

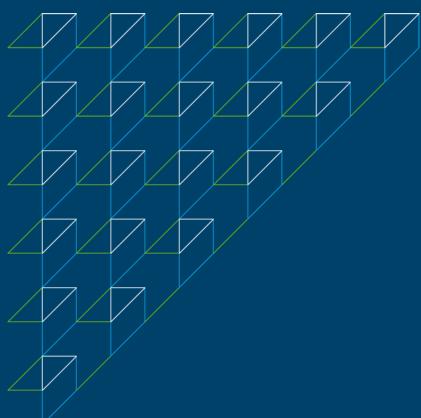
# Weekly Stock Market Ownership and Trading Activity Report

**Market Reports** 

Week Ending 26 October 2017



# Definitions



# Weekly Stock Market Ownership and Trading Activity Report



#### **Definitions**

Nationality	Investor Type	Description
	Individuals*	Saudi Individuals have been classified under 4 categories as defined below:
	- Retail	Retail investors are Saudi individuals , excluding IPIs (see below) and HNWIs.
	- High Net Worth Investors (HNWIs)	<b>HNWIs</b> are Saudi individuals who have had an average portfolio size of SAR 1m (and above) for the preceding 12 months, excluding <b>IPIs</b> (see below).
	- Individual Professional Investors (IPIs)	<b>IPIs</b> are Saudi individuals who have had an average portfolio size of SAR 50m (and above) for the preceding 12 months and a portfolio turnover ratio of not more than 4 times annually.
Saudi	- Individual DPMs	Saudi individual investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	Institutions	Saudi Institutions have been classified under 4 categories as defined below:
	- Corporates	Saudi company with a commercial registration permitting its investment in the stock market .
	- Mutual Funds	A mutual fund managed by an Authorized Person and licensed by the CMA.
	- Government Related Entities (GREs)	A Government entity or a supranational authority recognized by the CMA.
	- Institutional DPMs	Saudi institutional investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	Individuals*	GCC natural person
GCC	Institutions	GCC legal person with a commercial registration certificate (corporate or mutual fund), in addition to GCC government entities.
	GCC DPMs	GCC investment account (institutional or individual) in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	SWAP Holders	Authorized Person with the permission to enter into SWAP agreements to transfer the economic benefits of its ownership of shares in Saudi companies listed on the Saudi Stock Exchange to its non-resident foreign clients.
Foreign	Foreign Residents*	Foreign investors domiciled in the Kingdom with a valid residency permit (Iqama) (excluding GCC nationals).
	QFIs	Qualified Foreign Institutional investors registered with the CMA in accordance with the QFI Rules, to invest in shares listed on the Saudi Stock Exchange.
	Foreign DPMs	Foreign resident investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	Strategic Partners	Foreign investors considered founders and partners of Saudi listed companies.

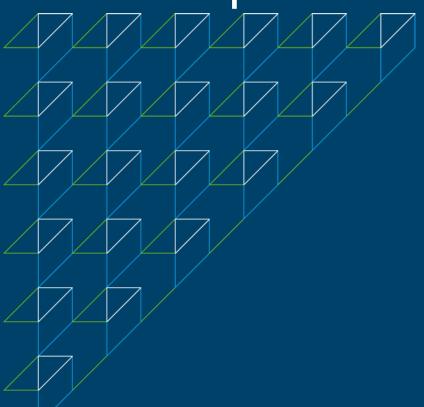
\*Nomu-Parallel Market Individual Investors

Individuals who fulfill any of the following:

- Conducted transactions not less than SAR 40m, and not less than 10 transaction in each quarter during last 12 months.

- Have an average portfolio size of SAR 10m for the preceding 12 months
- Hold General Securities Qualification Certificate which is recognized by the Authority.

