

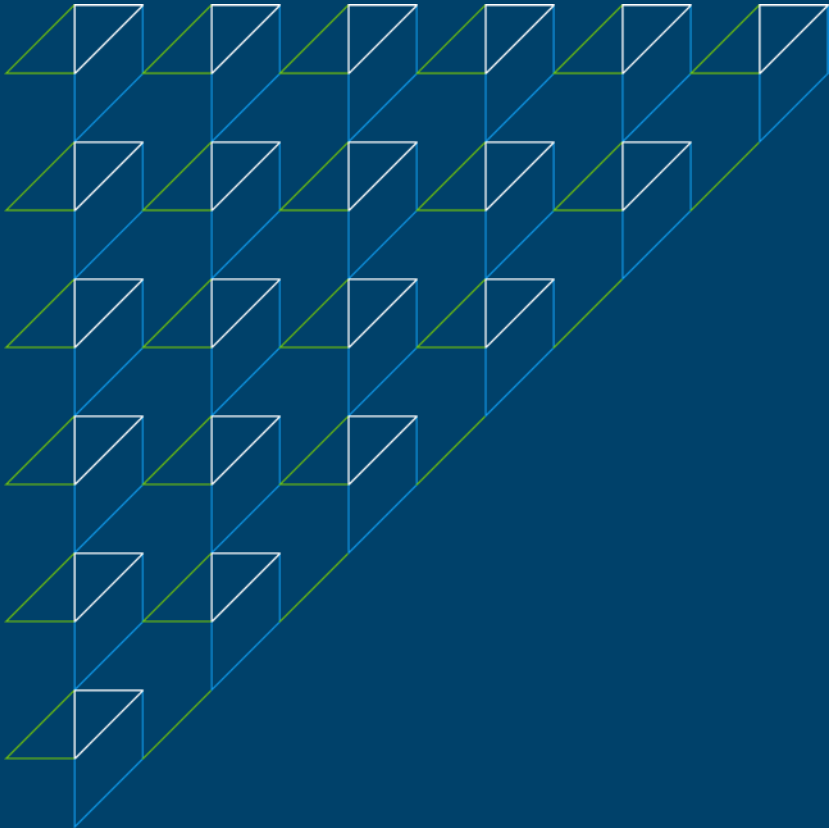
# Monthly Stock Market Ownership and Trading Activity Report

