,675,961	17.71%	3,740,517,542	0.00%
	Mutual Funds	188,007,853,099	10.14%	190,239,925,070	10.14%	2,232,071,971	0.00%
	GRE's	636,108,476,665	34.30%	644,881,429,370	34.38%	8,772,952,705	0.08%
	Institution DPMs	34,416,184,404	1.86%	34,553,933,401	1.84%	137,748,998	-0.01%
Sub Total (Institutions)	1,187,006,672,587	64.00%	1,201,889,963,802	64.07%	14,883,291,215	0.07%	
Total Saudi Investors	1,663,205,350,316	89.68%	1,682,664,010,045	89.70%	19,458,659,729	0.03%	
GCC	Individuals	2,552,018,966	0.14%	2,476,924,969	0.13%	-75,093,997	-0.01%
	Institutions	32,340,182,108	1.74%	31,829,096,218	1.70%	-511,085,890	-0.05%
	GCC DPMs	562,956,520	0.03%	577,888,731	0.03%	14,932,211	0.00%
	Total GCC Investors	35,455,157,594	1.91%	34,883,909,918	1.86%	-571,247,676	-0.05%
Foreign	SWAP Holders	3,153,440,021	0.17%	3,147,489,407	0.17%	-5,950,614	0.00%
	Foreign Residents	5,417,739,375	0.29%	5,377,131,300	0.29%	-40,608,075	-0.01%
	QFIs	98,752,815,725	5.32%	101,028,864,816	5.39%	2,276,049,091	0.06%
	Foreign DPMs	17,385,743	0.00%	17,260,288	0.00%	-125,455	0.00%
	Strategic Partners	48,644,945,171	2.62%	48,658,140,920	2.59%	13,195,750	-0.03%
Total Foreign Investors	155,986,326,034	8.41%	158,228,886,731	8.44%	2,242,560,697	0.02%	
Grand Total		1,854,646,833,944	100.00%	1,875,776,806,695	100.00%	21,129,972,751	

* Non deducted amount was not reflected as on 19 September holding value (Al-Ahlia Insurance Co. 8140 - Corporate Action - Capital Reduction)

Weekly Report - Main Market

Ownership Value (by Investor Classification)

Main Market Ownership Breakdown - By Investor Classification						
Investor Classification	As of 12 September 2019		As of 19 September 2019		Weekly Change	
	Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
Institutional	1,633,191,905,890	88.06%	1,652,773,612,035	88.11%	19,581,706,145	0.05%
Non-Institutional	221,454,928,054	11.94%	223,003,194,660	11.89%	1,548,266,606	-0.05%
Grand Total	1,854,646,833,944	100.00%	1,875,776,806,695	100.00%	21,129,972,751	

Definitions

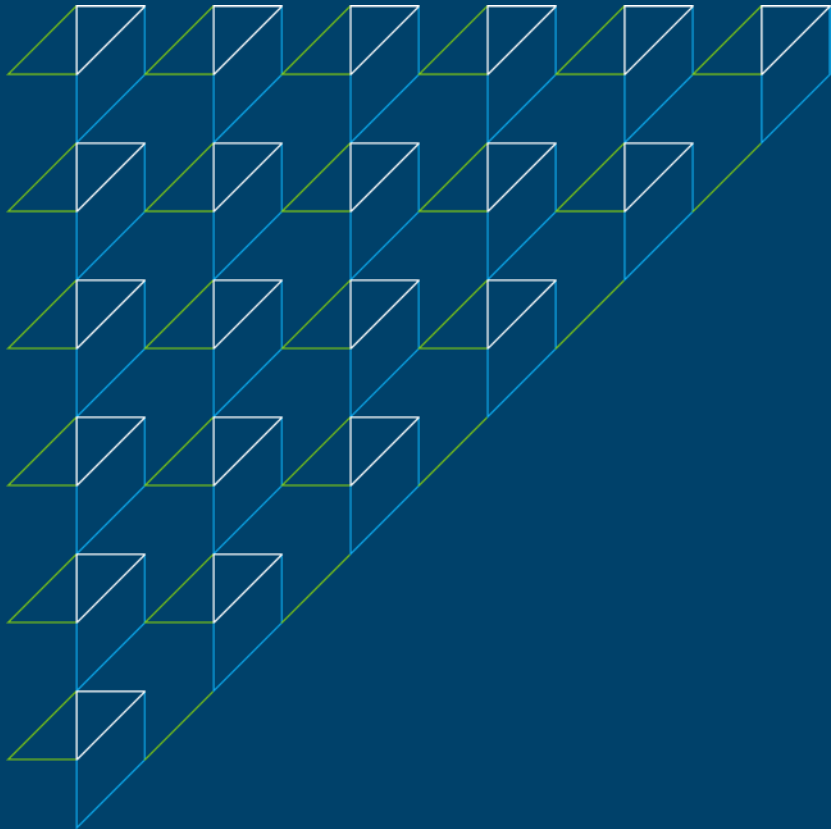
Institutional:

Refers to investors with institutional behavior including GREs, Saudi Corporates, Saudi Mutual Funds, Saudi and Non-Saudi DPMs, Saudi Individual Professional Investors (IPI), SWAP Holders, Foreign Strategic Partners and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.