# Main Market - Value Traded & Ownership Breakdown





## Value Traded (by Nationality and Investor Type)

#### Main Market Value Traded Breakdown - By Nationality and Investor Type Buy Sell **Net Value Traded Nationality Investor Type** Difference SAR SAR % of Total Buys SAR % of Total Sells (Buy - Sell) (% Buy - % Sell) Individuals: Retail 5,228,536,575 42.30% 5,827,242,815 47.14% -598,706,240 -4.84% **HNWIs** 3,837,637,318 31.05% 4,053,889,734 32.79% -216,252,416 -1.75% **IPIs** 241.604.512 1.95% 365.948.382 2.96% -124.343.870 -1.01% Individual DPMs 150.167.543 1.21% 103.328.176 0.84% 46.839.367 0.38% Sub Total (Individuals) 9,457,945,949 76.51% 10,350,409,107 83.73% -892,463,158 -7.22% Institutions: Saudi 2.95% 9.53% 364.062.524 814.208.965 6.59% Corporates 1.178.271.489 **Mutual Funds** 807.235.009 6.53% 446.238.322 3.61% 360.996.687 2.92% GRE's 0 0.00% 0 0.00% 0 0.00% Institution DPMs 141.089.228 1.14% 194.192.778 1.57% -53.103.550 -0.43% Sub Total (Institutions) 2,126,595,726 17.20% 1,004,493,624 8.13% 1,122,102,102 9.08% Total Saudi Investors 11,584,541,675 93.71% 11,354,902,731 91.86% 229,638,944 1.86% -3.518.289 Individuals 34.037.010 0.28% 37.555.299 0.30% -0.03% Institutions 213.389.893 1.73% 192.777.066 1.56% 20.612.828 0.17% GCC GCC DPMs 0.00% 0.78% -95,890,010 -0.78% 61,851 95,951,861 Total GCC Investors 247.488.754 2.00% 326.284.225 2.64% -78.795.471 -0.64% **SWAP Holders** 114,873,485 0.93% 239,286,383 1.94% -124,412,898 -1.01% Foreign Residents 154.394.708 1.25% 173.089.507 1.40% -18.694.798 -0.15% QFIs 176,257,394 1.43% 239,950,584 1.94% -63,693,190 -0.52% Foreign Foreign DPMs 83.922.420 0.68% 27.965.007 0.23% 55.957.413 0.45% 0.00% Strategic Partners 0.00% 0.00% -150,843,473 Total Foreign Investors 529,448,007 4.28% 680,291,480 5.50% -1.22% **Grand Total** 12,361,478,436 100.00% 12,361,478,436 100.00%



#### Value Traded (by Investor Classification)

Main Market Value Traded Breakdown - By Investor Classification										
	Buy		Sell		Net Value Traded					
Investor Classification	SAR	% of Total Buys	SAR	% of Total Sells		SAR (Buy - Sell)	Difference (% Buy - % Sell)			
Institutional	3,106,872,824	25.13%	2,269,701,082	18.36%		837,171,742	6.77%			
Non-Institutional	9,254,605,612	74.87%	10,091,777,354	81.64%		-837,171,742	-6.77%			
Grand Total	12,361,478,436	100.00%	12,361,478,436	100.00%						

#### **Definitions**

#### Institutional:

Refers to investors with institutional behavior including GREs, Saudi Corporates, Saudi Mutual Funds, Saudi and Non-Saudi DPMs, Saudi Individual Professional Investors (IPI), SWAP Holders, Foreign Strategic Partners and Qualified Foreign Investors (QFI).

#### Non Institutional:

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.

