

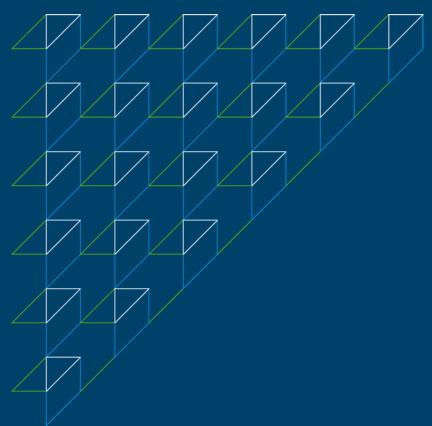
# Weekly Stock Market Ownership and Trading Activity Report

**Market Reports** 

Week Ending 4 January 2018



# Definitions



## Weekly Stock Market Ownership and Trading Activity Report



#### **Definitions**

Nationality	Investor Type	Description					
	Individuals*	Saudi Individuals have been classified under 4 categories as defined below:					
	- Retail	Retail investors are Saudi individuals, excluding IPIs (see below) and HNWIs.					
	- High Net Worth Investors (HNWIs)	<b>HNWIs</b> are Saudi individuals who have had an average portfolio size of SAR 1m (and above) for the preceding 12 months, excluding <b>IPIs</b> (see below).					
	- Individual Professional Investors (IPIs)	<b>IPIs</b> are Saudi individuals who have had an average portfolio size of SAR 50m (and above) for the preceding 12 months and a portfolio turnover ratio of not more than 4 times annually.					
Saudi	- Individual DPMs	Saudi individual investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).					
	Institutions	Saudi Institutions have been classified under 4 categories as defined below:					
	- Corporates	Saudi company with a commercial registration permitting its investment in the stock market .					
	- Mutual Funds	A mutual fund managed by an Authorized Person and licensed by the CMA.					
	- Government Related Entities (GREs)	A Government entity or a supranational authority recognized by the CMA.					
	- Institutional DPMs	Saudi institutional investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).					
	Individuals*	GCC natural person					
GCC	Institutions	GCC legal person with a commercial registration certificate (corporate or mutual fund), in addition to GCC government entities.					
	GCC DPMs	GCC investment account (institutional or individual) in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).					
	SWAP Holders	Authorized Person with the permission to enter into SWAP agreements to transfer the economic benefits of its ownership of shares in Saudi companies listed on the Saudi Stock Exchange to its non-resident foreign clients.					
	Foreign Residents*	Foreign investors domiciled in the Kingdom with a valid residency permit (Iqama) (excluding GCC nationals).					
Foreign	QFIs	Qualified Foreign Institutional investors registered with the CMA in accordance with the QFI Rules, to invest in shares listed on the Saudi Stock Exchange.					
	Foreign DPMs	Foreign resident investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).					
	Strategic Partners	Foreign investors considered founders and partners of Saudi listed companies.					

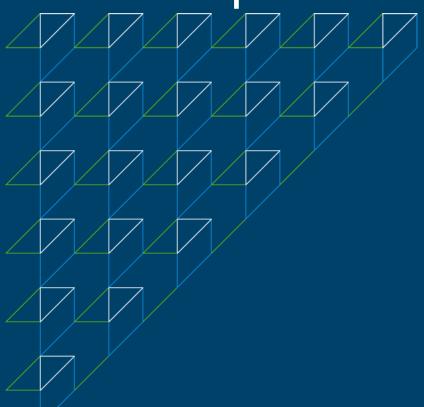
\*Nomu-Parallel Market Individual Investors

Individuals who fulfill any of the following:

- Conducted transactions not less than SAR 40m, and not less than 10 transaction in each quarter during last 12 months.

- Have an average portfolio size of SAR 10m for the preceding 12 months
- Hold General Securities Qualification Certificate which is recognized by the Authority.

