

Monthly Stock Market Ownership and Trading Activity Report

Market Reports

Month Ending 30 January 2020



Definitions



Monthly Stock Market Ownership and Trading Activity Report

Definitions

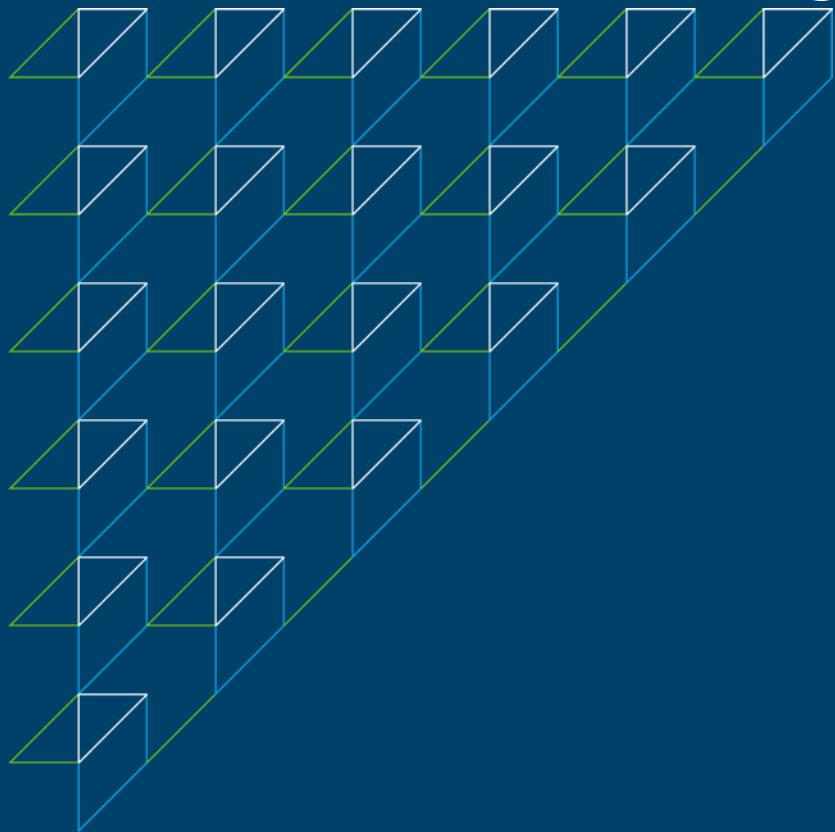
Nationality	Investor Type	Description
Saudi	Individuals*	Saudi Individuals have been classified under 4 categories as defined below:
	- Retail	Retail investors are Saudi individuals , excluding IPIs (see below) and HNWIs.
	- High Net Worth Investors (HNWIs)	HNWIs are Saudi individuals who have had an average portfolio size of SAR 1m (and above) for the preceding 12 months, excluding IPIs (see below).
	- Individual Professional Investors (IPIs)	IPIs are Saudi individuals who have had an average portfolio size of SAR 50m (and above) for the preceding 12 months and a portfolio turnover ratio of not more than 4 times annually.
	- Individual DPMs	Saudi individual investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	Institutions	Saudi Institutions have been classified under 4 categories as defined below:
	- Corporates	Saudi company with a commercial registration permitting its investment in the stock market .
	- Mutual Funds	A mutual fund managed by an Authorized Person and licensed by the CMA.
	- Government Related Entities (GREs)	A Government entity or a supranational authority recognized by the CMA.
- Institutional DPMs	Saudi institutional investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).	
GCC	Individuals*	GCC natural person
	Institutions	GCC legal person with a commercial registration certificate (corporate or mutual fund), in addition to GCC government entities.
	GCC DPMs	GCC investment account (institutional or individual) in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
Foreign	SWAP Holders	Authorized Person with the permission to enter into SWAP agreements to transfer the economic benefits of its ownership of shares in Saudi companies listed on the Saudi Stock Exchange to its non-resident foreign clients.
	Foreign Residents & Others	Investors with a valid residency permit (Iqama) and others (excluding SWAP, QFIs, DPM & Strategic Investors).
	QFIs	Qualified Foreign Institutional investors registered with the CMA in accordance with the QFI Rules, to invest in shares listed on the Saudi Stock Exchange.
	Foreign DPMs	Foreign resident investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	Strategic Investors	A foreign legal entity that aims to own a strategic shareholding in listed companies.