Strategic Partners

Total Foreign Investors



## Ownership Value (by Nationality and Investor Type)

#### Main Market Ownership Breakdown - By Nationality and Investor Type **Weekly Change** As of 19 October 2017 As of 26 October 2017\* **Nationality Investor Type** Δ Holding Value Δ Ownership **Holding Value** Ownership **Holding Value** Ownership (SAR) (%) (SAR) (%) (SAR) (%) Individuals: 4.36% -359,583,869 0.05% Retail 71,551,676,988 4.31% 71,192,093,119 7.87% **HNWIs** 130.453.941.475 128.821.931.666 7.89% -1.632.009.809 0.03% **IPIs** 247,547,606,607 14.93% 244,072,580,275 14.96% -3,475,026,333 0.03% Individual DPMs 4.708.763.349 0.28% 4.681.301.359 0.29% -27.461.990 0.00% Sub Total (Individuals) 454,261,988,419 27.39% 448,767,906,419 27.50% -5,494,082,001 0.10% Institutions: Saudi Corporates 273,923,933,817 16.52% 269,550,074,952 16.52% -4,373,858,866 0.00% Mutual Funds 164,825,939,318 9.94% 162,186,512,916 9.94% -2,639,426,402 0.00% GRE's 610,832,387,148 36.83% 600,539,302,615 36.80% -10,293,084,533 -0.04% Institution DPMs -608.668.752 0.01% 48.725.225.940 2.94% 48.116.557.187 2.95% Sub Total (Institutions) 1,098,307,486,223 66.23% 1,080,392,447,670 66.20% -17,915,038,553 -0.03% Total Saudi Investors 1,552,569,474,642 93.62% 1,529,160,354,088 93.70% -23,409,120,554 0.07% Individuals 2.832.338.904 0.17% 2.812.471.984 0.17% -19,866,920 0.00% -0.04% Institutions 31.622.231.848 1.91% 30.390.482.307 1.86% -1.231.749.541 **GCC** GCC DPMs 659,601,788 0.04% 530,939,433 0.03% -128,662,355 -0.01% Total GCC Investors 35,114,172,540 2.12% 33,733,893,724 2.07% -1,380,278,816 -0.05% **SWAP Holders** 10.091.886.827 0.61% 9.797.910.448 0.60% -293.976.379 -0.01% Foreign Residents 4,130,212,567 0.25% 4,080,230,124 0.25% -49.982.443 0.00% QFIs 0.43% -239.119.328 -0.01% 7.290.615.843 0.44% 7.051.496.515 **Foreign** Foreign DPMs 0.17% 2,763,376,846 0.17% -46,734,107 0.00% 2,810,110,952

2.79%

4.26%

100.00%

45.436.502.231

69,129,516,164

1,632,023,763,976

2.78%

4.24%

100.00%

-862.640.010

-1,492,452,267

-26,281,851,636

-0.01%

-0.02%

46.299.142.242

70,621,968,430

1,658,305,615,612

**Grand Total** 

<sup>\*</sup> Non Deposited Tradable Rights amount of 555,560,000 SAR was not reflected as on 26 October holding value



#### Ownership Value (by Investor Classification)

Main Market Ownership Breakdown - By Investor Classification										
	As of 19 October 2017		As of 26 Octo	ober 2017*	Weekly Change					
Investor Classification	Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	∆ Holding Value (SAR)	∆ Ownership (%)				
Institutional	1,449,337,445,678	87.40%	1,425,117,037,083	87.32%	-24,220,408,596	-0.08%				
Non-Institutional	208,968,169,934	12.60%	206,906,726,893	12.68%	-2,061,443,041	0.08%				
<b>Grand Total</b>	1,658,305,615,612	100.00%	1,632,023,763,976	100.00%	-26,281,851,636					

#### **Definitions**

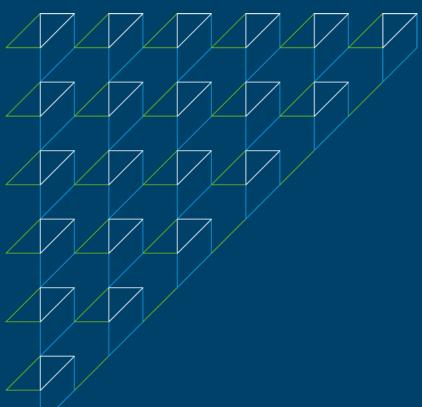
#### Institutional:

Refers to investors with institutional behavior including GREs, Saudi Corporates, Saudi Mutual Funds, Saudi and Non-Saudi DPMs, Saudi Individual Professional Investors (IPI), SWAP Holders, Foreign Strategic Partners and Qualified Foreign Investors (QFI).

