

Weekly Stock Market Ownership and Trading Activity Report

Market Reports

Week Ending 14 November 2019



Definitions



Monthly Stock Market Ownership and Trading Activity Report

Definitions

Nationality	Investor Type	Description
Saudi	Individuals*	Saudi Individuals have been classified under 4 categories as defined below:
	- Retail	Retail investors are Saudi individuals , excluding IPIs (see below) and HNWIs.
	- High Net Worth Investors (HNWIs)	HNWIs are Saudi individuals who have had an average portfolio size of SAR 1m (and above) for the preceding 12 months, excluding IPIs (see below).
	- Individual Professional Investors (IPIs)	IPIs are Saudi individuals who have had an average portfolio size of SAR 50m (and above) for the preceding 12 months and a portfolio turnover ratio of not more than 4 times annually.
	- Individual DPMs	Saudi individual investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	Institutions	Saudi Institutions have been classified under 4 categories as defined below:
	- Corporates	Saudi company with a commercial registration permitting its investment in the stock market .
	- Mutual Funds	A mutual fund managed by an Authorized Person and licensed by the CMA.
	- Government Related Entities (GREs)	A Government entity or a supranational authority recognized by the CMA.
- Institutional DPMs	Saudi institutional investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).	
GCC	Individuals*	GCC natural person
	Institutions	GCC legal person with a commercial registration certificate (corporate or mutual fund), in addition to GCC government entities.
	GCC DPMs	GCC investment account (institutional or individual) in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
Foreign	SWAP Holders	Authorized Person with the permission to enter into SWAP agreements to transfer the economic benefits of its ownership of shares in Saudi companies listed on the Saudi Stock Exchange to its non-resident foreign clients.
	Foreign Residents & Others	Investors with a valid residency permit (Iqama) and others (excluding SWAP, QFIs, DPM & Strategic Investors).
	QFIs	Qualified Foreign Institutional investors registered with the CMA in accordance with the QFI Rules, to invest in shares listed on the Saudi Stock Exchange.
	Foreign DPMs	Foreign resident investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	Strategic Investors	A foreign legal entity that aims to own a strategic shareholding in listed companies.

*Nomu-Parallel Market Individual Investors

Individuals who fulfill any of the following:

- Conducted transactions not less than SAR 40m, and not less than 10 transaction in each quarter during last 12 months.
- Have an average portfolio size of SAR 5m for the preceding 12 months
- Worked for at least 3 years in financial sectors.
- Hold General Securities Qualification Certificate which is recognized by the Authority.
- Holds professional certificate that is related to securities business and accredited by an internationally recognized entity

Main Market - Value Traded & Ownership Breakdown



Weekly Report - Main Market

Value Traded (by Nationality and Investor Type)

Main Market Value Traded Breakdown - By Nationality and Investor Type							
Nationality	Investor Type	Buy		Sell		Net Value Traded	
		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Saudi	Individuals:						
	Retail	6,948,359,138	44.83%	6,419,237,580	41.41%	529,121,558	3.41%
	HNWIs	3,470,066,102	22.39%	3,929,226,338	25.35%	-459,160,236	-2.96%
	IPIs	494,162,147	3.19%	545,990,332	3.52%	-51,828,185	-0.33%
	Individual DPMs	219,248,494	1.41%	254,848,404	1.64%	-35,599,910	-0.23%
	Sub Total (Individuals)	11,131,835,881	71.82%	11,149,302,654	71.93%	-17,466,773	-0.11%
	Institutions:						
	Corporates	1,198,679,426	7.73%	574,799,195	3.71%	623,880,232	4.02%
	Mutual Funds	381,619,580	2.46%	595,923,637	3.84%	-214,304,058	-1.38%
	GRE's	2,303,669	0.01%	16,274,748	0.10%	-13,971,078	-0.09%
	Institution DPMs	190,407,504	1.23%	160,489,603	1.04%	29,917,901	0.19%
	Sub Total (Institutions)	1,773,010,179	11.44%	1,347,487,182	8.69%	425,522,997	2.75%
Total Saudi Investors	12,904,846,060	83.25%	12,496,789,836	80.62%	408,056,223	2.63%	
GCC	Individuals	42,285,672	0.27%	28,804,732	0.19%	13,480,940	0.09%
	Institutions	247,148,795	1.59%	237,207,726	1.53%	9,941,069	0.06%
	GCC DPMs	192,674	0.00%	2,000,525	0.01%	-1,807,851	-0.01%
	Total GCC Investors	289,627,141	1.87%	268,012,982	1.73%	21,614,159	0.14%
Foreign	SWAP Holders	40,008,812	0.26%	18,915,024	0.12%	21,093,789	0.14%
	Foreign Residents & Others	156,070,543	1.01%	175,209,192	1.13%	-19,138,649	-0.12%
	QFIs	2,109,945,516	13.61%	2,541,683,460	16.40%	-431,737,944	-2.79%
	Foreign DPMs	112,421	0.00%	0	0.00%	112,421	0.00%
	Strategic Investor	0	0.00%	0	0.00%	0	0.00%
	Total Foreign Investors	2,306,137,293	14.88%	2,735,807,675	17.65%	-429,670,382	-2.77%
Grand Total		15,500,610,494	100.00%	15,500,610,494	100.00%		