Market Reports

Month Ending 28 September 2017



# Definitions



# Monthly Stock Market Ownership and Trading Activity Report

## Definitions

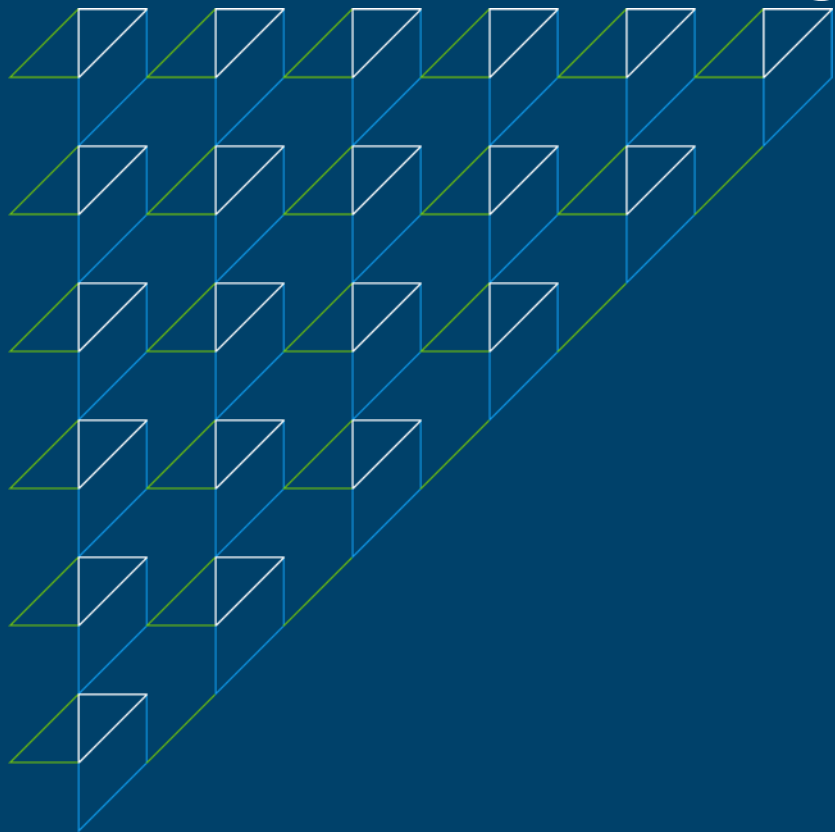
Nationality	Investor Type	Description
Saudi	<b>Individuals*</b>	<b>Saudi Individuals</b> have been classified under 4 categories as defined below:
	- Retail	<b>Retail</b> investors are Saudi individuals , excluding <b>IPIs</b> (see below) and <b>HNWIs</b> .
	- High Net Worth Investors ( <b>HNWIs</b> )	<b>HNWIs</b> are Saudi individuals who have had an average portfolio size of SAR 1m (and above) for the preceding 12 months, excluding <b>IPIs</b> (see below).
	- Individual Professional Investors ( <b>IPIs</b> )	<b>IPIs</b> are Saudi individuals who have had an average portfolio size of SAR 50m (and above) for the preceding 12 months and a portfolio turnover ratio of not more than 4 times annually.
	- Individual DPMs	Saudi individual investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	<b>Institutions</b>	<b>Saudi Institutions</b> have been classified under 4 categories as defined below:
	- Corporates	Saudi company with a commercial registration permitting its investment in the stock market .
	- Mutual Funds	A mutual fund managed by an Authorized Person and licensed by the CMA.
	- Government Related Entities ( <b>GREs</b> )	A Government entity or a supranational authority recognized by the CMA.
	- Institutional DPMs	Saudi institutional investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
GCC	<b>Individuals*</b>	<b>GCC natural person</b>
	Institutions	GCC legal person with a commercial registration certificate (corporate or mutual fund), in addition to GCC government entities.
	<b>GCC DPMs</b>	<b>GCC investment account (institutional or individual) in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).</b>
Foreign	SWAP Holders	Authorized Person with the permission to enter into SWAP agreements to transfer the economic benefits of its ownership of shares in Saudi companies listed on the Saudi Stock Exchange to its non-resident foreign clients.
	<b>Foreign Residents*</b>	<b>Foreign investors domiciled in the Kingdom with a valid residency permit (Iqama) (excluding GCC nationals).</b>
	QFIs	Qualified Foreign Institutional investors registered with the CMA in accordance with the QFI Rules, to invest in shares listed on the Saudi Stock Exchange.
	<b>Foreign DPMs</b>	<b>Foreign resident investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).</b>
	Strategic Partners	Foreign investors considered founders and partners of Saudi listed companies.

\*Nomu-Parallel  
Market Individual  
Investors

Individuals who fulfill any of the following:

- Conducted transactions not less than SAR 40m, and not less than 10 transaction in each quarter during last 12 months.
- Have an average portfolio size of SAR 10m for the preceding 12 months
- Hold General Securities Qualification Certificate which is recognized by the Authority.

# Main Market - Highlights



The total value of shares traded for the month ending 28 September 2017 amounted to SAR 50.17 billion, decreasing by 16.64% over the previous month; while total stock market capitalization reached SAR 1,721.21 billion at the end of this period, increasing by 0.31% over the previous month.

- ▶ The total value of shares purchased by “Saudi Investors” during this period amounted to SAR 46.29 billion representing 92.26% of total buying activity, and sales of SAR 40.82 billion representing 81.36% of total selling activity. Total ownership of “Saudi Investors” stood at 93.59% of total market capitalization as of 28 September 2017, representing an increase of 0.29% from the previous month.
- ▶ The total value of shares purchased by “GCC Investors” during this period amounted to SAR 1.06 billion representing 2.11% of total buying activity, and sales of SAR 1.21 billion representing 2.40% of total selling activity. Total ownership of “GCC Investors” stood at 2.15% of total market capitalization as of 28 September 2017, representing an increase of 0.003% from the previous month.
- ▶ The total value of shares purchased by “Foreign Investors” during this period amounted to SAR 2.82 billion representing 5.63% of total buying activity, and sales of SAR 8.15 billion representing 16.24% of total selling activity. Total ownership of “Foreign Investors” stood at 4.26% of total market capitalization as of 28 September 2017 , representing a decrease of 0.29% from the previous month.