#### Non Institutional:

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.

# Nomu-Parallel Market - Value Traded & Ownership Breakdown



# Weekly Report – Nomu-Parallel Market



# Value Traded (by Nationality, Investor Type, & Classification)

Nationality	Investor Type	Buy		Sell		Net Value Traded		
		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sel	
	Individuals	4,967,040	93.57%	4,311,100	81.21%	655,940	12.36%	
Caudi	Corporates	183,186	3.45%	0	0.00%	183,186	3.45%	
Saudi	Institution	100,100	1.89%	897,170	16.90%	-797,070	-15.019	
	Total Saudi Investors	5,250,326	98.90%	5,208,270	98.11%	42,056	0.79%	
GCC	Individuals	0	0.00%	0	0.00%	0	0.009	
	Institutions	0	0.00%	0	0.00%	0	0.00	
	Total GCC Investors	0	0.00%	0	0.00%	0	0.00	
	SWAP Holders	0	0.00%	0	0.00%	0	0.00	
	Foreign Residents	58,318	1.10%	100,374	1.89%	-42,056	-0.79	
Foreign	QFIs	0	0.00%	0	0.00%	0	0.00	
	Total Foreign Investors	58,318	1.10%	100,374	1.89%	-42,056	-0.79	
rand 7	<b>Total</b>	5,308,644	100.00%	5,308,644	100.00%			
Nomu-Pa	arallel Market Value T	raded Break	down - By Inve	stor Classific	cation			
Investor Classification		Buy	<b>,</b>	Sel	ı	Net Value	Traded	
		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Se	
nstitutional		283,286	5.34%	897.170	16.90%	-613,884	-11.56	
nstitutio <u>nai</u>		203,200	3.34 /6	037,170	10.5070	-010,004	-11.50	

#### Institutional:

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

5,308,644

100.00%

#### Non Institutional:

**Grand Total** 

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.

100.00%

5,308,644

# Weekly Report – Nomu-Parallel Market



# Ownership Value (by Nationality, Investor Type, & Classification)

Nationality	Investor Type	As of 19 October 2017		As of 26 Octo	ber 2017	Weekly Change		
		Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)	
Saudi	Individuals	1,907,225,369	89.01%	1,989,091,785	89.07%	81,866,416	0.06%	
	Corporates	107,968,642	5.04%	115,325,774	5.16%	7,357,132	0.13%	
	Institution	109,959,449	5.13%	110,516,806	4.95%	557,357	-0.18%	
	Total Saudi Investors	2,125,153,460	99.18%	2,214,934,365	99.18%	89,780,905	0.00%	
	Individuals	28,139	0.00%	28,975	0.00%	837	0.00%	
GCC	Institutions	11,542,044	0.54%	12,088,772	0.54%	546,728	0.00%	
	Total GCC Investors	11,570,183	0.54%	12,117,747	0.54%	547,565	0.00%	
	SWAP Holders	186,618	0.01%	206,344	0.01%	19,726	0.00%	
Foreign	Foreign Residents	3,295,246	0.15%	3,381,420	0.15%	86,174	0.00%	
	QFIs	2,568,978	0.12%	2,616,113	0.12%	47,135	0.00%	
	Total Foreign Investors	6,050,843	0.28%	6,203,877	0.28%	153,035	0.00%	
Grand Total		2,142,774,485	100.00%	2,233,255,990	100.00%	90,481,505		

Nomu-Parallel Market Ownership Breakdown - By Investor Classification									
	As of 19 October 2017 As of		As of 26 Octo	of 26 October 2017		Weekly Change			
Investor Classification	Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	L	A Holding Value (SAR)	Δ Ownership (%)		
Institutional	232,225,732	10.84%	240,753,811	10.78%		8,528,079	-0.06%		
Non-Institutional	1,910,548,753	89.16%	1,992,502,179	89.22%		81,953,426	0.06%		
Grand Total	2,142,774,485	100.00%	2,233,255,990	100.00%		90,481,505			

#### Institutional:

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

#### Non Institutional:

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.