Weekly Report - Main Market

Value Traded (by Investor Classification)

Main Market Value Traded Breakdown - By Investor Classification						
Investor Classification	Buy		Sell		Net Value Traded	
	SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Institutional	4,883,829,039	31.51%	4,948,132,653	31.92%	-64,303,613	-0.41%
Non-Institutional	10,616,781,454	68.49%	10,552,477,841	68.08%	64,303,613	0.41%
Grand Total	15,500,610,494	100.00%	15,500,610,494	100.00%		

Definitions

Institutional:

Refers to investors with institutional behavior including GREs, Saudi Corporates, Saudi Mutual Funds, Saudi and Non-Saudi DPMs, Saudi Individual Professional Investors (IPI), SWAP Holders, Foreign Strategic Partners and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.

Weekly Report - Main Market

Ownership Value (by Nationality and Investor Type)

Main Market Ownership Breakdown - By Nationality and Investor Type							
Nationality	Investor Type	As of 07 November 2019		As of 14 November 2019		Weekly Change	
		Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
Saudi	Individuals:						
	Retail	70,540,757,148	3.84%	71,248,895,211	3.81%	708,138,063	-0.03%
	HNWIs	141,369,306,237	7.69%	144,404,607,137	7.72%	3,035,300,900	0.03%
	IPIs	256,947,515,566	13.98%	258,135,453,326	13.80%	1,187,937,760	-0.18%
	Individual DPMs	4,412,231,561	0.24%	4,510,054,094	0.24%	97,822,533	0.00%
	Sub Total (Individuals)	473,269,810,512	25.75%	478,299,009,768	25.56%	5,029,199,256	-0.19%
	Institutions:						
	Corporates	324,565,717,311	17.66%	328,304,204,051	17.55%	3,738,486,740	-0.11%
	Mutual Funds	192,496,740,159	10.47%	194,625,572,907	10.40%	2,128,832,747	-0.07%
	GRE's	623,651,299,109	33.93%	639,334,493,787	34.17%	15,683,194,679	0.24%
	Institution DPMs	24,422,901,653	1.33%	24,834,052,301	1.33%	411,150,647	0.00%
	Sub Total (Institutions)	1,165,136,658,233	63.39%	1,187,098,323,046	63.44%	21,961,664,813	0.06%
	Total Saudi Investors	1,638,406,468,745	89.13%	1,665,397,332,814	89.01%	26,990,864,069	-0.13%
GCC	Individuals	2,304,624,242	0.13%	2,348,705,644	0.13%	44,081,403	0.00%
	Institutions	30,769,217,747	1.67%	30,951,484,950	1.65%	182,267,203	-0.02%
	GCC DPMs	550,849,616	0.03%	563,655,472	0.03%	12,805,856	0.00%
	Total GCC Investors	33,624,691,605	1.83%	33,863,846,067	1.81%	239,154,462	-0.02%
Foreign	SWAP Holders	3,048,252,720	0.17%	3,102,658,815	0.17%	54,406,094	0.00%
	Foreign Residents & Others	15,869,282,446	0.86%	16,054,733,399	0.86%	185,450,953	-0.01%
	QFIs	107,185,765,138	5.83%	110,101,157,608	5.88%	2,915,392,470	0.05%
	Foreign DPMs	16,482,059	0.00%	16,719,947	0.00%	237,888	0.00%
	Strategic Investor	39,971,952,498	2.17%	42,586,333,732	2.28%	2,614,381,235	0.10%
Total Foreign Investors	166,091,734,862	9.04%	171,861,603,502	9.18%	5,769,868,640	0.15%	
Grand Total		1,838,122,895,211	100.00%	1,871,122,782,382	100.00%	32,999,887,171	