# Main Market - Value Traded & Ownership Breakdown





## Value Traded (by Nationality and Investor Type)

#### Main Market Value Traded Breakdown - By Nationality and Investor Type Buy Sell **Net Value Traded Nationality Investor Type** Difference SAR SAR % of Total Buys SAR % of Total Sells (% Buy - % Sell) (Buy - Sell) Individuals: Retail 9,007,653,067 42.37% 10,680,883,525 50.24% -1,673,230,457 -7.87% **HNWIs** 35.21% 34.90% 66,613,779 0.31% 7,485,611,561 7,418,997,783 **IPIs** 771.987.276 3.63% 1.607.115.290 7.56% -835.128.014 -3.93% Individual DPMs 95.907.779 0.45% 118.979.424 0.56% -23.071.645 -0.11% Sub Total (Individuals) 17,361,159,684 81.66% 19,825,976,022 93.26% -2,464,816,338 -11.59% Institutions: Saudi 1.58% 10.32% 1.857.580.255 8.74% Corporates 2.193.530.451 335.950.196 Mutual Funds 804.183.012 3.78% 374.992.641 1.76% 429.190.370 2.02% GRE's 2,040 0.00% 5,249,167 0.02% -5,247,127 -0.02% Institution DPMs 81.370.614 0.38% 87.442.589 0.41% -6.071.974 -0.03% Sub Total (Institutions) 3,079,086,117 14.48% 803,634,593 3.78% 2,275,451,524 10.70% Total Saudi Investors 20,440,245,801 96.14% 20,629,610,615 97.04% -189,364,814 -0.89% Individuals 0.23% 39,468,438 9,801,816 0.05% 49,270,254 0.19% Institutions 175.955.068 0.83% 108.194.485 0.51% 67.760.583 0.32% GCC GCC DPMs 74,226 0.00% 99,716 0.00% -25,490 0.00% Total GCC Investors 225,299,547 1.06% 147.762.639 0.70% 77,536,908 0.36% **SWAP Holders** 143,885,323 0.68% 46,332,503 0.22% 97,552,820 0.46% Foreign Residents 360.020.649 1.69% 366.733.980 1.73% -6.713.330 -0.03% QFIs 90,388,395 0.43% 62,561,389 0.29% 27,827,006 0.13% **Foreign** Foreign DPMs 0 0.00% 6,838,590 0.03% -6,838,590 -0.03% Strategic Partners 0 0.00% 0 0.00% 0 0.00% Total Foreign Investors 594,294,367 2.80% 482,466,462 2.27% 111,827,905 0.53% **Grand Total** 21,259,839,716 100.00% 21,259,839,716 100.00%



Value Traded (by Investor Classification)

Main Market Value Traded Breakdown - By Investor Classification									
	Buy		Sell		Net Value Traded				
Investor Classification	SAR	% of Total Buys	SAR	% of Total Sells		SAR (Buy - Sell)	Difference (% Buy - % Sell)		
Institutional	4,357,284,184	20.50%	2,753,755,991	12.95%		1,603,528,193	7.54%		
Non-Institutional	16,902,555,532	79.50%	18,506,083,725	87.05%		-1,603,528,193	-7.54%		
<b>Grand Total</b>	21,259,839,716	100.00%	21,259,839,716	100.00%					

#### **Definitions**

#### Institutional:

Refers to investors with institutional behavior including GREs, Saudi Corporates, Saudi Mutual Funds, Saudi and Non-Saudi DPMs, Saudi Individual Professional Investors (IPI), SWAP Holders, Foreign Strategic Partners and Qualified Foreign Investors (QFI).

#### Non Institutional:

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.

