

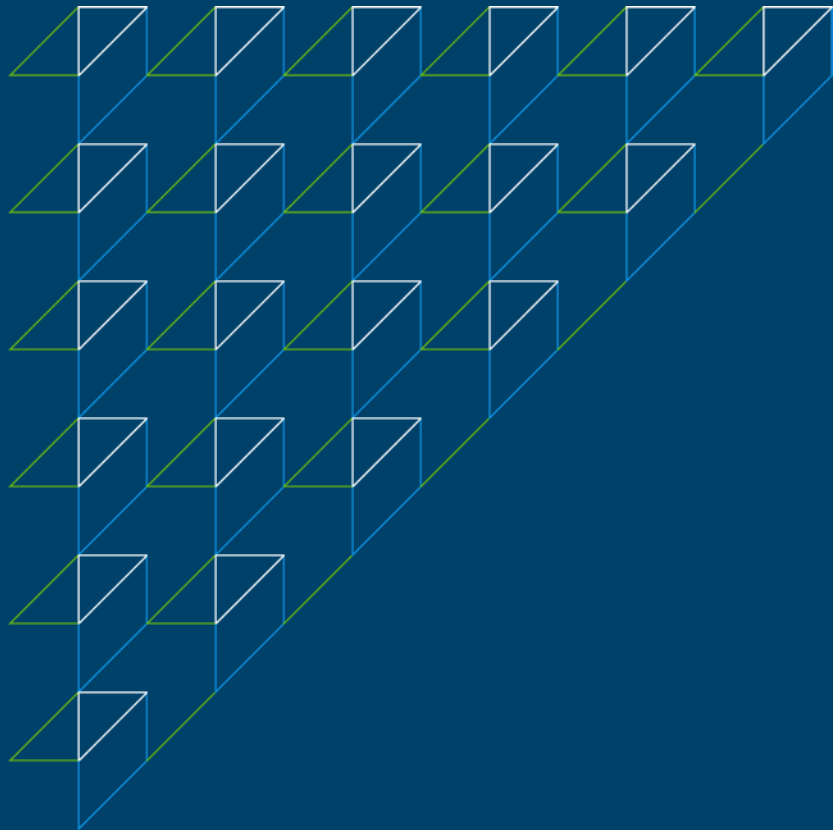
Weekly Stock Market Ownership and Trading Activity Report

Market Reports

Week Ending 08 April 2021



Definitions



Weekly Stock Market Ownership and Trading Activity Report

Definitions

Nationality	Investor Type	Description
Saudi	Individuals*	Saudi Individuals have been classified under 4 categories as defined below:
	- Retail	Retail investors are Saudi individuals , excluding IPIs (see below) and HNWIs.
	- High Net Worth Investors (HNWIs)	HNWIs are Saudi individuals who have had an average portfolio size of SAR 1m (and above) for the preceding 12 months, excluding IPIs (see below).
	- Individual Professional Investors (IPIs)	IPIs are Saudi individuals who have had an average portfolio size of SAR 50m (and above) for the preceding 12 months and a portfolio turnover ratio of not more than 4 times annually.
	- Individual DPMs	Saudi individual investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	Institutions	Saudi Institutions have been classified under 4 categories as defined below:
	- Corporates	Saudi company with a commercial registration permitting its investment in the stock market .
	- Mutual Funds	A mutual fund managed by an Authorized Person and licensed by the CMA.
	- Government Related Entities (GREs)	A Government entity or a supranational authority recognized by the CMA.
- Institutional DPMs	Saudi institutional investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).	
GCC	Individuals*	GCC natural person
	Institutions	GCC legal person with a commercial registration certificate (corporate or mutual fund), in addition to GCC government entities.
	GCC DPMs	GCC investment account (institutional or individual) in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
Foreign	SWAP Holders	Authorized Person with the permission to enter into SWAP agreements to transfer the economic benefits of its ownership of shares in Saudi companies listed on the Saudi Stock Exchange to its non-resident foreign clients.
	Foreign Residents & Others	Investors with a valid residency permit (Iqama) and others (excluding SWAP, QFIs, DPM & Strategic Investors).
	QFIs	Qualified Foreign Institutional investors registered with the CMA in accordance with the QFI Rules, to invest in shares listed on the Saudi Stock Exchange.
	Foreign DPMs	Foreign resident investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	Strategic Investors	A foreign legal entity that aims to own a strategic shareholding in listed companies.