Weekly Report - Main Market

Ownership Value (by Investor Classification)

Main Market Ownership Breakdown - By Investor Classification						
Investor Classification	As of 07 November 2019		As of 14 November 2019		Weekly Change	
	Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
Institutional	1,618,381,645,718	88.05%	1,647,338,483,900	88.04%	28,956,838,182	-0.01%
Non-Institutional	219,741,249,493	11.95%	223,784,298,482	11.96%	4,043,048,990	0.01%
Grand Total	1,838,122,895,211	100.00%	1,871,122,782,382	100.00%	32,999,887,171	

Definitions

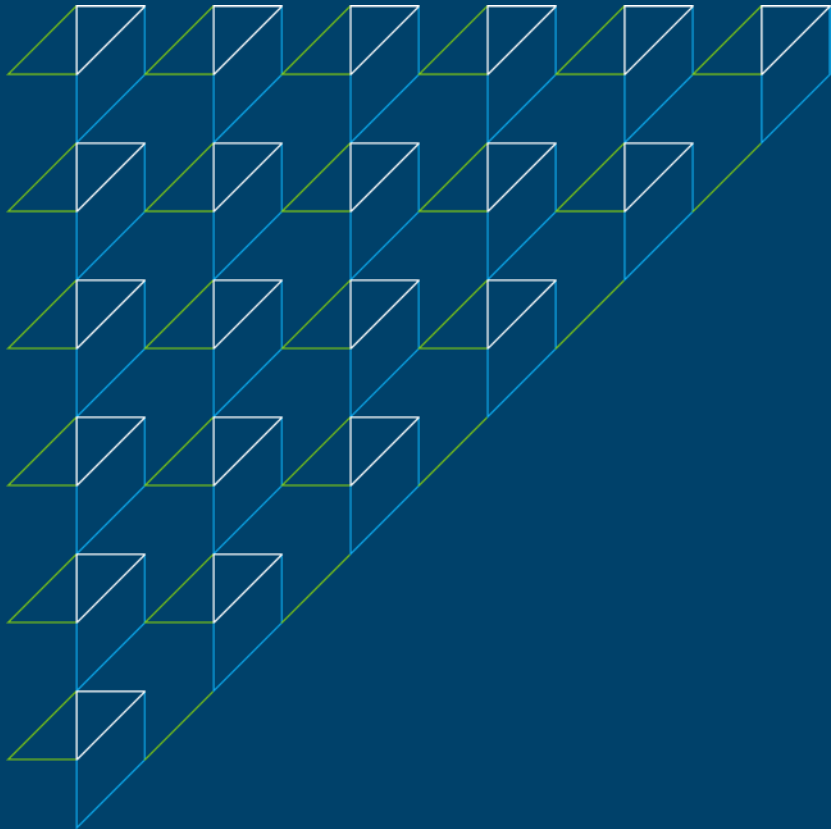
Institutional:

Refers to investors with institutional behavior including GREs, Saudi Corporates, Saudi Mutual Funds, Saudi and Non-Saudi DPMs, Saudi Individual Professional Investors (IPI), SWAP Holders, Foreign Strategic Partners and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.