# Main Market - Value Traded & Ownership Breakdown



# Monthly Report - Main Market

## Value Traded (by Nationality and Investor Type)

### Main Market Value Traded Breakdown - By Nationality and Investor Type

Nationality	Investor Type	Buy		Sell		Net Value Traded	
		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Saudi	<b>Individuals:</b>						
	Retail	17,986,929,635	35.85%	21,534,987,192	42.92%	-3,548,057,557	-7.07%
	HNWIs	13,266,226,276	26.44%	12,897,884,566	25.71%	368,341,709	0.73%
	IPIs	1,181,507,707	2.35%	1,221,812,475	2.44%	-40,304,768	-0.08%
	Individual DPMs	350,766,759	0.70%	333,712,872	0.67%	17,053,887	0.03%
	<b>Sub Total (Individuals)</b>	<b>32,785,430,377</b>	<b>65.35%</b>	<b>35,988,397,106</b>	<b>71.73%</b>	<b>-3,202,966,729</b>	<b>-6.38%</b>
	<b>Institutions:</b>						
	Corporates	10,909,580,383	21.74%	2,891,112,052	5.76%	8,018,468,332	15.98%
	Mutual Funds	1,848,090,277	3.68%	1,238,363,067	2.47%	609,727,210	1.22%
	GRE's	82,431,297	0.16%	23,634,014	0.05%	58,797,283	0.12%
	Institution DPMs	662,392,510	1.32%	679,792,713	1.35%	-17,400,203	-0.03%
	<b>Sub Total (Institutions)</b>	<b>13,502,494,467</b>	<b>26.91%</b>	<b>4,832,901,846</b>	<b>9.63%</b>	<b>8,669,592,621</b>	<b>17.28%</b>
<b>Total Saudi Investors</b>	<b>46,287,924,843</b>	<b>92.26%</b>	<b>40,821,298,951</b>	<b>81.36%</b>	<b>5,466,625,892</b>	<b>10.90%</b>	
GCC	Individuals	136,184,280	0.27%	162,594,161	0.32%	-26,409,881	-0.05%
	Institutions	918,678,007	1.83%	995,722,071	1.98%	-77,044,065	-0.15%
	GCC DPMs	5,102,820	0.01%	46,837,932	0.09%	-41,735,112	-0.08%
	<b>Total GCC Investors</b>	<b>1,059,965,107</b>	<b>2.11%</b>	<b>1,205,154,165</b>	<b>2.40%</b>	<b>-145,189,058</b>	<b>-0.29%</b>
Foreign	SWAP Holders	1,542,767,982	3.07%	806,192,483	1.61%	736,575,499	1.47%
	Foreign Residents	569,916,970	1.14%	653,740,020	1.30%	-83,823,050	-0.17%
	QFIs	605,106,138	1.21%	680,915,353	1.36%	-75,809,214	-0.15%
	Foreign DPMs	106,300,081	0.21%	233,968,474	0.47%	-127,668,393	-0.25%
	Strategic Partners	0	0.00%	5,770,711,677	11.50%	-5,770,711,677	-11.50%
	<b>Total Foreign Investors</b>	<b>2,824,091,172</b>	<b>5.63%</b>	<b>8,145,528,006</b>	<b>16.24%</b>	<b>-5,321,436,834</b>	<b>-10.61%</b>
<b>Grand Total</b>		<b>50,171,981,122</b>	<b>100.00%</b>	<b>50,171,981,122</b>	<b>100.00%</b>		