*Nomu-Parallel Market Individual Investors

Individuals who fulfill any of the following:

- Conducted transactions not less than SAR 40m, and not less than 10 transaction in each quarter during last 12 months.
- Have an average portfolio size of SAR 5m for the preceding 12 months
- Worked for at least 3 years in financial sectors.
- Hold General Securities Qualification Certificate which is recognized by the Authority.
- Holds professional certificate that is related to securities business and accredited by an internationally recognized entity

Main Market - Value Traded & Ownership Breakdown



Weekly Report - Main Market

Value Traded (by Nationality and Investor Type)

Main Market Value Traded Breakdown - By Nationality and Investor Type

Nationality	Investor Type	Buy		Sell		Net Value Traded		
		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)	
Saudi	Individuals:							
	Retail	30,946,328,192	58.00%	31,304,645,327	58.67%	-358,317,134	-0.67%	
	HNWIs	14,379,759,347	26.95%	14,950,469,715	28.02%	-570,710,368	-1.07%	
	IPs	963,333,137	1.81%	1,802,254,638	3.38%	-838,921,501	-1.57%	
	Individual DPMs	485,704,896	0.91%	259,717,208	0.49%	225,987,687	0.42%	
	Sub Total (Individuals)	46,775,125,572	87.66%	48,317,086,888	90.55%	-1,541,961,316	-2.89%	
	Institutions:							
	Corporates	1,451,448,982	2.72%	673,148,424	1.26%	778,300,558	1.46%	
	Mutual Funds	1,074,187,133	2.01%	1,336,371,510	2.50%	-262,184,377	-0.49%	
	GRE's	144,428,719	0.27%	4,945,106	0.01%	139,483,612	0.26%	
	Institution DPMs	287,426,407	0.54%	347,725,755	0.65%	-60,299,348	-0.11%	
	Sub Total (Institutions)	2,957,491,241	5.54%	2,362,190,795	4.43%	595,300,446	1.12%	
	Total Saudi Investors	49,732,616,814	93.20%	50,679,277,683	94.98%	-946,660,870	-1.77%	
GCC	Individuals	85,837,630	0.16%	90,471,758	0.17%	-4,634,129	-0.01%	
	Institutions	281,446,430	0.53%	353,863,451	0.66%	-72,417,021	-0.14%	
	GCC DPMs	19,411,557	0.04%	151,096	0.00%	19,260,460	0.04%	
	Total GCC Investors	386,695,617	0.72%	444,486,305	0.83%	-57,790,689	-0.11%	
Foreign	SWAP Holders	11,037,144	0.02%	17,622,548	0.03%	-6,585,403	-0.01%	
	Foreign Residents & Others	884,005,155	1.66%	935,768,945	1.75%	-51,763,790	-0.10%	
	QFIs	2,344,717,330	4.39%	1,281,478,574	2.40%	1,063,238,756	1.99%	
	Foreign DPMs	692,439	0.00%	1,130,443	0.00%	-438,004	0.00%	
	Strategic Investor	0	0.00%	0	0.00%	0	0.00%	
Total Foreign Investors	3,240,452,069	6.07%	2,236,000,510	4.19%	1,004,451,559	1.88%		
Grand Total		53,359,764,499	100.00%	53,359,764,499	100.00%			

Weekly Report - Main Market

Value Traded (by Investor Classification)

Main Market Value Traded Breakdown - By Investor Classification						
Investor Classification	Buy		Sell		Net Value Traded	
	SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Institutional	7,063,834,174	13.24%	6,078,408,753	11.39%	985,425,421	1.85%
Non-Institutional	46,295,930,324	86.76%	47,281,355,745	88.61%	-985,425,421	-1.85%
Grand Total	53,359,764,499	100.00%	53,359,764,499	100.00%		

Definitions

Institutional:

Refers to investors with institutional behavior including GREs, Saudi Corporates, Saudi Mutual Funds, Saudi and Non-Saudi DPMs, Saudi Individual Professional Investors (IPI), SWAP Holders, Foreign Strategic Partners and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.

Weekly Report - Main Market

Ownership Value (by Nationality and Investor Type)