Nomu-Parallel Market - Value Traded & Ownership Breakdown



Weekly Report – Nomu-Parallel Market

Value Traded (by Nationality, Investor Type, & Classification)

Nomu-Parallel Market Value Traded Breakdown - By Nationality and Investor Type

Nationality	Investor Type	Buy		Sell		Net Value Traded	
		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Saudi	Individuals	594,558,235	89.26%	581,268,927	87.27%	13,289,308	2.00%
	Corporates	11,057,848	1.66%	36,735,964	5.52%	-25,678,116	-3.86%
	Institution	42,515,096	6.38%	33,120,939	4.97%	9,394,156	1.41%
	Total Saudi Investors	648,131,179	97.30%	651,125,831	97.75%	-2,994,652	-0.45%
GCC	Individuals	0	0.00%	0	0.00%	0	0.00%
	Institutions	0	0.00%	0	0.00%	0	0.00%
	Total GCC Investors	0	0.00%	0	0.00%	0	0.00%
Foreign	SWAP Holders	0	0.00%	296,313	0.04%	-296,313	-0.04%
	Foreign Residents	17,883,619	2.68%	14,670,019	2.20%	3,213,600	0.48%
	QFIs	77,364	0.01%	0	0.00%	77,364	0.01%
	Total Foreign Investors	17,960,983	2.70%	14,966,332	2.25%	2,994,652	0.45%
Grand Total		666,092,162	100.00%	666,092,162	100.00%		

Nomu-Parallel Market Value Traded Breakdown - By Investor Classification

Investor Classification	Buy		Sell		Net Value Traded	
	SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Institutional	53,650,308	8.05%	70,153,216	10.53%	-16,502,908	-2.48%
Non-Institutional	612,441,854	91.95%	595,938,946	89.47%	16,502,908	2.48%
Grand Total	666,092,162	100.00%	666,092,162	100.00%		

Institutional:

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.

Weekly Report – Nomu-Parallel Market

Ownership Value (by Nationality, Investor Type, & Classification)

Nomu-Parallel Market Ownership Breakdown - By Nationality and Investor Type							
Nationality	Investor Type	As of 07 November 2019		As of 14 November 2019		Weekly Change	
		Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
Saudi	Individuals	3,801,636,860	93.38%	1,993,241,483	91.79%	-1,808,395,377	-1.59%
	Corporates	194,600,506	4.78%	128,983,417	5.94%	-65,617,089	1.16%
	Institution	55,802,034	1.37%	43,421,220	2.00%	-12,380,814	0.63%
	Total Saudi Investors	4,052,039,401	99.53%	2,165,646,120	99.73%	-1,886,393,281	0.20%
GCC	Individuals	0	0.00%	0	0.00%	0	0.00%
	Institutions	0	0.00%	0	0.00%	0	0.00%
	Total GCC Investors	0	0.00%	0	0.00%	0	0.00%
Foreign	SWAP Holders	258,298	0.01%	0	0.00%	-258,298	-0.01%
	Foreign Residents	10,459,639	0.26%	5,610,301	0.26%	-4,849,338	0.00%
	QFIs	8,486,412	0.21%	256,079	0.01%	-8,230,333	-0.20%
	Total Foreign Investors	19,204,349	0.47%	5,866,380	0.27%	-13,337,969	-0.20%
Grand Total		4,071,243,750	100.00%	2,171,512,500	100.00%	-1,899,731,250	

Nomu-Parallel Market Ownership Breakdown - By Investor Classification							
Investor Classification	As of 07 November 2019		As of 14 November 2019		Weekly Change		
	Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)	
Institutional	259,147,250	6.37%	172,660,716	7.95%	-86,486,535	1.59%	
Non-Institutional	3,812,096,500	93.63%	1,998,851,784	92.05%	-1,813,244,715	-1.59%	
Grand Total	4,071,243,750	100.00%	2,171,512,500	100.00%	-1,899,731,250		

Institutional:

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.

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Thank you