*Nomu-Parallel Market Individual Investors

Individuals who fulfill any of the following:

- Conducted transactions not less than SAR 40m, and not less than 10 transaction in each quarter during last 12 months.
- Have an average portfolio size of SAR 5m for the preceding 12 months
- Worked for at least 3 years in financial sectors.
- Hold General Securities Qualification Certificate which is recognized by the Authority.
- Holds professional certificate that is related to securities business and accredited by an internationally recognized entity

Main Market - Highlights

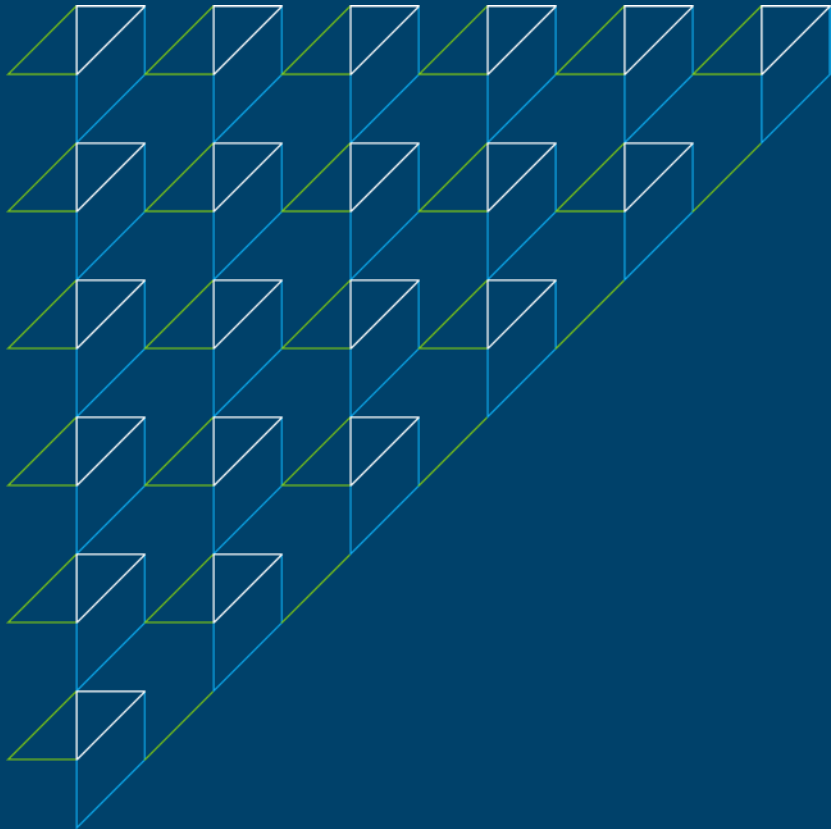


The total value of shares traded for the month ending 30 January 2020 amounted to SAR 84.38 billion, decreasing by 25.32% over the previous month; while total stock market capitalization reached SAR 8,748.85 billion at the end of this period, decreasing by 3.06 % over the previous month.

- ▶ The total value of shares purchased by “Saudi Investors” during this period amounted to SAR 75.74 billion representing 89.76 % of total buying activity, and sales of SAR 76.94 billion representing 91.81 % of total selling activity. Total ownership of “Saudi Investors” stood at 97.28 % of total market capitalization as of 30 January 2020, representing a decrease of 0.03 % from the previous month.
- ▶ The total value of shares purchased by “GCC Investors” during this period amounted to SAR 1.36 billion representing 1.62 % of total buying activity, and sales of SAR 2.16 billion representing 2.57% of total selling activity. Total ownership of “GCC Investors” stood at 0.50% of total market capitalization as of 30 January 2020, representing an increase of 0.01% from the previous month.
- ▶ The total value of shares purchased by “Foreign Investors” during this period amounted to SAR 7.27 billion representing 8.62% of total buying activity, and sales of SAR 5.27 billion representing 6.25% of total selling activity. Total ownership of “Foreign Investors” stood at 2.21% of total market capitalization as of 30 January 2020, representing an increase of 0.02% from the previous month.