Nomu-Parallel Market - Value Traded & Ownership Breakdown



Weekly Report – Nomu-Parallel Market

Value Traded (by Nationality, Investor Type, & Classification)

Nomu-Parallel Market Value Traded Breakdown - By Nationality and Investor Type

Nationality	Investor Type	Buy		Sell		Net Value Traded	
		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Saudi	Individuals	6,487,780	88.37%	6,767,254	92.17%	-279,474	-3.81%
	Corporates	134,302	1.83%	130,429	1.78%	3,873	0.05%
	Institution	150,275	2.05%	0	0.00%	150,275	2.05%
	Total Saudi Investors	6,772,358	92.24%	6,897,683	93.95%	-125,325	-1.71%
GCC	Individuals	0	0.00%	0	0.00%	0	0.00%
	Institutions	284,500	3.87%	0	0.00%	284,500	3.87%
	Total GCC Investors	284,500	3.87%	0	0.00%	284,500	3.87%
Foreign	SWAP Holders	0	0.00%	0	0.00%	0	0.00%
	Foreign Residents	285,090	3.88%	444,265	6.05%	-159,175	-2.17%
	QFIs	0	0.00%	0	0.00%	0	0.00%
	Total Foreign Investors	285,090	3.88%	444,265	6.05%	-159,175	-2.17%
Grand Total		7,341,948	100.00%	7,341,948	100.00%		

Nomu-Parallel Market Value Traded Breakdown - By Investor Classification

Investor Classification	Buy		Sell		Net Value Traded	
	SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Institutional	569,077	7.75%	130,429	1.78%	438,649	5.97%
Non-Institutional	6,772,871	92.25%	7,211,520	98.22%	-438,649	-5.97%
Grand Total	7,341,948	100.00%	7,341,948	100.00%		

Institutional:

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.

Weekly Report – Nomu-Parallel Market

Ownership Value (by Nationality, Investor Type, & Classification)

Nomu-Parallel Market Ownership Breakdown - By Nationality and Investor Type							
Nationality	Investor Type	As of 12 September 2019		As of 19 September 2019		Weekly Change	
		Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
Saudi	Individuals	4,342,007,615	95.50%	4,285,917,526	95.62%	-56,090,089	0.12%
	Corporates	131,113,546	2.88%	125,273,236	2.79%	-5,840,310	-0.09%
	Institution	41,349,619	0.91%	40,261,989	0.90%	-1,087,629	-0.01%
	Total Saudi Investors	4,514,470,779	99.29%	4,451,452,751	99.31%	-63,018,028	0.02%
GCC	Individuals	0	0.00%	0	0.00%	0	0.00%
	Institutions	11,778,234	0.26%	11,128,104	0.25%	-650,130	-0.01%
	Total GCC Investors	11,778,234	0.26%	11,128,104	0.25%	-650,130	-0.01%
Foreign	SWAP Holders	179,043	0.00%	133,969	0.00%	-45,075	0.00%
	Foreign Residents	5,324,250	0.12%	4,759,310	0.11%	-564,940	-0.01%
	QFIs	14,799,654	0.33%	14,764,078	0.33%	-35,576	0.00%
	Total Foreign Investors	20,302,947	0.45%	19,657,356	0.44%	-645,591	-0.01%
Grand Total		4,546,551,960	100.00%	4,482,238,210	100.00%	-64,313,750	

Nomu-Parallel Market Ownership Breakdown - By Investor Classification						
Investor Classification	As of 12 September 2019		As of 19 September 2019		Weekly Change	
	Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
Institutional	199,220,095	4.38%	191,561,375	4.27%	-7,658,721	-0.11%
Non-Institutional	4,347,331,865	95.62%	4,290,676,835	95.73%	-56,655,029	0.11%
Grand Total	4,546,551,960	100.00%	4,482,238,210	100.00%	-64,313,750	

Institutional:

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.

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Saudi Stock Exchange

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Thank you