# Monthly Report - Main Market

## Value Traded (by Investor Classification)

### Main Market Value Traded Breakdown - By Investor Classification

Investor Classification	Buy		Sell		Net Value Traded	
	SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Institutional	18,212,723,961	36.30%	14,922,775,182	29.74%	3,289,948,778	6.56%
Non-Institutional	31,959,257,161	63.70%	35,249,205,940	70.26%	-3,289,948,778	-6.56%
<b>Grand Total</b>	<b>50,171,981,122</b>	<b>100.00%</b>	<b>50,171,981,122</b>	<b>100.00%</b>		

## Definitions

### **Institutional:**

Refers to investors with institutional behavior including GREs, Saudi Corporates, Saudi Mutual Funds, Saudi and Non-Saudi DPMs, Saudi Individual Professional Investors (IPI), SWAP Holders, Foreign Strategic Partners and Qualified Foreign Investors (QFI).

### **Non Institutional:**

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.



# Monthly Report - Main Market

## Ownership Value (by Nationality and Investor Type)

### Main Market Ownership Breakdown - By Nationality and Investor Type

Nationality	Investor Type	As of 29 August 2017		As of 28 September 2017		Monthly Change	
		Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
Saudi	<b>Individuals:</b>						
	Retail	71,695,086,591	4.18%	72,206,895,046	4.20%	511,808,455	0.02%
	HNWIs	132,737,867,440	7.74%	135,637,147,649	7.88%	2,899,280,209	0.14%
	IPIs	258,964,802,570	15.09%	257,714,786,619	14.97%	-1,250,015,951	-0.12%
	Individual DPMs	4,916,898,359	0.29%	4,930,229,716	0.29%	13,331,357	0.00%
	<b>Sub Total (Individuals)</b>	<b>468,314,654,960</b>	<b>27.29%</b>	<b>470,489,059,029</b>	<b>27.33%</b>	<b>2,174,404,069</b>	<b>0.04%</b>
	<b>Institutions:</b>						
	Corporates	280,749,751,992	16.36%	285,201,603,671	16.57%	4,451,851,679	0.21%
	Mutual Funds	104,598,588,486	6.10%	177,710,087,417	10.32%	73,111,498,930	4.23%
	GRE's	697,158,900,942	40.63%	626,978,863,396	36.43%	-70,180,037,546	-4.20%
	Institution DPMs	50,049,170,872	2.92%	50,516,794,178	2.93%	467,623,306	0.02%
	<b>Sub Total (Institutions)</b>	<b>1,132,556,412,293</b>	<b>66.01%</b>	<b>1,140,407,348,662</b>	<b>66.26%</b>	<b>7,850,936,369</b>	<b>0.25%</b>
<b>Total Saudi Investors</b>	<b>1,600,871,067,253</b>	<b>93.30%</b>	<b>1,610,896,407,691</b>	<b>93.59%</b>	<b>10,025,340,438</b>	<b>0.29%</b>	
GCC	Individuals	3,010,707,104	0.18%	3,045,712,157	0.18%	35,005,053	0.00%
	Institutions	32,937,219,571	1.92%	33,192,168,953	1.93%	254,949,382	0.01%
	GCC DPMs	837,392,680	0.05%	708,012,395	0.04%	-129,380,285	-0.01%
	<b>Total GCC Investors</b>	<b>36,785,319,355</b>	<b>2.14%</b>	<b>36,945,893,505</b>	<b>2.15%</b>	<b>160,574,150</b>	<b>0.00%</b>
Foreign	SWAP Holders	10,195,113,111	0.59%	10,892,161,537	0.63%	697,048,426	0.04%
	Foreign Residents	4,322,345,069	0.25%	4,326,133,737	0.25%	3,788,668	0.00%
	QFIs	7,279,573,794	0.42%	7,232,854,883	0.42%	-46,718,911	0.00%
	Foreign DPMs	2,715,113,582	0.16%	2,558,856,118	0.15%	-156,257,464	-0.01%
	Strategic Partners	53,647,233,189	3.13%	48,357,992,480	2.81%	-5,289,240,709	-0.32%
	<b>Total Foreign Investors</b>	<b>78,159,378,745</b>	<b>4.56%</b>	<b>73,367,998,754</b>	<b>4.26%</b>	<b>-4,791,379,990</b>	<b>-0.29%</b>
<b>Grand Total</b>		<b>1,715,815,765,353</b>	<b>100.00%</b>	<b>1,721,210,299,950</b>	<b>100.00%</b>	<b>5,394,534,597</b>	