Main Market - Value Traded & Ownership Breakdown



Monthly Report - Main Market

Value Traded (by Nationality and Investor Type)

Main Market Value Traded Breakdown - By Nationality and Investor Type							
Nationality	Investor Type	Buy		Sell		Net Value Traded	
		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Saudi	Individuals:						
	Retail	40,274,137,231	47.73%	41,959,765,609	49.73%	-1,685,628,378	-2.00%
	HNWIs	21,744,322,437	25.77%	21,769,342,417	25.80%	-25,019,980	-0.03%
	IPIs	2,855,058,593	3.38%	4,052,013,721	4.80%	-1,196,955,128	-1.42%
	Individual DPMs	850,932,405	1.01%	993,080,710	1.18%	-142,148,305	-0.17%
	Sub Total (Individuals)	65,724,450,667	77.89%	68,774,202,457	81.51%	-3,049,751,791	-3.61%
	Institutions:						
	Corporates	4,160,132,179	4.93%	3,842,927,718	4.55%	317,204,461	0.38%
	Mutual Funds	4,663,909,457	5.53%	3,391,609,536	4.02%	1,272,299,920	1.51%
	GRE's	17,966,956	0.02%	77,710,683	0.09%	-59,743,727	-0.07%
	Institution DPMs	1,175,421,449	1.39%	850,031,401	1.01%	325,390,049	0.39%
	Sub Total (Institutions)	10,017,430,041	11.87%	8,162,279,338	9.67%	1,855,150,703	2.20%
Total Saudi Investors	75,741,880,708	89.76%	76,936,481,795	91.18%	-1,194,601,087	-1.42%	
GCC	Individuals	167,414,549	0.20%	182,465,957	0.22%	-15,051,408	-0.02%
	Institutions	1,194,781,419	1.42%	1,978,251,299	2.34%	-783,469,880	-0.93%
	GCC DPMs	5,584,057	0.01%	7,061,619	0.01%	-1,477,562	0.00%
	Total GCC Investors	1,367,780,025	1.62%	2,167,778,875	2.57%	-799,998,850	-0.95%
Foreign	SWAP Holders	137,072,003	0.16%	232,184,801	0.28%	-95,112,799	-0.11%
	Foreign Residents & Others	1,211,432,326	1.44%	1,254,930,042	1.49%	-43,497,716	-0.05%
	QFIs	5,919,681,751	7.02%	3,780,623,953	4.48%	2,139,057,798	2.54%
	Foreign DPMs	1,782,269	0.00%	1,461,708	0.00%	320,561	0.00%
	Strategic Investor	0	0.00%	6,167,907	0.01%	-6,167,907	-0.01%
	Total Foreign Investors	7,269,968,349	8.62%	5,275,368,411	6.25%	1,994,599,937	2.36%
Grand Total		84,379,629,081	100.00%	84,379,629,081	100.00%		

Monthly Report - Main Market

Value Traded (by Investor Classification)

Main Market Value Traded Breakdown - By Investor Classification						
Investor Classification	Buy		Sell		Net Value Traded	
	SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Institutional	20,982,322,537	24.87%	19,213,125,056	22.77%	1,769,197,481	2.10%
Non-Institutional	63,397,306,544	75.13%	65,166,504,025	77.23%	-1,769,197,481	-2.10%
Grand Total	84,379,629,081	100.00%	84,379,629,081	100.00%		

Definitions

Institutional:

Refers to investors with institutional behavior including GREs, Saudi Corporates, Saudi Mutual Funds, Saudi and Non-Saudi DPMs, Saudi Individual Professional Investors (IPI), SWAP Holders, Foreign Strategic Partners and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.