#### تــداوك Tadawul

## Ownership Value (by Nationality and Investor Type)

#### Main Market Ownership Breakdown - By Nationality and Investor Type As of 4 January 2018 **Weekly Change** As of 28 December 2017 **Nationality Investor Type** Δ Ownership **Holding Value** Ownership **Holding Value** Ownership Δ Holding Value (%) (SAR) (%) (SAR) (%) (SAR) Individuals: Retail 74,806,982,389 4.43% 72,166,555,003 4.25% -2,640,427,385 -0.18% **HNWIs** 140,734,244,456 8.33% 137,116,731,733 8.08% -3,617,512,723 -0.25% 14.41% **IPIs** 243.413.674.020 248.804.760.773 14.67% 5.391.086.753 0.25% Individual DPMs 4.464.528.891 0.26% 4.365.095.107 0.26% -99.433.784 -0.01% Sub Total (Individuals) 463,419,429,756 27.44% 462,453,142,617 27.26% -966,287,139 -0.18% Institutions: Saudi 2,977,971,815 273.047.947.063 276.025.918.878 16.27% 0.10% Corporates 16.17% Mutual Funds 163.434.156.937 9.68% 163.431.995.872 9.63% -2.161.064 -0.04% GRE's 633,715,070,344 37.52% 637.780.452.655 37.59% 4,065,382,311 0.07% Institution DPMs 48.920.345.650 2.90% 49 630 232 766 2.93% 709.887.117 0.03% Sub Total (Institutions) 1,119,117,519,993 66.27% 1,126,868,600,172 66.42% 7,751,080,179 0.16% Total Saudi Investors 6,784,793,040 1,582,536,949,748 93.71% 1,589,321,742,789 93.68% -0.02% Individuals 2,807,665,991 0.17% 2,828,862,329 21,196,338 0.00% 0.17% Institutions 32.060.886.836 1.90% 32.210.892.407 1.90% 150.005.571 0.00% **GCC** GCC DPMs 558,265,206 0.03% 567,656,622 0.03% 9,391,416 0.00% Total GCC Investors 35.426.818.034 2.10% 35.607.411.358 2.10% 180.593.324 0.00% **SWAP Holders** 8,571,097,983 0.51% 8,590,283,047 0.51% 19,185,064 0.00% Foreign Residents 4.168.629.517 0.25% 4.256.016.439 0.25% 87.386.922 0.00% **QFIs** 6,940,473,979 0.41% 7,060,257,498 0.42% 119,783,519 0.01% **Foreign** Foreign DPMs 3,141,868,733 0.19% 3,203,697,655 0.19% 61,828,922 0.00% 2.84% Strategic Partners 48.039.713.530 48.463.326.742 2.86% 423.613.211 0.01% 4.22% Total Foreign Investors 70,861,783,742 4.20% 71,573,581,380 711,797,638 0.02% **Grand Total** 1,688,825,551,524 100.00% 1,696,502,735,527 7,677,184,003 100.00%



#### Ownership Value (by Investor Classification)

Main Market Ownership Breakdown - By Investor Classification										
	As of 28 Dece	As of 28 December 2017		As of 4 January 2018		Weekly Change				
Investor Classification	Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)		∆ Holding Value (SAR)	∆ Ownership (%)			
Institutional	1,466,308,029,171	86.82%	1,480,134,570,023	87.25%		13,826,540,851	0.42%			
Non-Institutional	222,517,522,353	13.18%	216,368,165,504	12.75%		-6,149,356,848	-0.42%			
Grand Total	1,688,825,551,524	100.00%	1,696,502,735,527	100.00%		7,677,184,003				

#### **Definitions**

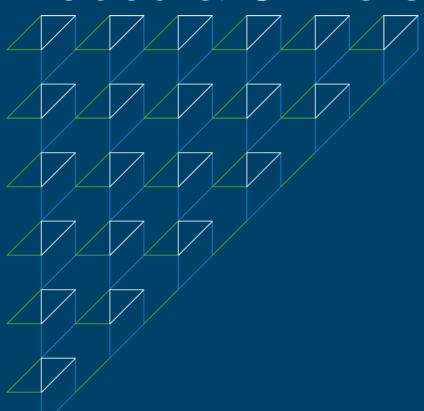
#### Institutional:

Refers to investors with institutional behavior including GREs, Saudi Corporates, Saudi Mutual Funds, Saudi and Non-Saudi DPMs, Saudi Individual Professional Investors (IPI), SWAP Holders, Foreign Strategic Partners and Qualified Foreign Investors (QFI).