# Monthly Report - Main Market

## Ownership Value (by Investor Classification)

Main Market Ownership Breakdown - By Investor Classification						
Investor Classification	As of 29 August 2017		As of 28 September 2017		Monthly Change	
	Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
Institutional	1,504,049,759,149	87.66%	1,505,994,411,362	87.50%	1,944,652,213	-0.16%
Non-Institutional	211,766,006,203	12.34%	215,215,888,588	12.50%	3,449,882,384	0.16%
<b>Grand Total</b>	<b>1,715,815,765,353</b>	<b>100.00%</b>	<b>1,721,210,299,950</b>	<b>100.00%</b>	<b>5,394,534,597</b>	

## Definitions

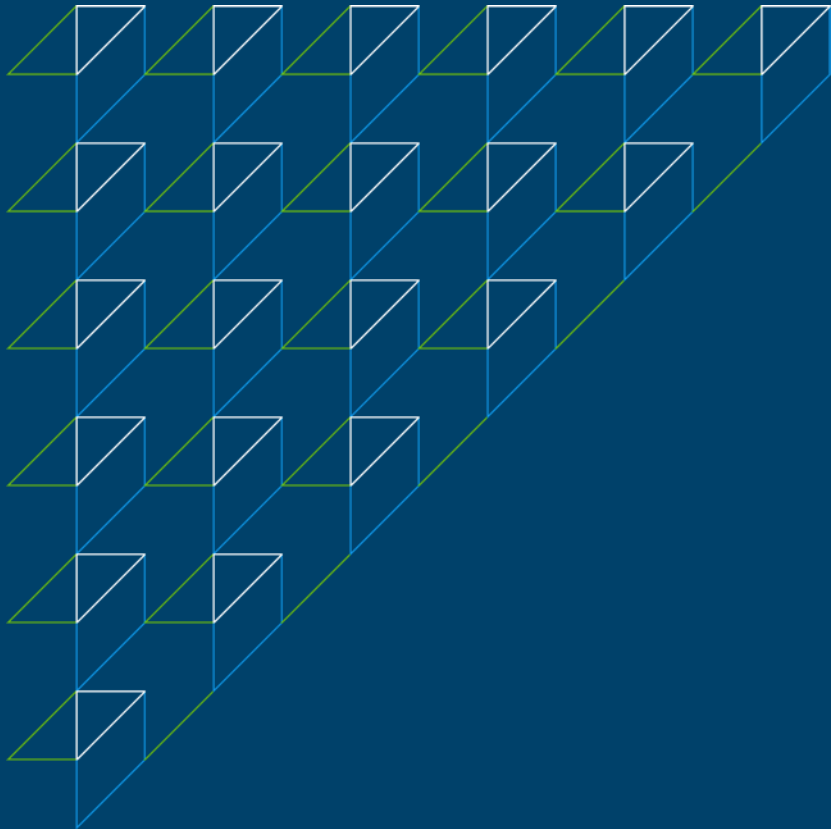
### **Institutional:**

Refers to investors with institutional behavior including GREs, Saudi Corporates, Saudi Mutual Funds, Saudi and Non-Saudi DPMs, Saudi Individual Professional Investors (IPI), SWAP Holders, Foreign Strategic Partners and Qualified Foreign Investors (QFI).

### **Non Institutional:**

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.