Monthly Report - Main Market

Ownership Value (by Nationality and Investor Type)

Main Market Ownership Breakdown - By Nationality and Investor Type													
Nationality	Investor Type	As of 31 December 2019			As of 30 January 2020			Monthly Change					
		Holding Value (SAR)	Ownership		Holding Value (SAR)	Ownership		Δ Holding Value (SAR)	Ownership				
			Issued (%)	Free Float (%)		Issued (%)	Free Float (%)		Δ Issued (%)	Δ Free Float (%)			
Saudi	Individuals:												
	Retail	106,900,387,756	1.18%	8.69%	105,261,483,858	1.20%	9.00%	█	-1,638,903,897	█	0.02%	█	0.31%
	HNWIs	168,152,963,294	1.86%	13.44%	168,619,713,076	1.93%	13.58%	█	466,749,782	█	0.06%	█	0.14%
	IPIs	285,870,909,857	3.17%	18.11%	279,956,890,672	3.20%	18.07%	█	-5,914,019,185	█	0.03%	█	-0.04%
	Individual DPMs	5,326,583,100	0.06%	0.45%	5,260,489,779	0.06%	0.44%	█	-66,093,321	█	0.00%	█	-0.01%
	Sub Total (Individuals)	566,250,844,007	6.27%	40.69%	559,098,577,385	6.39%	41.09%	█	-7,152,266,622	█	0.12%	█	0.40%
	Institutions:												
	Corporates	382,098,319,018	4.23%	23.35%	376,109,010,315	4.30%	23.04%	█	-5,989,308,703	█	0.07%	█	-0.31%
	Mutual Funds	204,478,953,425	2.27%	11.44%	201,516,679,422	2.30%	11.43%	█	-2,962,274,003	█	0.04%	█	0.00%
	GRE's	7,600,352,668,903	84.21%	6.61%	7,345,148,455,634	83.96%	7.12%	█	-255,204,213,269	█	-0.25%	█	0.50%
	Institution DPMs	29,547,731,526	0.33%	2.49%	29,284,621,955	0.33%	2.42%	█	-263,109,571	█	0.01%	█	-0.07%
Sub Total (Institutions)	8,216,477,672,872	91.04%	43.89%	7,952,058,767,326	90.89%	44.02%	█	-264,418,905,546	█	-0.14%	█	0.13%	
Total Saudi Investors	8,782,728,516,879	97.31%	84.58%	8,511,157,344,711	97.28%	85.10%	█	-271,571,172,167	█	-0.03%	█	0.52%	
GCC	Individuals	2,700,678,261	0.03%	0.23%	2,655,326,036	0.03%	0.22%	█	-45,352,225	█	0.00%	█	-0.01%
	Institutions	41,373,571,471	0.46%	2.41%	40,892,878,387	0.47%	2.24%	█	-480,693,084	█	0.01%	█	-0.16%
	GCC DPMs	634,393,136	0.01%	0.05%	632,015,540	0.01%	0.05%	█	-2,377,596	█	0.00%	█	0.00%
	Total GCC Investors	44,708,642,868	0.50%	2.69%	44,180,219,963	0.50%	2.52%	█	-528,422,906	█	0.01%	█	-0.17%
Foreign	SWAP Holders	3,353,786,886	0.04%	0.28%	3,174,443,086	0.04%	0.27%	█	-179,343,800	█	0.00%	█	-0.01%
	Foreign Residents & Others	15,152,331,436	0.17%	1.02%	14,928,055,626	0.17%	0.98%	█	-224,275,810	█	0.00%	█	-0.04%
	QFIs	134,483,991,481	1.49%	11.43%	133,079,755,632	1.52%	11.13%	█	-1,404,235,849	█	0.03%	█	-0.30%
	Foreign DPMs	19,032,454	0.00%	0.00%	19,716,157	0.00%	0.00%	█	683,703	█	0.00%	█	0.00%
	Strategic Investors	44,992,367,336	0.50%	0.00%	42,306,038,034	0.48%	0.00%	█	-2,686,329,303	█	-0.01%	█	0.00%
	Total Foreign Investors	198,001,509,594	2.19%	12.73%	193,508,008,535	2.21%	12.38%	█	-4,493,501,059	█	0.02%	█	-0.35%
Grand Total	9,025,438,669,341	100.00%	100.00%	8,748,845,573,209	100.00%	100.00%		-276,593,096,132					