#### Non Institutional:

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.

## Nomu-Parallel Market - Value Traded & Ownership Breakdown



## Weekly Report – Nomu-Parallel Market



#### Value Traded (by Nationality, Investor Type, & Classification)

Nationality		Buy		Sell		Net Value Traded	
	Investor Type	SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell
	Individuals	6,806,366	95.33%	6,670,465	93.43%	135,901	1.90%
0	Corporates	12,540	0.18%	0	0.00%	12,540	0.18%
Saudi	Institution	319,087	4.47%	467,461	6.55%	-148,374	-2.08%
	Total Saudi Investors	7,137,993	99.98%	7,137,926	99.98%	67	0.00%
	Individuals	0	0.00%	0	0.00%	0	0.00%
GCC	Institutions	0	0.00%	0	0.00%	0	0.00%
	Total GCC Investors	0	0.00%	0	0.00%	0	0.00%
Foreign	SWAP Holders	0	0.00%	0	0.00%	0	0.00%
	Foreign Residents	1,575	0.02%	1,642	0.02%	-67	0.00%
	QFIs	0	0.00%	0	0.00%	0	0.00%
	Total Foreign Investors	1,575	0.02%	1,642	0.02%	-67	0.00%
Grand T	otal	7,139,568	100.00%	7,139,568	100.00%		
Nomu-Pa	rallel Market Value T	raded Break		stor Classific		Net Value <sup>-</sup>	Fraded
Investor Classification		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sel
Institutional		331,627	4.64%	467,461	6.55%	-135,834	-1.90%
Non-Institutional		6,807,941	95.36%	6,672,107	93.45%	135,834	1.90%
Non-Instituti	Orial	0,807,941	95.5078	0,072,107	33.4370	100,004	1.50 /

#### Institutional:

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

#### Non Institutional:

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.

## Weekly Report – Nomu-Parallel Market



## Ownership Value (by Nationality, Investor Type, & Classification)

Nationality	Investor Type	As of 28 December 2017		As of 4 January 2018		Weekly Change	
		Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
Saudi	Individuals	2,013,243,177	88.75%	1,973,282,790	88.80%	-39,960,387	0.06%
	Corporates	122,252,666	5.39%	117,720,514	5.30%	-4,532,152	-0.09%
	Institution	111,960,175	4.94%	109,480,862	4.93%	-2,479,314	-0.01%
	Total Saudi Investors	2,247,456,018	99.07%	2,200,484,166	99.03%	-46,971,852	-0.04%
	Individuals	32,195	0.00%	31,941	0.00%	-254	0.00%
GCC	Institutions	13,536,590	0.60%	13,668,210	0.62%	131,620	0.02%
	Total GCC Investors	13,568,785	0.60%	13,700,151	0.62%	131,366	0.02%
	SWAP Holders	215,536	0.01%	206,272	0.01%	-9,264	0.00%
Foreign	Foreign Residents	3,298,879	0.15%	3,274,651	0.15%	-24,229	0.00%
	QFls	3,940,017	0.17%	4,400,580	0.20%	460,563	0.02%
	Total Foreign Investors	7,454,432	0.33%	7,881,503	0.35%	427,071	0.03%
Frand T	otal	2,268,479,235	100.00%	2,222,065,820	100.00%	-46,413,415	

Nomu-Parallel Market Ownership Breakdown - By Investor Classification									
	As of 28 December 2017		As of 4 January 2018		Weekly Change				
Investor Classification	Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)			
Institutional	251,904,985	11.10%	245,476,439	11.05%	-6,428,546	-0.06%			
Non-Institutional	2,016,574,250	88.90%	1,976,589,381	88.95%	-39,984,869	0.06%			
Grand Total	2,268,479,235	100.00%	2,222,065,820	100.00%	-46,413,415				

#### Institutional:

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

#### Non Institutional:

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.