# Nomu-Parallel Market - Highlights

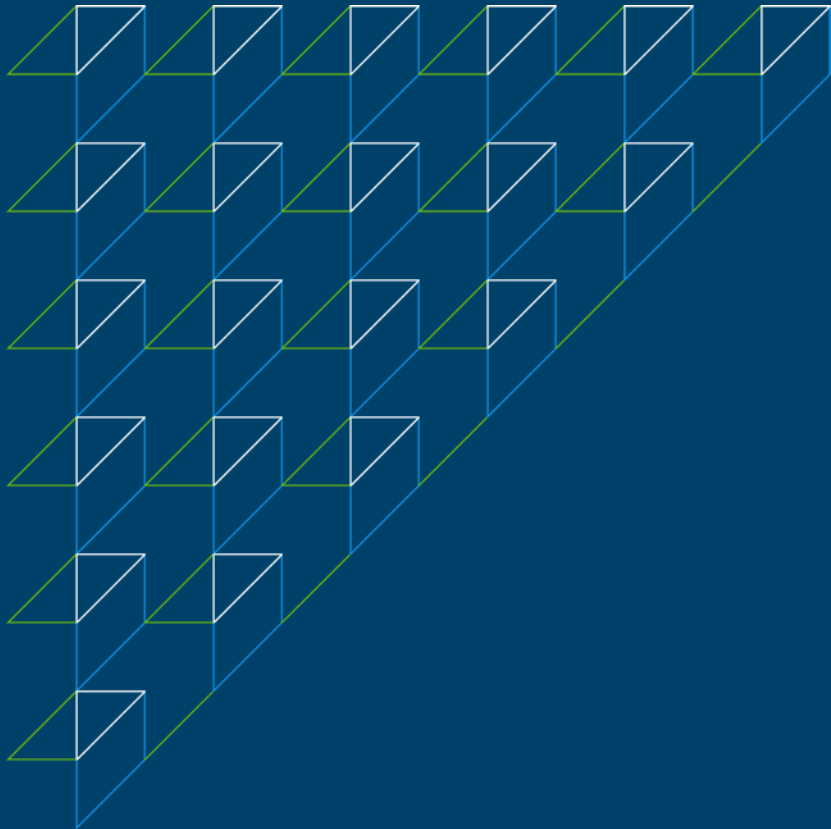


The total value of shares traded for the month ending 28 September 2017 amounted to SAR 37.42 million, decreasing by 31.50% over the previous month; while total stock market capitalization reached SAR 2,363.16 million at the end of this period, decreasing by 1.19% over the previous month.

- ▶ The total value of shares purchased by “Saudi Investors” during this period amounted to SAR 35.55 million representing 95.02% of total buying activity, and sales of SAR 36.53 million representing 97.63% of total selling activity. Total ownership of “Saudi Investors” stood at 99.16% of total market capitalization as of 28 September 2017, representing a decrease of 0.05% from the previous month.
- ▶ The total value of shares purchased by “GCC Investors” during this period amounted to SAR 0.60 million representing 1.62% of total buying activity, and sales of SAR 0 million representing 0% of total selling activity. Total ownership of “GCC Investors” stood at 0.56% of total market capitalization as of 28 September 2017, representing an increase of 0.04% from the previous month.
- ▶ The total value of shares purchased by “Foreign Investors” during this period amounted to SAR 1.26 million representing 3.37% of total buying activity, and sales of SAR 0.89 million representing 2.37% of total selling activity. Total ownership of “Foreign Investors” stood at 0.28% of total market capitalization as of 28 September 2017 representing an increase of 0.01% from the previous month.