* Non deposited shares for Saudi Cable Co. 2110 (Corporate Action - Capital Increase-Right issue) was not reflected on 30 January 2020

* Non deposited shares for Al Sorayal Trading and Industrial Group 1213 (Corporate Action - Capital Increase-Right issue) was not reflected on 30 January 2020

Monthly Report - Main Market

Ownership Value (by Investor Classification)

Main Market Ownership Breakdown - By Investor Classification									
Investor Classification	As of 31 December 2019			As of 30 January 2020			Monthly Change		
	Holding Value (SAR)	Ownership		Holding Value (SAR)	Ownership		Δ Holding Value (SAR)	Ownership	
		Issued (%)	FreeFloat (%)		Issued (%)	FreeFloat (%)		Δ Issued (%)	Δ FreeFloat (%)
Institutional	8,740,441,861,297	96.75%	76.63%	8,465,361,511,984	96.67%	76.21%	-275,080,349,313	-0.09%	-0.41%
Non-Institutional	284,996,808,044	3.25%	23.38%	283,484,061,225	3.33%	23.79%	-1,512,746,819	0.09%	0.41%
Grand Total	9,025,438,669,341	100.00%	100.00%	8,748,845,573,209	100.00%	100.00%	-276,593,096,132		

Definitions

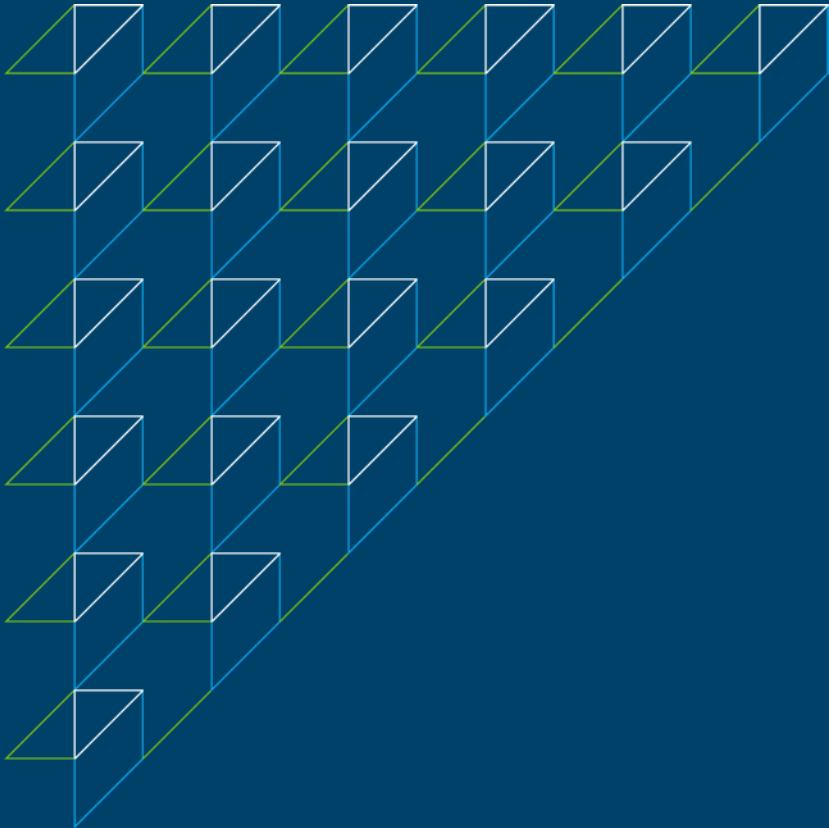
Institutional:

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Non Institutional:

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.