Main Market Ownership Breakdown - By Nationality and Investor Type										
Nationality	Investor Type	As of 01 April 2021			As of 08 April 2021			Weekly Change		
		Holding Value (SAR)	Ownership		Holding Value (SAR)	Ownership		Δ Holding Value (SAR)	Ownership	
			Issued (%)	Free Float (%)		Issued (%)	Free Float (%)		Δ Issued (%)	Δ Free Float (%)
Saudi	Individuals:									
	Retail	133,676,986,510	1.41%	8.94%	137,450,295,085	1.43%	9.06%	3,773,308,575	0.03%	0.12%
	HNWIs	215,007,876,270	2.26%	14.22%	214,708,944,457	2.24%	13.99%	-298,931,813	-0.02%	-0.23%
	IPLs	337,428,678,978	3.55%	16.62%	340,375,652,863	3.55%	16.46%	2,946,973,886	0.00%	-0.15%
	Individual DPMs	6,979,908,663	0.07%	0.46%	7,070,406,986	0.07%	0.46%	90,498,323	0.00%	0.00%
	Sub Total (Individuals)	693,093,450,420	7.29%	40.23%	699,605,299,391	7.30%	39.97%	6,511,848,971	0.01%	-0.27%
	Institutions:									
	Corporates	724,077,592,126	7.61%	22.27%	738,325,133,003	7.70%	22.31%	14,247,540,877	0.09%	0.03%
	Mutual Funds	231,287,454,522	2.43%	10.54%	241,417,666,825	2.52%	10.79%	10,130,212,303	0.09%	0.25%
	GRE's	7,501,619,161,533	78.85%	6.38%	7,539,706,114,031	78.62%	6.37%	38,086,952,497	-0.23%	0.00%
	Institution DPMs	71,800,852,179	0.75%	4.80%	73,116,621,952	0.76%	4.81%	1,315,769,773	0.01%	0.02%
Sub Total (Institutions)	8,528,785,060,361	89.65%	43.99%	8,592,565,535,811	89.60%	44.28%	63,780,475,450	-0.05%	0.29%	
Total Saudi Investors	9,221,878,510,781	96.93%	84.22%	9,292,170,835,203	96.89%	84.25%	70,292,324,422	-0.04%	0.03%	
GCC	Individuals	3,097,390,488	0.03%	0.21%	3,148,726,463	0.03%	0.21%	51,335,975	0.00%	0.00%
	Institutions	46,857,497,805	0.49%	2.26%	47,204,194,599	0.49%	2.22%	346,696,794	0.00%	-0.04%
	GCC DPMs	262,633,694	0.00%	0.02%	283,174,953	0.00%	0.02%	20,541,259	0.00%	0.00%
	Total GCC Investors	50,217,521,987	0.53%	2.49%	50,636,096,015	0.53%	2.45%	418,574,028	0.00%	-0.04%
Foreign	SWAP Holders	2,035,536,057	0.02%	0.14%	2,088,709,803	0.02%	0.14%	53,173,746	0.00%	0.00%
	Foreign Residents & Others	7,276,130,427	0.08%	0.36%	7,324,687,608	0.08%	0.35%	48,557,181	0.00%	0.00%
	QFIs	189,604,622,727	1.99%	12.80%	194,024,716,197	2.02%	12.81%	4,420,093,470	0.03%	0.01%
	Foreign DPMs	17,082,306	0.00%	0.00%	17,063,898	0.00%	0.00%	-18,408	0.00%	0.00%
	Strategic Investors	42,844,629,613	0.45%	0.00%	43,881,793,494	0.46%	0.00%	1,037,163,881	0.01%	0.00%
Total Foreign Investors	241,778,001,129	2.54%	13.30%	247,336,970,999	2.58%	13.30%	5,558,969,870	0.04%	0.01%	
Grand Total		9,513,874,033,897	100.00%	100.00%	9,590,143,902,217	100.00%	100.00%	76,269,868,320		

* Non deposited shares was not reflected as on 08 April holding value (Tabuk Agricultural Development Co. 6040 - Corporate Action -Right Issue)

Weekly Report - Main Market

Ownership Value (by Investor Classification)

Main Market Ownership Breakdown - By Investor Classification

Investor Classification	As of 01 April 2021			As of 08 April 2021			Weekly Change		
	Holding Value (SAR)	Ownership		Holding Value (SAR)	Ownership		Δ Holding Value (SAR)	Ownership	
		Issued (%)	FreeFloat (%)		Issued (%)	FreeFloat (%)		Δ Issued (%)	Δ FreeFloat (%)
Institutional	9,156,688,353,174	96.23%	76.28%	9,229,374,458,313	96.22%	76.39%	72,686,105,139	-0.01%	0.12%
Non-Institutional	357,185,680,723	3.77%	23.72%	360,769,443,904	3.78%	23.61%	3,583,763,181	0.01%	-0.12%
Grand Total	9,513,874,033,897	100.00%	100.00%	9,590,143,902,217	100.00%	100.00%	76,269,868,320		

Definitions

Institutional:

Refers to investors with institutional behavior including GREs, Saudi Corporates, Saudi Mutual Funds, Saudi and Non-Saudi DPMs, Saudi Individual Professional Investors (IPI), SWAP Holders, Foreign Strategic Partners and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.