# Nomu-Parallel Market - Value Traded & Ownership Breakdown



# Monthly Report – Nomu-Parallel Market

## Value Traded (by Nationality, Investor Type, & Classification)

Nomu-Parallel Market Value Traded Breakdown - By Nationality and Investor Type							
Nationality	Investor Type	Buy		Sell		Net Value Traded	
		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Saudi	Individuals	34,585,714	92.43%	19,110,224	51.07%	15,475,490	41.36%
	Corporates	0	0.00%	11,731,033	31.35%	-11,731,033	-31.35%
	Institution	968,256	2.59%	5,691,808	15.21%	-4,723,552	-12.62%
	<b>Total Saudi Investors</b>	<b>35,553,970</b>	<b>95.02%</b>	<b>36,533,065</b>	<b>97.63%</b>	<b>-979,095</b>	<b>-2.62%</b>
GCC	Individuals	0	0.00%	0	0.00%	0	0.00%
	Institutions	604,443	1.62%	0	0.00%	604,443	1.62%
	<b>Total GCC Investors</b>	<b>604,443</b>	<b>1.62%</b>	<b>0</b>	<b>0.00%</b>	<b>604,443</b>	<b>1.62%</b>
Foreign	SWAP Holders	0	0.00%	0	0.00%	0	0.00%
	Foreign Residents	784,101	2.10%	885,453	2.37%	-101,352	-0.27%
	QFIs	476,004	1.27%	0	0.00%	476,004	1.27%
	<b>Total Foreign Investors</b>	<b>1,260,105</b>	<b>3.37%</b>	<b>885,453</b>	<b>2.37%</b>	<b>374,652</b>	<b>1.00%</b>
<b>Grand Total</b>		<b>37,418,519</b>	<b>100.00%</b>	<b>37,418,519</b>	<b>100.00%</b>		

Nomu-Parallel Market Value Traded Breakdown - By Investor Classification						
Investor Classification	Buy		Sell		Net Value Traded	
	SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Institutional	2,048,703	5.48%	17,422,841	46.56%	-15,374,138	-41.09%
Non-Institutional	35,369,815	94.52%	19,995,678	53.44%	15,374,138	41.09%
<b>Grand Total</b>	<b>37,418,519</b>	<b>100.00%</b>	<b>37,418,519</b>	<b>100.00%</b>		

### Institutional:

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

### Non Institutional:

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.

# Monthly Report – Nomu-Parallel Market

## Ownership Value (by Nationality, Investor Type, & Classification)

Nomu-Parallel Market Ownership Breakdown - By Nationality and Investor Type							
Nationality	Investor Type	As of 29 August 2017		As of 28 September 2017		Monthly Change	
		Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
Saudi	Individuals	2,107,271,140	88.12%	2,096,996,745	88.74%	-10,274,396	0.62%
	Corporates	136,342,423	5.70%	124,531,888	5.27%	-11,810,536	-0.43%
	Institution	128,988,882	5.39%	121,773,307	5.15%	-7,215,576	-0.24%
	<b>Total Saudi Investors</b>	<b>2,372,602,446</b>	<b>99.21%</b>	<b>2,343,301,939</b>	<b>99.16%</b>	<b>-29,300,507</b>	<b>-0.05%</b>
GCC	Individuals	30,597	0.00%	29,913	0.00%	-684	0.00%
	Institutions	12,404,641	0.52%	13,155,750	0.56%	751,109	0.04%
	<b>Total GCC Investors</b>	<b>12,435,238</b>	<b>0.52%</b>	<b>13,185,663</b>	<b>0.56%</b>	<b>750,425</b>	<b>0.04%</b>
Foreign	SWAP Holders	207,499	0.01%	207,654	0.01%	155	0.00%
	Foreign Residents	4,618,994	0.19%	4,413,167	0.19%	-205,827	-0.01%
	QFIs	1,632,323	0.07%	2,047,042	0.09%	414,719	0.02%
	<b>Total Foreign Investors</b>	<b>6,458,816</b>	<b>0.27%</b>	<b>6,667,863</b>	<b>0.28%</b>	<b>209,048</b>	<b>0.01%</b>
<b>Grand Total</b>		<b>2,391,496,500</b>	<b>100.00%</b>	<b>2,363,155,465</b>	<b>100.00%</b>	<b>-28,341,035</b>	

Nomu-Parallel Market Ownership Breakdown - By Investor Classification							
Investor Classification	As of 29 August 2017		As of 28 September 2017		Monthly Change		
	Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)	
Institutional	279,575,769	11.69%	261,715,641	11.07%	-17,860,128	-0.62%	
Non-Institutional	2,111,920,731	88.31%	2,101,439,824	88.93%	-10,480,907	0.62%	
<b>Grand Total</b>	<b>2,391,496,500</b>	<b>100.00%</b>	<b>2,363,155,465</b>	<b>100.00%</b>	<b>-28,341,035</b>		

### Institutional:

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

### Non Institutional:

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.

السوق المالية السعودية  
Saudi Stock Exchange

تداول  
Tadawul

Thank you