Nomu-Parallel Market - Highlights

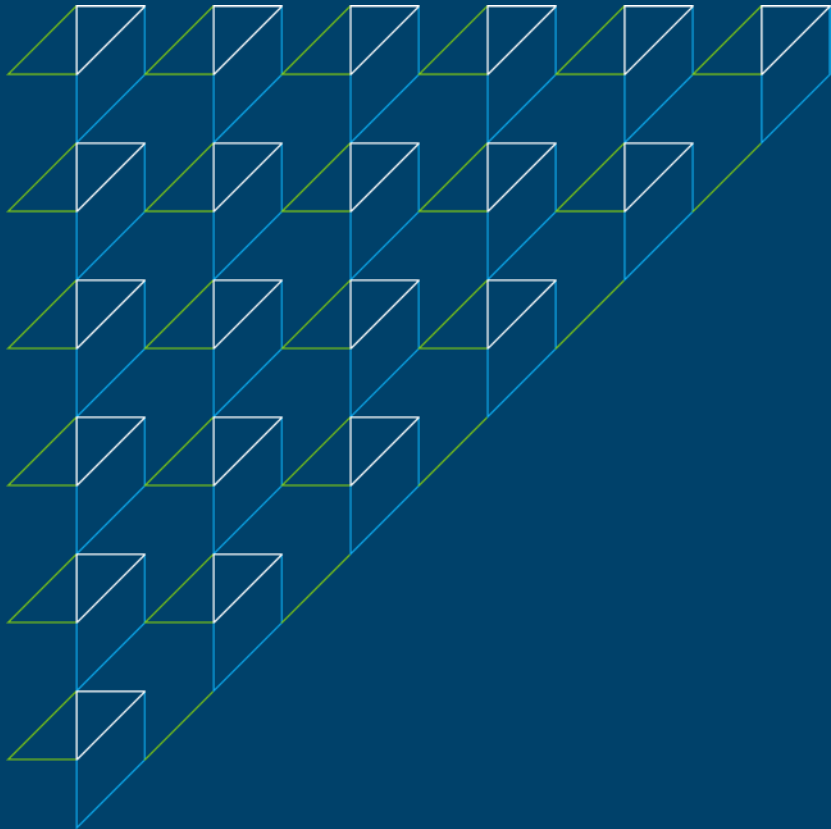


The total value of shares traded for the month ending 30 January 2020 amounted to SAR 276.78 million, decreasing by 26.32% over the previous month; while total stock market capitalization reached SAR 2,349.30 million at the end of this period, decreasing by 7.57 % over the previous month.

- The total value of shares purchased by “Saudi Investors” during this period amounted to SAR 267.25 million representing 96.56% of total buying activity, and sales of SAR 268.72 million representing 97.09% of total selling activity. Total ownership of “Saudi Investors” stood at 99.84% of total market capitalization as of 30 January 2020, representing a decrease of 0.04% from the previous month.
- The total value of shares purchased and sold by “GCC Investors” during this period amounted to SAR 0.00 million. Total ownership of “GCC Investors” stood at 0.00% of total market capitalization as of 30 January 2020.
- The total value of shares purchased by “Foreign Investors” during this period amounted to SAR 9.53 million representing 3.44% of total buying activity, and sales of SAR 8.06 million representing 2.91% of total selling activity. Total ownership of “Foreign Investors” stood at 0.16% of total market capitalization as of 30 January 2020, representing an increase of 0.04% from the previous month.



Nomu-Parallel Market - Value Traded & Ownership Breakdown



Monthly Report – Nomu-Parallel Market

Value Traded (by Nationality, Investor Type, & Classification)

Nomu-Parallel Market Value Traded Breakdown - By Nationality and Investor Type							
Nationality	Investor Type	Buy		Sell		Net Value Traded	
		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Saudi	Individuals	266,208,211	96.18%	256,712,764	92.75%	9,495,447	3.43%
	Corporates	865,808	0.31%	11,759,477	4.25%	-10,893,670	-3.94%
	Institution	178,116	0.06%	244,346	0.09%	-66,230	-0.02%
	Total Saudi Investors	267,252,134	96.56%	268,716,587	97.09%	-1,464,453	-0.53%
GCC	Individuals	0	0.00%	0	0.00%	0	0.00%
	Institutions	0	0.00%	0	0.00%	0	0.00%
	Total GCC Investors	0	0.00%	0	0.00%	0	0.00%
Foreign	SWAP Holders	0	0.00%	0	0.00%	0	0.00%
	Foreign Residents	9,525,596	3.44%	8,061,143	2.91%	1,464,453	0.53%
	QFIs	0	0.00%	0	0.00%	0	0.00%
	Total Foreign Investors	9,525,596	3.44%	8,061,143	2.91%	1,464,453	0.53%
Grand Total		276,777,730	100.00%	276,777,730	100.00%		