Nomu-Parallel Market - Value Traded & Ownership Breakdown



Weekly Report – Nomu-Parallel Market

Value Traded (by Nationality, Investor Type, & Classification)

Nomu-Parallel Market Value Traded Breakdown - By Nationality and Investor Type

Nationality	Investor Type	Buy		Sell		Net Value Traded	
		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Saudi	Individuals	80,006,098	90.58%	86,364,718	97.78%	-6,358,619	-7.20%
	Corporates	408,750	0.46%	1,255,702	1.42%	-846,952	-0.96%
	Institution	4,219,890	4.78%	0	0.00%	4,219,890	4.78%
	Total Saudi Investors	84,634,739	95.83%	87,620,420	99.21%	-2,985,681	-3.38%
GCC	Individuals	0	0.00%	249,892	0.28%	-249,892	-0.28%
	Institutions	0	0.00%	0	0.00%	0	0.00%
	Total GCC Investors	0	0.00%	249,892	0.28%	-249,892	-0.28%
Foreign	SWAP Holders	0	0.00%	0	0.00%	0	0.00%
	Foreign Residents	777,084	0.88%	361,594	0.41%	415,490	0.47%
	QFIs	2,910,110	3.29%	90,027	0.10%	2,820,083	3.19%
	Total Foreign Investors	3,687,194	4.17%	451,620	0.51%	3,235,574	3.66%
Grand Total		88,321,932	100.00%	88,321,932	100.00%		

Nomu-Parallel Market Value Traded Breakdown - By Investor Classification

Investor Classification	Buy		Sell		Net Value Traded	
	SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Institutional	7,538,750	8.54%	1,345,729	1.52%	6,193,021	7.01%
Non-Institutional	80,783,182	91.46%	86,976,204	98.48%	-6,193,021	-7.01%
Grand Total	88,321,932	100.00%	88,321,932	100.00%		

Institutional:

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.

Weekly Report – Nomu-Parallel Market

Ownership Value (by Nationality, Investor Type, & Classification)

Nomu-Parallel Market Ownership Breakdown - By Nationality and Investor Type										
Nationality	Investor Type	As of 01 April 2021			As of 08 April 2021			Weekly Change		
		Holding Value (SAR)	Ownership (%)		Holding Value (SAR)	Ownership (%)		Δ Holding Value (SAR)	Δ Ownership (%)	
			Issued (%)	FreeFloat (%)		Issued (%)	FreeFloat (%)		Δ Issued (%)	Δ FreeFloat (%)
Saudi	Individuals	7,974,026,308	63.68%	48.70%	7,559,601,628	62.67%	48.22%	-414,424,680	-1.01%	-0.48%
	Corporates	4,360,682,695	34.83%	47.47%	4,313,437,537	35.76%	47.70%	-47,245,158	0.93%	0.23%
	Institution	62,906,006	0.50%	0.68%	62,113,920	0.51%	0.69%	-792,085	0.01%	0.00%
	Total Saudi Investors	12,397,615,008	99.01%	96.85%	11,935,153,084	98.94%	96.61%	-462,461,924	-0.07%	-0.24%
GCC	Individuals	3,712,658	0.03%	0.09%	3,708,486	0.03%	0.10%	-4,172	0.00%	0.00%
	Institutions	109,809,944	0.88%	2.80%	110,708,212	0.92%	2.95%	898,268	0.04%	0.15%
	Total GCC Investors	113,522,602	0.91%	2.90%	114,416,698	0.95%	3.05%	894,096	0.04%	0.15%
Foreign	SWAP Holders	0	0.00%	0.00%	0	0.00%	0.00%	0	0.00%	0.00%
	Foreign Residents	7,007,767	0.06%	0.18%	6,654,976	0.06%	0.18%	-352,791	0.00%	0.00%
	QFIs	2,975,623	0.02%	0.08%	6,220,242	0.05%	0.17%	3,244,619	0.03%	0.09%
	Total Foreign Investors	9,983,390	0.08%	0.25%	12,875,218	0.11%	0.34%	2,891,828	0.03%	0.09%
Grand Total		12,521,121,000	100.00%	100.00%	12,062,445,000	100.00%	100.00%	-458,676,000		

Nomu-Parallel Market Ownership Breakdown - By Investor Classification									
Investor Classification	As of 01 April 2021			As of 08 April 2021			Weekly Change		
	Holding Value (SAR)	Ownership (%)		Holding Value (SAR)	Ownership (%)		Δ Holding Value (SAR)	Ownership (%)	
		Issued (%)	FreeFloat (%)		Issued (%)	FreeFloat (%)		Δ Issued (%)	Δ FreeFloat (%)
Institutional	4,536,374,268	36.23%	51.03%	4,492,479,911	37.24%	51.50%	-43,894,357	1.01%	0.47%
Non-Institutional	7,984,746,732	63.77%	48.97%	7,569,965,089	62.76%	48.50%	-414,781,643	-1.01%	-0.47%
Grand Total	12,521,121,000	100%	100%	12,062,445,000	100%	100%	-458,676,000		

Institutional:

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.

السوق المالية السعودية
Saudi Stock Exchange

تداول
Tadawul

Thank you