Nomu-Parallel Market Value Traded Breakdown - By Investor Classification						
Investor Classification	Buy		Sell		Net Value Traded	
	SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Institutional	1,043,924	0.38%	12,003,824	4.34%	-10,959,900	-3.96%
Non-Institutional	275,733,806	99.62%	264,773,906	95.66%	10,959,900	3.96%
Grand Total	276,777,730	100.00%	276,777,730	100.00%		

Institutional:

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.

Monthly Report – Nomu-Parallel Market

Ownership Value (by Nationality, Investor Type, & Classification)

Nomu-Parallel Market Ownership Breakdown - By Nationality and Investor Type											
Nationality	Investor Type	As of 31 December 2020			As of 30 January 2020			Monthly Change			
		Holding Value (SAR)	Ownership		Holding Value (SAR)	Ownership		Δ Holding Value (SAR)	Δ Ownership (%)		
			Issued (%)	FreeFloat (%)		Issued (%)	FreeFloat (%)		Δ Issued (%)	Δ FreeFloat (%)	
Saudi	Individuals	2,448,030,609	96.32%	98.47%	2,261,850,173	96.28%	98.99%	-186,180,437	-0.04%	0.52%	
	Corporates	88,188,459	3.47%	0.81%	81,270,231	3.46%	0.17%	-6,918,228	-0.01%	-0.64%	
	Institution	2,385,473	0.09%	0.32%	2,309,670	0.10%	0.31%	-75,803	0.00%	-0.01%	
	Total Saudi Investors	2,538,604,542	99.88%	99.60%	2,345,430,073	99.84%	99.47%	-193,174,468	-0.04%	-0.13%	
GCC	Individuals	0	0.00%	0.00%	0	0.00%	0.00%	0	0.00%	0.00%	
	Institutions	0	0.00%	0.00%	0	0.00%	0.00%	0	0.00%	0.00%	
	Total GCC Investors	0	0.00%	0.00%	0	0.00%	0.00%	0	0.00%	0.00%	
Foreign	SWAP Holders	0	0.00%	0.00%	0	0.00%	0.00%	0	0.00%	0.00%	
	Foreign Residents	3,045,458	0.12%	0.40%	3,869,927	0.16%	0.53%	824,468	0.04%	0.13%	
	QFIs	0	0.00%	0.00%	0	0.00%	0.00%	0	0.00%	0.00%	
	Total Foreign Investors	3,045,458	0.12%	0.40%	3,869,927	0.16%	0.53%	824,468	0.04%	0.13%	
Grand Total		2,541,650,000	100.00%	100.00%	2,349,300,000	100.00%	100.00%	-192,350,000			

Nomu-Parallel Market Ownership Breakdown - By Investor Classification									
Investor Classification	As of 31 December 2020			As of 30 January 2020			Monthly Change		
	Holding Value (SAR)	Ownership (%)		Holding Value (SAR)	Ownership (%)		Δ Holding Value (SAR)	Ownership	
		Issued (%)	FreeFloat (%)		Issued (%)	FreeFloat (%)		Δ Issued (%)	Δ FreeFloat (%)
Institutional	90,573,932	3.56%	1.13%	83,579,900	3.56%	0.48%	-6,994,032	-0.01%	-0.65%
Non-Institutional	2,451,076,068	96.44%	98.87%	2,265,720,100	96.44%	99.52%	-185,355,968	0.01%	0.65%
Grand Total	2,541,650,000	100%	100%	2,349,300,000	100%	100%	-192,350,000		

Institutional:

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.

السوق المالية السعودية
Saudi Stock Exchange

تداول
Tadawul

Thank you

